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Can Matrix Factorization Improve the Accuracy of Recommendations Provided to Grey Sheep Users?

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Abstract: Matrix Factorization (MF)-based recommender systems provide on average accurate recommendations, they do consistently fail on some users. The literature has shown that this can be explained by the characteristics of the preferences of these users, who only partially agree with others. These users are referred to as Grey Sheep Users (GSU). This paper studies if it is possible to design a MF-based recommender that improves the accuracy of the recommendations provided to GSU. We introduce three MF-based models that have the characteristic to focus on original ways to exploit the ratings of GSU during the training phase (by selecting, weighting, etc.). The experiments conducted on a state-of-the-art dataset show that it is actually possible to design a MF-based model that significantly improves the accuracy of the recommendations, for most of GSU.

1 Introduction

Being different is a property shared by each person since everyone is unique. Current information systems exploit what data share, as well as their closeness, as a bond between them. They do not manage their differences. Although unique, most people share or are close on several characteristics. But what if a person does not share enough characteristics with others? What if a person is too different? In the frame of recommender systems (Goldberg et al., 1992) that manage users' preferences, it results in the Grey Sheep Users (GSU) problem (Claypool et al., 1999; Ghazanfar and Prugel-Bennett, 2011).

RS suggest users of a system some resources, within a huge set of resources, with the aim to increase their satisfaction. The popularity of RS is continuously increasing and they are becoming an everyday part of our lives. They are used in many application domains, including e-commerce (Huang, 2011), e-learning (Verbert et al., 2012), tourism (Zanker et al., 2008), etc. RS have been extensively studied these last twenty years, the most prevalent approach is collaborative filtering (CF). CF relies on the information collected about users, most of the time preferences, and assumes that users' preferences are consistent among users. So, to infer the preferences of a user, *the active user*, CF relies on the preferences of a community of users (Resnick et al., 1994); the resources with the highest estimated preferences are

suggested to the *active user*.

CF-based recommender systems now provide on average highly accurate recommendations (Castagnos et al., 2013). Providing users with accurate recommendations is essential, as it is one of the key to success of the service on which the recommender system runs. In e-commerce, accurate recommendations increase customer retention; in e-learning they improve learners' learning process; etc. However, some users still get inaccurate recommendations.

The literature has recently emphasized that the singular preferences of some users, in comparison to those of the others, may explain why they get inaccurate recommendations (Ghazanfar and Prugel-Bennett, 2011). These users, who only partially agree (or disagree) with any community of users, are referred to as Grey Sheep Users (GSU) and are often opposed to "normal" users (Claypool et al., 1999). The Matrix Factorization (MF) recommendation technique has proven to be highly accurate and is now the most commonly used CF technique. MF techniques use an optimization process to form a model that fits the training data. More specifically this process relies on the overall accuracy of the recommendations. Within the entire set of users, GSU not only have singular preferences, but are also outnumbered. As a consequence, GSU might be overlooked within the sheer amount of normal users, so standard MF techniques do not accurately model GSU and do not provide them with high quality recommendations (Gras

et al., 2016).

We believe that providing GSU with accurate recommendations is one way to improve the global accuracy of the system. The question addressed in this paper is thus: "Can we improve the accuracy of the recommendations provided to grey sheep users through matrix factorization?"

(Griffith et al., 2012) has shown that it may be possible to improve the accuracy of the recommendations provided to each user by learning a specific model. Thus, we ask if GSU can benefit from a model dedicated to them.

Few works in the literature have been interested in providing accurate recommendations to GSU. These works either simply split the set of users into normal and abnormal users (Del Prete and Capra, 2010), and then learn a model dedicated to each set, or rely on clustering (Ghazanfar and A., 2014), or design new similarity measures between users (Bobadilla et al., 2012).

As GSU have singular preferences, we assume that a unique model cannot accurately model both normal users and GSU. So, we consider a recommender system that relies on two models: a standard MF-based model from the state-of-the-art, used to provide recommendations to normal users and a MF model dedicated to GSU. The design of this second model is the focus of this paper.

