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# On Constructions of MDS Matrices from Companion Matrices for Lightweight Cryptography

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**Abstract.** Maximum distance separable (MDS) matrices have applications not only in coding theory but also are of great importance in the design of block ciphers and hash functions. It is highly nontrivial to find MDS matrices which could be used in lightweight cryptography. In a crypto 2011 paper, Guo et. al. proposed a new MDS matrix  $Serial(1, 2, 1, 4)^4$  over  $\mathbb{F}_{2^8}$ . This representation has a compact hardware implementation of the AES MixColumn operation. No general study of MDS properties of this newly introduced construction of the form  $Serial(z_0, \dots, z_{d-1})^d$  over  $\mathbb{F}_{2^n}$  for arbitrary  $d$  and  $n$  is available in the literature. In this paper we study some properties of MDS matrices and provide an insight of why  $Serial(z_0, \dots, z_{d-1})^d$  leads to an MDS matrix. For efficient hardware implementation, we aim to restrict the values of  $z_i$ 's in  $\{1, \alpha, \alpha^2, \alpha + 1\}$ , such that  $Serial(z_0, \dots, z_{d-1})^d$  is MDS for  $d = 4$  and  $5$ , where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . We also propose more generic constructions of MDS matrices e.g. we construct lightweight  $4 \times 4$  and  $5 \times 5$  MDS matrices over  $\mathbb{F}_{2^n}$  for all  $n \geq 4$ . An algorithm is presented to check if a given matrix is MDS. The algorithm follows from the basic properties of MDS matrix and is easy to implement.

**Key words:** Diffusion, Companion matrix, MDS matrix, MixColumn operation, minimal polynomial.

## 1 Introduction

Claude Shannon, in his paper “Communication Theory of Secrecy Systems” [21], defined *confusion* and *diffusion* as two properties, required for the design of block ciphers. In [8–10], Heys and Tavares showed that the replacement of the permutation layer of Substitution Permutation Networks (SPNs) with a diffusive linear transformation improves the avalanche characteristics of the block cipher which increases the cipher’s resistance to differential and linear cryptanalysis. Thus the main application of *MDS matrix* in cryptography is in designing block ciphers and

hash functions that provide security against differential and linear cryptanalysis. MDS matrices offer diffusion properties and is one of the vital constituents of modern age ciphers like Advanced Encryption Standard (AES) [3], Twofish [19, 20], SHARK [16] and Square [2]. MDS matrices are also used in the design of hash functions. Hash functions like Maelstrom [4], Grøstl [5] and PHOTON family light weight hash functions [6] use MDS matrices as main part of their diffusion layers.

Nearly all ciphers use predefined MDS matrices for incorporating diffusion property. Although in some ciphers the possibility of random selection of MDS matrices with some constraint is provided [23]. In this context we would like to mention that in papers [6, 7, 12, 13, 17, 23], new constructions of MDS matrices are provided. In [6], authors construct lightweight MDS matrices from *companion* matrices by exhaustive search. In [7], authors construct new involutory MDS matrices using properties of Cauchy matrices over additive subgroup of  $\mathbb{F}_{2^n}$  and have shown its equivalence with Vandermonde matrices based construction under some constraints. In [12], authors construct efficient  $4 \times 4$  and  $8 \times 8$  matrices to be used in block ciphers. In [13, 17], authors constructed involutory MDS matrices using Vandermonde matrices. In [23], authors construct new involutory MDS matrices using properties of Cauchy matrices.

Authors of [6] defined  $Serial(z_0, \dots, z_{d-1})$ , which is the companion matrix of  $z_0 + z_1x + z_2x^2 + \dots + z_{d-1}x^{d-1} + x^d$ . Their objective was to find suitable candidates so that  $Serial(z_0, \dots, z_{d-1})^d$  is an MDS matrix. In [6], authors proposed an MDS matrix  $Serial(1, 2, 1, 4)^4$  over  $\mathbb{F}_{2^8}$  for AES *MixColumn operation* which has compact and improved hardware footprint [6]. It is to be noted that in  $Serial(1, 2, 1, 4)$ ,  $z_0 = z_2 = 1$ ,  $z_1 = 2 = \alpha$  and  $z_3 = 4 = \alpha^2$ , where  $\alpha$  is the root of the irreducible polynomial  $x^8 + x^4 + x^3 + x + 1$ . The proper choice of  $z_0, z_1, z_2$  and  $z_3$  (preferably of low Hamming weight) improves the hardware implementation of AES MixColumn transformation. It may be noted that MixColumn operation in [6] is composed of  $d$  ( $d = 4$  for AES) applications of the matrix  $Serial(z_0, \dots, z_{d-1})$  to the input column vector. More formally, let  $X = (x_0, \dots, x_{d-1})^T$  be the input column vector of MixColumn and  $Y = (y_0, \dots, y_{d-1})^T$  be the corresponding output. Then we have  $Y = A^d \times X = \underbrace{(A \times (A \times (A \times \dots \times (A \times X))))}_{d \text{ times}} \dots$ , where

$A = Serial(z_0, \dots, z_{d-1})$ . So the hardware circuitry will depend on companion matrix  $A$  and not on the MDS matrix  $A^d$ . Note that authors of [6] used MAGMA [1] to test all possible values of  $z_0, z_1, z_2$  and  $z_3$  and found  $Serial(1, 2, 1, 4)$  to be the right candidate, which raised to the power 4

gives an MDS matrix. Authors of [18, 22] proposed new diffusion layers ( $d \times d$  MDS matrices) based on companion matrices for smaller values of  $d$ . In this paper we provide some sufficient conditions for such constructions but our approach is different from [18, 22]. We also propose new and more generic constructions of  $d \times d$  MDS matrices for  $d = 4$  and  $5$ .

For efficient implementation, we aim to restrict the values of  $z_i$ 's in the set  $\{1, \alpha, \alpha^2, \alpha + 1\}$ , such that  $Serial(z_0, \dots, z_{d-1})^d$  is MDS, where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . It may be noted that multiplication by 1, which is the unit element of  $\mathbb{F}_{2^n}$ , is trivial. When  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ , the multiplication by  $\alpha$  can be implemented by a shift by one bit to the left and a conditional XOR with a constant when a carry bit is set (multiplication by  $\alpha$  is often denoted as *xtime*). Multiplication by  $\alpha + 1$  is done by a multiplication by  $\alpha$  and one XOR operation. Multiplication by  $\alpha^2$  is done by two successive multiplication by  $\alpha$ . We also explore some properties of MDS matrices and based on that we provide an algorithm to check whether the matrix is MDS. This algorithm is easy to implement. We implemented the algorithm and ran it for upto  $8 \times 8$  matrices over  $\mathbb{F}_{2^{24}}$ .

In general we also study the cases where we restrict the values of  $z_i$ 's in the set  $\{1, \beta, \beta^2, \beta + 1\}$  for any non zero  $\beta \in \mathbb{F}_{2^n}$ , such that  $Serial(z_0, \dots, z_{d-1})^d$  is MDS.

