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# Minimum Size Tree-Decompositions <sup>☆,☆☆</sup>

Bi Li<sup>c</sup>, Fatima Zahra Moataz<sup>b,a</sup>, Nicolas Nisse<sup>a,b</sup>, Karol Suchan<sup>d,e</sup>

<sup>a</sup>Inria, France

<sup>b</sup>Univ. Nice Sophia Antipolis, CNRS, I3S, UMR 7271, Sophia Antipolis, France

<sup>c</sup>School of Mathematics and statistics, Xidian University, Xi'an, China

<sup>d</sup>Universidad Adolfo Ibáñez, Santiago, Chile

<sup>e</sup>AGH University of Science and Technology, Krakow, Poland

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## Abstract

We study in this paper the problem of computing a tree-decomposition of a graph with width at most  $k$  and minimum number of bags. More precisely, we focus on the following problem: given a fixed  $k \geq 1$ , what is the complexity of computing a tree-decomposition of width at most  $k$  with minimum number of bags in the class of graphs with treewidth at most  $k$ ? We prove that the problem is NP-complete for any fixed  $k \geq 4$  and polynomial for  $k \leq 2$ ; for  $k = 3$ , we show that it is polynomial in the class of trees and 2-connected outerplanar graphs.

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## 1. Introduction

A *tree-decomposition* of a graph [15]  $G$  is a way to represent  $G$  by a family of subsets of its vertex-set organized in a tree-like manner and satisfying some connectivity property. The *treewidth* of  $G$  measures the proximity of  $G$  to a tree. More formally, a tree-decomposition of  $G = (V, E)$  is a pair  $(T, \mathcal{X})$  where  $\mathcal{X} = \{X_t | t \in V(T)\}$  is a family of subsets of  $V$ , called *bags*, and  $T$  is a tree, such that:

- $\bigcup_{t \in V(T)} X_t = V$ ;
- for any edge  $uv \in E$ , there is a bag  $X_t$  (for some node  $t \in V(T)$ ) containing both  $u$  and  $v$ ;
- for any vertex  $v \in V$ , the set  $\{t \in V(T) | v \in X_t\}$  induces a subtree of  $T$ .

The *width* of a tree-decomposition  $(T, \mathcal{X})$  is  $\max_{t \in V(T)} |X_t| - 1$  and its *size* is the order  $|V(T)|$  of  $T$ . The treewidth of  $G$ , denoted by  $tw(G)$ , is the minimum width over

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\*Please address correspondence to Nicolas Nisse

Email address: nicolas.nisse@inria.fr (Nicolas Nisse)

all possible tree-decompositions of  $G$ . If  $T$  is constrained to be a path,  $(T, \mathcal{X})$  is called a *path-decomposition* of  $G$ . The pathwidth of  $G$ , denoted by  $pw(G)$ , is the minimum width over all possible path-decompositions of  $G$ .

Tree-decompositions are the corner-stone of many dynamic programming algorithms for solving graph problems. For example, the famous Courcelle's Theorem states that any problem expressible in MSOL can be solved in linear-time in the class of bounded treewidth graphs [7]. Another framework based on graph decompositions is the *bi-dimensionality theory* that allowed the design of sub-exponential-time algorithms for many problems in the class of graphs excluding some fixed graph as a minor (e.g., [8]). Given a tree-decomposition with width  $w$  and size  $n$ , the time-complexity of most of such dynamic programming algorithms can often be expressed as  $O(2^w n)$  or  $O(2^{w \log w} n)$ . These algorithms have mainly theoretical interest because their time-complexity depends exponentially on the treewidth and, on the other hand, no practical algorithms are known to compute a good tree-decomposition for graphs with treewidth at least 5.

Since the computation of tree-decompositions is a challenging problem, we propose in this article to study it from a new point of view. Namely, we aim at minimizing the number of bags of the tree-decomposition when the width is bounded. This new perspective is interesting on its own and we hope it will allow to gain more insight into the difficulty of designing practical algorithms for computing tree-decompositions.

We consider the problem of computing tree-decompositions with minimum size. If the width is not constrained, then a trivial solution is a tree-decomposition of the graph with one bag (the full vertex-set). Hence, given a graph  $G$  and an integer  $k \geq tw(G)$ , we consider the problem of minimizing the size of a tree-decomposition of  $G$  with width at most  $k$ .

**Related work.** The problem of computing "good" tree-decompositions has been extensively studied. Computing optimal tree-decomposition - i.e., with width  $tw(G)$  - is NP-complete in the class of general graphs  $G$  [1]. For any fixed  $k \geq 1$ , Bodlaender designed an algorithm that computes, in time  $O(k^{k^3} n)$ , a tree-decomposition of width  $k$  of any  $n$ -vertex graph with treewidth at most  $k$  [3]. Recently, a single-exponential (in  $k$ ) algorithm has been proposed that computes a tree-decomposition with width at most  $5k$  in the class of graphs with treewidth at most  $k$  [4]. As far as we know, the only practical algorithms for computing optimal tree-decompositions hold for graphs with treewidth at most 1 (trivial since  $tw(G) = 1$  if and only if  $G$  is a tree), 2 (graphs excluding  $K_4$  as a minor) [18], 3 [2, 12, 14] and 4 [16].

In [9], Dereniowski *et al.* consider the problem of minimum size path-decompositions. Given any positive integer  $k$  and any graph  $G$  with pathwidth at most  $k$ , let  $l_k(G)$  denote the smallest size (length) of a path-decomposition of  $G$  with width at most  $k$ . For any fixed  $k \geq 4$ , computing  $l_k$  is NP-complete in the class of general graphs and it is NP-complete, for any fixed  $k \geq 5$ , in the class of connected graphs [9]. Moreover, computing  $l_k$  can be solved in polynomial-time in the class of graphs with pathwidth at most  $k$  for any  $k \leq 3$ . Finally, the "dual" problem is also hard: for any fixed  $l \geq 2$ , it is NP-complete in general graphs to compute

the minimum width of a path-decomposition with length  $l$  [9]<sup>1</sup>. We have generalized the problem of minimum size path-decomposition presented in [9], and introduced the problem of minimum size tree-decomposition in a shorter version of this paper [13]. To the best of our knowledge, no other paper has dealt with the computation of tree-decompositions with minimum size before [13]. However, very recently, following the work in [13] and [9], Bodlaender et al. [6] have proposed exact subexponential time algorithms to solve the problems of minimum size tree-decomposition and minimum size path-decomposition for a fixed width  $k$  in  $2^{O(n/\log(n))}$  time and showed that the two problems cannot be solved in  $2^{o(n/\log(n))}$  time, assuming the Exponential Time Hypothesis.

**Contribution.** Let  $k$  be any positive integer and  $G$  be any graph. If  $tw(G) > k$ , let us set  $s_k(G) = \infty$ . Otherwise, let  $s_k(G)$  denote the minimum size of a tree-decomposition of  $G$  with width at most  $k$ . See a simple example in Figure 1. We first prove in Section 2 that, for any (fixed)  $k \geq 4$ , the problem of computing  $s_k$  is NP-hard in the class of graphs with treewidth at most  $k$ . Moreover, the computation of  $s_k$  for  $k \geq 5$  is NP-hard in the class of connected graphs with treewidth at most  $k$ . Furthermore, the computation of  $s_4$  is NP-complete in the class of planar graphs with treewidth 3. In Section 3, we present a general approach for computing  $s_k$  for any  $k \geq 1$ . In the rest of the article, we prove that computing  $s_2$  can be solved in polynomial-time, and show that  $s_3$  can be computed in polynomial-time in the class of trees and 2-connected outerplanar graphs.

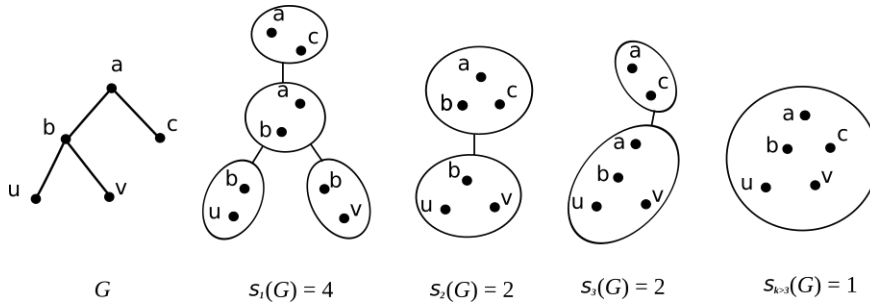


Figure 1: Given a tree  $G$  with five vertices, for any  $k \geq 1$ , a minimum size tree-decomposition of width at most  $k$  is illustrated:  $s_1(G) = 4$ ,  $s_2(G) = s_3(G) = 2$ , and  $s_{k>3}(G) = 1$ .

## 2. NP-hardness in the class of bounded treewidth graphs

In this section, we prove that:

<sup>1</sup>This result proved for path-decompositions can be straightforwardly extended to tree-decompositions, i.e. for any fixed  $s \geq 2$ , it is NP-complete in general graphs to compute the minimum width of a tree-decomposition with size  $s$ .

**Theorem 1.** *For any fixed integer  $k \geq 4$  (resp.,  $k \geq 5$ ), the problem of computing  $s_k$  is NP-complete in the class of graphs (resp., of connected graphs) with treewidth at most  $k$ .*

Note that the corresponding decision problem is clearly in NP. Hence, we only need to prove it is NP-hard.

Our proof mainly follows the one of [9] for minimum size path-decompositions. Hence, we recall here the two steps of the proof in [9]. First, it is proved that, if computing  $l_k$  is NP-hard for any  $k \geq 1$  in general graphs, then the computation of  $l_{k+1}$  is NP-hard in the class of connected graphs. Second, it is shown that computing  $l_4$  is NP-hard in general graphs with pathwidth 4. In particular, this implies that computing  $l_5$  is NP-hard in the class of connected graphs with pathwidth 5. The second step consists of a reduction from the 3-PARTITION problem [10] to the one of computing  $l_4$ . Precisely, for any instance  $\mathcal{I}$  of 3-PARTITION, a graph  $G_{\mathcal{I}}$  is built such that  $\mathcal{I}$  is a YES instance if and only if  $l_4(G_{\mathcal{I}})$  equals a defined value  $\ell_{\mathcal{I}}$ .

Our contribution consists first in showing that the first step of [9] directly extends to the case of tree-decompositions. That is, it directly implies that, if computing  $s_k$  is NP-hard for some  $k \geq 4$  in general graphs, then so is the computation of  $s_{k+1}$  in the class of connected graphs. Our main contribution of this section is to show that, for the graphs  $G_{\mathcal{I}}$  built in the reduction proposed in [9], any tree-decomposition of  $G_{\mathcal{I}}$  with width at most 4 and minimum size is a path-decomposition. Hence, in this class of graphs,  $l_4 = s_4$  and, for any instance  $\mathcal{I}$  of 3-PARTITION,  $\mathcal{I}$  is a YES instance if and only if  $s_4(G_{\mathcal{I}})$  equals a defined value  $\ell_{\mathcal{I}}$ . We describe the details in what follows.

**Lemma 2.** *If the problem of computing  $s_k$  for an integer  $k \geq 1$  is NP-complete in general graphs, then the computation of  $s_{k+1}$  is NP-complete in the class of connected graphs.*

*Proof.* Let  $G$  be any graph. We construct an auxiliary connected graph  $G'$  from  $G$  by adding a vertex  $a$  adjacent to all vertices in  $V(G)$ . Given two integers  $k, s \geq 1$ , in the following, we prove that there is a tree-decomposition of  $G$  with width at most  $k$  and size at most  $s$  if and only if there is a tree-decomposition of  $G'$  with width at most  $k+1$  and size at most  $s$ .

First, let us assume that  $(T, \mathcal{X})$  is a tree-decomposition of  $G$  with width at most  $k$  and size at most  $s$ . By adding  $a$  in each bag of  $\mathcal{X}$ , we obtain a tree-decomposition of  $G'$  with width at most  $k+1$  and size at most  $s$ .

Now let  $(T', \mathcal{X}')$  be a tree-decomposition of  $G'$  with width at most  $k+1$  and size at most  $s$ . We are going to find a tree-decomposition of  $G$  with width at most  $k$  and size at most  $s$ . Let  $\mathcal{X}_a$  be the set of all bags in  $\mathcal{X}'$  containing  $a$ . Let  $T_a$  be the subtree of  $T'$  induced by the bags in  $\mathcal{X}_a$ . Every vertex  $v \in V(G)$  is contained in a bag in  $\mathcal{X}_a$  because  $va \in E(G')$ . For any edge  $uv \in E(G)$ , there is a bag  $X \supseteq \{a, u, v\}$  in  $\mathcal{X}'$  since  $\{a, u, v\}$  induces a clique in  $G'$ . This implies that  $X \in \mathcal{X}_a$ . We delete  $a$  from each bag of  $\mathcal{X}_a$  and denote by  $\mathcal{X}^-$  the obtained set of bags. So  $(T_a, \mathcal{X}^-)$  is a tree-decomposition of  $G$  with width at most  $k$  and size at most  $s$ .  $\square$

Before doing the reduction from the 3-PARTITION problem to the problem of computing  $s_4$ , let us first recall its definition.

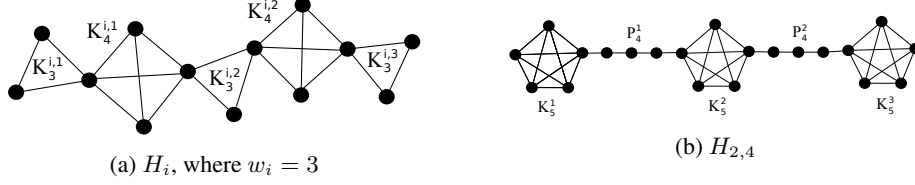


Figure 2: Examples of gadgets in graph  $G(S, b)$  [9]

**Definition 1.** [3-PARTITION]

*Instance:* A set  $S$  of  $3m$  positive integers  $S = (w_1, \dots, w_{3m})$  and an integer  $b$ .

*Question:* Is there a partition of the set  $\{1, \dots, 3m\}$  into  $m$  sets  $S_1, \dots, S_m$  such that  $\sum_{i \in S_j} w_i = b$  for each  $j = 1, \dots, m$ ?

This problem is NP-complete even if  $|S_j| = 3$  for all  $j = 1, \dots, m$  [10].

Given an instance of 3-PARTITION, in the following, we construct a disconnected graph  $G(S, b)$  as in [9]. First, for each  $i \in \{1, \dots, 3m\}$ , we construct a connected graph  $H_i$  as follows. We take  $w_i$  copies of  $K_3$ , denoted by  $K_3^{i,q}$ ,  $q = 1, \dots, w_i$ , and  $w_i - 1$  copies of  $K_4$ , denoted by  $K_4^{i,q}$ ,  $q = 1, \dots, w_i - 1$  (the copies are mutually disjoint). Afterwards, for each  $q = 1, \dots, w_i - 1$ , we identify two different vertices of  $K_4^{i,q}$  with a vertex of  $K_3^{i,q}$  and with a vertex of  $K_3^{i,q+1}$ , respectively. This is done in such a way that each vertex of each  $K_3^{i,q}$  is identified with at most one vertex from other cliques. Informally, the cliques form a 'chain' in which the cliques of size 3 and 4 alternate. See Figure 2a for an example of  $H_i$  for  $w_i = 3$ .

Second, we construct a graph  $H_{m,b}$  as follows. We take  $m + 1$  copies of  $K_5$ , denoted by  $K_5^1, \dots, K_5^{m+1}$ , and  $m$  copies of the path graph  $P_b$  of length  $b$  ( $P_b$  has  $b$  edges and  $b + 1$  vertices), denoted by  $P_b^1, \dots, P_b^m$  (again, the copies are mutually disjoint). Now, for each  $j = 1, \dots, m$ , we identify one of the endpoints of  $P_b^j$  with a vertex of  $K_5^j$ , and identify the other endpoint with a vertex of  $K_5^{j+1}$ . Moreover, we do this in a way that ensures that, for each  $j$ , no vertex of  $K_5^j$  is identified with the endpoints of two different paths. See Figure 2b for an example of  $H_{2,4}$ .