Section 2 presents an overview of Collaborative Filtering and GSU modeling techniques. Section 3 introduces the MF models we design to fit GSU preferences. Section 4 focuses on the experiments conducted to evaluate each model and highlights the benefits of each of them. Finally, we discuss and conclude our work.

2 RELATED WORKS

2.1 Collaborative Filtering and Matrix Factorization

Collaborative Filtering (CF) relies on the preferences of some users on resources (items) to provide the *active user* u with some personalized recommendations. Let U be the set of n users and I be the set of m resources. The set of preferences, generally ratings, is represented in the form of a matrix R , where $r_{u,i}$ denotes the preference of u on the item i .

Two main approaches are used in CF: memory-based and model-based. Memory-based approaches (Resnick et al., 1994) exploit users' preferences, without pre-processing. A commonly used

technique is the user-based *KNN* (K Nearest Neighbors) that computes the similarity of preferences between each pair of users, and estimates the missing preferences of u by exploiting the preferences of his/her K nearest neighbor users (the item-based *KNN* technique that exploits the K nearest neighbor items is also commonly used). This technique is accurate, easy to implement and it automatically takes into account each new preference in the recommendation process. However, it hardly scales due to the computation cost of the similarities (Rashid et al., 2008) and it suffers from the extreme sparsity of the preference dataset (the similarity between two users or resources may not be computable, or two similarity values may not be comparable) (Grcar et al., 2005b; Grcar et al., 2005a).

Model-based approaches learn a model of preferences. Among the various techniques we can find Matrix Factorization (MF), that has recently proved to be highly accurate (Koren et al., 2009; Takacs et al., 2009). It is now the most commonly used technique, especially due to its high scalability. The general idea of MF is modeling user-resource interactions (ratings) through factors that represent latent characteristics of the users and of the resources, such as preference classes of users or category classes of resources. In practice, MF computes two sub-matrices with k latent features: the matrix P (dimension $n * k$) represents user-factors, and the matrix Q (dimension $m * k$) represents resource-factors. The factorization aims at accurately approximating R through the product of P and Q : $PQ^T \approx R$. The accuracy of this approximation relies on the error on each rating $r_{u,i}$ in R , following equation (1):

$$e_{u,i} = r_{u,i} - P_u Q_i^T, \quad (1)$$

where P_u is the k -dimensional representation of user u and Q_i is the k -dimensional representation of item i .

P and Q are obtained through an optimization process, by exploiting a loss function L . In recommender systems, loss functions are often based on the nonzero squared loss:

$$\operatorname{argmin}_{P,Q} L(R,P,Q) = \operatorname{argmin}_{P,Q} \sum_{r_{u,i} \in R} e_{u,i}^2 \quad (2)$$

Alternating least squares (ALS) and stochastic gradient descent (SGD) are two popular approaches to solve this optimization problem. ALS solves the problem iteratively. At each iteration, one of the matrices (P or Q) is fixed, while the other matrix is modified (using equation (2)). At each iteration, the matrix fixed changes. SGD learns the matrices by iteratively evaluating the error $e_{u,i}$ for each rating $r_{u,i}$ in R . Both matrices P and Q are updated by taking a step in the

opposite direction to the gradient of the loss function (equation (2)), following equations (3) and (4).

$$p_u = p_u + \alpha(e_{u,i}q_i), \quad (3)$$

$$q_i = q_i + \alpha(e_{u,i}p_u), \quad (4)$$

where α is the learning rate. In both approaches, these steps are repeated until the loss function does not significantly decrease or until a predefined number of iterations is reached.