The paper is organized as follows: In Section 2 we provide definitions and preliminaries. In Section 3, we discuss a few relevant properties of MDS matrices and provide an algorithm to check if a given square matrix is MDS. In Section 4 and Subsections therein, we study  $Serial(z_0, z_1, z_2, z_3)^4$ . In Appendix F we study few more MDS matrices of the form  $Serial(z_0, z_1, z_2, z_3)^4$ . In Appendix G, we study MDS properties of  $Serial(z_0, z_1, z_2, z_3, z_4)^5$  and propose new constructions of  $5 \times 5$  MDS matrices. We conclude the paper in Section 5.

## 2 Definition and Preliminaries

Let  $\mathbb{F}_2 = \{0, 1\}$  be the finite field with two elements and  $\mathbb{F}_{2^n}$  be the finite field with  $2^n$  elements. We will often denote a matrix by  $((a_{i,j}))$ , where  $a_{i,j}$  is the  $(i, j)$ -th element of the matrix. The *Hamming weight* of an integer  $i$  is the number of non zero coefficients in the binary representation of  $i$  and is denoted by  $H(i)$ . For example  $H(5) = 2$ ,  $H(8) = 1$ .

A *cyclotomic coset*  $C_s$  modulo  $(2^n - 1)$  is defined as [14, page 104]

$$C_s = \{s, s \cdot 2, \dots, s \cdot 2^{n_s-1}\}$$

where  $n_s$  is the smallest positive integer such that  $s \equiv s2^{n_s} \pmod{2^n - 1}$ . The subscript  $s$  is the smallest integer in  $C_s$  and is called the *coset leader* of  $C_s$ . Note that  $n_s$  is the size of the coset  $C_s$  which will also be denoted by  $|C_s|$ . When  $n_s = n$ , we call it a full length coset and when  $n_s < n$ , we call it a smaller coset. The set of all coset leaders modulo  $(2^n - 1)$  is denoted by  $\mathcal{Y}(n)$ . The computations in cosets are performed in  $\mathbb{Z}_{2^n - 1}$ , the ring of integers modulo  $(2^n - 1)$ . For  $n = 4$  the cyclotomic cosets modulo  $2^4 - 1 = 15$  are:  $C_0 = \{0\}$ ,  $C_1 = \{1, 2, 4, 8\}$ ,  $C_3 = \{3, 6, 12, 9\}$ ,  $C_5 = \{5, 10\}$ ,  $C_7 = \{7, 14, 13, 11\}$ . Note  $|C_5| = 2$ ,  $|C_1| = 4$  and  $\mathcal{Y}(4) = \{0, 1, 3, 5, 7\}$ .

Let  $\beta \in \mathbb{F}_{p^n}$ ,  $p$  being a prime number. The *minimal polynomial* [14, page 99] over  $\mathbb{F}_p$  of  $\beta$  is the lowest degree monic polynomial, say  $M(x)$ , with coefficients from  $\mathbb{F}_p$  such that  $M(\beta) = 0$ . It is easy to check that the minimal polynomial is irreducible [14, page 99]. If  $f(x)$  is any polynomial over  $\mathbb{F}_p$  such that  $f(\beta) = 0$ , then  $M(x) | f(x)$  [14, page 99].

Using the notation of [6], we define  $Serial(z_0, \dots, z_{d-1})$  as follows.

$$Serial(z_0, \dots, z_{d-1}) = \begin{pmatrix} 0 & 1 & 0 & 0 & \dots & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & 0 & \dots & 1 \\ z_0 & z_1 & \dots & \dots & \dots & z_{d-1} \end{pmatrix},$$

where  $z_0, z_1, z_2, \dots, z_{d-1} \in \mathbb{F}_{2^n}$  for some  $n$ . Note that this matrix is a companion matrix of the polynomial  $z_0 + z_1x + z_2x^2 + \dots + z_{d-1}x^{d-1} + x^d$ .

We note that,

$$Serial(z_0, \dots, z_{d-1})^{-1} = \begin{pmatrix} \frac{z_1}{z_0} & \frac{z_2}{z_0} & \dots & \dots & \dots & \frac{1}{z_0} \\ 1 & 0 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \end{pmatrix}. \quad (1)$$

It is to be noted that like encryption, decryption can also be implemented by repeated use ( $d$  times) of  $Serial(z_0, \dots, z_{d-1})^{-1}$ , and also whenever  $z_0 = 1$ , the hardware footprint for decryption is as good as that of encryption circuitry.

**Definition 1.** Let  $\mathbb{F}$  be a finite field and  $p$  and  $q$  be two integers. Let  $x \rightarrow M \times x$  be a mapping from  $\mathbb{F}^p$  to  $\mathbb{F}^q$  defined by the  $q \times p$  matrix  $M$ . We say that it is an MDS matrix if the set of all pairs  $(x, M \times x)$  is an MDS code, i.e. a linear code of dimension  $p$ , length  $p + q$  and minimal distance  $q + 1$ .

An MDS matrix provides diffusion properties that have useful applications in cryptography. The idea comes from coding theory, in particular

from maximum distance separable codes (MDS codes). In this context we state two important theorems of Coding Theory.

**Theorem 1.** [14, page 33] *If  $C$  is an  $[n, k, d]$  code, then  $n - k \geq d - 1$ .*

Codes with  $n - k = d - 1$  are called maximum distance separable codes, or MDS codes for short.

**Theorem 2.** [14, page 321] *An  $[n, k, d]$  code  $C$  with generator matrix  $G = [I|A]$ , where  $A$  is a  $k \times (n - k)$  matrix, is MDS if and only if every square submatrix (formed from any  $i$  rows and any  $i$  columns, for any  $i = 1, 2, \dots, \min\{k, n - k\}$ ) of  $A$  is nonsingular.*

The following fact is another way to characterize an MDS matrix.

**Fact: 1** *A square matrix  $A$  is an MDS matrix if and only if every square submatrices of  $A$  are nonsingular.*

**Fact: 2** *All entries of an MDS matrix are non zero.*

### 3 Few Properties Of MDS Matrices

In this Section we develop some tools for studying  $Serial(z_0, z_1, \dots, z_{d-1})^d$ ,  $z_i \in \mathbb{F}_{2^n}$  for  $d = 4, 5$ . We also use these tools to provide an algorithm (Algorithm 1) at the end of this Section to check whether a matrix is MDS. It may be noted that from the entries of the inverse of a  $d \times d$  nonsingular matrix, it can be checked whether all its  $(d - 1) \times (d - 1)$  submatrices are nonsingular or not. In this direction we state the following Lemma which will be used in Algorithm 1.