Let  $G(S, b)$  be the graph obtained by taking the disjoint union of the graphs  $H_1, \dots, H_{3m}$  and the graph  $H_{m,b}$ . In the following, we prove that there is a tree-decomposition of  $G(S, b)$  of width 4 and size at most  $s = 1 - 2m + 2 \sum_{i=1}^{3m} w_i$  if and only if there is a partition of the set  $\{1, \dots, 3m\}$  into  $m$  sets  $S_1, \dots, S_m$  such that  $\sum_{i \in S_j} w_i = b$  for each  $j = 1, \dots, m$  in the instance of 3-PARTITION.

In Lemma 2.2 of [9], a path-decomposition of  $G(S, b)$  of width 4 and length  $1 - 2m + 2 \sum_{i=1}^{3m} w_i$  is constructed if there is a partition of the set  $\{1, \dots, 3m\}$  into  $m$  sets  $S_1, \dots, S_m$  such that  $\sum_{i \in S_j} w_i = b$  for each  $j = 1, \dots, m$  in the instance of 3-PARTITION. Obviously, this path-decomposition is also a tree-decomposition of  $G(S, b)$  of width 4 and size  $s$ . So we have the following lemma.

**Lemma 3.** *Given a multiset  $S$  of  $3m$  positive integers  $S = (w_1, \dots, w_{3m})$  and an integer  $b$ , if there is a partition of the set  $\{1, \dots, 3m\}$  into  $m$  sets  $S_1, \dots, S_m$  such that*

$\sum_{i \in S_j} w_i = b$  for each  $j = 1, \dots, m$ , then  $G(S, b)$  has a tree-decomposition of width at most 4 and size at most  $s = 1 - 2m + 2 \sum_{i=1}^{3m} w_i$ .

Now we prove the other direction.

**Lemma 4.** *If  $G(S, b)$  has a tree-decomposition  $(T, \mathcal{X})$  of width at most 4 and size at most  $s = 1 - 2m + 2 \sum_{i=1}^{3m} w_i$ , then there is a partition of the set  $\{1, \dots, 3m\}$  into  $m$  sets  $S_1, \dots, S_m$  such that  $\sum_{i \in S_j} w_i = b$  for each  $j = 1, \dots, m$ .*

*Proof.* Lemma 2.6 in [9] proved that if  $G(S, b)$  has a path-decomposition  $(T, \mathcal{X})$  of width at most 4 and length at most  $1 - 2m + 2 \sum_{i=1}^{3m} w_i$ , then there is a partition of the set  $\{1, \dots, 3m\}$  into  $m$  sets  $S_1, \dots, S_m$  such that  $\sum_{i \in S_j} w_i = b$  for each  $j = 1, \dots, m$ . In what follows, we prove that any tree-decomposition  $(T, \mathcal{X})$  of  $G(S, b)$  of width at most 4 and size at most  $s = 1 - 2m + 2 \sum_{i=1}^{3m} w_i$  is a path-decomposition of  $G(S, b)$ .

As proved in Lemma 2.3 of [9], each bag in  $(T, \mathcal{X})$  contains exactly one of the cliques  $K_3^{i,q}, K_4^{i,q}, K_5^j$ . Indeed, each of these cliques has size at least 3. Moreover, any two of them share at most one vertex, and no two cliques of size 3 ( $K_3^{i,q}$ ) share a vertex. So each bag of  $(T, \mathcal{X})$  contains at most one of the cliques  $K_3^{i,q}, K_4^{i,q}, K_5^j$ . Moreover, any clique of the graph is fully contained in a bag of  $(T, \mathcal{X})$ . Since  $s$  equals the number of the cliques  $K_3^{i,q}, K_4^{i,q}, K_5^j$ , each bag of  $(T, \mathcal{X})$  contains exactly one of them.

Now, let us prove that any edge in  $K_4^{i,q}, K_5^j, P_b^j$  (i.e. both the two endpoints of the edge) is contained in exactly one bag. Since each bag in  $(T, \mathcal{X})$  contains exactly one of the cliques  $K_3^{i,q}, K_4^{i,q}, K_5^j$ , the two endpoints of any edge in the paths  $P_b^1, \dots, P_b^m$  are contained in a bag containing some  $K_3^{i,q}$ . In fact, the bags containing a  $K_4^{i,q}$  (resp.,  $K_5^j$ ) cannot contain two additional vertices (resp., one vertex) since  $(T, \mathcal{X})$  is a tree-decomposition of width at most 4. Every bag containing some  $K_3^{i,q}$  contains at most one edge in the paths  $P_b^1, \dots, P_b^m$ , because the bag can contain at most two additional vertices and  $K_3^{i,q}$  and  $P_b^j$  are disjoint. There are  $mb$  edges in the paths  $P_b^1, \dots, P_b^m$  and there are  $mb$  bags containing some  $K_3^{i,q}$ , so every bag containing a  $K_3^{i,q}$  contains exactly one edge in the paths  $P_b^1, \dots, P_b^m$ . Any edge in the paths  $P_b^1, \dots, P_b^m$  is then contained in exactly one bag. Also each bag containing some  $K_3^{i,q}$  contains 5 vertices, so it does not contain any edge (i.e. both its endpoints) in  $K_4^{i,q}$  or  $K_5^j$ . Therefore, any edge on  $K_4^{i,q}, K_5^j$  is contained in exactly one bag.

Now we prove that there are only two leaves in  $T$  and so  $T$  is a path. If a bag containing some  $K_3^{i,q}$  and an edge  $uv$  on some path  $P_b^j$  is a leaf bag in  $T$ , then its neighbor bag also contains  $u, v$  because both  $u$  and  $v$  are incident to other edges in  $G(S, b)$ . This is a contradiction with the fact any edge (its two endpoints) on  $P_b^j$  is contained in only one bag. Hence, any bag containing some  $K_3^{i,q}$  is not a leaf bag in  $T$ . Similarly, we can prove that any bag containing any  $K_4^{i,q}$  or  $K_5^j$  for  $1 < j < m + 1$  is not a leaf bag in  $T$ . Thus there are only two bags containing  $K_5^1$  and  $K_5^{m+1}$  which are leaves in  $T$ .  $\square$

Thus, we obtain the following corollary.

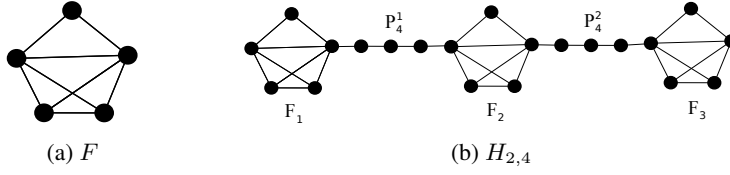


Figure 3: Example of the new gadget in  $G(S, b)$ .

**Corollary 5.** *It is NP-complete to compute  $s_4$  in the class of graphs of treewidth at most 4.*

Theorem 1 follows from Lemma 2 and Corollary 5. We furthermore modify the reduction to prove theorem 6.

**Theorem 6.** *It is NP-complete to compute  $s_4$  in the class of planar graphs of treewidth at most 3.*

*Proof.* As in the previous reduction, we build a graph  $G(S, b)$  for an instance of 3-PARTITION; we keep the subgraphs  $H_i$  as they are and modify the graph  $H_{m,b}$  as follows. We replace the  $m+1$  copies of  $K_5$  by  $m+1$  copies of the graph  $F$  that consists of a  $K_4$  and a  $K_3$  sharing an edge as depicted in Figure 3a. We denote the copies by  $F_1, F_2, \dots, F_{m+1}$ . The new graph  $G(S, b)$  we obtain is planar and has treewidth 3.

Lemma 3 is still true and for Lemma 4 to be correct, we need to prove that if  $G(S, b)$  has a tree-decomposition  $(T, \mathcal{X})$  of width at most 4 and size at most  $s = 1 - 2m + 2 \sum_{i=1}^{3m} w_i$ , then there is a bag of  $(T, \mathcal{X})$  containing  $F_i$ , for each  $F_i, i \in \{1, \dots, m+1\}$ . Let us denote by  $K_3^i$  and  $K_4^i$  the two cliques sharing exactly one edge that form  $F_i$ . Each of these cliques, should appear in one bag. Note that among all the cliques of  $G(S, b)$ , the only cliques that can coexist in a bag are of the form  $K_3^i$  and  $K_4^i$  since the sum of the number of vertices of any other two cliques is more than 5. Let us suppose that there exists  $j \in \{1, \dots, m+1\}$  such that no bag of  $(T, \mathcal{X})$  contains  $F_j$ , i.e.  $K_3^j$  and  $K_4^j$  are not in the same bag. In this case the number of bags of  $(T, \mathcal{X})$  is at least the number of the cliques  $K_3^{i,q}, K_4^{i,q}, K_4^{i'}$  ( $i' \neq j$ ), plus the two bags containing  $K_3^j$  and  $K_4^j$ . This gives a size of at least  $2 - 2m + 2 \sum_{i=1}^{3m} w_i$  which is not possible.  $\square$

### 3. Preliminaries

In this section, we present the definitions and notations used throughout the article and some well-known facts about tree-decompositions.

#### 3.1. Notations

Let  $G = (V, E)$  be a graph. Throughout this article we refer to an edge of  $E$  as  $w$  instead of  $\{u, v\}$ , for ease of presentation. Given a subset  $S \subseteq V$ , and two vertices  $a, b \in V \setminus S$ , we say that  $S$  separates  $a$  and  $b$  if any path between  $a$  and  $b$  contains a vertex in  $S$ . A subset  $S \subset V$  is a separator in  $G$  if there exists two vertices  $a, b \in V \setminus S$



such that  $S$  separates  $a$  and  $b$  in  $G$ . For an integer  $c \geq 0$ ,  $G$  is  $c$ -connected if  $|V| > c$  and no subset  $V' \subseteq V$  with  $|V'| < c$  is a separator in  $G$ . A  $2$ -connected component of  $G$  is a maximal  $2$ -connected subgraph.

Let  $(T, \mathcal{X})$  be any tree-decomposition of  $G$ . Abusing the notations, we will identify a node  $t \in V(T)$  and its corresponding bag  $X_t \in \mathcal{X}$ . This means that, e.g., instead of saying  $t \in V(T)$  is adjacent to  $t' \in V(T)$  in  $T$ , we can also say that  $X_t \in \mathcal{X}$  is adjacent to  $X_{t'} \in \mathcal{X}$  in  $T$ . A bag  $B \in \mathcal{X}$  is called a *leaf-bag* if  $B$  has degree one in  $T$ . Let  $G$  be a graph with  $tw(G) \leq k$  ( $k \geq 1$ ). A subset  $B \subseteq V(G)$  is a  $k$ -potential-leaf if there is a tree-decomposition  $(T, \mathcal{X})$  with width at most  $k$  and size  $s_k(G)$  such that  $B$  is a leaf bag of  $(T, \mathcal{X})$ . A subgraph  $H \subseteq V$  is a  $k$ -potential-leaf of  $G$  if  $V(H)$  is a  $k$ -potential-leaf of  $G$ . Note that a  $k$ -potential-leaf has size at most  $k + 1$ . Given a class of graphs  $\mathcal{C}$  and integer  $k \in \mathbb{N}^*$ , a set of graphs  $\mathcal{P}$  is called a *complete set of  $k$ -potential-leaves* of  $\mathcal{C}$ , if for any graph  $G \in \mathcal{C}$ , there exists a graph  $H \in \mathcal{P}$  such that  $H$  is a  $k$ -potential-leaf of  $G$ .

A tree-decomposition is *reduced* if no bag is contained in another one. It is straightforward that, in any leaf-bag  $B$  of a reduced tree-decomposition, there is  $v \in V$  such that  $v$  appears only in  $B$  and so  $N[v] \subseteq B$ . Note that it implies that any reduced tree-decomposition has at most  $n - 1$  bags.

In the following we define two *transformation rules* which take a tree-decomposition  $(T, \mathcal{X})$  of a graph  $G$ , and computes another one without increasing the width nor the size.

**Leaf.** Let  $X \in \mathcal{X}$  and  $N_T(X) = \{X_1, \dots, X_d\}$ . Assume that, for any  $1 < i \leq d$ ,  $X_i \cap X \subseteq X_1$ . Let  $(T^*, \mathcal{X}^*) = Leaf(X, X_1, (T, \mathcal{X}))$  denote the tree-decomposition of  $G$  obtained by replacing each edge  $X_i X \in E(T)$  by an edge  $X_i X_1$  for any  $1 < i \leq d$ . Note that  $X$  becomes a leaf-bag after the operation. See in Figure 4.

**Reduce.** Let  $XX' \in E(T)$  with  $X \subseteq X'$ . Let  $(T^*, \mathcal{X}^*) = Reduce(X, X', (T, \mathcal{X}))$  denote the tree-decomposition of  $G$  obtained by deleting the bag  $X$  from the tree-decomposition  $Leaf(X, X', (T, \mathcal{X}))$ . Note that the size of the tree-decomposition is decreased by one after the operation.

From any tree-decomposition of  $G$  with width  $k$  and size  $s$ , it is easy to obtain a reduced tree-decomposition of  $G$  with width at most  $k$  and size at most  $s - 1$  by applying the Reduce operation if it is possible (i.e., if a bag is contained in another one). In particular, any minimum size tree-decomposition is reduced.

We conclude this section by a general lemma on tree-decompositions. This lemma is known as folklore, we recall it for completeness.

**Lemma 7.** *Let  $(T, \mathcal{X})$  be a tree-decomposition of a graph  $G$ . Let  $X \in \mathcal{X}$  and  $v, w \in X$ . If there exists a connected component in  $G \setminus X$  containing a neighbor of  $v$  and a neighbor of  $w$ , then there is a neighbor bag of  $X$  in  $(T, \mathcal{X})$  containing  $v$  and  $w$ .*

*Proof.* First, let us note that, for any connected subgraph  $H$  of  $G$ , the set of bags of  $T$  which contain a vertex of  $H$  induces a subtree of  $T$  (the proof can be done by induction on  $|V(H)|$ ).

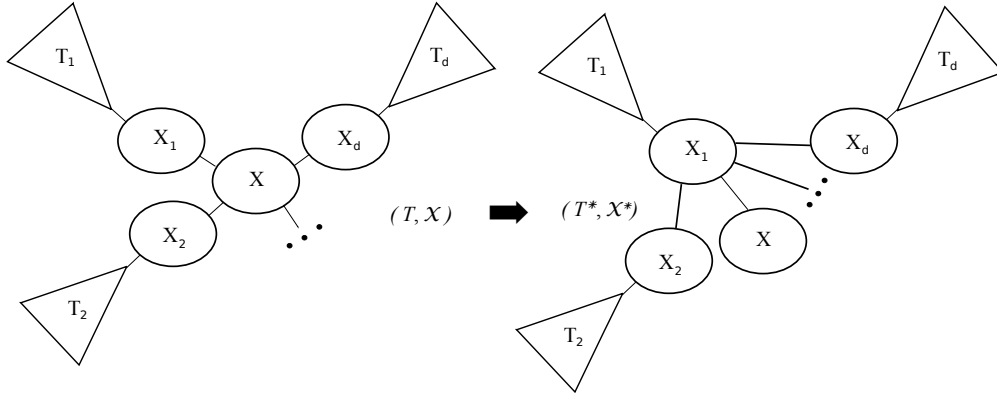


Figure 4: In a tree-decomposition  $(T, \mathcal{X})$ ,  $N_T(X) = \{X_1, \dots, X_d\}$  and for any  $1 < i \leq d$ ,  $X_i \cap X \subseteq X_1$ . For  $1 \leq i \leq d$ ,  $T_i \cup X_i$  induces the subtree containing  $X_i$  in  $T \setminus \{XX_i\}$ . We replace each edge  $X_iX \in E(T)$  by an edge  $X_iX_1$  for any  $1 < i \leq d$ . This gives a tree-decomposition  $(T^*, \mathcal{X}^*) = \text{Leaf}(X, X_1, (T, \mathcal{X}))$ .  $X$  is a leaf-bag in  $(T^*, \mathcal{X}^*)$ .