To avoid overfitting, a regularization constraint is commonly incorporated, through the use of a regularization term in the loss function (equation (5)).

$$\operatorname{argmin}_{P,Q} L(R,P,Q) = \operatorname{argmin}_{P,Q} \sum_{r_{u,i} \in R} e_{u,i}^2 + \lambda \operatorname{reg}(P,Q) \quad (5)$$

The two most commonly used terms for regularization are L_1 and L_2 norms. The L_1 regularization (Zheng et al., 2004) manages the absolute values in matrices P and Q , whereas L_2 manages the squares of the values (Nigam, 1999) (see equation (6)). In practice, L_2 regularization shows better results on datasets with many instances and few features (Yu et al., 2014), which corresponds to RS datasets.

$$\operatorname{reg}L_2(P,Q) = \|P_u\|^2 + \|Q_i\|^2 \quad (6)$$

2.2 Grey Sheep Users

Grey sheep users (GSU) is a term commonly used in recommender systems. GSU are also referred to as deviant users, abnormal users, atypical users, unusual users, etc. (Del Prete and Capra, 2010; Ghazanfar and Prugel-Bennett, 2011). They refer to these users whose opinions do only partially agree or disagree with any community of users (Claypool et al., 1999). Inconsistent users (Bellogín et al., 2014) may also be related to GSU. Inconsistency is defined as users' inherent noise when interacting (rating) with a recommender system. Notice that inconsistency exploits only information about a user, not in comparison to other users.

Several techniques have been proposed to perform the identification of GSU in RS. Most of them exploit the properties of the ratings of the resources: *Abnormality* (Del Prete and Capra, 2010) evaluates to what extent the ratings of a user is distant from the average rating of the resources he/she rated, *Abnormality-CRU* (Gras et al., 2015) includes the variance of the ratings for each resource, whereas *DILikelihood* (Gras et al., 2016) relies on the distribution of the ratings, etc.

Once GSU are identified, the question related to their management has to be addressed. (Griffith et al.,

2012) mentioned that it may be possible to learn a specific model for each user, whereas (Penn and Zalesne, 2007) emphasizes that preferences of grey sheep users are difficult to understand and to guess. So, the management of their preferences, as well as the conception of a recommendation model that fits their profile, seems to be a challenge.

The literature highlights the fact that GSU get inaccurate recommendations as the correlation of their ratings with other users could be low. Although neighbor-based recommendation approaches rely heavily on finding close neighbors (Manouselis et al., 2014), all the works have focused on these approaches. (Del Prete and Capra, 2010) divide users into two distinct subsets: mass like-minded users (normal users) and individual users (GSU); two recommendation models are formed: each one is learnt on and dedicated to one subset of users. Authors propose an adapted similarity measure between individual users. It relies on the fact that it does not represent to which extent two users rate resources similarly, but to which extent they similarly differ from the entire community. The accuracy of the recommendations provided to individual users is slightly improved. (Bellogín et al., 2014) have studied the accuracy of the recommendations that inconsistent users receive. The entire set of users is also split into easy users (normal users) and difficult (inconsistent) users. The accuracy of the recommendations provided to difficult users is not improved when only difficult users are used in the training set. This conclusion is contradictory to the one presented in (Del Prete and Capra, 2010). (Bobadilla et al., 2012) have proposed to take into account the singularity of preferences of users in the evaluation of the similarity between two users. Rating values are categorized and a rating is singular if it does not correspond to the predominant category on this resource. When computing the similarity between two users, the more a rating is singular, the greater its importance. Using this new similarity measure slightly improves the accuracy of recommendations provided to grey sheep users. Although this work is not directly dedicated to the management of GSU, it is a way to take into account the specific preferences of users in the way to compute recommendations.

To manage the preferences of GSU, (Ghazanfar and A., 2014) propose to rely on a pure content-based approach. The experiments conducted show that such an approach is more adequate to model GSU, the resulting model is more accurate than with a CF approach. Nevertheless, as most of the current datasets do not include resources metadata, this approach may not always be applicable.

Managing cold-start users may be considered as closely related to managing GSU. Cold-start users are users who have not provided enough information to the system, to accurately model them. The problem of managing GSU is different. The GSU are not new users, they are users with preferences that do not align with those of other users.