**Lemma 1.** *All entries of inverse of MDS matrix are non zero.*

*Proof.* Let  $\mathbb{M} = ((m_{i,j}))$  be a  $d \times d$  MDS matrix. We know that  $M^{-1} = Adj(\mathbb{M})/det(\mathbb{M})$ , where  $Adj(\mathbb{M}) = ((M_{i,j}))$  and  $M_{i,j}$  is co-factor of  $m_{j,i}$  in  $\mathbb{M}$  which is the determinant of  $(d - 1) \times (d - 1)$  submatrix obtained by omitting  $j$ 'th row and  $i$ 'th column of  $\mathbb{M}$ . Since  $\mathbb{M}$  is an MDS matrix, all its  $(d - 1) \times (d - 1)$  submatrices are nonsingular. Thus all  $M_{i,j}$  values are non zero.  $\square$

**Corollary 1.** *Any  $2 \times 2$  matrix over  $\mathbb{F}_{2^n}$  is MDS matrix if and only if it is a full rank matrix and all entries of its inverse is non zero.*

*Proof.* Proof is given in the Appendix A.

**Fact: 3** *It may be noted that if all the entries of the inverse of a  $d \times d$  nonsingular matrix are non zero, then all its  $(d-1) \times (d-1)$  submatrices are nonsingular.*

**Corollary 2.** *Any  $3 \times 3$  matrix over  $\mathbb{F}_{2^n}$  with all non zero entries is an MDS matrix if and only if it is a full rank matrix and all entries of its inverse are non zero.*

*Proof.* Proof is given in the Appendix B.

In the next Proposition we study the necessary and sufficient condition for any  $4 \times 4$  matrix to be MDS. This Proposition will be referred to at many places throughout the paper.

**Proposition 1.** *Any  $4 \times 4$  matrix over  $\mathbb{F}_{2^n}$  with all entries non zero is an MDS matrix if and only if it is a full rank matrix with the inverse matrix having all entries non zero and all of its  $2 \times 2$  submatrices are full rank.*

*Proof.* Let  $\mathbb{M} = ((m_{i,j}))$  be a  $4 \times 4$  matrix satisfying the conditions of this proposition. Since its inverse matrix has all non zero entries, therefore by Fact 3, all  $(4-1) \times (4-1)$  i.e.  $3 \times 3$  submatrices of  $\mathbb{M}$  are full rank matrices. Also inverse matrices of all  $2 \times 2$  submatrices are full rank. Therefore all square submatrices of  $((m_{i,j}))$  are full rank. Thus the matrix is MDS. The other direction of the proof is immediate.  $\square$

We close this Section by providing an algorithm to check if a  $d \times d$  matrix is MDS. The algorithm directly follows from Lemma 1, Fact 1, Fact 2 and Fact 3. We implemented the algorithm and ran it for up to  $8 \times 8$  matrices over  $\mathbb{F}_{2^{24}}$ .

One approach of checking if a  $d \times d$  matrix  $M$  is an MDS is to use  $[I|M]$  as a generator matrix and check if the code produced is MDS code. Note, if the underlying field is  $\mathbb{F}_{2^n}$ , the number of code words will be  $2^{nd}$  and finding the minimum weight non zero code word is NP-complete.

For testing if a matrix is MDS, a naive approach may be to check for non singularity of all its square submatrices. The number of computations in this case will be  $n^2 \sum_{i=1}^d \binom{d}{i}^2 i^3$ . It is easy to check that the number of computations of our algorithm is  $n^2 \sum_{i=1}^{d/2} \binom{d}{2i}^2 (2i)^3$  for  $d$  even and  $n^2 \sum_{i=1}^{d/2} \binom{d}{2i+1}^2 (2i+1)^3$  for  $d$  odd.

For example, when  $n = 8$  and  $d = 4$ , number of computations by the naive method is  $2^6 \times 800$ . In the same context, our algorithm takes only

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**Algorithm 1** Checking if a  $d \times d$  matrix  $((a_{i,j}))$  over  $\mathbb{F}_{2^n}$  is an MDS matrix

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**Input**  $n > 1$ , irreducible polynomial  $\pi(x)$  of degree  $n$ , the  $d \times d$  matrix  $((a_{i,j}))$  over  $\mathbb{F}_{2^n}$ .

