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# NOLB : Non-linear rigid block normal mode analysis method.

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## Abstract

We present a new conceptually simple and computationally efficient method for non-linear normal mode analysis called NOLB. It relies on the **rotations-translations of blocks (RTB)** theoretical basis developed by Y.-H. Sanejouand and colleagues<sup>1,2</sup>. We demonstrate how to physically interpret the eigenvalues computed in the **RTB** basis in terms of angular and linear velocities applied to the rigid blocks and how to construct a non-linear extrapolation of motion out of these velocities. The key observation of our method is that the angular velocity of a rigid block can be interpreted as the result of an implicit force, such that the motion of the rigid block can be considered as a pure rotation about a certain center.

We demonstrate the motions produced with the NOLB method on three different molecular systems and show that some of the lowest frequency normal modes correspond to the biologically relevant motions. For example, NOLB

detects the spiral sliding motion of the TALE protein, which is capable of rapid diffusion along its target DNA. Overall, our method produces better structures compared to the standard approach, especially at large deformation amplitudes, as we demonstrate by visual inspection, energy and topology analyses, and also by the MolProbity service validation. Finally, our method is scalable and can be applied to very large molecular systems, such as ribosomes.

Standalone executables of the NOLB normal mode analysis method are available at <https://team.inria.fr/nano-d/software/nolb-normal-modes/>. A graphical user interface created for the SAMSON software platform will be made available at <https://www.samson-connect.net>.

## Introduction

**Normal mode analysis (NMA)** is an old and well established technique<sup>3</sup> that has recently found many new applications in the field of structural biology and structural bioinformatics<sup>4</sup>. The internal motions of a protein have been a topic of great interest for a long time. One reason for this interest is the fact that some of these motions are known to play an important role in protein functions<sup>4-8</sup>. While **molecular dynamics (MD)** can nowadays accurately predict these motions, it is typically very computationally expensive, whereas **NMA** is relatively cheap and easily allows us to either extract the so-called

*essential dynamics* of the protein from MD trajectories<sup>9</sup>, or to compute some low-frequency *collective motions* for a single structure<sup>7,10–12</sup>. These low-frequency motions are particularly interesting to the structural biology community because they are commonly assumed to give more insight into protein function and dynamics<sup>4,13</sup>.

Another application of NMA is the description and prediction of conformational transitions in molecular systems. More precisely, these transitions are approximated in a low-dimensional conformation space composed of some lowest normal modes<sup>14–18</sup>. For example, NMA has been successfully applied to protein-ligand docking problems<sup>19,20</sup>, protein-protein docking problems<sup>21–25</sup> and others<sup>26,27</sup>. In these applications, NMA is usually used as a supplement to the rigid docking search method<sup>19</sup>, and allows to handle the flexibility, and even the conformational changes of proteins with only a few additional *degrees of freedom* (DOF). We should, however, mention that the relevance of the NMA in protein-protein docking problems is still debatable<sup>24,28</sup>.

NMA uses a quadratic approximation of the potential energy, and thus it produces linear deformations of the initial structure, which are accurate only for small-amplitude motions. Larger amplitudes can destroy, for example, the secondary structure and break interatomic bonds, when NMA is applied to a protein. An obvious circumvention for this problem will be to take smaller amplitude steps and iteratively recompute and diagonalize the Hessian matrix from the updated positions. This procedure would indeed produce a more realistic deformation of the initial structure thanks to the non-linearity of the obtained deformation. However, such an approach requires multiple diagonalization steps, which may be computationally expensive for some of the applications. Thus, multiple attempts were made to introduce non-linear deformations without the need of multiple diagonalizations.

For example, in mechanical engineering, a Taylor expansion of the normal modes vectors<sup>29</sup> was introduced, but this method requires to compute the derivatives of the normal modes

which is a rather complex operation. Another method from structural dynamics considers the expression of normal modes as a function of “master coordinates” and reformulates the equation of motion as an over-determined system of *partial differential equations* (PDEs)<sup>30</sup>, which can be solved using a Galerkin method, for example<sup>31</sup>. To our knowledge, the latter method has only been demonstrated on small systems and solving a large over-determined system of PDEs could be prohibitively costly when applied to a complex system like a protein. In structural biology the most straightforward way to introduce non-linear motions in NMA is to express the stiffness matrix in the torsion angle subspace<sup>11,32–36</sup>. While NMA in this subspace preserves both bond lengths and angles<sup>34–39</sup>, there is a negative long-range effect that limits the applicability of the method to small deformation amplitudes. Indeed, a small change of one dihedral angle causes a propagation of Cartesian displacements along the main chain of a protein or other biopolymer with an increasing amplitude of deformation. Truly, changes in torsional angles result in non-linear Cartesian trajectories of atoms, and Bray et al.<sup>40</sup> demonstrated that NMA performed in this subspace and projected to curved paths in Cartesian coordinates describes protein conformational changes more accurately than linear Cartesian motions.