Let  $C$  be a connected component in  $G \setminus X$  containing a neighbor of  $v$  and a neighbor of  $w$ . Let  $T'_C$  be the subtree of  $T$  induced by the bags that contain at least a vertex of  $C$ . Because no vertices of  $C$  are contained in the bag  $X$ , then  $T'_C$  is a subtree of  $T \setminus X$ . Let  $T_C$  be the connected component of  $T \setminus X$  that contains  $T'_C$ . Let  $Y \in V(T_C)$  be the bag of  $T_C$  which is a neighbor of  $X$  in  $T$ . Let  $x \in N(v) \cap C$  be a neighbor of  $v$  in  $C$ . Then there exists a bag  $Z \in \mathcal{X}$  in  $T_C$  containing both  $x$  and  $v$ . So both  $X$  and  $Z$  contain vertex  $v$ . Then the bag  $Y$ , which is on the path between  $X$  and  $Z$  in  $T$ , also contains  $v$ . Similarly, we can prove that  $w \in Y$ .  $\square$

**Corollary 8.** *Let  $(T, \mathcal{X})$  be a tree-decomposition of a 2-connected graph  $G$ . Let  $X \in \mathcal{X}$  and  $|X| \leq 2$ . Then there is a neighbor bag  $Y$  of  $X$  in  $(T, \mathcal{X})$  such that  $X \subseteq Y$ .*

*Proof.* Since  $G$  is 2-connected,  $|V(G)| \geq 3$ . So there exist at least another bag except  $X$  in  $\mathcal{X}$ .

If  $|X| = 1$ , let  $X = \{v\}$ . Then there is a neighbor bag  $Y$  of  $X$  containing  $v$ , since  $G$  is 2-connected and  $v$  is adjacent to some vertices in  $G$ . If  $|X| = 2$ , let  $X = \{v, w\}$ . Let  $G_1$  be any connected component in  $G \setminus X$ . If  $v$  is not adjacent to any vertex in  $G_1$ , then  $\{w\}$  separates  $V(G_1)$  from  $\{v\}$ . This contradicts with the assumption that  $G$  is 2-connected. So any connected component in  $G \setminus X$  contains a neighbor of  $v$  and a neighbor of  $w$ . From Lemma 7, there is a neighbor bag  $Y$  of  $X$  containing  $v, w$ , i.e.  $X \subseteq Y$ .  $\square$

### 3.2. General approach

In what follows, we present the general approach used to design polynomial-time algorithms to compute minimum-size tree-decompositions of graphs with small treewidth. Our algorithms mainly use the notion of potential-leaf.

Let  $k \geq 1$  and  $G = (V, E)$  be a graph with  $tw(G) \leq k$ . The key idea of our algorithms is to identify a finite complete set of potential-leaves. Then, our algorithms

are recursive: given a graph  $G$  and a  $k$ -potential-leaf  $H$  from the complete set, we compute a minimum-size tree-decomposition of  $G$  by adding  $H$  to a minimum-size tree-decomposition of a smaller graph.

The next lemmas formalize the above paragraph. Given a graph  $G = (V, E)$  and a set  $S \subseteq V$ , let  $G_S = G \cup \{uv : u, v \in S\}$ .

**Lemma 9.** *Let  $k \geq 1$  and  $G = (V, E)$  be a graph with  $tw(G) \leq k$ . Let  $B \subseteq V$  be a  $k$ -potential-leaf of  $G$  and  $S \subset B$  be the set of vertices of  $B$  that have a neighbor in  $V \setminus B$ . Then  $s_k(G) = s_k(G_S \setminus (B \setminus S)) + 1$ .*

*Proof.* Let us first prove  $s_k(G) \leq s_k(G_S \setminus (B \setminus S)) + 1$ . Suppose that  $(T_S, \mathcal{X}_S)$  is a minimum size tree-decomposition of width at most  $k$  of the graph  $G_S \setminus (B \setminus S)$ . Then there exists a bag  $X \in \mathcal{X}_S$  containing  $S$  because  $S$  induces a clique in the graph  $G_S \setminus (B \setminus S)$ . We add the bag  $B$  and make it adjacent to  $X$  in the tree-decomposition  $(T_S, \mathcal{X}_S)$ . We obtain then a tree-decomposition of width at most  $k$  for graph  $G$  of size  $s_k(G_S \setminus (B \setminus S)) + 1$ .

Now we prove that  $s_k(G) \geq s_k(G_S \setminus (B \setminus S)) + 1$ . Let  $(T, \mathcal{X})$  be a minimum size tree-decomposition of  $G$  of width at most  $k$  such that  $B$  is a leaf bag in  $(T, \mathcal{X})$ . Note that, if  $B = V$  then  $G_S \setminus (B \setminus S)$  is the empty graph. Let us assume that  $B \subset V$ . Then  $(T, \mathcal{X})$  is also a tree-decomposition of  $G_S$ . Let  $B$  be adjacent to the bag  $Y$  in  $(T, \mathcal{X})$ . Then  $S \subset Y$  since each vertex in  $S$  is contained in another bag in  $(T, \mathcal{X})$ . Let  $(T', \mathcal{X}')$  be the tree-decomposition obtained by deleting the vertices in  $B \setminus S$  in all the bags of  $(T, \mathcal{X})$ . Then  $B$  is changed to  $B' = S \in \mathcal{X}'$  and let  $Y$  be changed to  $Y' \in \mathcal{X}'$ . So  $B' \subseteq Y'$ . Then the tree-decomposition  $Reduce(B', Y', (T', \mathcal{X}'))$  is a tree-decomposition of  $G_S \setminus (B \setminus S)$  of size  $s_k(G) - 1$ . So  $s_k(G) - 1 \geq s_k(G_S \setminus (B \setminus S))$ .  $\square$

This lemma implies the following corollary:

**Corollary 10.** *Let  $k \in \mathbb{N}^*$  and  $\mathcal{C}$  be the class of graphs with treewidth at most  $k$ . If there is a  $g(n)$ -time algorithm  $\mathcal{A}_k$  that, for any  $n$ -vertex-graph  $G \in \mathcal{C}$ , computes a  $k$ -potential-leaf of  $G$ . Then  $s_k$  can be computed in  $O(g(n) \cdot n)$  time in the class of  $n$ -vertex graphs in  $\mathcal{C}$ . Moreover, a minimum size tree-decomposition of width at most  $k$  can be constructed in the same time.*

*Proof.* Let  $G \in \mathcal{C}$  be a  $n$ -vertex-graph. Let us apply Algorithm  $\mathcal{A}_k$  to find a subgraph  $H$  of  $G$  in  $g(n)$  time, which is a  $k$ -potential-leaf of  $G$ . Let  $S \subset V(H)$  be the set of vertices having a neighbor in  $G \setminus H$  and  $G' = G_S \setminus (V(H) \setminus S)$ . Then, by Lemma 9,  $s_k(G) = s_k(G') + 1$ . Finally,  $|V(G')| \leq n - 1$  and  $G'$  has treewidth at most  $k$ . We then proceed recursively. So the total time complexity is  $O(g(n) \cdot n)$ . Moreover, for any minimum size ( $s_k(G')$ ) tree-decomposition  $(T', \mathcal{X}')$  of  $G'$  of width  $k$ , there is a bag  $X$  containing  $S$  since  $S$  induces a clique in  $G'$ . Add a new bag  $N = V(H)$  adjacent to  $X$  in  $(T', \mathcal{X}')$ . The obtained tree-decomposition is a minimum size ( $s_k(G) = s_k(G') + 1$ ) tree-decomposition of  $G$  of width at most  $k$ .  $\square$

#### 4. Graphs with treewidth at most 2

In this section, we describe the algorithm  $\mathcal{A}_2$  which computes a 2-potential-leaf of a given graph. In particular, all graphs considered in this section have treewidth at

most 2, i.e. partial 2-trees. Please see a complete set of 2-potential-leaves of graphs of treewidth at most 2 in Figure 5. We are going to prove that any of the subgraphs in Figure 5 is a 2-potential-leaf and then that each non-empty graph of treewidth at most 2 contains one of them as a 2-potential-leaf.

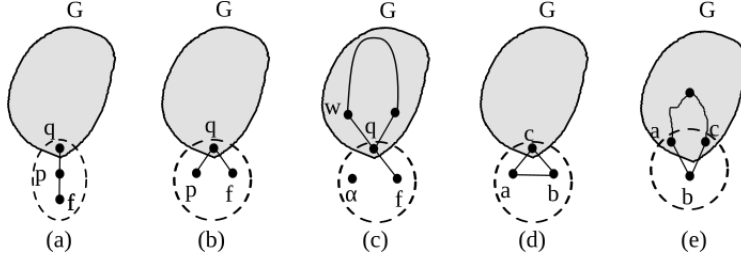


Figure 5: Complete set of 2-potential-leaves of graphs of treewidth at most 2.

**Lemma 11.** *Let  $G$  be a graph with treewidth at most 2 and  $p \in V(G)$  such that  $N(p) = \{f, q\}$  and  $f$  has degree one (see Figure 5(a)). Then  $\{f, p, q\}$  is a 2-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any tree-decomposition of  $G$  with width at most 2 and size at most  $s \geq 1$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 2 and size at most  $s$  and in which  $\{f, p, q\}$  is a leaf bag.

Since  $fp \in E(G)$ , there is a bag  $B$  in  $(T, \mathcal{X})$  containing both  $f$  and  $p$ . We may assume that  $B$  is the single bag containing  $f$  (otherwise, we delete  $f$  from any other bag). Similarly, since  $pq \in E(G)$ , let  $X$  be a bag in  $(T, \mathcal{X})$  containing both  $p$  and  $q$ .

First, let us assume that  $X = B = \{f, p, q\}$ . In this case, we may assume that  $X$  is the single bag containing  $p$  (otherwise, we delete  $p$  from any other bag). If  $X$  is a leaf bag, then the lemma is proved. Otherwise, let  $X_1, \dots, X_d$  be the neighbors of  $X$  in  $T$ . Since  $f$  and  $p$  appear only in  $X$ , then  $X \cap X_i \subseteq \{q\}$  for any  $1 \leq i \leq d$ . If there is  $1 \leq i \leq d$  such that  $q \in X_i$ , let us assume w.l.o.g., that  $q \in X_1$ . By definition of the operation *Leaf*, the tree-decomposition  $\text{Leaf}(X, X_1, (T, \mathcal{X}))$  has width at most 2 and the same size as  $(T, \mathcal{X})$ , and  $X$  is a leaf.

Second, consider the case when  $X \neq B$ . There are two cases to consider. Either  $B = \{f, p\}$  or  $B = \{f, p, x\}$  with  $x \neq q$ . In the latter case, note that there is another bag  $B'$ , neighbor of  $B$ , that contains  $x$  unless  $x$  is an isolated vertex of  $G$ . In the former case or if  $x$  appears only in  $B$  (in which case,  $x$  is an isolated vertex), let  $B'$  be any neighbor of  $B$ . Let  $(T', \mathcal{X}')$  be obtained by deleting  $f, p$  in all bags of  $(T, \mathcal{X})$ . Then, we contract the edge  $BB'$  in  $T'$ , i.e., we remove  $B$  and make any neighbor of  $B$  adjacent to  $B'$ . Note that, in the resulting tree-decomposition of  $G \setminus \{f, p\}$ , there is a bag  $X'$  containing  $q$  and with  $|X'| \leq 2$  (the bag that results from  $X$ ). Finally, we add a bag  $\{f, p, q\}$  adjacent to  $X'$  and, if node  $x$  was only in  $B$ , then we add  $x$  to  $X'$ . The result is the desired tree-decomposition.  $\square$

**Lemma 12.** *Let  $G$  be a graph with treewidth at most 2 and  $q \in V(G)$  such that  $q$  has at least two one-degree neighbors  $f$  and  $p$  (see Figure 5(b)). Then  $\{f, p, q\}$  is a 2-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any tree-decomposition of  $G$  with width at most 2 and size at most  $s \geq 1$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 2 and size at most  $s$  and in which  $\{f, p, q\}$  is a leaf bag.

Since  $f, q \in E(G)$ , there is a bag  $B$  in  $(T, \mathcal{X})$  containing both  $f$  and  $q$ . We may assume that  $B$  is the single bag containing  $f$  (otherwise, we delete  $f$  from any other bag). Similarly, since  $p, q \in E(G)$ , let  $X$  be a bag in  $(T, \mathcal{X})$  containing both  $p$  and  $q$ . Again, we may assume that  $X$  is the single bag containing  $p$  (otherwise, we delete  $p$  from any other bag).

First, let us assume that  $X = B = \{f, p, q\}$ . If  $X$  is a leaf bag, then the lemma is proved. Otherwise, let  $X_1, \dots, X_d$  be the neighbors of  $X$  in  $T$ . Since  $f$  and  $p$  appear only in  $X$ , then  $X \cap X_i \subseteq \{q\}$  for any  $1 \leq i \leq d$ . If there is  $1 \leq i \leq d$  such that  $q \in X_i$ , let us assume w.l.o.g., that  $q \in X_1$ . By definition of the operation *Leaf*, the tree-decomposition  $\text{Leaf}(X, X_1, (T, \mathcal{X}))$  has width at most 2, and the same size as  $(T, \mathcal{X})$ , and  $X$  is a leaf.

Second, let us assume that  $X = \{f, q\}$  or  $B = \{p, q\}$ . In the former case, we remove  $p$  from any bag and add  $p$  to  $X$ . In the latter case, we remove  $f$  from any bag and add  $f$  to  $B$ . In both cases, we obtain a bag  $\{f, p, q\}$  as in the first case.

Otherwise, let  $B = \{f, q, x\}$ ,  $x \neq p$ , and  $X = \{p, q, y\}$ ,  $y \neq f$ .

- If  $B$  and  $X$  are adjacent in  $T$ , then we add a new bag  $N = \{q, x, y\}$ , remove  $B$  and  $X$  and make each of their neighbors adjacent to the new bag  $N$  and, finally, add a leaf-bag  $\{f, p, q\}$  adjacent to  $N$ . see Figure 6a. The obtained tree-decomposition has the desired properties.
- Otherwise, if there is a neighbor  $B'$  of  $B$  with  $q, x \in B'$ , then we remove  $B$ , make all neighbors of  $B$  adjacent to  $B'$  and finally add a leaf-bag  $\{f, p, q\}$  adjacent to  $X$ . The obtained tree-decomposition has the desired properties.
- Otherwise, let  $B'$  be the neighbor of  $B$  on the path between  $B$  and  $X$ . In this case,  $q \in B'$  and  $x \notin B'$ . Moreover,  $q$  does not belong to any neighbor of  $B$  that contains  $x$  and the other way around. For any neighbor  $Y$  of  $B$  with  $q \in Y$  (and hence  $x \notin Y$ ), we replace the edge  $YB \in E(T)$  with the edge  $YB'$ . Finally, we replace the edge  $BB' \in E(T)$  by the edge  $BX$ . See Figure 6b. In the resulting tree-decomposition of  $G$ ,  $B$  and  $X$  are adjacent and we are back to the first case.

□

**Lemma 13.** *Let  $G$  be a graph with treewidth at most 2 and  $q \in V(G)$  such that  $q$  has one neighbor  $f$  with degree 1 and for any vertex  $w \in N(q) \setminus \{f\}$ ,  $\{w, q\}$  belongs to a 2-connected component of  $G$ .*

*If  $G$  has an isolated vertex  $\alpha$ , then  $\{q, f, \alpha\}$  is a 2-potential-leaf; otherwise  $\{q, f\}$  is a 2-potential-leaf (see Figure 5(c)).*

*Proof.* Let  $(T, \mathcal{X})$  be any tree-decomposition of  $G$  with width at most 2 and size at most  $s \geq 1$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 2 and size at most  $s$  and in which  $\{f, q, \alpha\}$  is a leaf bag if  $G$  has an isolated vertex  $\alpha$ ; and  $\{f, q\}$  is a leaf bag otherwise.