**Output** Outputs a boolean variable  $b\_mds$  which is true if  $((a_{i,j}))$  is an MDS matrix, else is false.

```

1:  $b\_mds = true$ .
2: Compute inverse of  $((a_{i,j}))$  in  $((b_{i,j}))$ ; If inverse does not exist, set  $b\_mds = false$  and goto 13;
3: check if all  $d^2$  entries of  $((a_{i,j}))$  and  $((b_{i,j}))$  are non zero. If not, set  $b\_mds = false$ ;
4: if  $(d = 3)$  : Go to 13;
5:  $t \leftarrow d - 2$ ;
6: while  $(t > 1 \ \& \ b\_mds = true)$  do
7:   List all  $\binom{d}{t}^2$  submatrices of dimension  $t \times t$  in a list  $list\_submatrices$ ;
8:   for  $(e = 0 ; e < \binom{d}{t}^2 ; e = e + 1)$  do
9:     Find inverse of  $list\_submatrices[e]$  in  $((inv\_Matrix_{i,j}))$ ;
10:    if  $((inv\_Matrix_{i,j}))$  does not exist or any entry of  $((inv\_Matrix_{i,j}))$  is zero :  $b\_mds = false$ ;
11:    if  $(b\_mds = false)$  : break the loop and go to 13;
12:    $t \leftarrow t - 2$ ;
13: Set  $b\_mds$  as output;

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$2^6 \times 352$  computations. So the ratio of number of computations required by the naive method with number of computations required by our method is approximately 2. Note that this ratio is independent of  $n$ . When  $n = 20$  and  $d = 8$ , number of computations by the naive method is  $20^2 \times 988416$  and that by our method is  $20^2 \times 489728$  and the ratio is approximately 2.

#### 4 MDS Properties of $Serial(z_0, z_1, z_2, z_3)^4$

In this Section we consider low Hamming weight candidates  $z_0, z_1, z_2, z_3 \in \mathbb{F}_{2^n}$  for arbitrary  $n$ , such that  $Serial(z_0, z_1, z_2, z_3)^4$  is MDS. Low Hamming weight coefficients are desirable for better hardware implementation. So we restrict the values of  $z_i$ 's to  $1, \alpha, \alpha^2, 1 + \alpha$  and also try to maximize the occurrence of 1's, where  $\alpha$  is the root of constructing polynomial of  $\mathbb{F}_{2^n}$ . Now we provide cases (from Lemma 2 to Lemma 7) for which matrices of the form  $Serial(z_0, z_1, z_2, z_3)^4$  are non MDS except for one special case of Lemma 6 (see Remark 4). In Subsection 4.1, Subsection 4.2 and Appendix F, we will construct lightweight  $4 \times 4$  MDS matrices and in Appendix G we will construct lightweight  $5 \times 5$  MDS matrices of the form  $Serial(z_0, z_1, z_2, z_3, z_4)^5$ .



**Lemma 2.**  $Serial(z_0, z_1, z_2, z_3)^4$  is never an MDS matrix when any three or all of  $z_0, z_1, z_2$  and  $z_3$  are 1.

*Proof.* Proof is given in Appendix C.

*Remark 1.* If 1 is allowed in any three or more places of  $z_0, z_1, z_2$ , and  $z_3$ , then the matrix  $Serial(z_0, z_1, z_2, z_3)^4$  is not MDS (from Lemma 2). We next study the possibility of having MDS matrices which are of the form  $Serial(z_1, z_2, z_3, z_4)^4$  when any two out of  $z_0, z_1, z_2$ , and  $z_3$  are 1 and restrict the other two values to be from the set  $\{\alpha, \alpha^2, \alpha+1\}$  for efficient implementation. Note that there are 6 such cases. It is easy to check that out of these 6 cases,  $Serial(z_0, z_1, 1, 1)^4$  and  $Serial(z_0, 1, z_2, 1)^4$  will never be MDS. So we concentrate on remaining four cases, i.e.  $Serial(1, 1, z_2, z_3)^4$ ,  $Serial(1, z_1, z_2, 1)^4$ ,  $Serial(1, z_1, 1, z_3)^4$  and  $Serial(z_0, 1, 1, z_3)^4$ .

**Lemma 3.** Let  $S = Serial(1, 1, z_2, z_3)$  and  $z_2, z_3 \in \{\alpha, \alpha^2\}$  or  $z_2, z_3 \in \{\alpha, \alpha+1\}$ , which are defined over  $\mathbb{F}_{2^n}$ , where  $\alpha$  is the root of constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $S^4$  is non MDS matrix.

**Lemma 4.** Let  $S = Serial(1, z_1, z_2, 1)$  and  $z_1, z_2 \in \{\alpha, \alpha^2\}$  or  $z_1, z_2 \in \{\alpha, \alpha+1\}$ , which are defined over  $\mathbb{F}_{2^n}$ , where  $\alpha$  is the root of constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $S^4$  is non MDS matrix.

*Remark 2.* Note that  $Serial(1, 1, z_2, z_3)^4$  and  $Serial(1, z_1, z_2, 1)^4$  over  $\mathbb{F}_{2^n}$  become MDS if elements other than 1 are distinct and are from the set  $\{\alpha+1, \alpha^2\}$ , for higher values of  $n$  (See in Appendix F).

**Lemma 5.** Let  $A = Serial(1, \alpha, 1, \alpha^2)$  and  $A' = Serial(1, \alpha^2, 1, \alpha)$  which are defined over  $\mathbb{F}_{2^n}$ , where  $1 \leq n \leq 4$  and  $\alpha$  is the root of constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $A^4$  and  $A'^4$  are non MDS matrix.

*Proof.*

$$A^4 = \begin{pmatrix} 1 & \alpha & 1 & \alpha^2 \\ \alpha^2 & \alpha^3 + 1 & \alpha^2 + \alpha & \alpha^4 + 1 \\ \alpha^4 + 1 & \alpha^5 + \alpha^2 + \alpha & \alpha^4 + \alpha^3 & \alpha^6 + \alpha \\ \alpha^6 + \alpha & \alpha^7 + \alpha^4 + \alpha^2 + 1 & \alpha^6 + \alpha^5 + \alpha^2 & \alpha^8 + \alpha^4 \end{pmatrix} \quad (2)$$

and

$$A^{-4} = \begin{pmatrix} \alpha^4 + \alpha^2 & \alpha^4 + \alpha^3 + \alpha & \alpha^5 + \alpha^4 + \alpha^2 + 1 & \alpha^3 + \alpha^2 \\ \alpha^3 + \alpha^2 & \alpha^3 + \alpha^2 & \alpha^4 + \alpha^2 + \alpha & \alpha^2 + 1 \\ \alpha^2 + 1 & \alpha^2 + \alpha & \alpha^3 + 1 & \alpha \\ \alpha & 1 & \alpha^2 & 1 \end{pmatrix} \quad (3)$$

Note that three irreducible polynomials of degree 4 are  $x^4 + x + 1$ ,  $x^4 + x^3 + 1$  and  $x^4 + x^3 + x^2 + x + 1$ . It is easy to observe that  $A^4[2][1] =$