3 DESIGNING MODELS OF GSU

Similarly to the works presented in the previous section, the recommendation system we focus on relies on two sub-models: one model is dedicated to normal users (a standard MF model), the other model is dedicated to GSU. The work here is dedicated to the design of the latter model.

The identification of GSU is made upstream training the model, and upstream any recommendation process. To compute recommendations to a user, his/her category is identified (normal user or GSU), then the adequate recommendation model is used. As the detection of GSU is not the focus of this paper, we propose to use a recent state-of-the-art detection technique, that relies on the *DILikelihood* measure (Gras et al., 2016).

Recall that the literature has mostly focused on the neighbor-based approaches to model GSU (see section 2.2). As MF has proved to be the most accurate CF technique in the general case (Koren et al., 2009), we propose to design a MF-based recommendation model dedicated to GSU. To the best of our knowledge, it is the first attempt to study MF with this goal.

We are convinced that the reason why GSU do not get accurate recommendations with MF models not only lies in their singular preferences, but also in their number. Indeed, by definition, GSU are rare instances, so there are considerably more normal users than GSU. As the criterion optimized by MF is the global recommendation accuracy (on the entire set of users), the accuracy on GSU does not, or slightly, impact the global accuracy; so the resulting model tends to accurately model normal users, whatever is the accuracy of this model on GSU.

SGD and ALS are nowadays two popular methods to factorize matrices (Yu et al., 2014). The MF models we propose can be used on the frame of both methods. For the sake of concision, we present these models with one of these methods. We choose SGD.

We propose three MF models dedicated to GSU.

Algorithm 1 Standard SGD Algorithm

Input:

$R = \{r_{u,i}\}$ - set of ratings of users u on items i ,
 k - number of features of the model,
 α - learning rate of the factorization,
 λ - regularization parameter

Output:

Latent factor matrices P and Q

procedure SGD(R, k, α, λ)

initialize random factor matrices P and Q

while *no Stop* **do**

for each $r_{u,i} \in R$ **do**

$e_{u,i} = (r_{u,i} - p_u q_i^T)$ // **Error estimation**

$q_i \leftarrow q_i + \alpha * (e_{u,i} \cdot p_u - \lambda \cdot q_i)$

$p_u \leftarrow p_u + \alpha * (e_{u,i} \cdot q_i - \lambda \cdot p_u)$

return P and Q

no Stop is a boolean that represents if the stop criterion is reached (number of iterations or convergence of the loss function).

3.1 The GSUOnly Model

The first model we introduce aims at evaluating to what extent a GSU can benefit from other GSU only. The model is trained on the ratings of the set of GSU, the ratings of normal users being dismissed. This model is referred to as the *GSUOnly* model.

For this model, no amendments of the standard SGD algorithm (Algorithm 1) will be made. The only difference lies in the set of ratings used in the training phase, which is reduced to the ratings of GSU. The running time required to learn this model is reduced compared to standard models as the dimension of the matrices (R , P and Q) is highly reduced ($|GSU| \ll n$).

Note that this model cannot be used to provide recommendations to users who do not belong to the set of GSU (normal users), as the resulting matrices P and Q do not contain any value for these users.

3.2 The WeightedGSU Model

The second model we introduce, called *WeightedGSU*, weights GSU during the learning process. In standard algorithms both GSU and normal users have the same weight.

We will consider W_{GSU} as the weight of GSU and W_{normal} as the weight of normal users. Equation (7) presents the relation between both weights.

$$W_{GSU} + W_{normal} = 1.0 \quad (7)$$

We are convinced that the weight of GSU has to be

more important than the one of normal users. In this way, the rating specificities of GSU will be better addressed, while still using ratings of normal users. The ratings of normal users represent additional information in the learning process and are a way to cope with the lack of data from the small set of GSU. We aim at finding the W_{GSU} value that optimizes the accuracy of the recommendations GSU get.