In this paper we present a conceptually simple and intuitive scheme for *non-linear normal mode analysis* that extrapolates a motion computed from *instantaneous linear and angular velocities* to large amplitudes. The scheme can be considered as an evolution of the widely used *rotations-translations of blocks* (RTB) method presented below. Thus it will be easy to integrate this scheme with the existing software tools based on the RTB approach.

## The Method

### Harmonic oscillator model

In order to briefly explain the NMA method, let us consider a molecular system with  $N_a$  atoms

at an equilibrium position  $q_0 \in \mathbb{R}^{3N_a}$ . We call  $V : \mathbb{R}^{3N_a} \mapsto \mathbb{R}$  the potential energy of our molecular system. We aim at analytically computing the molecular vibration of our system around its equilibrium. In order to do so we introduce  $q : \mathbb{R}^+ \mapsto \mathbb{R}^{3N_a}$ , a small time-dependent molecular displacement of our system around  $q_0$ . The basic idea of the **NMA** method is to represent the potential energy  $V$  in the vicinity of  $q_0$  by its quadratic approximation and to *analytically* solve the Newton’s equation of motion,

$$M(\ddot{q} + \ddot{q}_0) + \nabla V(q_0 + q) \approx M\ddot{q} + Kq = 0, \quad (1)$$

where  $M$  is the diagonal mass matrix, and  $K$  is the *Hessian matrix* of the potential energy  $V$  evaluated at the equilibrium position  $q_0$ . We should note that in classical mechanics  $K$  is traditionally called the *stiffness matrix*. A standard method to analytically solve this kind of **ordinary differential equation (ODE)** is to uncouple it by computing the square matrix of eigenvectors  $L$  and the diagonal matrix of eigenvalues  $\Lambda$  of the *mass-weighted stiffness matrix*  $K_w = M^{-1/2} K M^{-1/2}$ ,

$$K_w = L \Lambda L^T. \quad (2)$$

We call  $\eta : \mathbb{R}^+ \mapsto \mathbb{R}^{3N_a}$  the projection of  $q$  into the eigenspace of  $K_w$  and we call  $(\lambda_i)_{i=0 \dots 3N_a}$  the diagonal values in  $\Lambda$ . Then, left multiplication of the **ODE 1** by  $L^T M^{1/2}$  gives the following system of uncoupled equations,

$$\begin{aligned} \eta &= L^T M^{1/2} q \\ \ddot{\eta}_i + \lambda_i \eta_i &= 0 \quad i = 1 \dots 3N_a. \end{aligned} \quad (3)$$

which can be solved by the classical **ODE** theory. In classical mechanics and engineering, an eigenvector associated with a harmonic oscillator described above is called a *normal mode* with the corresponding oscillating frequency given as  $\sqrt{\lambda_i}$ . The potential energy associated with a harmonic oscillation of this mode is thus linearly proportional to  $\lambda_i$ . Note that since the potential energy  $V$  is expanded around its local minimum, all  $\lambda_i \geq 0$ . Typically, the five or six first eigenvalues are null as they correspond to the rigid body motions, which lie in the ker-

nel of  $K_w$ . Since for most of the applications we are only interested in collective motions, it seems natural to only consider a few of the lowest non-trivial normal modes.