$\alpha^5 + \alpha^2 + \alpha = \alpha(\alpha^4 + \alpha + 1)$ ,  $A^4[3][2] = \alpha^6 + \alpha^5 + \alpha^2 = \alpha^2(\alpha^4 + \alpha^3 + 1)$  and  $A^4[3][0] = \alpha^6 + \alpha = \alpha(\alpha^5 + 1) = \alpha(\alpha + 1)(\alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1)$ . So, when the minimal polynomial of  $\alpha$  is  $x^4 + x + 1$  or  $x^4 + x^3 + 1$  or  $x^4 + x^3 + x^2 + x + 1$ ,  $A^4[2][1]$  or  $A^4[3][2]$  or  $A^4[3][0]$  will be 0 respectively. Thus  $A^4$  is a non MDS matrix for  $n = 4$ .

Similarly,  $A^{-4}[0][1] = \alpha^4 + \alpha^3 + \alpha = \alpha(\alpha^3 + \alpha^2 + 1)$  and  $A^{-4}[1][2] = \alpha^4 + \alpha^2 + \alpha = \alpha(\alpha^3 + \alpha + 1)$ . So, when the minimal polynomial of  $\alpha$  is  $x^3 + x^2 + 1$  or  $x^3 + x + 1$ ,  $A^{-4}[0][1]$  or  $A^{-4}[1][2]$  will be zero respectively. Thus  $A^4$  is a non MDS matrix for  $n = 3$ .

Again  $A^4[1][1] = \alpha^3 + 1 = \alpha(\alpha^2 + \alpha + 1)$  which is zero when the minimal polynomial of  $\alpha$  is  $x^2 + x + 1$ . Thus  $A^4$  is a non MDS matrix for  $n = 2$ .

Lastly, when  $n = 1$ ,  $\alpha$  is 1, making  $A = \text{Serial}(1, 1, 1, 1)$  and from Lemma 2,  $A^4$  will be a non MDS matrix.

Similarly it can be proved that  $A'^4$  is non MDS matrix. □

*Remark 3.*  $\text{Serial}(1, \alpha, 1, \alpha + 1)^4$ , defined over  $\mathbb{F}_{2^n}$ , is non MDS for  $1 \leq n \leq 3$ . The proof is similar to Lemma 5. In Proposition 4 of Section 4, we will show that  $\text{Serial}(1, \alpha, 1, \alpha + 1)^4$  is MDS for all  $n \geq 4$ .

**Lemma 6.** *Let  $B = \text{Serial}(\alpha, 1, 1, \alpha^2)$  and  $B' = \text{Serial}(\alpha^2, 1, 1, \alpha)$  which are defined over  $\mathbb{F}_{2^n}$ , where  $1 \leq n \leq 4$  and  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $B^4$  is non MDS for all  $n$  such that  $1 \leq n \leq 4$  except when  $n = 4$  and  $\alpha$  is a root of  $x^4 + x + 1$ . Also  $B'^4$  is non MDS for all  $n$  such that  $1 \leq n \leq 4$ .*

*Proof.* Proof is given in Appendix D.

*Remark 4.* Note for  $n = 4$ , if the Galois field  $\mathbb{F}_{2^4}$  is constructed by  $x^4 + x + 1$  then we can construct an MDS matrix  $\text{Serial}(\alpha, 1, 1, \alpha^2)^4$  where  $\alpha$  is the root of  $x^4 + x + 1$ .

**Lemma 7.** *Let  $A = \text{Serial}(\alpha, 1, 1, \alpha + 1)$  and  $A' = \text{Serial}(\alpha + 1, 1, 1, \alpha)$  which are defined over  $\mathbb{F}_{2^n}$ , where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $A^4$  and  $A'^4$  are non MDS matrices.*

*Proof.* The proof technique is similar to that used in the proof of Lemma 5. □

So far we have mainly considered the cases for which the constructed matrices are non MDS. Now we consider the cases for which the matrices are MDS.

#### 4.1 Lightweight MDS matrix of the form $Serial(1, z_1, 1, z_3)^4$

In this Subsection, we study the MDS property of the matrices of the form  $Serial(1, z_1, 1, z_3)^4$ . We concentrate on  $z_1, z_3 \in \{\alpha, \alpha^2, \alpha + 1\}$  for better hardware implementation, where  $\alpha$  is the root of constructing polynomial of  $\mathbb{F}_{2^n}$  for different  $n$ . Here  $z_0 = 1$ .  $Serial(1, z_1, 1, z_3)^{-1}$  is as defined in equation 1 with  $d = 4$ . So the hardware footprint for decryption is as good as that of encryption circuit in Substitution Permutation Networks (SPNs). In this Subsection we will construct MDS matrices for better hardware footprint by letting  $z_1, z_3 \in \{\alpha, \alpha^2\}$  or  $z_1, z_3 \in \{\alpha, \alpha + 1\}$  and ignore the case when  $z_0, z_3 \in \{\alpha^2, \alpha + 1\}$ .

**Proposition 2.** *Let  $A = Serial(1, \alpha, 1, \alpha^2)$  be a  $4 \times 4$  matrix over the finite field  $\mathbb{F}_{2^n}$  and  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . Then,  $A^4$  is MDS for all  $n \geq 5$  except when  $n = 6$  and  $\alpha$  is the root of  $x^6 + x^5 + x^4 + x + 1 = 0$ .*

*Proof.* Proof is given in Appendix E.

*Remark 5.* It is easy to check that when  $n = 8$  and  $\alpha$  is the root of irreducible polynomial  $x^8 + x^4 + x^3 + x + 1$ , we get the MDS matrix  $Serial(1, \alpha, 1, \alpha^2)^4$ , which is proposed in [6].

Now we study  $Serial(1, \beta, 1, \beta^2)^4$  for any non zero  $\beta \in \mathbb{F}_{2^n}$  in Proposition 3. So far, we restricted  $\beta$  to be the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . It is easy to note that  $\beta = \gamma^i$  for some integer  $i$ , where  $\gamma$  is any primitive element in  $\mathbb{F}_{2^n}$ . These propositions resembles the earlier propositions of this Subsection and proof techniques are also similar.

**Proposition 3.** *Let  $A = Serial(1, \beta, 1, \beta^2)$  be a  $4 \times 4$  matrix over the finite field  $\mathbb{F}_{2^n}$ . Also let  $\gamma$  be any primitive element of  $\mathbb{F}_{2^n}$  and  $\beta = \gamma^i$  such that  $i \in C_s$ . Then if  $|C_s| \geq 5$  then  $A^4$  is always an MDS matrix except when  $|C_s| = 6$  and the minimal polynomial of  $\beta$  is  $x^6 + x^5 + x^4 + x + 1$ .*

*Remark 6.* Note, Proposition 2 is a particular case of Proposition 3 by taking  $\beta = \alpha$ , where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . In canonical representation of  $\mathbb{F}_{2^n}$ , MDS matrix construction from Proposition 2 is more efficient.

Now we study  $Serial(1, \beta, 1, \beta + 1)^4$  for any non zero  $\beta \in \mathbb{F}_{2^n}$ .