WeightedGSU also relies on Algorithm 1, in which we introduce modifications to manage the various weights. More specifically, the error estimation step is modified, to fit equation (8):

$$e_{u,i} = W_u * (r_{u,i} - p_u q_i^T), \quad (8)$$

where W_u is the weight of user u , with $W_u = W_{GSU}$ if u is a GSU and $W_u = W_{normal}$ if u is a normal user.

3.3 The SingleGSU Model

The last model forms one model for each GSU. It is designed to evaluate to what extent a model dedicated to a specific GSU improves the accuracy of the recommendations provided to this user. This model, named *SingleGSU*, is learnt on the ratings of one GSU (the user the model is dedicated to) as well as on the ratings of additional users. We choose to select the additional users within the set of normal users to thoroughly study the influence of normal users on the accuracy of the recommendations provided to GSU, and exclude the possible negative impact that the ratings of some GSU may have on other GSU. The number and the way these additional normal users are chosen has to be studied.

Notice that this model is more a proof of concept than a model that can be used on real datasets. Indeed, it is not acceptable to compute a model for each GSU, in the context where data is overwhelming. Nevertheless, it is a way to study in depth the ability of MF to accurately model GSU.

4 EXPERIMENTS

This section presents the experiments we conduct to study to what extent the Matrix Factorization models we propose can improve the accuracy of the recommendations provided to GSU.

4.1 Dataset

The experiments are conducted on the *MovieLens 20M* dataset¹, made up of 20 mil-

¹<http://grouplens.org/datasets/movielens/>

lion ratings from 138,493 users on 27,278 movies (resources). The rating scale ranges from 0.5 to 5.0 stars, with half-star increments. This dataset was published in April 2015 and is the current standard dataset for the evaluation of CF recommenders.

To not bias the evaluation, we choose to discard users who may be associated with the cold-start problem: those with less than 20 ratings in the dataset (Schickel-Zuber and Faltings, 2006). The set of users is then reduced to 123,053 users (88.8% of the original set of users) and is made up of 19.6 million ratings (98% of the original set of ratings). In the resulting dataset, users have provided up to 8,400 ratings, with an average number of 159 ratings per user. The sparsity of this dataset is higher than 99%, which is extremely sparse.

4.2 Evaluation Setup

The models studied are evaluated in terms of recommendation accuracy. More precisely, we use the RMSE (Root Mean Squared Error) measure. RMSE evaluates the discrepancy between the rating a user assigned to a resource and the rating estimated by the recommender, computed by equation (9).

$$RMSE = \sqrt{\frac{\sum_{u,i \in R} (n_{u,i} - n_{u,i}^*)^2}{||R||}}, \quad (9)$$

where $n_{u,i}^*$ is the estimated rating of user u on item i and $R = \{r_{u,i}\}$ is the set of user-item ratings.

The set of ratings of the dataset is split into two sets: *Train* that contains 80% of the ratings randomly chosen and that will be used to train the different models, and *Test* that contains the 20% remaining ratings and that will be used to evaluate the accuracy of the models. Each of these sets is in turn split into two subsets: the subset made up of the ratings of GSU (*Train_{GSU}* and *Test_{GSU}*) and the subset made up of the ratings of normal users (*Train_{normal}* and *Test_{normal}*).

The accuracy of the models proposed is compared to that of standard MF models. ALS and SGD factorization techniques are studied. As the optimization of the parameters of these techniques is not the focus of this work, we fix them to the state-of-the-art values, used on a comparable dataset (Yu et al., 2014). 20 features are used, with a learning rate of 0.001 and a regularization parameter set to 0.02. Matrices P and Q are initialized randomly. A dozen runs are executed to avoid the bias introduced by the random initialization. The stop criterion used in the algorithms is the convergence of the RMSE on GSU. These models will further be considered as baselines.