## RTB model

In the past, many methods were developed to reduce the dimensionality of the original **NMA** problem. For example, pioneering works of Noguti and Gō<sup>41</sup> and Levitt et al.<sup>33</sup>, and later of Ma et al.<sup>35</sup> and Chacón et al.<sup>36</sup> explored the **NMA** approach in internal coordinates, Hinsen<sup>13</sup> proposed NMA in a reduced Fourier basis, and finally, many researchers considered coarse-graining<sup>1,2,42–44</sup>. One of the first and most popular methods to coarse-grain the initial system is the **RTB** approach introduced by Durand et al.<sup>1</sup> and further developed by Tama et al.<sup>2</sup> and Li and Cui<sup>26</sup>. In this method, individual or several consecutive amino residues are considered as rigid blocks that can only exhibit rotational and translational motions<sup>1,2</sup>. A very detailed description of the **RTB** method was made by Lezon et al.<sup>45</sup>, where the authors provided both the mathematics behind the method along with a nice physical interpretation of the model. We should note that recently an extension to the **RTB** method called cluster-NMA has been proposed<sup>42,46</sup>. It follows the same philosophy as the **RTB** method, but considers real rigid block rotations about  $C_\alpha$  atoms. The RTB method allows to compute a fairly accurate approximation to the normal modes of a large molecular system, typically a protein, in a reasonable amount of time. The main idea of the method is to describe the system as  $n$  rigid blocks, and to present the infinitesimal internal motions of the system as a set of infinitesimal rotations and translations of these rigid blocks. The transition from the **RTB** coordinate system with  $6n$  DOFs to the all-atom coordinate system with  $3N$  DOFs is performed by an orthogonal projection matrix  $P \in \mathbb{R}^{3N \times 6n}$ . The normal modes in the **RTB** coordinates are then computed by calculating the eigenvectors of the matrix  $P^T K_w P$ .

Let us now briefly explain how the projection matrix  $P$  is obtained. We start from the conservation laws of the linear momentum and the



angular momentum of a rigid block consisting of  $N_b$  atoms written in mass-weighted coordinates,

$$\begin{aligned} \sqrt{M_b} \dot{\tilde{q}} &= \sum_{k=1}^{N_b} \sqrt{m_k} \dot{q}_k \quad \text{for a translation} \\ \mathbf{I}^{1/2} \dot{\tilde{q}} &= \sum_{k=1}^{N_b} \sqrt{m_k} (q_k \times \dot{q}_k) \quad \text{for a rotation} \end{aligned} \quad (4)$$

where  $M_b$  is the total mass of the rigid block,  $\mathbf{I}$  is the rigid block's inertia tensor,  $\tilde{q}$  is the block's displacement,  $m_k$  is the mass of the  $k^{\text{th}}$  atom of the block, and  $q_k$  is the displacement of the  $k^{\text{th}}$  atom of the block. The elements constituting  $P^T$ , the matrix projecting an all-atom motion  $q$  into a motion of rigid block  $\tilde{q}$  are then obtained by differentiating (4) with respect to  $\dot{q}_k$ <sup>45</sup>. This leads to translation  $P_t$  and rotation  $P_r$  matrices of size  $3N_b \times 3$  each, computed for each of the rigid blocks and written through their  $k$   $3 \times 3$  square components,

$$\begin{aligned} P_{tk} &= \sqrt{\frac{m_k}{M_b}} \mathbf{E}_3 \quad \text{for a translation} \\ P_{rk} &= -\sqrt{m_k} (\mathbf{I})^{-1/2} [r_k - r^{\text{COM}}]_{\times} \quad \text{for a rotation} \end{aligned} \quad (5)$$

where  $k$  is one of  $N_b$  atom indices,  $r_k$  is the position of the corresponding atom in the block, and  $r^{\text{COM}}$  the position of the block's **center of mass (COM)**. The rigid block's displacement  $(\delta, \theta)$  6-vector is then obtained by summing up the displacements in the **RTB** coordinate frame,

$$\begin{aligned} \delta &= \sum_{k=1}^{N_b} P_{tk}^T q_k \quad \text{for a translation} \\ \theta &= \sum_{k=1}^{N_b} P_{rk}^T q_k \quad \text{for a rotation} \end{aligned} \quad (6)$$

Having written these equations, we can write the projection matrix  $P$  as a diagonal block matrix,

$$P = \begin{pmatrix} P_t^1 & P_r^1 & & \\ \cdot & \cdot & \ddots & \\ & & P_t^n & P_r^n \end{pmatrix}. \quad (7)$$

This projection allows, in principle, to drastically reduce the size of the stiffness matrix,

which made it possible to study large biomolecular assemblies<sup>2,26,47</sup>. We want to emphasize that the rotational part in (7) is an *infinitesimal rotation* of a rigid block about its center of mass. Linearization of this rotation, as we will show below, distorts the interatomic distances and produces unrealistic molecular conformations for large deformation amplitudes. Below we will introduce a new method to circumvent this problem.