**Proposition 4.** *Let  $A = Serial(1, \beta, 1, \beta + 1)$  be a  $4 \times 4$  matrix over the finite field  $\mathbb{F}_{2^n}$ . Also let  $\gamma$  be any primitive element of  $\mathbb{F}_{2^n}$  and  $\beta = \gamma^i$  such that  $i \in C_s$ . Then if  $|C_s| \geq 4$  then  $A^4$  is always an MDS matrix.*

*Remark 7.* Similar to Remark 6, if we take  $\beta = \alpha$  in Proposition 4, where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ , we get another *efficient* MDS matrix  $Serial(1, \alpha, 1, \alpha + 1)^4$  in canonical representation of  $\mathbb{F}_{2^n}$ .

We observe that if  $Serial(1, \beta, 1, \beta^2)^4$  is an MDS matrix, then the matrices  $Serial(1, \beta, 1, \beta^2)^{-4}$  and  $Serial(1, \beta^2, 1, \beta)^4$  are also MDS. We record this in Lemma 8 and Lemma 9

**Lemma 8.** *If  $Serial(1, \beta, 1, \beta^2)^4$  is an MDS matrix for some  $\beta \in \mathbb{F}_{2^n}$ , then so is the matrix  $Serial(1, \beta, 1, \beta^2)^{-4}$ .*

**Lemma 9.** *If  $Serial(1, \beta, 1, \beta^2)^4$  is an MDS matrix for some  $\beta \in \mathbb{F}_{2^n}$ , then so is the matrix  $Serial(1, \beta^2, 1, \beta)^4$ .*

## 4.2 Lightweight MDS matrix of the form $Serial(z_0, 1, 1, z_3)^4$

In the Subsection 4.1, we study the MDS property of the matrices of the form given by  $Serial(1, z_1, 1, z_3)^4$  for  $z_i$ 's in  $\{\alpha, \alpha^2, \alpha + 1\}$ , where  $\alpha$  is the root of constructing polynomial of  $\mathbb{F}_{2^n}$  for arbitrary  $n$ . In this Subsection we study matrices of the form  $Serial(z_0, 1, 1, z_3)^4$  over  $\mathbb{F}_{2^n}$  for arbitrary  $n$ , where  $z_0, z_3 \in \{\alpha, \alpha^2\}$ . Note that if  $z_0, z_3 \in \{\alpha, \alpha + 1\}$ , then the matrices will be non MDS (see Lemma 7). Also for better hardware footprint we omit the case when  $z_0, z_3 \in \{\alpha^2, \alpha + 1\}$ . We observe that no MDS matrix exists of the form  $Serial(\alpha, 1, 1, \alpha^2)^4$  over  $\mathbb{F}_{2^n}$ , where  $1 \leq n \leq 3$ . In the next Proposition we consider matrices of the form  $Serial(\beta, 1, 1, \beta^2)^4$  for any non zero  $\beta \in \mathbb{F}_{2^n}$ .

**Proposition 5.** *Let  $B = Serial(\beta, 1, 1, \beta^2)$  be defined over  $\mathbb{F}_{2^n}$ . Also let  $\gamma$  be the primitive element of  $\mathbb{F}_{2^n}$  and  $\beta = \gamma^i$  such that  $i \in C_s$ . Then if  $|C_s| \geq 4$  then  $B^4$  is always an MDS matrix except when  $|C_s| = 4$  and the minimal polynomial of  $\beta$  is  $x^4 + x^3 + x^2 + x + 1$  or  $x^4 + x^3 + 1$  and also when  $|C_s| = 7$  and the minimal polynomial of  $\beta$  is  $x^7 + x^6 + x^5 + x^4 + 1$ .*

*Remark 8.* Similar to Remark 6, if we take  $\beta = \alpha$  in Proposition 5, where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ , we get another *efficient* MDS matrix  $Serial(\alpha, 1, 1, \alpha^2)^4$  in canonical representation of  $\mathbb{F}_{2^n}$ .

*Remark 9.* Note if  $Serial(\beta, 1, 1, \beta^2)^4$  is an MDS matrix, then not necessarily  $Serial(\beta, 1, 1, \beta^2)^{-4}$  and  $Serial(\beta^2, 1, 1, \beta)^4$  are MDS (See Lemma 8 and Lemma 9).

In this Section we found values of  $z \in \mathbb{F}_{2^n}$  which are of low hamming weight, such that  $Serial(1, z, 1, z^2)^4$  and  $Serial(1, z^2, 1, z)^4$  are MDS matrices for all  $n \geq 5$  and  $Serial(z, 1, 1, z^2)^4$  is MDS matrix for all  $n \geq 4$ . It may be checked that for  $n = 3$  no  $Serial(z_0, z_1, z_2, z_3)^4$  is an MDS having two of its entries as one; though for  $n = 3$ , many such MDS matrices of the form  $Serial(z_0, z_1, z_2, z_3)^4$  exist where exactly one of its entries is one. Take for example  $Serial(1, \alpha, \alpha^5, \alpha)^4$ , where  $\alpha$  is the root of  $x^3 + x^2 + 1$ . For  $n = 2$  and 1, no MDS matrix of the form  $Serial(z_0, z_1, z_2, z_3)^4$  exists.

## 5 Conclusion

In this paper, we developed techniques to test if a given  $d \times d$  matrix over  $\mathbb{F}_{2^n}$  is an MDS matrix. We propose a simple algorithm (Algorithm 1) based on some basic properties of MDS matrix. We run the algorithm for up to  $n = 24$  and  $d = 8$ . It might be of interest to explore how further properties related to MDS matrix can be used to develop more efficient algorithm for checking whether a given matrix is MDS.

We developed theories to justify why matrices of the form given by  $Serial(z_0, z_1, z_2, z_3)^4$  and  $Serial(z_0, z_1, z_2, z_3, z_4)^5$  over  $\mathbb{F}_{2^n}$  are MDS for different values  $n$  for low Hamming weight choices of values of  $z_i$ 's, preferably within the set  $\{1, \alpha, \alpha^2, \alpha + 1\}$ . This leads to new constructions of  $4 \times 4$  MDS matrices over  $\mathbb{F}_{2^n}$  for all  $n \geq 4$  and  $5 \times 5$  MDS matrices over  $\mathbb{F}_{2^n}$  for all  $n \geq 8$ . We tried to generalize such results for  $Serial(z_0, \dots, z_{d-1})$  so that  $Serial(z_0, \dots, z_{d-1})^d$  is  $d \times d$  MDS matrix for  $d > 5$ . In doing so, we tried to explore the properties of a companion matrix and its corresponding characteristic polynomial. We use the property that eigen values of a matrix  $A$  (in our case  $A = Serial(z_0, \dots, z_{d-1})$ ) are precisely the roots of the characteristic polynomial (in our case it is  $z_0 + z_1x + z_2x^2 + \dots + z_{d-1}x^{d-1} + x^d$ ); Together with the property that if  $\lambda$  is an eigen value of  $A$ , then  $f(\lambda)$  is the eigen value of  $f(A)$  (in our case  $f(x) = x^d$ ) [15]. But with this simple technique, finding sufficient conditions seem difficult for arbitrary  $d$ . It may be interesting to carry out more research to construct  $d \times d$  MDS matrix  $Serial(z_0, \dots, z_{d-1})^k$  for arbitrary  $d$  and  $k \geq d$ .