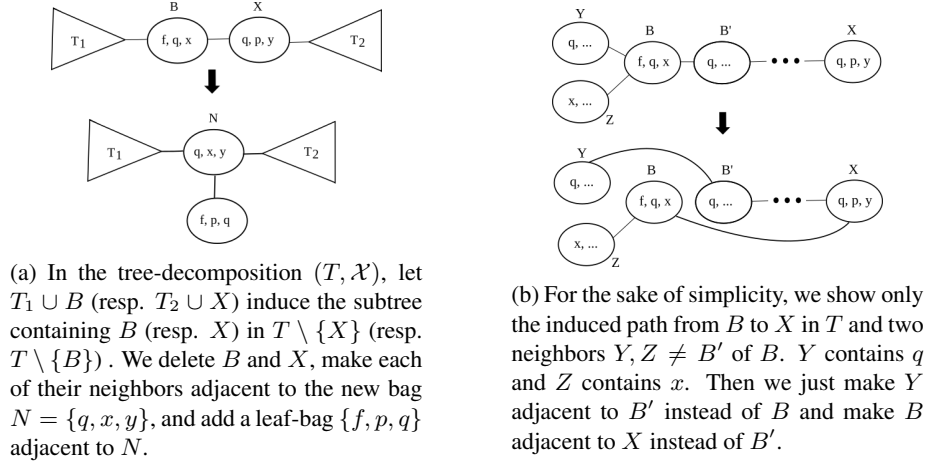


Figure 6: Examples illustrating the proof of Lemma 12.

Since  $f, q \in E(G)$ , there is a bag  $B$  in  $(T, \mathcal{X})$  containing both  $f$  and  $q$ . We assume that  $B$  is the single bag containing  $f$  (otherwise, delete  $f$  from any other bag).

1. If  $B = \{f, q\}$ , then the intersection of  $B$  and any of its neighbor in  $T$  is empty or  $\{q\}$ . If there is a neighbor of  $B$  containing  $q$ , then let  $X$  be such a neighbor; otherwise let  $X$  be any neighbor of  $B$ . By definition of the operation *Leaf*, the tree-decomposition  $Leaf(B, X, (T, \mathcal{X}))$  has width at most 2, same size as  $(T, \mathcal{X})$ , and  $B$  is a leaf. If there are no isolated vertices, we are done. Otherwise, if there is an isolated vertex  $\alpha$  in  $G$ , then we delete  $\alpha$  in all bags of the tree-decomposition  $Leaf(B, X, (T, \mathcal{X}))$  and add  $\alpha$  to bag  $B$ , i.e. make  $B = \{f, p, \alpha\}$ . The result is the desired tree-decomposition.
2. Otherwise let  $B = \{f, q, x\}$ .
  - (a) If  $x$  is a neighbor of  $q$ , then  $x$  and  $q$  are in a 2-connected component of  $G$ . So there exists a connected component in  $G \setminus B$  containing a vertex adjacent to  $x$  and a vertex adjacent to  $q$ . From Lemma 7, there is a neighbor  $X$  of  $B$  in  $(T, \mathcal{X})$  containing both  $x$  and  $q$ . By definition of the operation *Leaf*, the tree-decomposition  $Leaf(B, X, (T, \mathcal{X}))$  has width at most 2, same size as  $(T, \mathcal{X})$ , and  $B$  is a leaf. Then we delete  $x$  in  $B$ , i.e.  $B = \{f, q\}$ . Finally, if  $\alpha$  is an isolated vertex of  $G$ , we remove it from any other bag and add it to  $B$ . The result is the desired tree-decomposition.
  - (b) Suppose that  $x$  is not adjacent to  $q$ . If there is a neighbor  $X$  of  $B$  in  $(T, \mathcal{X})$  containing both  $x$  and  $q$ , then  $(T, \mathcal{X})$  is modified as in case 2a. Otherwise, any neighbor of  $B$  in  $(T, \mathcal{X})$  contains at most one of the vertices  $q$  and  $x$ . If there is a neighbor of  $B$  in  $T$  containing  $q$ , then let  $Y$  be such a neighbor of  $B$ ; otherwise let  $Y$  be any neighbor of  $B$ . We delete the edges between

$B$  and all its neighbors not containing  $x$  except  $Y$  in  $(T, \mathcal{X})$  and make them adjacent to  $Y$ .

If there is no neighbor of  $B$  containing  $x$ , then  $x$  is an isolated vertex and we obtain a tree-decomposition of the same size and width as  $(T, \mathcal{X})$ , in which there is a leaf bag  $B = \{f, q, x\}$ . It is a required tree-decomposition.

Otherwise, let  $Z$  be a neighbor of  $B$  in  $(T, \mathcal{X})$  containing  $x$ , then we delete the edges between  $B$  and all its neighbors containing  $x$  except  $Z$  in  $(T, \mathcal{X})$  and make them adjacent to  $Z$ . Now  $B$  has only two neighbors  $Y$  and  $Z$  and  $B \cap Y \subseteq \{q\}$ ,  $B \cap Z = \{x\}$  and  $Y \cap Z = \emptyset$ . We delete the edge between  $B$  and  $Z$  and make  $Z$  adjacent to  $Y$ . We delete  $x$  in  $B$ , i.e. make  $B = \{f, q\}$ . See the transformations in Figure 7. Then we obtain a tree-decomposition of the same size and width as  $(T, \mathcal{X})$ , in which  $B = \{f, q\}$  is a leaf bag. Again, if  $\alpha$  is an isolated vertex of  $G$ , we remove it from any other bag and add it to  $B$ . The result is the desired tree-decomposition. □

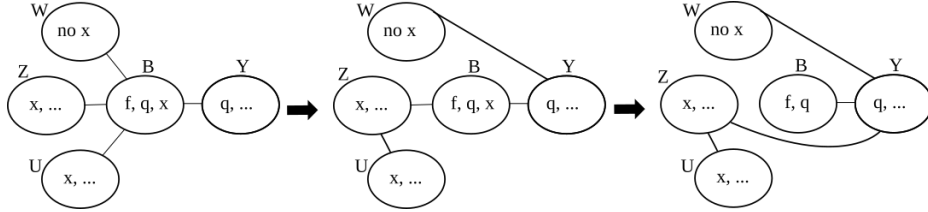


Figure 7: To the sake of simplicity, we show only the subtree induced by  $B, Y$  and three neighbors  $Z, W, U$  of  $B$ .  $Y$  contains  $q$ ;  $Z, U$  both contain  $x$  and  $W$  does not contain  $x$ . First we make the bag not containing  $x$ , e.g.  $W$  adjacent to  $Y$  instead of  $B$ ; and make the bag containing  $x$  except  $Z$ , e.g.  $U$  adjacent to  $Z$  instead of  $B$ . Second, we make  $Z$  adjacent to  $Y$  instead of  $B$  and delete  $x$  in  $B$ . Then  $B = \{f, q\}$  is a leaf-bag.

**Lemma 14.** *Let  $G$  be a graph of treewidth at most 2. Let  $b \in V(G)$  with  $N(b) = \{a, c\}$ . If  $N(a) = \{b, c\}$  (see Figure 5(d)) or if there is a path, with at least one internal vertex, between  $a$  and  $c$  in  $G \setminus \{b\}$  (see Figure 5(e)), then  $\{a, b, c\}$  is a 2-potential-leaf of  $G$ .*

*Proof.* Let  $G = (V, E)$  be a graph of treewidth at most 2. Let  $b \in V$  with exactly 2 neighbors  $a, c \in V$  satisfying the hypotheses of the lemma. If  $V = \{a, b, c\}$ , the result holds trivially, so let us assume that  $|V| \geq 4$ .

Let  $(T, \mathcal{X})$  be a reduced tree-decomposition of width at most 2 of  $G$ . From  $(T, \mathcal{X})$ , we will compute a tree-decomposition  $(T^*, \mathcal{X}^*)$  of  $G$  without increasing the width nor the size and such that  $\{a, b, c\}$  is a leaf-bag of  $(T^*, \mathcal{X}^*)$ .

Let  $X$  be any bag of  $(T, \mathcal{X})$  containing  $\{a, b\}$  and  $Y$  be any bag containing  $\{b, c\}$ . The bags  $X, Y$  exist because  $ab, bc \in E$ . If  $X = \{a, b\}$ , then there exists a connected component in  $G \setminus X$  containing a neighbor of  $a$  and a neighbor of  $b$ . By Lemma 7, there is a neighbor of  $X$  in  $(T, \mathcal{X})$  that contains both  $a$  and  $b$ , contradicting the fact that  $(T, \mathcal{X})$  is reduced. So  $|X| = 3$  and, similarly,  $|Y| = 3$ .

- Let us first assume that  $X = Y = \{a, b, c\}$ . In particular, it is the case when  $N(a) = \{b, c\}$  since  $\{a, b, c\}$  induces a clique. We may assume that  $b$  only belongs to bag  $X$  (otherwise, we remove  $b$  from any other bag).

If  $N(a) = \{b, c\}$ , then we can also assume that  $a$  only belongs to  $X$ . Let  $Z$  be any neighbor of  $B$  containing  $c$  if it exists; otherwise let  $Z$  be any neighbor of  $B$  ( $Z$  exists since  $|V| \geq 4$ ).

Otherwise, there exists a path  $P$  between  $a$  and  $c$  in  $G \setminus \{b\}$  with at least one internal vertex. In this latter case, there exists a connected component in  $G \setminus X$  containing a neighbor of  $a$  and a neighbor of  $c$ . So by Lemma 7, there is a neighbor bag  $Z$  of  $X$  in  $(T, \mathcal{X})$  containing both  $a$  and  $c$ . In both cases,  $Leaf(X, Z, (T, \mathcal{X}))$  is the desired tree-decomposition.

- $X = \{a, b, x\}$  and  $Y = \{b, c, y\}$  with  $x \neq c$  and  $y \neq a$ ; and there exists a path  $P$  between  $a$  and  $c$  in  $G \setminus \{b\}$  with at least one internal vertex. Let  $Q$  be the path between  $X$  and  $Y$  in  $(T, \mathcal{X})$ . We may assume that  $b$  only belongs to the bags in  $Q$ , because otherwise  $b$  can be removed from any other bag.

- If  $X$  is adjacent to  $Y$ , then by properties of tree-decomposition,  $X \cap Y$  separates  $a$  and  $c$ . Since  $\{b\}$  does not separate  $a$  and  $c$ ,  $X \cap Y = \{b, x\}$ , i.e.  $x = y$ . In this case,  $(T^*, \mathcal{X}^*)$  is obtained by making  $X = \{a, c, x\}$  and removing  $Y$  from  $(T, \mathcal{X})$ , then making all neighbors of  $Y$  adjacent to  $X$  and finally, adding a bag  $\{a, b, c\}$  adjacent to  $X$ .

- Otherwise, let  $X'$  be the bag in the path  $Q$  containing  $a$ , which is closest to  $Y$ . Similarly, let  $Y'$  be the bag in the path  $Q$  containing  $c$ , which is closest to  $X$ . Finally, let  $Q'$  be the path from  $X'$  to  $Y'$  in  $T$  and note that  $b$  belongs to each bag in  $Q'$  and  $a$  and  $c$  do not belong to any internal bag in  $Q'$ . Also we may assume that  $b$  only belongs to the bags in  $Q'$ , because otherwise  $b$  can be removed from any other bag.

If  $X'$  and  $Y'$  are adjacent in  $T$ , the proof is similar to the one in previous item. Otherwise, let  $Z$  be the neighbor of  $X'$  in  $Q'$ . By properties of tree-decompositions,  $X' \cap Z$  separates  $a$  and  $c$ . Since  $\{b\}$  does not separate  $a$  and  $c$ , let  $X' \cap Z = \{b, x'\}$ . Since  $Z \neq \{b, x'\}$  because  $(T, \mathcal{X})$  is reduced, then  $Z = \{b, x', z\}$  for some  $z \in V$ . We replace  $b$  with  $a$  in all the bags. By doing this  $(T, \mathcal{X})$  is changed to a tree-decomposition  $(T^c, \mathcal{X}^c)$  of the graph  $G/ab$  obtained by contracting the edge  $ab$  in  $G$ . In  $(T^c, \mathcal{X}^c)$ , the bag  $X'$  has become  $X^c = \{a, x'\}$  and  $Z$  is changed to be  $Z^c = \{a, x', z\}$ . So  $X^c$  can be reduced in  $(T^c, \mathcal{X}^c)$ . Moreover  $Y$  is changed to  $Y^c = \{a, c, y\}$ . To conclude, let us add the bag  $\{a, b, c\}$  adjacent to  $Y^c$  in the tree-decomposition  $Reduce(X^c, Z^c, (T^c, \mathcal{X}^c))$ . see Figure 8. The result is the desired tree-decomposition  $(T^*, \mathcal{X}^*)$  of  $G$ .

□

Before going further, let us introduce some notations. A *bridge* in a graph  $G = (V, E)$  is any subgraph induced by two adjacent vertices  $u$  and  $v$  of  $G$  (i.e.,  $uv \in E$ ) such that the number of connected components strictly increases when deleting the



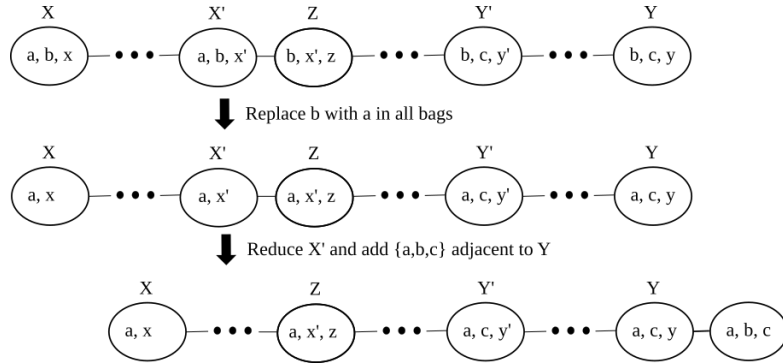


Figure 8: For the sake of simplicity, we show only the path from  $X$  to  $Y$ . After the two transformations,  $\{a, b, c\}$  is a leaf-bag.

edge  $uv$ , but not the two vertices  $u, v$  in  $G$ , i.e.,  $G' = (V, E \setminus \{uv\})$  has strictly more connected components than  $G$ . A vertex  $v \in V$  is a *cut vertex* if  $\{v\}$  is a separator in  $G$ . A maximal connected subgraph without a cut vertex is called a *block*. Thus, every block of a graph  $G = (V, E)$  is either a 2-connected component of  $G$  or a bridge or an isolated vertex. Conversely, every such subgraph is a block. Different blocks of  $G$  intersect in at most one vertex, which is a cut vertex of  $G$ . Hence, every edge of  $G$  lies in a unique block, and  $G$  is the union of its blocks.

Let  $G = (V, E)$  be a connected graph and let  $r \in V$ . A spanning tree  $T$  of  $G$  is a BFS-tree of  $G$  if for any  $v \in V(G)$ , the distance from  $r$  to  $v$  in  $G$  is the same as the one in  $T$ . Let  $\mathcal{B} = \{C : C \text{ is a block of } G\}$ . The *block graph* of  $G$  is the graph  $B(G)$  whose vertices are the blocks of  $G$  and two block-vertices of  $B(G)$  are adjacent if the corresponding blocks intersect, that is,  $B(G) = (\mathcal{B}, \{C_1 C_2 : C_1, C_2 \in \mathcal{B} \text{ and } C_1 \cap C_2 \neq \emptyset\})$ . Note that  $B(G)$  is connected. Finally, a *block-tree* of  $G$  is any BFS-tree  $F$  (with any arbitrary root) of  $B(G)$ . See an example in Figure 9.