## RTB normal modes

The main idea of our method is the *non-linear extrapolation* of the instantaneous directions of motion described by the normal modes computed in the **RTB** subspace. These normal modes are calculated by the diagonalization of the **RTB**-projected mass-weighted stiffness matrix,

$$P^T K_w P = \tilde{L} \tilde{\Lambda} \tilde{L}^T, \quad (8)$$

where  $\tilde{L}$  is the matrix composed of the **RTB** normal modes with the corresponding diagonal eigenvalue matrix  $\tilde{\Lambda}$ . This equation can be rewritten as

$$K_w = (P \tilde{L}) \tilde{\Lambda} (P \tilde{L})^T. \quad (9)$$

Comparing this equation with (2) we can obtain the all-atom normal modes  $L^w$  (in mass-weighted coordinates) as a projection of **RTB** normal modes  $\tilde{L}$  according to

$$L^w = P \tilde{L}. \quad (10)$$

The original **RTB** method used this equation to compute a linear deformation of the original molecular structure along directions  $L^w$ . However, we are going to use the structure of the projection matrix  $P$  to compute a more natural non-linear deformation.

To proceed further, first of all we should remind that the size of an **RTB** normal mode vector  $L_i^w$  is  $6n$ , with  $n$  being the number of rigid blocks. Each six consecutive coordinates in this vector correspond to a certain rigid block, with the first three coordinates providing the *instantaneous displacement* of the rigid block's **COM**, and the second three coordinates providing the

instantaneous axis of rotation of the rigid block, passing through its COM. Introducing time dependence of the variables and taking the time derivative of the RTB normal mode vector, we can interpret its components as *instantaneous linear velocities* and *instantaneous angular velocities* of individual rigid blocks. The final step of our method is the extrapolation of rigid blocks' motion for large amplitudes starting from their instantaneous linear and angular velocities.

## Extrapolation of the instantaneous motion

In the standard NMA method, molecular vibrations in a multi-dimensional harmonic oscillator are all uncoupled and can be found by solving (3), which gives a sinusoidal function as a time-dependent vibration in normal coordinates<sup>3</sup>. For our applications, however, it is sufficient to only consider the maximum amplitude of the deformation  $a$ , which, in principal, can be a sinusoidal function in time as well. Then, for a rigid block with mass  $M_b$  and inertia tensor  $I$ , given its instantaneous linear velocity  $\vec{v}_w$  and its instantaneous angular velocity  $\vec{\omega}_w$  expressed in mass-weighted coordinates, we first compute these in the non-mass weighted coordinates as follows,

$$\begin{aligned}\vec{v} &= M_b^{-1/2} \vec{v}_w \\ \vec{\omega} &= I^{-1/2} \vec{\omega}_w\end{aligned}\quad (11)$$

Then, the translational increment in the rigid block's position  $\Delta\vec{x}$  and the angular increment in its orientation  $\Delta\phi$  can be computed as

$$\begin{aligned}\Delta\vec{x} &= a\vec{v} \\ \vec{n} &= \vec{\omega}/\|\vec{\omega}\|_2, \\ \Delta\phi &= a\|\vec{\omega}\|_2\end{aligned}\quad (12)$$

where the rigid block's rotation is described with a unit axis  $\vec{n}$  passing through its COM and an angle  $\phi$ . We see that the motion of the rigid block can now be described as a rotation about an axis  $\vec{n}$  by an angle  $\Delta\phi$ , denoted as  $R(\Delta\phi, \vec{n})$ , followed by a translation by a vector  $\Delta\vec{x}$ . These rotation and translation operations are computed starting from instantaneous angular and

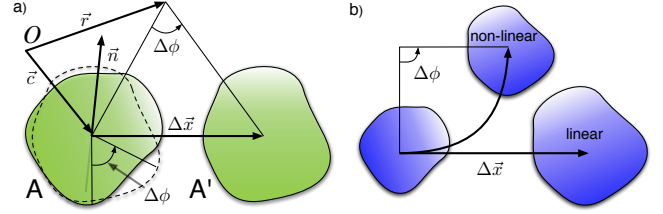


Figure 1: A motion of a rigid block. a) A rigid block A with the COM at  $\vec{c}$  is rotated about an axis  $\vec{n}$  by an angle  $\Delta\phi$ , and then translated by a vector  $\Delta\vec{x}$ . The result of the first rotation is shown as a dashed outline. The result of the complete motion is denoted as A'. This motion can be also represented as a pure rotation about a new center  $\vec{r}$  by the same angle  $\Delta\phi$ . The origin of the coordinate system is denoted as O. b) Schematic comparison of the linear and non-linear motion extrapolation methods. Please notice the final positions of the centers of mass and the size of the rigid blocks.