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## A Proof of Corollary 1

*Proof.* Let  $((a_{i,j}))$  be a  $2 \times 2$  full rank matrix and let all entries of its inverse be non zero. Let its inverse matrix be  $\frac{((b_{i,j}))}{\det(A)}$ . It is easy to check that  $b_{0,0} = a_{1,1}$ ,  $b_{1,1} = a_{0,0}$ ,  $b_{0,1} = -a_{0,1}$  and  $b_{1,0} = -a_{1,0}$ . Since all entries of  $((b_{i,j}))$  are non zero, all entries of  $((a_{i,j}))$  are also non zero. So  $((a_{i,j}))$  is MDS. The other direction of the proof is immediate.  $\square$

## B Proof of Corollary 2

*Proof.* Let  $\mathbb{M} = ((m_{i,j}))$  be a  $3 \times 3$  full rank matrix with all non zero entries, such that its inverse matrix also has got all non zero entries. So, all  $2 \times 2$  submatrices of  $\mathbb{M}$  are nonsingular. Note that all  $1 \times 1$  submatrices, which are nothing but the elements  $m_{i,j}$ 's, are also non zero. Thus the matrix is MDS matrix. The other direction of the proof is immediate.  $\square$

## C Proof of Lemma 2

*Proof.* It is easy to check that,

$$Serial(1, 1, 1, 1)^4 = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

Since some entries of  $Serial(1, 1, 1, 1)^4$  are zero, so from Fact 2, clearly  $Serial(1, 1, 1, 1)^4$  is not an MDS matrix. Similarly it can be shown that when any three of  $z_0, z_1, z_2$  and  $z_3$  are 1, some entries of the matrix  $Serial(z_0, z_1, z_2, z_3)^4$  are zero. Hence the result.  $\square$

## D Proof of Lemma 6

*Proof.*

$$B^4 = \begin{pmatrix} \alpha & 1 & 1 & \alpha^2 \\ \alpha^3 & \alpha^2 + \alpha & \alpha^2 + 1 & \alpha^4 + 1 \\ \alpha^5 + \alpha & \alpha^4 + \alpha^3 + 1 & \alpha^4 + \alpha^2 + \alpha + 1 & \alpha^6 + 1 \\ \alpha^7 + \alpha & \alpha^6 + \alpha^5 + \alpha + 1 & \alpha^6 + \alpha^4 + \alpha^3 & \alpha^8 + \alpha^4 + \alpha + 1 \end{pmatrix} \quad (4)$$

also

$$B^{-4} = \frac{1}{\alpha^4} \begin{pmatrix} \alpha^3 + \alpha^2 + \alpha + 1 & \alpha^3 + \alpha^2 + 1 & \alpha^6 + \alpha & \alpha^4 + 1 \\ \alpha^5 + \alpha & \alpha^4 + \alpha^3 + \alpha^2 + \alpha & \alpha^4 + \alpha^3 + \alpha^2 & \alpha^2 + \alpha \\ \alpha^3 + \alpha^2 & \alpha^5 + \alpha^2 & \alpha^4 + \alpha^3 & \alpha^2 \\ \alpha^3 & \alpha^3 & \alpha^5 & \alpha^3 \end{pmatrix} \quad (5)$$

The list of determinants of all  $36, 2 \times 2$  submatrices of  $B^4$  are

$\alpha^2, \alpha, \alpha, \alpha+1, \alpha^3+1, \alpha^2+1, \alpha^4, \alpha^3+\alpha^2, \alpha^3+\alpha, \alpha^3+\alpha^2+\alpha, \alpha^5+\alpha^2+1, \alpha^4+\alpha^3+\alpha^2+1, \alpha^6+\alpha^2, \alpha^5+\alpha^4+\alpha, \alpha^5+\alpha^3+\alpha^2+\alpha, \alpha^5+\alpha^4+\alpha^3+\alpha+1, \alpha^7+\alpha^4+\alpha^3+\alpha^2+\alpha+1, \alpha^6+\alpha^5+\alpha^4+\alpha+1, \alpha^2, \alpha^4+\alpha, \alpha^3+\alpha, \alpha^3+\alpha^2+\alpha+1, \alpha^3+\alpha^2+\alpha+1, \alpha^5+\alpha, \alpha^4+\alpha^2, \alpha^6+\alpha^3+\alpha, \alpha^5+\alpha^4+\alpha^3+\alpha, \alpha^5+\alpha^4+\alpha^3+\alpha^2+\alpha+1, \alpha^5+\alpha^4+\alpha^3+1, \alpha^7+\alpha^2+\alpha+1, \alpha^4+\alpha^2, \alpha^4+\alpha^3+\alpha^2+\alpha, \alpha^6+\alpha^2, 1, \alpha^4+\alpha^3, \alpha^4+1.$

There are three irreducible polynomials with coefficients from  $\mathbb{F}_2$  and degree 4, namely  $x^4+x+1, x^4+x^3+1$  and  $x^4+x^3+x^2+x+1$ . It is easy to observe that  $B^4[2][1] = \alpha^4 + \alpha^3 + 1$  and  $B^4[3][1] = \alpha^6 + \alpha^5 + \alpha + 1 = (\alpha+1)^2(\alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1)$ . Thus, when the minimal polynomial of  $\alpha$  is  $x^4+x^3+1$  or  $x^4+x^3+x^2+x+1$ ,  $B^4[2][1]$  or  $B^4[3][1]$  will be 0 respectively. Also note that no polynomial in the above list or in the entries of  $B^4$  or its inverse is a multiple of  $\alpha^4 + \alpha + 1$ . Thus  $B^4$  is a non MDS matrix for  $n = 4$  except when the minimal polynomial of  $\alpha$  is  $x^4 + x + 1$ ,

It is easy to observe that  $B^4[3][2] = \alpha^6 + \alpha^4 + \alpha^3 = \alpha^3(\alpha^3 + \alpha + 1)$  and  $B^4[2][2] = \alpha^4 + \alpha^2 + \alpha + 1 = (\alpha+1)(\alpha^3 + \alpha^2 + 1)$ . So, when the minimal polynomial of  $\alpha$  is  $x^3+x+1$  or  $x^3+x^2+1$ ,  $B^4[3][2]$  or  $B^4[2][2]$  will be zero respectively. Thus  $B^4$  is a non MDS matrix for  $n = 3$ .

Again  $B^4[2][3] = \alpha^6 + 1 = (\alpha+1)^2(\alpha^2 + \alpha + 1)^2$  which is zero when the minimal polynomial of  $\alpha$  is  $x^2+x+1$ . Thus  $B^4$  is a non MDS matrix for  $n = 2$ .

Lastly, when  $n = 1$ ,  $\alpha$  is 1, making  $B = \text{Serial}(1, 1, 1, 1)$  and from Lemma 2,  $B^4$  will be non MDS matrix.

Similarly it can be proved that  $B'^4$  is non MDS matrix.  $\square$

## E Proof of Proposition 2



*Proof.* The minimal polynomial of  $\alpha$  must be of degree  $n \geq 5$ . From equation 2 and equation 3, we get  $A^4$  and  $A^{-4}$ . It is easy to check that  $A^4[2][1] = \alpha^5 + \alpha^2 + \alpha = \alpha(\alpha^4 + \alpha + 1) \neq 0$ ,  $A^4[2][3] = A^4[3][0] = \alpha^6 + \alpha = \alpha(\alpha + 1)(\alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1) \neq 0$ ,  $A^4[3][2] = \alpha^6 + \alpha^5 + \alpha^2 = \alpha^2(\alpha^4 + \alpha^3 + 1) \neq 0$ ,  $A^4[3][3] = \alpha^8 + \alpha^4 = \alpha^4(\alpha + 1)^4 \neq 0$ ,  $A^{-4}[0][2] = \alpha^5 + \alpha^4 + \alpha^2 + 1 = (\alpha + 1)(\alpha^4 + \alpha + 1) \neq 0$ .