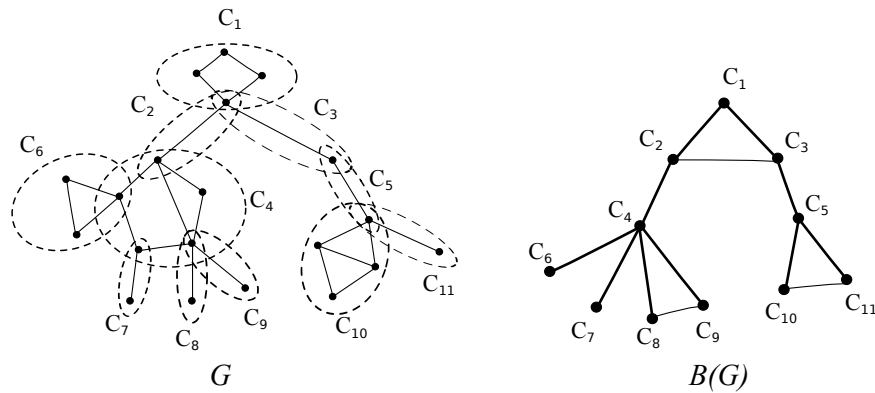


Figure 9: Graph  $G$  is connected. For  $i = 1, \dots, 11$ , each  $C_i$  is a block of  $G$ .  $B(G)$  is the block graph of  $G$ . The BFS tree of  $B(G)$  with bold edges is a block tree of  $G$  with root  $C_1$ .

There is a linear (in the number of edges) algorithm for computing all blocks in a given graph [11]. Also a BFS-tree can be found in linear (in the number of vertices plus the number of edges) time. So given a graph  $G = (V, E)$ , we can compute a block tree  $F$  of  $G$  in  $O(|V| + |E|)$  time.

Now we are ready to prove the next theorem by using the Lemmas 11-14.

**Theorem 15.** *There is an algorithm that, for any  $n$ -vertex- $m$ -edge-graph  $G$  with treewidth at most 2, computes a 2-potential-leaf of  $G$  in time  $O(n + m)$ .*

*Proof.* If  $n \leq 3$ , then  $V(G)$  is a 2-potential-leaf of  $G$ . Let us assume that  $n \geq 4$ . First, let us compute the set of isolated vertices in  $G$ , which can be done in  $O(n)$  time. If  $G$  has only isolated vertices, then any three vertices induce a 2-potential-leaf of  $G$ . Otherwise, there is at least one edge in  $G$ .

Let  $G_1$  be any connected component of  $G$  containing at least one edge. If  $|V(G_1)| = 2$ , then from Lemma 14, either  $G$  has an isolated vertex  $\alpha$  and  $\{\alpha, u, v\}$  is a 2-potential-leaf or  $\{u, v\}$  is a 2-potential leaf.

Otherwise,  $|V(G_1)| \geq 3$ . We compute a block tree  $F$  of  $G_1$  rooted in an arbitrary block  $R$ . This can be done in time  $O(n + m)$ . Note that any node in  $F$  corresponds to either a 2-connected component of  $G$  or a bridge  $uv \in E(G)$ . Let  $C$  be a leaf block in  $F$ , which is furthest from  $R$  and  $|V(C)|$  is maximum. There are several cases to be considered.

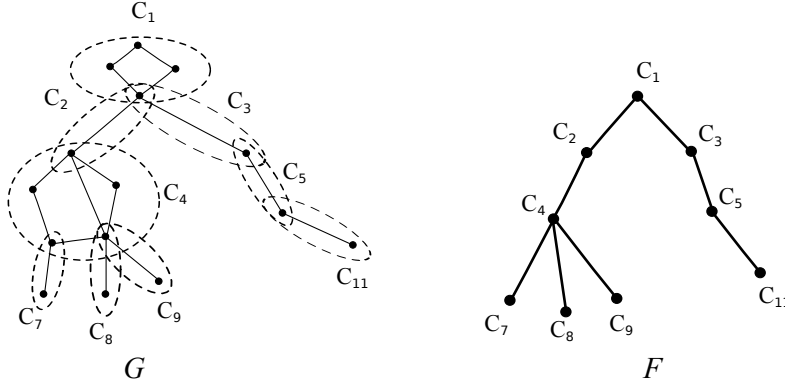


Figure 10: This graph  $G$  is an induced subgraph of the graph in Figure 9. Its block tree  $F$ , with root  $C_1$ , has two blocks less than the one in Figure 9 (the blocks  $C_6$  and  $C_{10}$ ). Each one of the leaf blocks,  $C_7, C_8, C_9, C_{11}$ , in  $F$  contains two vertices of  $G$ .

- let us first assume that  $C$  is a bridge in  $G$ , i.e.  $C$  consists of one edge  $fp \in E(G)$  and  $p$  is a cut vertex. Then  $f$  has degree one in  $G$  because  $C$  is a leaf block in  $F$ . Let  $P$  be the parent block of  $C$  in  $F$ . Then any child block  $A$  of  $P$  in  $F$  consists of one edge because  $C$  has the maximum number of vertices among all the children of  $P$ ; and  $A$  is a leaf block in  $F$  because  $C$  is a furthest leaf from the root block  $R$ .

If  $P$  has another child block except  $C$  in  $F$  containing the cut vertex  $p$ , then this child block also consists of one edge  $f'p \in E(G)$ , where  $f'$  has degree one in  $G$

because this child is also a leaf block in  $F$ . For example, in Figure 10, we take  $C$  as  $C_8$ , which intersects  $C_9$  with a cut vertex. From Lemma 12,  $\{f, p, f'\}$  is a 2-potential-leaf.

Otherwise,  $P$  has only one child block  $C$  in  $F$  containing the cut vertex  $p$ . Then any vertex in  $N_G(p) \setminus \{f\}$  belongs to  $P$ . If  $P$  is also a bridge in  $G$ , i.e.,  $P$  consists of one edge  $pq \in E(G)$ , then  $p$  has degree 2 in  $G$ . (For example, in Figure 10, take  $C$  as  $C_{11}$ , whose parent  $C_5$  is also a bridge in  $G$ .) From Lemma 11,  $\{f, p, q\}$  is a 2-potential-leaf of  $G$ . Otherwise,  $P$  is a 2-connected component of  $G$  and  $p \in V(G)$  satisfies the hypothesis of Lemma 13. For example, in Figure 10, we take  $C$  as  $C_7$ , whose parent  $C_4$  is a 2-connected component of  $G$ . Hence, either  $G$  has an isolated vertex  $\alpha$  and  $\{\alpha, f, p\}$  is a 2-potential-leaf or  $\{f, p\}$  is a 2-potential-leaf.

- Finally, let us assume that  $C$  is a 2-connected component of  $G$ . It is known that any graph with at least two vertices of treewidth  $k$  contains at least two vertices of degrees at most  $k$  [5]. There is no degree one vertex in  $C$  because  $C$  is 2-connected. So there exists two vertices with degree 2 in  $C$ . Since  $C$  is a leaf in  $F$ , there is only one cut vertex of  $G$  in  $C$ . So there exists a vertex  $b$  in  $C$  which has degree two in  $G$ . If  $|V(C)| \geq 4$ , then there exists a path between two neighbors  $a, c$  of  $b$  in  $G \setminus \{b\}$  containing at least one internal vertex. For example, in Figure 9, we take  $C$  as  $C_{10}$ . From Lemma 14,  $\{a, b, c\}$  is a 2-potential-leaf. Otherwise  $C$  is a triangle  $\{a, b, c\}$  with at least two vertices with degree 2 in  $G$ . Again from Lemma 14,  $\{a, b, c\}$  is a 2-potential-leaf.

So the total time complexity is  $O(n + m)$ . □

**Corollary 16.**  $s_2$  can be computed in polynomial-time in general graphs. Moreover, a minimum size tree-decomposition can be constructed in polynomial-time in the class of partial 2-trees.

*Proof.* Let  $G$  be any graph. It can be checked in polynomial-time whether  $tw(G) \leq 2$  (e.g. see [18]). If  $tw(G) > 2$ , then  $s_2 = \infty$ . Otherwise  $tw(G) \leq 2$ , then the result follows from Theorem 15 and Corollary 10. □

## 5. Minimum-size tree-decompositions of width at most 3

In this section, we present algorithms to compute  $s_3$  in the class of trees and 2-connected outerplanar graphs.

### 5.1. Computation of $s_3$ in trees

In this subsection, given a tree  $G$ , we show how to find a 3-potential-leaf in  $G$ . We characterize a complete set of 3-potential-leaves of trees in Figure 11. We first prove that each of the subgraphs in Figure 11 is a 3-potential-leaf and then that any tree with at least four vertices contains one of them.

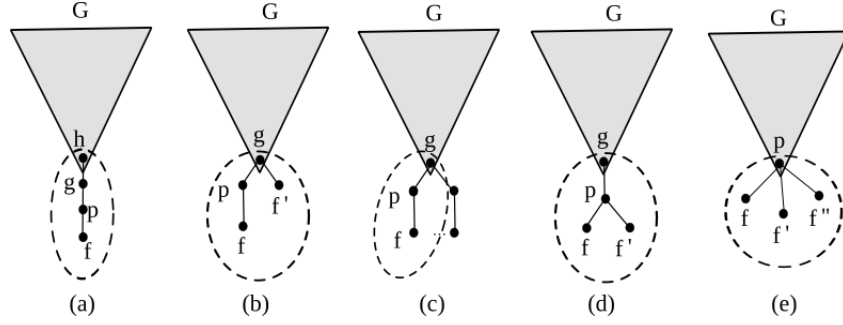


Figure 11: Complete set of 3-potential-leaves of trees.

**Lemma 17.** Let  $(T, \mathcal{X})$  be a tree-decomposition of a tree  $G$ . Let  $X \in \mathcal{X}$  and  $N_T(X) = \{X_1, \dots, X_d\}$ ,  $d \geq 1$ . Suppose that for any  $1 \leq i \leq d$ ,  $X_i \cap X \subseteq \{x\}$ . Then there is a tree-decomposition  $(T', \mathcal{X}')$  of  $G$  of the same width and size as  $(T, \mathcal{X})$  such that  $X$  is a leaf bag.

*Proof.* If there is a bag  $X_i$  for  $1 \leq i \leq d$  containing  $x$ , then let  $B$  be  $X_i$ . Otherwise let  $B$  be any neighbor of  $X$ . By definition of the operation *Leaf*, the tree-decomposition  $\text{Leaf}(X, B, (T, \mathcal{X}))$  is the desired tree-decomposition.  $\square$

**Lemma 18.** Let  $G$  be a tree rooted at  $r \in V(G)$ . Let  $f$  be a leaf in  $G$ ,  $p$  be the parent of  $f$  and  $g$  be the parent of  $p$  in  $G$ . Let  $p$  have degree 2 in  $G$ . Let  $(T, \mathcal{X})$  be a tree-decomposition of  $G$  of width at most 3 and size at most  $s \geq 1$ . If there is no bag in  $(T, \mathcal{X})$  containing all of  $f, p, g$ , then there is a tree-decomposition  $(T', \mathcal{X}')$  of  $G$  of width at most 3 and size at most  $s$  such that  $\{f, p, g\} \in \mathcal{X}'$  is a leaf bag.

*Proof.* Since  $fp \in E(G)$ , there is a bag  $B$  in  $(T, \mathcal{X})$  containing both  $f$  and  $p$ . We may assume that  $B$  is the single bag containing  $f$  (otherwise, we delete  $f$  from any other bag). Similarly, since  $pg \in E(G)$ , let  $X$  be a bag in  $(T, \mathcal{X})$  containing both  $p$  and  $g$ . Let  $P$  be the path in  $T$  from  $B$  to  $X$ . Then  $p$  is contained in all bags on  $P$  and we may assume that  $p$  is not contained in any other bags (otherwise, we delete  $p$  from any other bag). Let  $B'$  be the neighbor of  $B$  on  $P$ . Then  $\{p\} \subseteq B \cap B'$ . Note that it is possible that  $B' = X$ .

If  $B = \{f, p\}$ , then we make all other neighbors of  $B$  adjacent to  $B'$  and delete  $B$ . We add a bag  $\{f, p, g\}$  adjacent to  $X$ . The result is the desired tree-decomposition  $(T', \mathcal{X}')$ .

Otherwise,  $B$  contains at least one vertex not in  $\{f, p\}$ . If  $B \cap B' = \{p\}$ , then  $\{p\}$  separates  $g$  from any vertex in  $B \setminus \{p\}$ . So  $B \setminus \{p\} = \{f\}$ , i.e.,  $B = \{f, p\}$ . This contradicts the assumption.

So  $|B \cap B'| \geq 2$  and let  $\{p, x\} \subseteq B \cap B'$ . Then we create a bag  $Z = (B \setminus \{f, p\}) \cup (B' \setminus \{p, x\})$  (note that  $x \in Z$  since  $x \in B$ .) So  $|Z| \leq 4$ . We make  $Z$  adjacent to all neighbors of  $B$  and all neighbors of  $B'$ , delete the two bags  $B$  and  $B'$ , and delete  $f, p$  from all bags. Finally, we add another new bag  $N = \{f, p, g\}$  adjacent to some bag containing  $g$ . The obtained tree-decomposition has width at most 3, same size as  $(T, \mathcal{X})$ , and a bag  $N = \{f, p, g\}$  as a leaf.  $\square$

**Lemma 19.** *Let  $G$  be a tree rooted at  $r \in V(G)$  and  $|V(G)| \geq 4$ . Let  $f$  be a leaf in  $G$ ,  $p$  be the parent of  $f$  and  $g$  be the parent of  $p$  in  $G$ . Suppose that both  $p$  and  $g$  have degree 2. Let  $h$  be the parent of  $g$  (see Figure 11(a)), then  $H = G[\{f, p, g, h\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any reduced tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  and in which  $\{f, p, g, h\}$  is a leaf bag.

From Lemma 18, we can assume that there is a bag  $B$  in  $(T, \mathcal{X})$  containing all  $f, p, g$ . We may assume that  $B$  is the single bag containing  $f, p$  (otherwise, we delete  $f, p$  from any other bag). Since  $gh \in E(G)$ , let  $Y$  be a bag in  $(T, \mathcal{X})$  containing both  $h$  and  $g$ .

1. If  $B = Y = \{f, p, g, h\}$ , then the intersection of  $B$  and any of its neighbor in  $T$  is contained in  $\{h\}$ . A desired tree-decomposition can be obtained from Lemma 17.
2. If  $B = \{f, p, g\}$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{g\}$ . From Lemma 17, there is a tree-decomposition  $(T', \mathcal{X}')$  of the same width and size as the ones of  $(T, \mathcal{X})$  such that  $B = \{f, p, g\}$  is a leaf. Then we delete  $B$  in the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$  and add a new bag  $N = \{f, p, g, h\}$  adjacent to  $Y$ . The obtained tree-decomposition has the desired properties.
3. Otherwise,  $B = \{f, p, g, x\}$  where  $x \neq h$ . Then the intersection of  $B$  and any of its neighbor in  $T$  is contained in  $\{g, x\}$ . Let  $P$  be the path in  $T$  from  $B$  to  $Y$ . Then  $g$  is contained in all bags on  $P$ . Let  $B'$  be the neighbor of  $B$  on  $P$ . Note that it is possible that  $B' = Y$ . If  $B \cap B' = \{g\}$ , then  $\{g\}$  separates  $h$  from  $x$ . So  $x \in \{f, p\}$  i.e.  $B = \{f, p, g\}$ , a contradiction with the assumption. So we have  $B \cap B' = \{g, x\}$ . By definition of the operation  $Leaf$ , the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, p, g, x\}$  is a leaf. Then we delete  $B$  in the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$  and add a new bag  $N = \{f, p, g, h\}$  adjacent to  $Y$ . The obtained tree-decomposition has the desired properties since  $\{g, x\} \subseteq B'$  and  $\{g, h\} \subseteq Y$ .