linear velocities. The question is, however, how do we interpret this motion at large deformation amplitudes  $a$ ? We should note that, generally, rotation and translation do not commute and thus the order of these operations matter. However, this is not the case for infinitesimal rotations. At large amplitudes, we are free to choose the order of the operations for our extrapolation, and for simplicity we stick to the order when the rotation is applied first.

If no external forces act on a rigid block, due to the momenta conservation laws it will be moving along a straight line. However, our rigid blocks interact with each other and thus they are always subject to implicit forces. Therefore, it is reasonable to assume that the angular velocity of a rigid block is the result of a rotation about certain center. This is the *key observation* of our method. Now, let us represent the motion of the rigid block as a *pure rotation* about a new rotation center  $\vec{r}$ , as it is shown in Figure 1a. Without loss of generality we assume that  $\vec{r}$  is orthogonal to  $\vec{n}$ , i.e.  $\vec{r} \cdot \vec{n} = 0$ . The new rotation center  $\vec{r}$  can be found from the following identity,

$$R(\Delta\phi, \vec{n})(\vec{A} - \vec{c}) + \vec{c} + \Delta\vec{x} = R(\Delta\phi, \vec{n})(\vec{A} - \vec{r}) + \vec{r}, \quad (13)$$

where  $\vec{A}$  are atomic positions of the rigid block, and  $\vec{c}$  is its **COM** vector. It is easy to demonstrate that this equation has a solution only when vectors  $\Delta\vec{x}$  and  $\vec{n}$  are orthogonal to each other, which is not, generally, the case in 3D. To provide a unique solution, we represent the increment in the rigid block's position  $\Delta\vec{x}$  as a sum of two orthogonal vectors,

$$\Delta\vec{x} = \Delta\vec{x}_\perp + \Delta\vec{x}_\parallel, \quad (14)$$

where  $\Delta\vec{x}_\perp$  is orthogonal to  $\vec{n}$  and  $\Delta\vec{x}_\parallel$  is collinear to  $\vec{n}$ . If only the orthogonal  $\Delta\vec{x}_\perp$  translation is used for the extrapolation of motion, then the unique solution of (13) is given as

$$\vec{r} = \vec{c} + \Delta\vec{x}_\perp/2 + (\vec{n} \times \Delta\vec{x}_\perp)/(2 \tan \frac{\Delta\phi}{2}). \quad (15)$$

We can see that the center of the extrapolated rotation  $\vec{r}$  depends on the amplitude of the rotation angle  $\Delta\phi$ , which is not suitable for our reasons. However, at infinitely small amplitudes, it converges to the following value,

$$\vec{r}_0 = \vec{c} + (\vec{n} \times \vec{v}_\perp)/\|\vec{\omega}\|_2, \quad (16)$$

which we will use for the motion extrapolation. Finally, extrapolated positions  $\vec{A}'$  of a rigid block with initial atomic positions at  $\vec{A}$  are given as

$$\vec{A}' = R(\Delta\phi, \vec{n})(\vec{A} - \vec{r}_0) + \vec{r}_0 + \Delta\vec{x}_\parallel. \quad (17)$$

Figure 1b schematically shows a comparison between the proposed non-linear extrapolation method and the standard linear extrapolation. Please note the final positions of the rigid blocks. Please also note that the linear extrapolation method does not preserve the shape of the rigid block. Mathematically speaking, this happens because the underlying transformation matrix represents a linearized rotation and has a non-unity determinant.