Model	Test set	RMSE Q1	RMSE Median	RMSE Q3
SGD	<i>Test</i>	0.66	0.80	0.97
ALS	<i>Test</i>	0.66	0.82	1.00
SGD	<i>Test_{GSU}</i>	1.01	1.18	1.35
ALS	<i>Test_{GSU}</i>	1.12	1.28	1.45
SGD	<i>Test_{normal}</i>	0.65	0.78	0.95
SGD-L1	<i>Test_{GSU}</i>	1.04	1.21	1.39

Table 1: RMSE of standard models

The first two lines of Table 1 present the RMSE of the two standard MF models (trained on *Train* and evaluated on *Test*) with ALS and SGD factorization techniques and the L_2 regularization. SGD slightly outperforms ALS (by 2.5% for the median), which is consistent with what is usually reported in the literature (Yu et al., 2014).

The identification of GSU is performed with the *DILikelihood* measure, introduced in (Gras et al., 2016). We experimentally defined the set of GSU as the 6% of users with the highest *DILikelihood* values. This set of GSU has an average number of ratings of 103 per user, which confirms they are not cold-start users. The third and fifth lines of Table 1 present the RMSE of normal users (*Test_{normal}*) and of GSU (*Test_{GSU}*) respectively, using a standard SGD model (the same as in the first line). With a median RMSE of 1.18 on GSU and a median RMSE of 0.78 on normal users, the RMSE on GSU is 51% higher than the RMSE on normal users. We can confirm that GSU do actually get less accurate recommendations than normal users. In addition, the third quartile of the RMSE of normal users is lower than the first quartile of the RMSE of GSU. Moreover, the median RMSE of the entire set of users (*Test*) is 0.80, which confirms that the number of GSU is so small that they have a limited impact on the RMSE of the entire set of users.

From the third and the fourth lines of Table 1, we can see that as on the *Test* set, SGD provides more accurate recommendations on the *Test_{GSU}* than ALS. Furthermore, the difference on *Test_{GSU}* is larger than on *Test*: SGD is 8% more accurate than ALS. SGD seems to be more adapted to model GSU.

We ask now if the L_1 regularization (see last line of Table 1) could be a better choice for the regularization term to model GSU, referring to (Ng, 2004; Yu et al., 2014). The median RMSE with L_1 is 1.21, which is 3% higher than the one obtained with the L_2 regularization. We can conclude that modifying the regularization term on a model learnt on *Train* is not the adequate solution to better model GSU.

The following experiments will be conducted to study if the models proposed in Section 3 are a way to better model GSU than standard MF models.

4.3 The GSUOnly Model

This section is dedicated to the evaluation of the accuracy of the *GSUOnly* model, that is trained on the ratings of GSU: namely the *Train_{GSU}* subset. Table 2 displays the resulting median RMSE.

Modeling GSU by relying only on the preferences of the set of GSU leads to a model less accurate than a model trained on the ratings of the entire set of users (the RMSE is increased by 8%). These conclusions are consistent with those reported in (Bellogín et al., 2014), that showed that with a neighbor-based approach, exploiting only inconsistent users to compute recommendations for inconsistent users does not lead to accurate recommendations.

We can conclude that GSU benefit from the presence of normal users in the learning process of the factorization technique. This is also the conclusion reported in (Bellogín et al., 2014): “less coherent users need information from outside of their own cluster”.

Using the L_1 regularization, the RMSE is comparable to the one obtained with L_2 regularization (L_2 outperforms L_1 by only 1%, which is not significant). Thus, L_1 seems to be more adapted to the *GSUOnly* model than to a standard one. However, it does not improve the L_2 regularization. So, we confirm that L_2 remains the most accurate regularization. For the following experiments, we will use L_2 as regularization term.