□

**Lemma 20.** *Let  $G$  be a tree rooted at  $r \in V(G)$  and  $|V(G)| \geq 4$ . Let  $f$  be a leaf in  $G$ ,  $p$  be the parent of  $f$  and  $g$  be the parent of  $p$  in  $G$ . If  $p$  has degree 2 and  $g$  has a child  $f'$ , which is a leaf in  $G$  (see Figure 11(b)), then  $H = G[\{f, p, g, f'\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any reduced tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  and in which  $\{f, p, g, f'\}$  is a leaf bag.

From Lemma 18, we can assume that there is a bag  $B$  in  $(T, \mathcal{X})$  containing all of the vertices  $f, p, g$ . We may assume that  $B$  is the only bag containing  $f, p$  (otherwise, we

delete  $f, p$  from any other bag). Since  $gf' \in E(G)$ , let  $Y$  be a bag in  $(T, \mathcal{X})$  containing both  $f$  and  $g$ . We may assume that  $Y$  is the single bag containing  $f'$  (otherwise, we delete  $f'$  from any other bag).

- If  $B = Y = \{f, p, g, f'\}$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{g\}$ . A desired tree-decomposition can be obtained from Lemma 17.
- If  $B = \{f, p, g\}$ , then we delete  $f'$  in  $Y$  and add  $f'$  in  $B$ ; we will be back then to the previous case.
- Otherwise,  $B = \{f, p, g, x\}$  where  $x \neq f'$ . The intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{g, x\}$ . Let  $P$  be the path in  $T$  from  $B$  to  $Y$ . Then  $g$  is contained in all bags on  $P$ . Let  $B'$  be the neighbor of  $B$  on  $P$ . If  $B \cap B' = \{g, x\}$ , then by definition of the operation *Leaf*, the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, p, g, x\}$  is a leaf. In the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$ , we delete  $f'$  in  $Y$ , remove  $x$  from  $B$ , and add  $f'$  to  $B$ , i.e. make  $B = \{f, p, g, f'\}$ . The obtained tree-decomposition has the desired properties since  $\{g, x\} \subseteq B'$ . Otherwise, if  $B \cap B' = \{g\}$ . We delete  $f'$  from the bag  $Y$ , add  $x$  to  $Y$ , delete  $x$  from  $B$ , and add  $f'$  in  $B$ , i.e., make  $B = \{f, p, g, f'\}$ . Finally, we make all neighbors of  $B$  except  $B'$  adjacent to  $Y$  since now  $\{g, x\} \subseteq Y$ . The result is the desired tree-decomposition.

□

**Lemma 21.** *Let  $G$  be a tree rooted at  $r \in V(G)$  and  $|V(G)| \geq 3$ . Let  $f$  be one of the furthest leaves from  $r$ ,  $p$  be the parent of  $f$  and  $g$  be the parent of  $p$  in  $G$ . If  $g$  has degree at least 3 and any child of  $g$  has degree 2 in  $G$  (see Figure 11(c)), then  $H = G[\{f, p, g\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any reduced tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$ , and in which  $\{f, p, g, f'\}$  is a leaf bag.

From Lemma 18, we can assume that there is a bag  $B$  in  $(T, \mathcal{X})$  containing all the vertices  $f, p, g$ . We may assume that  $B$  is the only bag containing  $f, p$  (otherwise, we delete  $f, p$  from any other bag).

1. If  $B = \{f, p, g\}$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{g\}$ . The desired tree-decomposition can be obtained from Lemma 17.
2. Otherwise,  $B = \{f, p, g, x\}$ . In this case, the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{g, x\}$ .
  - (a) If there is a neighbor  $B'$  of  $B$  such that  $B \cap B' = \{g, x\}$ , then by definition of the operation *Leaf*, the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, p, g, x\}$  is a leaf. We delete  $x$  in  $B$  in the tree-decomposition  $Leaf(B, B', (T, \mathcal{X}))$  since  $\{g, x\} \subseteq B'$ . The obtained tree-decomposition has the desired properties.

- (b) Otherwise any neighbor of  $B$  contains at most one of the vertices  $g$  and  $x$ . If  $x$  is not adjacent to  $g$ , then there is a connected component in  $G \setminus B$  containing a neighbor of  $g$  and a neighbor of  $x$ . From Lemma 7, there exists a neighbor bag of  $B$  in  $(T, \mathcal{X})$  containing  $g$  and  $x$ . This is a contradiction and  $x$  is hence adjacent to  $g$  in this case.
- i.  $x$  is a child of  $g$ . Then  $x$  has exactly one child  $y$ , which is a leaf in  $G$  since  $f$  is one of the furthest leaves from  $r$ . Since  $yx \in E(G)$ , there is a bag  $Y$  in  $(T, \mathcal{X})$  containing both  $y$  and  $x$ . We may assume that  $Y$  is the only bag containing  $y$  (otherwise, we delete  $y$  from any other bag). Since  $\{g, x\} \subset B$  and any neighbor of  $B$  contains at most one of the vertices  $g$  and  $x$ , any bag except  $B$  contains at most one of the vertices  $g$  and  $x$ . Then  $g \notin Y$  because  $x \in Y$ . The vertices  $y, x, g$  are hence not contained in one bag. From Lemma 18, we can modify  $(T, \mathcal{X})$  to obtain a tree-decomposition  $(T', \mathcal{X}')$  of width at most 3 and size at most  $s$  having a leaf bag  $X = \{y, x, g\}$ . Note that  $x$  (resp.  $y$ ) plays the same role as  $p$  (resp.  $f$ ) in  $G$ , i.e.,  $g, p, f$  and  $g, x, y$  are symmetric in  $G$ . Hence, the result is the desired tree-decomposition.
  - ii.  $x$  is the parent of  $g$ . Let  $p'$  be another child of  $g$  and let  $f'$  be the child of  $p'$ , which is a leaf in  $G$ . Let  $B'$  be the bag in  $(T, \mathcal{X})$  containing both  $f'$  and  $p'$ . We may assume that  $B'$  is the only bag containing  $f'$  (otherwise, we delete  $f'$  from any other bag). Let  $X'$  be a bag containing both  $p'$  and  $g$ . Then we have  $X' \neq B$  (because  $p' \notin B$ ). Since  $g \in X'$ , any bag except  $B$  contains at most one of the vertices  $g$  and  $x$ , we have  $x \notin X'$ . In the following, we modify  $(T, \mathcal{X})$  to obtain a tree-decomposition  $(T', \mathcal{X}')$  with width at most 3 and size at most  $s$  having a bag  $\{f', p', g\}$ . We will be back then to case 1, since  $g, p, f$  and  $g, p', f'$  are symmetric in  $G$ .  
 If  $B' = X' = \{f', p', g\}$  then, we are done. If  $B' = X' = \{f', p', g, x'\}$ . Then  $x' \neq x$ , which is the parent of  $g$ , since  $x \notin X'$ . So we can do as in case 2a or case 2(b)i.  
 Otherwise,  $B' \neq X'$ . From Lemma 18, we can modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  having a leaf bag  $\{f', p', g\}$ .

□

**Lemma 22.** *Let  $G$  be a tree rooted at  $r \in V(G)$  and  $|V(G)| \geq 4$ . Let  $f$  a leaf in  $G$ ,  $p$  be the parent of  $f$ , and  $g$  be the parent of  $p$ . If  $p$  has exactly two children  $f, f'$  in  $G$  (see Figure 11(d)), then  $H = G[\{f, f', p, g\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any reduced tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  and in which  $\{f, f', p, g\}$  is a leaf bag.

Since  $fp \in E(G)$ , there is a bag  $B$  in  $(T, \mathcal{X})$  containing both  $f$  and  $p$ . We may assume that  $B$  is the only bag containing  $f$  (otherwise, we delete  $f$  from any other bag). Similarly, let  $B'$  be the only bag in  $(T, \mathcal{X})$  containing both  $f'$  and  $p$ . Let  $X$  be a bag containing both  $p$  and  $g$ .

1. If  $B = B' = X = \{f, f', p, g\}$ , then we can assume that  $B$  is the only bag containing  $p$  (otherwise, we delete  $p$  from any other bag). The intersection of  $B$  and any of its neighbor in  $T$  is contained in  $\{g\}$ . The desired tree-decomposition can then be obtained from Lemma 17.
2. If  $B = B' = \{f, f', p\}$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{p\}$ . Let  $Y$  be a neighbor of  $B$  in  $T$  containing  $p$ . By definition of the operation *Leaf*, the tree-decomposition  $Leaf(B, Y, (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, f', p\}$  is a leaf. We delete  $B$  and add a new bag  $N = \{f, f', p, g\}$  adjacent to  $X$ . The result is the desired tree-decomposition.
3. If  $B = B' = \{f, f', p, x\}$  and  $x \neq g$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{p, x\}$ . Since  $x \notin \{f, f', g\}$ ,  $p$  is not adjacent to  $x$ . There is a connected component in  $G \setminus B$  containing a neighbor of  $p$  and a neighbor of  $x$ . From Lemma 7, there exists a neighbor bag of  $B$  in  $(T, \mathcal{X})$  containing  $p$  and  $x$ . Let  $Y$  be such a neighbor of  $B$  in  $T$ . By definition of the operation *Leaf*, the tree-decomposition  $Leaf(B, Y, (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, f', p, x\}$  is a leaf. We delete  $x$  from  $B$  and obtain a tree-decomposition having a bag  $\{f, f', p\}$ . We will be back then to case 2.
4. If  $B \neq B'$  and  $|B| \leq 3$ , then we delete  $f'$  from  $B$  and add  $f'$  to  $B$ . We will be back then to case 2 or 3. The proof is similar if  $B \neq B'$  and  $|B'| \leq 3$ .
5. Otherwise, if  $B \neq B'$  and  $|B| = |B'| = 4$ , let  $B = \{f, p, x, y\}$  and  $B' = \{f', p, x', y'\}$ . Let  $P$  be the path in  $T$  from  $B$  to  $B'$ . Then  $p$  is contained in all bags on  $P$ . Let  $Y$  be the neighbor of  $B$  on  $P$ . If  $B \cap Y = \{p\}$ , then  $\{p\}$  separates  $x$  from  $x'$ . But  $p$  is not a separator for any two vertices in  $V(G) \setminus \{f, f'\}$ . This is a contradiction. So w.l.o.g. we can assume that  $B \cap Y \supseteq \{p, x\}$ . We delete  $f, f', p$  in all bags of  $(T, \mathcal{X})$ , add a new bag  $Z = \{x, y\} \cup Y \setminus \{p, x\}$  adjacent to all neighbors of the two bags  $B, Y$  and delete  $B$  and  $Y$ . Finally, we add another new bag  $N = \{f, f', p, g\}$  adjacent to a bag containing  $g$ . The obtained tree-decomposition has the desired properties.

□

**Lemma 23.** *Let  $G$  be a tree rooted at  $r \in V(G)$  and  $|V(G)| \geq 4$ . Let all children of  $p$  be leaves in  $G$  and  $p$  have at least three children  $f, f', f''$  (see Figure 11(e)). Then  $H = G[\{p, f, f', f''\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any reduced tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  and in which  $\{p, f, f', f''\}$  is a leaf bag.

Since  $fp \in E(G)$ , there is a bag  $B$  in  $(T, \mathcal{X})$  containing both  $f$  and  $p$ . We may assume that  $B$  is the only bag containing  $f$  (otherwise, we delete  $f$  from any other bag). Similarly, let  $B'$  (resp.  $B''$ ) be the only bag in  $(T, \mathcal{X})$  containing both  $f'$  (resp.  $f''$ ) and  $p$ .



1. If  $B = B' = B'' = \{f, f', f'', p\}$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{p\}$ . A desired tree-decomposition can be obtained from Lemma 17.
2. If  $B = B' = \{f, f', p\}$ , then we delete  $f''$  from  $B''$  and add  $f''$  to  $B$ . We will be back then to case 1. The proof is similar for  $B = B'' = \{f, f'', p\}$  or  $B' = B'' = \{f', f'', p\}$ .
3. If  $B = B' = \{f, f', p, x\}$  and  $x \neq f''$ , then the intersection of  $B$  and any of its neighbors in  $T$  is contained in  $\{p, x\}$ . If  $x$  is a child of  $p$ , then  $x$  is also a leaf in  $G$  and  $x$  play the same role as  $f''$ . We are then in case 1. Therefore, in the following we assume that  $x$  is not a child of  $p$ .

If  $x$  is not the parent of  $p$ , then  $p$  is not adjacent to  $x$ . So there is a connected component in  $G \setminus B$  containing a neighbor of  $p$  and a neighbor of  $x$ . From Lemma 7, there exists a neighbor bag of  $B$  in  $(T, \mathcal{X})$  containing  $p$  and  $x$ . Let  $Y$  be such a neighbor of  $B$  in  $T$ . By definition of the operation *Leaf*, the tree-decomposition  $\text{Leaf}(B, Y, (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, f', p, x\}$  is a leaf. We delete  $x$  from  $B$  and obtain a tree-decomposition having a bag  $\{f, f', p\}$ . We are back then to case 2.

Otherwise, if  $x$  is the parent of  $p$ , let  $P$  be the path in  $T$  from  $B$  to  $B''$ . Then  $p$  is contained in all bags on  $P$ . Let  $Y$  be the neighbor of  $B$  on  $P$ . If  $B \cap Y = \{p, x\}$ , then by definition of the operation *Leaf*, the tree-decomposition  $\text{Leaf}(B, Y, (T, \mathcal{X}))$  has width at most 3, same size as  $(T, \mathcal{X})$ , and  $B = \{f, f', p, x\}$  is a leaf. By deleting  $x$  from  $B$  we will be back to case 2. Otherwise, if  $B \cap Y = \{p\}$ , then  $\{p\}$  separates  $x$  from all vertices in  $B'' \setminus \{p\}$ . All vertices in  $B'' \setminus \{p\}$  are children of  $p$  and so they are leaves in  $G$ . We can assume then that any vertex in  $B'' \setminus \{p\}$  is contained only in  $B''$  (otherwise we can delete it in any other bag). We delete  $f, f'$  from  $B$ , add vertices of  $B'' \setminus \{f'', p\}$  in  $B$ , and make  $B'' = \{f, f', f'', p\}$ . We will be back then to case 1.

The cases  $B = B'' = \{f, f'', p, x\}$  and  $x \neq f'$  or  $B' = B'' = \{f', f'', p, x\}$  and  $x \neq f$  can be proved in a similar way.

4. Otherwise, no two vertices of  $f, f', f''$  are contained in a same bag.  
If  $|B| \leq 3$ , then we delete  $f'$  in  $B'$  and add  $f'$  in  $B$ . We will be then in case 2 or 3. The proof is similar if  $|B'| \leq 3$  or  $|B''| \leq 3$ .

Otherwise  $|B| = |B'| = |B''| = 4$ . In the following, we are going to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  having a bag  $X$  containing at least two of the vertices  $f, f', f''$  or  $f \in X$  and  $|X| \leq 3$ . We are then in the above cases. Note that all children of  $p$  play the same role (they are all leaves) in  $G$ . So it is enough to have that  $X$  contains at least two children of  $p$  or that  $X$  contains one child of  $p$  and  $|X| \leq 3$ .