## Potential function

In principle, our approach can be used with any potential function. However, to omit the need of initial system's energy minimization, we have

chosen an all-atom **anisotropic network model (ANM)**<sup>12,48</sup>, which is a type of elastic network model where the initial structure is always at equilibrium. The assessment of several elastic network models can be found, i.e., in Fuglebakk et al.<sup>49,50</sup>. We specifically chose the all-atom model to make sure that torques acting on the rigid blocks are accurately computed. The all-atom **ANM** has the following potential function,

$$V(q) = \sum_{d_{ij}^0 < R_c} \frac{\gamma}{2} (d_{ij} - d_{ij}^0)^2, \quad (18)$$

where  $d_{ij}$  is the distance between the  $i^{th}$  and the  $j^{th}$  atoms,  $d_{ij}^0$  is the reference distance between these atoms, as found in the original structure,  $\gamma$  is the stiffness constant, and  $R_c$  is a cut-off distance, typically between 8 Å and 15 Å. The stiffness matrix corresponding to this potential function is composed of the following blocks<sup>4,12,48</sup>,

$$H_{ij} = \frac{\gamma}{(d_{ij}^0)^2} \begin{pmatrix} (x_{ij}^0)^2 & x_{ij}^0 y_{ij}^0 & x_{ij}^0 z_{ij}^0 \\ y_{ij}^0 x_{ij}^0 & (y_{ij}^0)^2 & y_{ij}^0 z_{ij}^0 \\ z_{ij}^0 x_{ij}^0 & z_{ij}^0 y_{ij}^0 & (z_{ij}^0)^2 \end{pmatrix} \quad i \neq j, \quad (19)$$

$$H_{ii} = - \sum_{j \neq i} H_{ij}$$

where  $x_{ij} = x_i - x_j$ ,  $y_{ij} = y_i - y_j$ , and  $z_{ij} = z_i - z_j$ . In practice, to rapidly compute this matrix, we use an efficient neighbor search algorithm<sup>51</sup>. We should note that for large systems the atomic representation is not needed if we are only interested in a few slowest collective motions. Thus, in principle, our method can also use a simplified model, i.e., with only  $C_\alpha$  atoms provided that each rigid block will be composed of at least four particles to have non-singular inertia tensors and non-zero torques.

## Test cases

To assess the method, we have selected three types of tests. First, we chose three molecular systems for the visual inspection of the motions. These systems are the T7 large terminase (pdb code 4bij), the TAL effector PthXo1 bound to its DNA target (pdb code 3ugm), and

the cytoplasmic domain of a bacterial chemoreceptor from *thermotoga maritima* (pdb code 2ch7). Our second test is the energy comparison between the linear and non-linear deformations along some low-frequency modes at different deformation amplitudes. For this test we have selected four structures of molecular systems from those provided in the 2015/2016 Cryo-EM Model Challenge<sup>52</sup>. These are the structure of the T7 large terminase described above, the structure of the human  $\gamma$ -secretase (pdb code 5a63), the structure of the capsaicin receptor TRPV1 (pdb code 3j9j), and the structure of the TRPV1 ion channel (pdb code 3j5p). Finally, in the third test we measured the memory and CPU consumption of our method with five molecular structures of increasing size ranging from 4,630 of atoms to 284,479 of atoms. These are the structure of the cytoplasmic domain of a bacterial chemoreceptor from *thermotoga maritima* (pdb code 2ch7 with 4,630 of atoms excluding hetero atoms), the structure of the human  $\gamma$ -secretase (pdb code 5a63 with 9,646 of atoms excluding hetero atoms), the structure of the T7 large terminase (pdb code 4bij with 18,855 of atoms excluding hetero atoms), the structure of the photosystem II complex (pdb code 5b5e, 40,908 of atoms excluding hetero atoms), and the structure of the *E. coli* 70S ribosome (pdb code 5j8a, 284,479 of atoms excluding hetero atoms). We should mention that the last structure is one of the largest that the protein data bank<sup>53</sup> currently contains.

## Results and discussion

### Visual inspection of the non-linear motions

For the first test we have computed some lowest-frequency normal modes for several molecular systems and present the difference between the linear and the non-linear extrapolation approaches, as it is described below. The first molecular system demonstrates three basic types of internal motions (see Figure 2) and the other two systems illustrate some biologi-