Model	Reg.	Train	Test	RMSE Median
SGD	L_2	<i>Train_{GSU}</i>	<i>Test_{GSU}</i>	1.27
SGD	L_1	<i>Train_{GSU}</i>	<i>Test_{GSU}</i>	1.29

Table 2: RMSE of the GSUOnly model

4.4 The WeightedGSU Model

The previous experiments showed that, when training the model on the ratings of GSU (*Train_{GSU}*) only, GSU do get recommendations less accurate than when training the model on the ratings of the entire set of users. However, even in this latter model, GSU do not get accurate recommendations. We think that the weight of GSU in such a model is too small to accurately model them. So, in this section we further study to what extent allowing a higher weight to GSU is a way to better model them: the *WeightedGSU* model.

Figure 1 presents the evolution of the median RMSE, according to the weight of GSU (W_{GSU}). W_{GSU} ranges from 0.1 to 1.0, with respect to equation (7). Let us notice that the case where $W_{GSU} = 0.0$ cannot be studied as it would come down to not consider GSU during training, so the matrix P would

contain random values in the vectors that correspond to GSU. A weight equals to 1.0 represents the case where normal users are not taken into account at all (the *GSU Only* model), the corresponding RMSE is 1.27. A weight equals to 0.5 is equivalent to a standard model (GSU and normal users have a similar weight); the RMSE is 1.18.

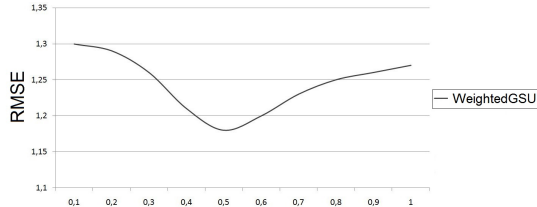


Figure 1: RMSE of GSU according to the weight of GSU during the factorization process

Two main trends are observed in Figure 1. In the case where $W_{GSU} < 0.5$, the RMSE increases along with the decrease of the weight of GSU (up to 1.3). The smaller the impact of GSU on the factorization process, the worse the accuracy of their recommendations, which was expected. In the case where $W_{GSU} > 0.5$, the RMSE increases along with the weight of GSU. It varies from 1.17 to 1.27. Thus, the higher the impact of GSU in the factorization process, the worse the accuracy of their recommendations. The lowest RMSE value is reached when $W_{GSU} = 0.5$, which is equivalent to the standard MF model.

The evolution of the RMSE in the case where $W_{GSU} > 0.5$, is not intuitive, we expected that giving a larger weight to GSU in the factorization would increase the accuracy of the recommendations provided to them. We wonder if the presence of the ratings of the entire set of GSU interferes with GSU modeling.

4.5 The SingleGSU Model

In the next experiments we propose to study the *SingleGSU* model that forms one model per GSU. Each model is trained on the ratings of a single GSU as well as those of a subset of normal users. We choose to not exploit the ratings of other GSU to discard their possible negative influence. The question here is twofold: (i) how many normal users have to be used to learn the model? and (ii) how to select the normal users?

The first experiment we conduct exploits normal users that are the most similar to the single GSU, according to the Pearson Correlation Coefficient.

As we consider 6% of the complete set of users as GSU (more than 7K GSU), the number of MF processes to be run is too high. We propose to randomly select 300 GSU and learn one *SingleGSU* model for

each of them. The *DILikelihood* of these 300 GSU is equally distributed within the set of GSU.