Let  $T_p$  be the subtree in  $T$  induced by all the bags containing  $p$ . If  $|V(T_p)| \leq 2$ , there exists one bag containing at least two children of  $p$  since  $p$  has at least three children. We assume then that  $|V(T_p)| \geq 3$ . There is a bag  $R \in V(T_p)$  containing both  $p$  and  $g$ . We root  $T_p$  at  $R$ . Let  $L \in V(T_p)$  be one of the furthest

leaf bags in  $T_p$  from  $R$ . If there is no child of  $p$  in  $L$ , then we can delete  $p$  from  $L$  and consider  $T_p \setminus \{L\}$ . We can assume then that there is a vertex  $l \in L$ , which is a child of  $p$  in  $G$ . Let  $Y$  be the neighbor of  $L$  in  $T_p$ . If the intersection of  $L \cap Y = \{p\}$ , then  $p$  separate any vertex in  $L \setminus \{p\}$  and any vertex in  $Y \setminus \{p\}$ . So at least one of the bags  $L, Y$  contains only  $p$  and children of  $p$ . We denote this bag by  $X$ . Either  $X$  contains at least two children of  $p$  or  $X$  contains only one children and  $|X| = 2$ . So  $(T, \mathcal{X})$  and  $X$  satisfy the desired properties.

Otherwise,  $|L \cap Y| \geq 2$ . If  $Y$  has no other child except  $L$  in  $T_p$ , then  $Y \neq R$  since  $|V(T_p)| \geq 3$ . Let  $X = \{p, l\}$  if  $Y$  contains no child of  $p$  and  $X = \{p, l, l'\}$  if  $Y$  contains one child  $l'$  of  $p$ . We add a new bag  $Z = Y \cup L \setminus X$ . Since  $|Y \cap L| \geq 2$ , we have  $|Y \cup L| \leq 6$ . Also  $|Z| \leq 4$ , since  $X \subseteq Y \cup L$  and  $|X| \geq 2$ . We make  $Z$  adjacent to all neighbors of  $Y, L$  in  $T$  and delete  $Y, L$ . Finally, we make  $X$  adjacent to  $R$ . The obtained tree-decomposition and  $X$  have the desired properties.

Otherwise,  $Y$  has at least another child  $L'$  in  $T_p$ . Then  $L'$  is also a furthest leaf from  $R$  in  $T_p$ , since  $L$  is a furthest leaf from  $R$ . For the same reason as  $L$ , there is a vertex  $l' \in L'$ , which is a child of  $p$  in  $G$ . Let  $L = \{l, p, x, y\}$  and  $L' = \{l', p, x', y'\}$ . The intersection of  $L$  (resp.  $L'$ ) and any of its neighbors in  $T$  except  $Y$  is contained in  $\{x, y\}$  (resp.  $\{x', y'\}$ ). We create a new bag  $N = \{x, y, x', y'\}$  adjacent to all neighbors of  $L$  and  $L'$  and delete  $L$  and  $L'$ . Finally, we add another bag  $X = \{p, l, l'\}$  adjacent to  $Y$ . The obtained tree-decomposition and  $X$  have the desired properties.

□

From Lemmas 19- 23 and Corollary 10, we obtain the following result.

**Corollary 24.**  *$s_3$  and a minimum size tree-decomposition of width at most 3 can be computed in polynomial-time in the class of trees.*

*Proof.* From Corollary 10, it is enough to prove that we can find a 3-potential-leaf in any tree in polynomial time.

Let  $G$  be any tree. If  $|V(G)| \leq 4$ , then  $V(G)$  is a 3-potential-leaf. Let us assume that  $|V(G)| \geq 5$ . We root  $G$  at any vertex  $r$ . Let  $f$  be one of the furthest leaves from  $r$  in  $G$ . Let  $p, g, h$  be the first three vertices on the path from  $f$  to  $r$  in  $G$  (if they exist), i.e.  $p$  is  $f$ 's parent;  $g$  is the parent of  $p$ , and  $h$  is the parent of  $g$  in  $G$ .

- If  $g, p$  both have only one child in  $G$ , then  $\{f, p, g, h\}$  is a 3-potential-leaf of  $G$  from Lemma 19;
- If  $p$  has only one child and  $g$  has a child  $f'$ , which is a leaf in  $G$ , then  $\{f, p, g, f'\}$  is a 3-potential-leaf of  $G$  from Lemma 20;
- If  $p$  has only one child and any child of  $g$  has exactly one child, then  $\{f, p, g\}$  is a 3-potential-leaf of  $G$  from Lemma 21;
- If  $p$  has only one child and there exists a child  $p'$  of  $g$ , which has exactly two children  $f_1, f_2$ , then  $\{f_1, f_2, p', g\}$  is a 3-potential-leaf of  $G$  from Lemma 22;

- If  $p$  has only one child and there exists a child  $p'$  of  $g$ , which has at least three children  $f_1, f_2, f_3$ , then  $\{f_1, f_2, f_3, p'\}$  is a 3-potential-leaf of  $G$  from Lemma 23;
- If  $p$  has exactly two children  $f, f'$ , then  $\{f, f', p, g\}$  is a 3-potential-leaf of  $G$  from Lemma 22;
- Otherwise, if  $p$  has at least three children  $f, f', f''$ , then  $\{f, f', f'', p\}$  is a 3-potential-leaf of  $G$  from Lemma 23.

□

In fact, the algorithm for trees can be extended to forests by considering their connected component, i.e., trees. The only difference is in Lemma 21 the 3-potential-leaf becomes  $\{f, p, g, \alpha\}$  if there is an isolated vertex  $\alpha$  in the given forest.

### 5.2. Computation of $s_3$ in 2-connected outerplanar graphs

In this subsection, given a 2-connected outerplanar graph  $G$ , we show how to find a 3-potential-leaf in  $G$ . We give in Figure 12 a complete set of 3-potential-leaves of 2-connected outerplanar graphs. We first prove that each subgraph in the Figure 12 is a 3-potential-leaf and then we show that any 2-connected outerplanar graph contains one of them.

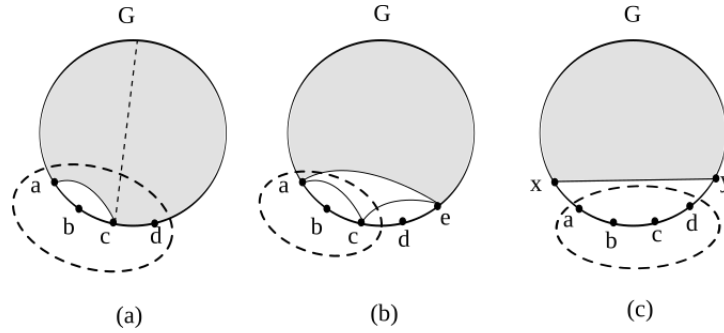


Figure 12: Complete set of 3-potential-leaves of 2-connected outerplanar graphs.

The following fact is well known for 2-connected outerplanar graphs.

**Lemma 25.** [17] *A 2-connected outerplanar graph has a unique Hamiltonian cycle.*

In the rest of this subsection, let  $G$  be a 2-connected outerplanar graph and  $C$  be the Hamiltonian cycle in  $G$ .

**Definition 2.** *Any edge in  $E(G) \setminus E(C)$  is called a chord in  $G$ .*

The vertices  $v_1, \dots, v_j \in V(G)$ , for  $2 \leq j \leq |V(G)|$ , are *consecutive* in  $C$  (we also say that they are consecutive in  $G$ ) if  $v_i v_{i+1} \in E(C)$  for  $1 \leq i \leq j - 1$ .

**Lemma 26.** *Let  $a, b, c, d \in V(G)$  be consecutive vertices in  $C$ . If  $\{a, b, c\}$  induces a clique and  $c$  has degree 3 in  $G$  (see Figure 12(a)), then  $H = G[\{a, b, c, d\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$ , and in which  $\{a, b, c, d\}$  is a leaf bag.

Since  $\{a, b, c\}$  induces a clique in  $G$ , there is a bag  $B$  containing all of the vertices  $a, b, c$ . Let  $X$  be a bag in  $(T, \mathcal{X})$  containing both  $c$  and  $d$  (such bag exists since  $cd \in E(G)$ ). Note that  $b$  is not incident to any chords, i.e. has degree 2. In fact, if  $by \in E(G)$  is a chord in  $G$ , then deleting all chords except  $ac, by$  in  $G$  and contracting the edges in  $C$  except  $ab, bc$  we get a  $K_4$ -minor in  $G$ . This is a contradiction with the fact that  $G$  is outerplanar.

We replace vertices  $b, c$  with vertex  $a$  in all bags of  $(T, \mathcal{X})$ . Then  $(T, \mathcal{X})$  becomes a tree-decomposition  $(T', \mathcal{X}')$  of the graph  $G'$  obtained by contracting the edges  $ab$  and  $bc$ . The bag  $X$  becomes  $X'$ , which contains both  $a$  and  $d$ , and  $B$  becomes  $B' = \{a\}$  if  $B = \{a, b, c\}$  or  $B' = \{a, x\}$  if  $B = \{a, b, c, x\}$ . From Corollary 8, in both cases there exists a neighbor  $Y$  of  $B'$  such that  $B' \subseteq Y$ . So  $B'$  can be reduced in  $(T', \mathcal{X}')$ . The tree-decomposition  $Reduce(B', Y, (T', \mathcal{X}'))$  has one bag less than  $(T, \mathcal{X})$ . Finally, add a new bag  $N = \{a, b, c, d\}$  adjacent to  $X'$ , which contained both  $a$  and  $d$ , in the tree-decomposition  $Reduce(B', Y, (T', \mathcal{X}'))$ . The result is the desired tree-decomposition, because  $b, c$  are not adjacent to any vertices in  $V(G) \setminus N$ .  $\square$

**Lemma 27.** *Let  $a, b, c, d, e \in V(G)$  be consecutive vertices in  $C$ . If  $\{a, b, c\}$  and  $\{c, d, e\}$  induce two cliques respectively in  $G$  and  $ae \in E(G)$  (see Figure 12(b)), then  $H = G[\{a, b, c\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition with width at most 3 and size at most  $s$  and in which  $\{a, b, c\}$  is a leaf bag.

Since  $\{a, b, c\}$  (resp.  $\{c, d, e\}$ ) induces a clique in  $G$ , there is a bag  $X$  (resp.  $Y$ ) containing all the vertices  $a, b, c$  (resp.  $c, d, e$ ). Note that  $b, c, d$  are not adjacent to any vertices in  $V(G) \setminus \{a, b, c, d, e\}$ .

We delete  $b, c, d$  in all bags of  $(T, \mathcal{X})$ . Then  $(T, \mathcal{X})$  becomes a tree-decomposition  $(T', \mathcal{X}')$  of the graph  $G' = G \setminus \{b, c, d\}$ . The bag  $X$  becomes  $X' = \{a\}$  if  $X = \{a, b, c\}$  or  $X' = \{a, x\}$  if  $X = \{a, b, c, x\}$ . From Corollary 8, in both cases there exists a neighbor  $A$  of  $X'$  such that  $X' \subseteq A$ . So  $X'$  can be reduced in  $(T', \mathcal{X}')$ . Similarly, the bag  $Y$  becomes  $Y'$ , which can also be reduced in  $(T', \mathcal{X}')$ . After reducing the two bags  $X', Y'$  in  $(T', \mathcal{X}')$ , let the obtained tree-decomposition be  $(T'', \mathcal{X}'')$ . Finally, add two new bags  $N_1 = \{a, b, c\}$  and  $N_2 = \{a, c, d, e\}$ ; make  $N_1$  adjacent to  $N_2$  and make  $N_2$  adjacent to a bag  $Z$  containing both  $a$  and  $e$  in the tree-decomposition  $(T'', \mathcal{X}'')$  ( $Z$  exists because  $ae \in E(G')$ .) The result is the desired tree-decomposition.  $\square$

**Lemma 28.** *Let  $C_l$  be a cycle of  $l \geq 4$  vertices. Let  $(T, \mathcal{X})$  be a tree-decomposition of  $C_l$  of width at most 3. Then there exist either a bag containing all vertices of  $V(C_l)$  (only if  $l = 4$ ) or two bags  $X, Y \in \mathcal{X}$  such that  $X$  (resp.  $Y$ ) contains at least three*

consecutive vertices  $x_1, x_2, x_3$  (resp.  $y_1, y_2, y_3$ ) and the two edge sets  $\{x_1x_2, x_2x_3\}$  and  $\{y_1y_2, y_2y_3\}$  are disjoint i.e.  $\{x_1x_2, x_2x_3\} \cap \{y_1y_2, y_2y_3\} = \emptyset$ .

*Proof.* The treewidth of any cycle is bigger than 1, so there exists a bag in any tree-decomposition of a cycle (with at least 4 vertices) containing two vertices which are not consecutive (not adjacent in the cycle). We prove the lemma by induction on  $l$  in the following.

First let us prove that it is true for  $l = 4$ . Let  $a, b, c, d$  be the four consecutive vertices in  $C_4$ . Let  $(T, \mathcal{X})$  be a tree-decomposition of width at most 3. Then there exists a bag containing  $a, c$  or  $b, d$ . W.l.o.g we assume that  $a, c$  are contained in one bag. So  $(T, \mathcal{X})$  is also a tree-decomposition of the graph  $H$  obtained from  $C_4$  by adding the edge  $ac$ . The set  $\{a, b, c\}$  induces a clique in  $H$ . So there is a bag  $X$  containing  $a, b, c$ . For the same reason, there is a bag  $Y$  containing  $c, d, a$ . If  $X = Y$  then there is a bag containing all  $a, b, c, d$  of  $V(C_4)$ . Otherwise there are two bags  $X, Y$  such that  $X \supseteq \{a, b, c\}$  and  $Y \supseteq \{c, d, a\}$ . We see that  $\{ab, bc\} \cap \{cd, da\} = \emptyset$ . So the lemma is true for  $l = 4$ .

Now, let us suppose it is true for  $l \leq n - 1$  and prove it for  $l = n \geq 5$ . Note that since  $(T, \mathcal{X})$  has width 3 and  $l \geq 5$ , there is no bag containing all vertices of  $V(C_l)$ . So in the following we prove that there always exist two bags  $X, Y$  with the desired properties. Let  $v_1, \dots, v_n$  be the  $n$  consecutive vertices in  $C_n$ . Let  $(T, X)$  be a tree-decomposition of width at most 3 of  $C_n$ . Then there exists a bag containing two non-adjacent vertices  $v_i, v_j$  for  $1 \leq i < j \leq n$ . So  $(T, \mathcal{X})$  is also a tree-decomposition of the graph  $H$  obtained from  $C_n$  by adding the edge  $v_iv_j$ . The graph  $H$  is also the union of two subcycles  $C^1$  induced by  $\{v_i, \dots, v_j\}$  and  $C^2$  induced by  $\{v_j, \dots, v_n, \dots, v_i\}$ . Then  $\max\{|C^1|, |C^2|\} \leq n - 1$ . Let  $(T^1, X^1)$  (resp.  $(T^2, X^2)$ ) be the tree-decomposition of  $C^1$  (resp.  $C^2$ ) obtained by deleting all vertices not in  $C^1$  (resp.  $C^2$ ) in the bags of  $(T, X)$ .

If  $|V(C^1)| = 3$  then there is a bag in  $(T^1, X^1)$  containing  $V(C^1) = \{v_i, v_{i+1}, v_j = v_{i+2}\}$ . So  $v_iv_j \notin \{v_iv_{i+1}, v_{i+1}v_j\}$ .

If  $|V(C^1)| \geq 4$  then, by induction, there exist either a bag in  $(T^1, X^1)$  containing all vertices of  $V(C^1) = \{v_i, v_{i+1}, v_{i+2}, v_j = v_{i+3}\}$  or two bags  $A, B$  in  $(T^1, X^1)$  containing three consecutive vertices  $a_1, a_2, a_3$  and  $b_1, b_2, b_3$  respectively in  $C^1$ ; moreover,  $\{a_1a_2, a_2a_3\} \cap \{b_1b_2, b_2b_3\} = \emptyset$ . So we have either  $v_iv_j \notin \{a_1a_2, a_2a_3\}$  or  $v_iv_j \notin \{b_1b_2, b_2b_3\}$ .