Out of all polynomials in  $\alpha$  that are occurring in the entries of  $A^4$  and its inverse, the above polynomials are of degree more than 5 and rest of the entries are of degree less than 5 except  $A^4[3][2] = \alpha^7 + \alpha^4 + \alpha^2 + 1 = (\alpha + 1)(\alpha^6 + \alpha^5 + \alpha^4 + \alpha + 1)$ . So  $A^4[3][2] = 0$  if  $n = 6$  and  $\alpha$  is the root of  $x^6 + x^5 + x^4 + x + 1 = 0$ . Thus all entries of  $A^4$  and its inverse are non zero for  $n \geq 5$  except when  $n = 6$  and  $\alpha$  is root of  $x^6 + x^5 + x^4 + x + 1 = 0$ .

It is easy to check that the number of  $2 \times 2$  submatrices of  $A^4$  is 36. Determinants of all these  $2 \times 2$  submatrices of  $A^4$  are

1,  $\alpha$ , 1,  $\alpha^2 + 1$ ,  $\alpha^2 + \alpha$ ,  $\alpha^3 + 1$ ,  $\alpha^2$ ,  $\alpha^3 + 1$ ,  $\alpha^2 + \alpha$ ,  $\alpha^4 + \alpha^2 + \alpha$ ,  $\alpha^4 + \alpha^3 + \alpha^2$ ,  $\alpha^5 + \alpha$ ,  $\alpha^4 + 1$ ,  $\alpha^5 + \alpha^2 + \alpha$ ,  $\alpha^4 + \alpha^3$ ,  $\alpha^6 + \alpha^4 + \alpha^3 + \alpha^2 + 1$ ,  $\alpha^6 + \alpha^5 + \alpha^4 + \alpha^2$ ,  $\alpha^7$ , 1,  $\alpha^2 + \alpha$ ,  $\alpha^3 + 1$ ,  $\alpha^3 + \alpha^2$ ,  $\alpha^4 + \alpha^2$ ,  $\alpha^4 + \alpha^2$ ,  $\alpha^2 + \alpha$ ,  $\alpha^4 + \alpha^3 + \alpha^2$ ,  $\alpha^5 + \alpha$ ,  $\alpha^5 + \alpha^4 + \alpha^3 + \alpha$ ,  $\alpha^6 + \alpha^4 + \alpha^2 + 1$ ,  $\alpha^6 + \alpha^2$ ,  $\alpha^3 + 1$ ,  $\alpha^4 + \alpha^2$ ,  $\alpha^4 + \alpha^2$ ,  $\alpha^5$ ,  $\alpha^3 + \alpha$ ,  $\alpha^6 + \alpha^3$ .

It is evident that these polynomials in this list which are of degree less than 5 are non zero. Rest of the polynomials in the list having degree  $\geq 5$  are

$\alpha^5 + \alpha$ ,  $\alpha^5 + \alpha^2 + \alpha$ ,  $\alpha^6 + \alpha^4 + \alpha^3 + \alpha^2 + 1$ ,  $\alpha^6 + \alpha^5 + \alpha^4 + \alpha^2$ ,  $\alpha^7$ ,  $\alpha^5 + \alpha$ ,  $\alpha^5 + \alpha^4 + \alpha^3 + \alpha$ ,  $\alpha^6 + \alpha^4 + \alpha^2 + 1$ ,  $\alpha^6 + \alpha^2$ ,  $\alpha^5$ ,  $\alpha^6 + \alpha^3$ .

It is easy to check that these values are all non zero as all can be factored into polynomials of degree less than 5. Thus from Proposition 1,  $A^4$  is an MDS matrix.  $\square$

## F Few More Lightweight $4 \times 4$ MDS matrices

Here we provide few more  $4 \times 4$  MDS matrices which are of the form  $Serial(1, 1, z_2, z_3)^4$  and  $Serial(1, z_1, z_2, 1)^4$ .

- $Serial(1, 1, \alpha + 1, \alpha^2)^4$  is MDS for all  $n \geq 5$  except for the case when  $n = 5$  and the minimal polynomial of  $\alpha$  is  $x^5 + x^3 + 1$  or  $x^5 + x^4 + x^3 + x + 1$ .
- $Serial(1, 1, \alpha^2, \alpha + 1)^4$  is MDS for all  $n \geq 4$  except for the case when  $n = 5$  and the minimal polynomial of  $\alpha$  is  $x^5 + x^2 + 1$  or  $x^5 + x^4 + x^3 + x + 1$  or when  $n = 4$  and the minimal polynomial of  $\alpha$  is  $x^4 + x^3 + 1$  or  $x^4 + x + 1$ .

- $Serial(1, \alpha^2, \alpha + 1, 1)^4$  is MDS for all  $n \geq 5$  except for the case when  $n = 5$  and the minimal polynomial of  $\alpha$  is  $x^5 + x^3 + 1$  or  $x^5 + x^4 + x^3 + x + 1$ .
- $Serial(1, \alpha + 1, \alpha^2, 1)^4$  is MDS for all  $n \geq 4$  except for the case when  $n = 5$  and the minimal polynomial of  $\alpha$  is  $x^5 + x^2 + 1$  or  $x^5 + x^4 + x^3 + x + 1$  or when  $n = 4$  and the minimal polynomial of  $\alpha$  is  $x^4 + x^3 + 1$  or  $x^4 + x + 1$ .

**G Lightweight  $5 \times 5$  MDS matrix of the form  $Serial(1, z_1, 1, 1, z_4)^5$**

In this Subsection we study  $Serial(z_0, z_1, z_2, z_3, z_4)^5$ , where the elements  $z_0, z_1, z_2, z_3, z_4 \in \mathbb{F}_{2^n}$ . As mentioned in Remark 1, we restrict values of  $z_i$ 's to  $1, \alpha, \alpha^2, \alpha + 1$  and try to maximize the occurrence of 1's in the matrix  $Serial(z_0, z_1, z_2, z_3, z_4)$  for better hardware implementation. If 1 is allowed in all four or more places of  $z_0, z_1, z_2, z_3$  and  $z_4$ , the matrix  $Serial(z_0, z_1, z_2, z_3, z_4)^5$  is not MDS (similar to Lemma 2). We next study the possibility of having MDS matrices of the form  $Serial(z_0, z_1, z_2, z_3, z_4)^5$  when any three out of  $z_0, z_1, z_2, z_3$  and  $z_4$  are 1. Note that there are 10 such cases. We have the following propositions similar to Proposition 2.

**Proposition 6.** *Let  $A = Serial(1, \alpha, 1, 1, \alpha^2)$  which is defined over  $\mathbb{F}_{2^n}$ , where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $A^5$  is MDS for all  $n \geq 7$  except when  $n = 8$  and  $\alpha$  is the root of  $x^8 + x^7 + x^6 + x^4 + x^3 + x^2 + 1 = 0$  or  $n = 7$  and  $\alpha$  is the root of  $x^7 + x^3 + x^2 + x + 1$  or  $x^7 + x^6 + x^5 + x^2 + 1$  or  $x^7 + x^6 + x^5 + x^4 + 1$  or  $x^7 + x^6 + x^5 + x^4 + x^3 + x^2 + 1$ .*

*Remark 10.*  $Serial(1, \alpha, 1, 1, \alpha^2)^5$  of Proposition 6 is MDS when  $n = 6$  and minimal polynomial of  $\alpha$  is  $x^6 + x^5 + 1$  or  $x^6 + x^4 + x^3 + x + 1$

**Proposition 7.** *Let  $A' = Serial(1, \alpha^2, 1, 1, \alpha)$  which is defined over  $\mathbb{F}_{2^n}$ , where  $\alpha$  is the root of the constructing polynomial of  $\mathbb{F}_{2^n}$ . Then  $A'^5$  is MDS for all  $n \geq 8$  except when  $n = 8$  and  $\alpha$  is the root of  $x^8 + x^7 + x^6 + x^4 + x^3 + x^2 + 1 = 0$ .*

*Remark 11.* We observe that  $Serial(z_0, z_1, z_2, z_3, z_4)^5$  does not give MDS matrices when any three of  $z_0, z_1, z_2, z_3$  and  $z_4$  are set as 1 and rest two are restricted in  $\{\alpha, \alpha^2, \alpha + 1\}$  except the cases mentioned in Proposition 6 and Proposition 7.