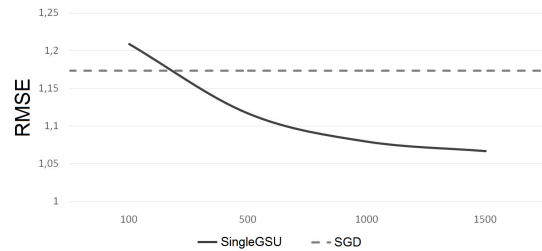


Figure 2: Median RMSE of GSU w.r.t. the number of similar users selected

Figure 2 presents the median RMSE of GSU with respect to the number of similar users selected. This number varies from 100 to 1,500. Figure 2 also presents the baseline RMSE (the one obtained with a standard SGD model). When 100 similar normal users are selected, the average RMSE of the *SingleGSU* model is 1.21, which is higher than the RMSE of a standard model. The higher the number of normal users, the lower the RMSE. When about 250 normal users are selected, the RMSE is similar to the one of a standard model. The decrease of the RMSE seems to stagnate from 700 normal users (the RMSE equals to 1.09). When 1,500 normal users are selected the RMSE is equal to 1.06, which corresponds to an improvement of 9% of standard models (from 1.17 to 1.06). Obviously, the more normal users are used, the longer the computation time to learn the model. The best tradeoff between the running time and the accuracy of recommendations provided to GSU seems to be 700 normal users (7% improvement).

Those first results represent an average improvement of the RMSE. It implies a disparity in the improvement of the RMSE, among GSU. To go further, we study the individual distribution of those improvements. First of all, the *SingleGSU* model improves the RMSE of more than 72% of the 300 GSU selected. If we focus on a more significant improvement, 54% of the GSU have an improvement of their RMSE of more than 10%. So, the *SingleGSU* model, with normal users selected through their similarity with the single GSU, significantly improves the accuracy of the recommendations of more than half of the GSU. In addition, 32% of the GSU have an improvement of their RMSE of more than 20%. Furthermore, 20% of the selected GSU have a RMSE lower than the median RMSE of normal users (0.8), which is high.

What about the 46% of GSU who have either an improvement of their RMSE lower than 10% or have an increase in their RMSE? We propose to build *SingleGSU* models for these users, with a random selection of the normal users. For each user, several

random selections are performed, until forming a set of normal users that significantly improves (by at least 10%) the accuracy of the recommendations of the single GSU, in the limit of 500 trials. Such an improvement is reached for 62% of these GSU. Several attempts have been conducted to characterize the sets of normal users that improve the recommendations provided to those GSU. For now, no clear link has emerged.

To summarize, the *SingleGSU* model is able to significantly improve (by more than 10%) the accuracy of the recommendations provided by a standard MF model, for more than 82% of the selected GSU. This indicates that it is possible to increase the accuracy of the recommendations provided to GSU through a MF technique. The experiments conducted tend to show that one reason why GSU do not receive accurate recommendations with standard MF models may lie in the users used in the factorization process.

5 Discussion and Conclusion

This work aimed at studying the possibility to design a MF-based model that improves the accuracy of the recommendations that standard MF-based models provide to grey sheep users (GSU).

To reach this goal, we relied on the idea that when training a model, the ratings of GSU have to be considered differently than those of normal users. We proposed three models that either exploit only the ratings of GSU (the *GSU Only* model), or weight differently the ratings of GSU and those of normal users (the *WeightedGSU* model) or model each GSU independently (the *SingleGSU* model).

The *SingleGSU* model, that forms a model for each GSU independently, improves the accuracy of the recommendations provided to GSU. This model exploits the ratings of one GSU (the user the model is dedicated to) as well as those of a subset of normal users (no rating about other GSU are included). When normal users are selected according to their similarity to the GSU, 72% of GSU actually get more accurate recommendations, which is highly significant. Furthermore, 52% of GSU have an improvement larger than 10%. This rate even reaches 82% if an additional way to select normal users is used.

To summarize, we wondered if some MF-models could improve the accuracy of the recommendations provided to GSU. Actually, the MF-based *SingleGSU* model significantly improves this accuracy, even by more than 10% for most of GSU.

These results need to be extended to study to what extent this improvement can be even more improved.

This may rely on a modification of the correlation measure used to select the normal users to be used in the *SingleGSU* model, or designing a completely new MF technique. In addition, as noticed in section 3, designing one model per GSU is not practicable, especially if the number of GSU reaches several thousands of users. So, we would like to study if some GSU could be grouped together to reduce the number of models to be developed.

So far, the experiments conducted did not allow to identify how to select the set of normal users to use, given one GSU. Some GSU benefit from the selection of the most similar users, others do not. Being able to identify which users benefit from these similar users will also be highly interesting.

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