In both cases ( $|V(C^1)| = 3$  and  $|V(C^1)| \geq 4$ ), there is at least one bag  $X$  in  $(T^1, X^1)$  containing three consecutive vertices in  $C^1$ , denoted as  $x_1, x_2, x_3$ , such that  $v_iv_j \notin \{x_1x_2, x_2x_3\}$ . So  $x_1, x_2, x_3$  are also consecutive in  $C$ . Similarly, there is at least one bag  $Y$  in  $(T^2, X^2)$  containing three consecutive vertices in  $C^2$ , denoted as  $y_1, y_2, y_3$ , such that  $v_iv_j \notin \{y_1y_2, y_2y_3\}$ . So  $y_1, y_2, y_3$  are also consecutive in  $C$ . Finally, we have  $\{x_1x_2, x_2x_3\} \cap \{y_1y_2, y_2y_3\} = \emptyset$  because  $E(C^1) \cap E(C^2) = \{v_iv_j\}$  and  $v_iv_j \notin \{x_1x_2, x_2x_3\}$ .  $\square$

**Lemma 29.** *Let  $xy$  be a chord in  $G$ . Let  $C'$  be the set of all the consecutive vertices from  $x$  to  $y$  in  $C$  and  $|C'| \geq 4$ . If each vertex in  $C' \setminus \{x, y\}$  has degree 2 in  $G$ , then for any consecutive vertices  $a, b, c, d \in C'$  (see Figure 12(c)),  $H = G[\{a, b, c, d\}]$  is a 3-potential-leaf of  $G$ .*

*Proof.* Let  $(T, \mathcal{X})$  be any tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . We show how to modify  $(T, \mathcal{X})$  to obtain a tree-decomposition of  $G$ , which has width at most 3, size at most  $s$  and a leaf bag  $\{a, b, c, d\}$ .

Note that the vertices of  $C'$  induce a cycle in  $G$ . Without confusion, we denote this cycle by  $C'$ . Let  $(T', \mathcal{X}')$  be the tree-decomposition of  $C'$  obtained by deleting all vertices not in  $C'$  in the bags of  $(T, \mathcal{X})$ . From Lemma 28, there is either a bag containing all vertices in  $C'$  (only if  $|C'| = 4$ ), or two bags  $X, Y$  containing three consecutive vertices in  $C'$  respectively and the two corresponding edge sets do not intersect.

In the former case,  $V(C') = \{a, b, c, d\}$  and so  $(T, \mathcal{X})$  is also a tree-decomposition of  $G \cup \{ac\}$ , from Lemma 26,  $\{a, b, c, d\}$  is a 3-potential-leaf of  $G$ .

In the latter case, let  $X \supseteq \{u, v, w\}$  and  $Y \supseteq \{u', v', w'\}$ , where  $u, v, w$  (resp.  $u', v', w'$ ) are consecutive in  $C'$ . Since  $\{uv, vw\} \cap \{u'v', v'w'\} = \emptyset$ , we have either  $xy \notin \{uv, vw\}$  or  $xy \notin \{u'v', v'w'\}$ . W.l.o.g. we assume that  $xy \notin \{uv, vw\}$ . Then  $u, v, w$  are also consecutive in  $C$  and at least one of  $u, w$  has degree 2 in  $G$ . W.l.o.g. we suppose that  $w$  has degree 2 in  $G$ , i.e.  $w \notin \{x, y\}$  (since  $x, y$  have degree at least 3 in  $G$ ). Let  $z \in C'$  be the other neighbor (except  $v$ ) of  $w$  in  $C'$  ( $z$  exists because  $w \notin \{x, y\}$ .)  $(T, \mathcal{X})$  is also a tree-decomposition of  $G \cup \{uw\}$ , which is still an outerplanar graph by our assumptions. Note that  $w$  has degree 3 in the graph  $G \cup \{uw\}$ . So from Lemma 26, we can modify  $(T, \mathcal{X})$  to obtain a tree-decomposition  $(T', \mathcal{X}')$  of  $G \cup \{uw\}$ , which has width at most 3, size at most  $s$  and a leaf bag  $L$  containing four consecutive vertices  $\{u, v, w, z\}$ . Note that  $(T', \mathcal{X}')$  is also a tree-decomposition of  $G$ . So we obtain a tree-decomposition where a leaf bag contains 4 consecutive vertices of  $C'$ . It remains to show how to modify it to obtain a tree-decomposition with a leaf bag  $\{a, b, c, d\}$ .

Let  $B$  be the neighbor of  $L$  in  $T$ . Then  $u, z \in B$  since each of  $u, z$  is adjacent to some vertices in  $G \setminus L$ . We can assume that  $L$  is the single bag containing  $v, w$  in  $(T', \mathcal{X}')$ , because otherwise we can delete them in any other bags. Thus, by deleting the bag  $L$  in  $(T', \mathcal{X}')$ , we get a tree-decomposition  $(T_1, \mathcal{X}_1)$  of the graph  $G_1$ , which is the graph obtained by deleting  $v, w$  and adding an edge  $uz$  in  $G$ . So  $(T_1, \mathcal{X}_1)$  has width at most 3 and size at most  $s - 1$ . Note that the graph  $G_1$  is isomorphic to the graph  $G_2 \equiv G \cup \{ad\} \setminus \{b, c\}$  since  $z \in C'$ . So from the tree-decomposition  $(T_1, \mathcal{X}_1)$  of  $G_1$  we can obtain a tree-decomposition  $(T_2, \mathcal{X}_2)$  of  $G_2$  with the same width and size. Note that since  $ad \in E(G_2)$ , there is a bag  $Y$  containing both  $a$  and  $d$ . Finally, we add a new bag  $N = \{a, b, c, d\}$  adjacent to  $Y$  in  $(T_2, \mathcal{X}_2)$ . The result is the desired tree-decomposition.  $\square$

**Lemma 30.** *There is an algorithm that, for any 2-connected outerplanar graph  $G$ , computes a 3-potential-leaf of  $G$  in polynomial time.*

*Proof.* Let  $G$  be a 2-connected outerplanar graph and  $C$  be the unique Hamiltonian cycle of  $G$ . If  $|V(G)| \leq 4$ , then  $V(G)$  is a 3-potential-leaf of  $G$ . Otherwise,  $|V(G)| \geq 5$  and we consider the outerplanar embedding of  $G$ .

- If there exists an inner face  $f$  with at most one chord of  $G$  and  $f$  has at least four vertices, then from Lemma 29, the set of any four consecutive vertices in  $f$ , which are also consecutive in  $C$ , is a 3-potential-leaf in  $G$ .

- If there is an inner face  $f = \{a, b, c\}$  with only one chord  $ac$  of  $G$  and  $c$  has degree 3, then let  $d$  be the other neighbor of  $c$  except  $b, a$ . From Lemma 26, the set of four consecutive vertices  $a, b, c, d$ , is a 3-potential-leaf in  $G$ .
- Otherwise, let  $\mathcal{F}$  be the set of all inner faces with only one chord of  $G$ . Then any face  $f \in \mathcal{F}$  has three vertices and both the two endpoints of the chord in  $f$  have degree at least 4, i.e., they are incident to some other chords except this one. We can prove by induction on  $|V(G)|$  that:

**Claim 31.** *There exist two faces  $f_1, f_2 \in \mathcal{F}$  such that (1)  $f_1 = \{a, b, c\}$ ; (2)  $f_2 = \{c, d, e\}$ ; (3)  $a, b, c, d, e$  are consecutive in  $G$ ; (4) there is a face  $f_0$  containing both  $ac$  and  $ce$  and at most one chord, which is not in any face of  $\mathcal{F}$ . see Figure 13.*

This is true when  $|V(G)| = 5$ . Assume that it is true for  $|V(G)| \leq n - 1$ . We prove that it is true for  $|V(G)| = n$ . Note that  $\mathcal{F} \neq \emptyset$  if there is at least one chord in  $G$ , which is valid in this case. Let  $f \in \mathcal{F}$  have three consecutive vertices  $x, y, z$  and let  $xz \in E(G)$  be the single chord in  $f$ . Then the graph  $G \setminus y$  is a 2-connected outerplanar graph with  $n - 1$  vertices. From the assumption, we have the desired faces  $f'_0, f'_1, f'_2$  in  $G \setminus y$ . If  $xz$  is not an edge in any face of  $f'_1, f'_2$ , then these faces are also the desired faces in  $G$ . Otherwise, let  $xz$  be an edge of  $f'_1$  or  $f'_2 = \{x, z, t\}$ . Then  $z$  has degree 3 in  $G$ , i.e. it is not incident to any other chords except  $xz$ , since  $xt \in E(G)$ . So we are in second case above, which contradicts with the assumption.

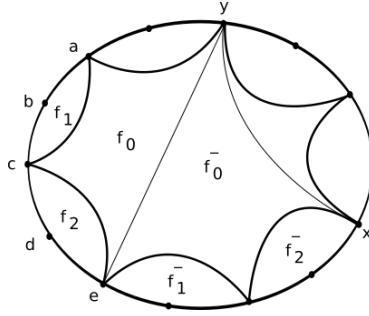


Figure 13:  $\mathcal{F}$  is the set of all inner faces with only one chord of  $G$ , such as  $f_1, f_2, \bar{f}_1, \bar{f}_2$ . The faces  $f_0, f_1, f_2$  satisfy the properties in Claim 31. But  $\bar{f}_0, \bar{f}_1, \bar{f}_2$  do not satisfy the properties since  $\bar{f}_0$  contains two edges  $ey, xy$  which are not in any face of  $\mathcal{F}$ .

In the following, let  $f_0, f_1, f_2$  be the faces as in Claim 31. If  $ae \in E(G)$ , then from Lemma 27,  $\{a, b, c\}$  is a 3-potential-leaf of  $G$ .

Otherwise, we can prove that any tree-decomposition of  $G$  of width at most 3 can be modified to a tree-decomposition of  $G \cup \{ae\}$  with the same width and size in the following. So  $\{a, b, c\}$  is a 3-potential-leaf of  $G$ .

Let  $(T, \mathcal{X})$  be a tree-decomposition of width at most 3 and size at most  $s \geq 1$  of  $G$ . Let  $(T_0, \mathcal{X}_0)$  be the tree-decomposition obtained by deleting all vertices not

in  $f_0$ . Then  $(T_0, \mathcal{X}_0)$  is a tree-decomposition of  $f_0$  ( $f_0$  is used to denote the face and the cycle induced by vertices in  $f_0$  as well). From Lemma 28, there is a bag containing three consecutive vertices  $u, v, w$  in  $f_0$  and  $uv, vw$  are edges of some faces in  $\mathcal{F}$  (note that  $u, v, w$  are not consecutive in  $C$ ). So  $(T, \mathcal{X})$  is also a tree-decomposition of  $G \cup uw$ . The graph  $G \cup uw$  and the graph  $G \cup ae$  are isomorphic. So from  $(T, \mathcal{X})$  we can obtain a tree-decomposition  $(T', \mathcal{X}')$  of  $G \cup ae$  with the same width and size. Then  $(T', \mathcal{X}')$  is the desired tree-decomposition.  $\square$

From Lemmas 30 and Corollary 10, we obtain the following result.

**Corollary 32.**  $s_3$  can be computed and a minimum size tree-decomposition of width at most 3 can be constructed in polynomial-time in the class of 2-connected outerplanar graphs.

## 6. Conclusion

In this article, we gave preliminary results on the complexity of minimizing the size of tree-decompositions with given width. Table 1 summarizes our results as well as the remaining open questions.

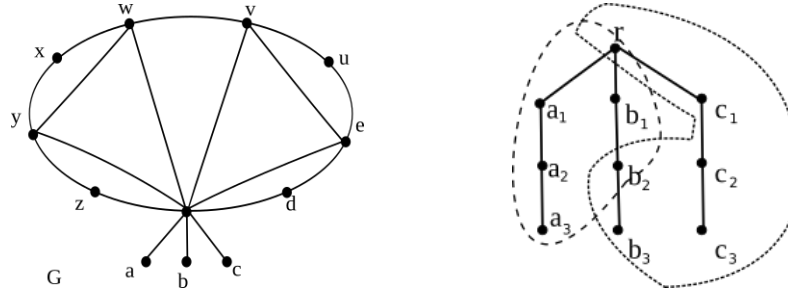
	$s_1$	$s_2$	$s_3$	$s_4$	$s_k$ $k = \max\{tw + 1, 5\}$
<b>Graphs of treewidth at most <math>tw = 1</math></b>	P (trivial)	P	P	?	?
<b>Graphs of treewidth at most <math>tw = 2</math></b>	-	P	?	?	?
<b>Graphs of treewidth at most <math>tw = 3</math></b>	-	-	?	NP-hard	?
<b>Graphs of treewidth at most <math>tw \geq 4</math></b>	-	-	-	NP-hard	NP-hard

Table 1: Complexity of MSTD (P=Polynomial)

As future research direction, we would like to investigate the problem of computing  $s_3$  in the class of connected graphs with treewidth 2 or 3. We have already solved the problem for trees and 2-connected outerplanar graphs. However, solving the problem for the general case seems to be more tricky. It seems that a global view of the graph needs to be considered to decide whether a subgraph is a 3-potential-leaf. The example in Figure 14a illustrates this fact. In the example,  $G$  is a connected outerplanar graph and  $\{r, a, b, c\}$  is not a 3-potential-leaf of  $G$ , but it is a 3-potential-leaf of  $G \setminus \{yw\}$ . Let  $G' \equiv G \setminus \{a, b, c\}$ ,  $G'$  is 2-connected outerplanar. Using the algorithm of computing  $s_3$  in 2-connected outerplanar graphs presented in subsection 5.2, we have  $s_3(G') = 5$ . So if  $\{r, a, b, c\}$  is a potential-leaf of  $G$ , then  $s_3(G) = 6$ . However, there exists a tree-decomposition of  $G$  of width 3 and size 5, where the bags are  $\{a, r, z, y\}, \{r, y, x, w\}, \{b, r, w, v\}, \{r, v, u, e\}, \{c, r, d, e\}$ . This implies that



$\{r, a, b, c\}$  is not a 3-potential-leaf of  $G$ . Now, let us consider the graph  $G'' \equiv G \setminus \{yw\}$ . We can prove that  $s_3(G'') = 5$  and there is a minimum size tree-decomposition containing  $\{r, a, b, c\}$  as a leaf bag. This implies that  $\{r, a, b, c\}$  is 3-potential-leaf of  $G''$ . Therefore, the existence of the edge  $yw$ , not incident to any vertex in  $\{r, a, b, c\}$ , has an influence on whether  $\{r, a, b, c\}$  is a 3-potential-leaf or not.



(a)  $\{r, a, b, c\}$  is not a 3-potential-leaf of  $G$ , but it is a 3-potential-leaf of  $G \setminus \{yw\}$ . The five bags  $\{a, r, z, y\}, \{r, y, x, w\}, \{b, r, w, v\}, \{r, v, u, e\}, \{c, r, d, e\}$  connected as a path in this order forms a tree-decomposition of  $G$ . (b) In any minimum size tree-decomposition of width 5 (and size 2) of this tree, there exists a bag inducing a non-connected subgraph. For example, in a tree-decomposition of width 5 and size 2, one bag is  $\{r, a_1, a_2, a_3, b_1, b_2\}$  and the other one is  $\{r, b_2, b_3, c_1, c_2, c_3\}$ .

Figure 14

The problem of computing  $s_k$ , for  $k \geq 4$ , seems more intricate already in the case of trees. Indeed, our polynomial-time algorithms to compute  $s_k$ ,  $k \leq 3$ , in trees mainly rely on the fact that, for any tree  $T$ , there exists a minimum-size tree-decomposition of  $T$  with width at most 3, where each bag induces a connected subtree. This is unfortunately not true anymore in the case of minimum size tree-decompositions with width 5. The example in Figure 14b illustrates this fact. In the example, we have a tree  $G$  (with 10 nodes) obtained from a star with three 3 leaves by subdividing twice each edge. For  $G$ ,  $s_5(G) = 2$  and any minimum size tree-decomposition has a bag  $X$  such that  $G[X]$  is disconnected.

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