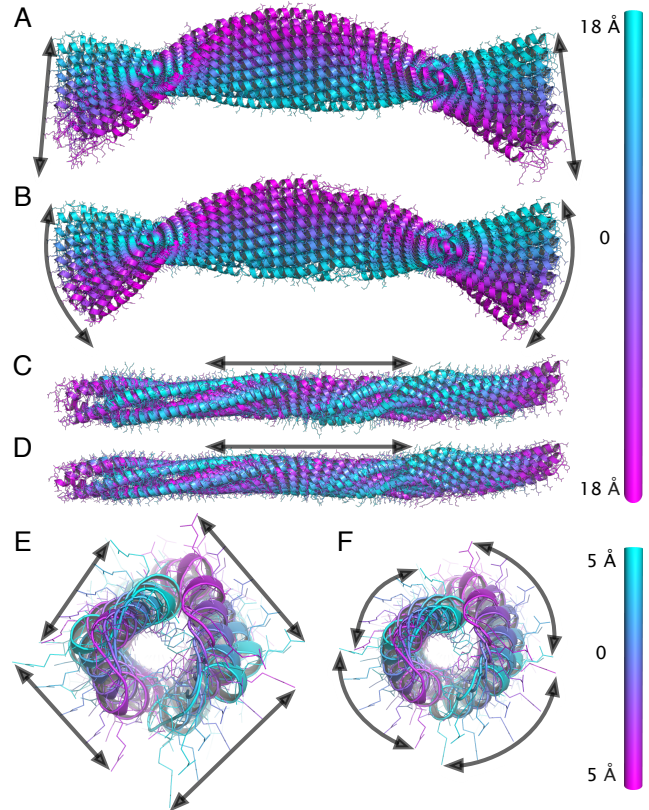


Figure 2: Comparison of linear (A, C, E) and non-linear (B, D, F) motion extrapolations of a coiled coil protein (pdb code 2ch7). Three types of motions are shown, bending (A, B), stretching (C, D), and twisting (E, F). Several snapshots at different deformation amplitudes are superposed to each other. These are colored according to the values of the overall deformation, as measured by the **root-mean-square deviation (RMSD)**. The colorbars show the **RMSD** with respect to the initial position. The arrows follow the trajectories of individual atoms.

cally relevant motions (see Figure 3). Overall, Figures 2 and 3 clearly demonstrate that the non-linear extrapolation produces visually better and physically more realistic motions than the standard approach. We should mention that in this test we used a single residue as a rigid block. We have additionally performed experiments with a larger number of residues per block, up to 10, and the results are very similar with the same conclusions as stated below.

There are, generally, three basic types of internal motions that a molecular system may



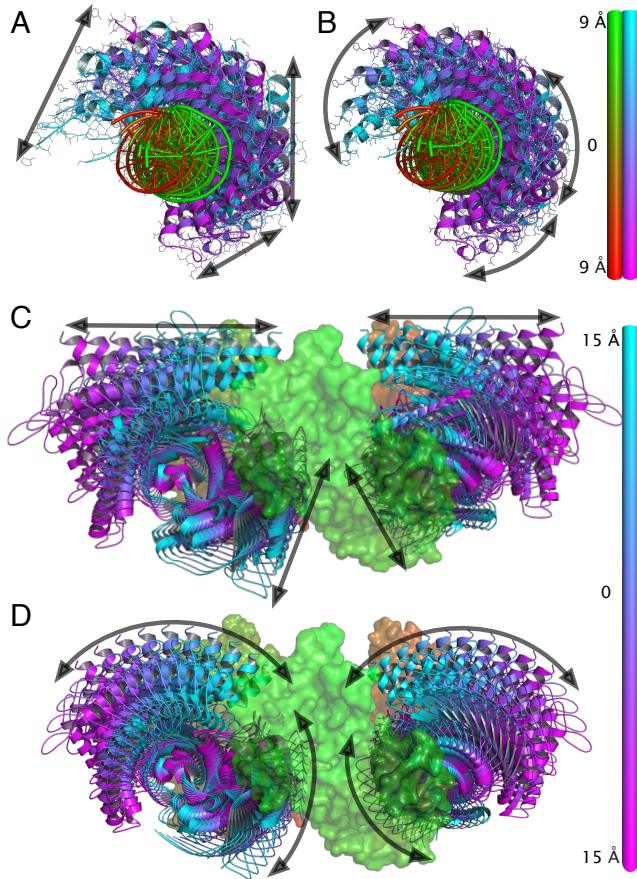


Figure 3: Comparison of linear (A, C) and non-linear (B, D) motions computed for two molecular systems. Several snapshots at different deformation amplitudes are superposed to each other. These are colored according to the values of the overall deformation, as measured by the **RMSD**. The colorbars show the overall **RMSD** with respect to the initial positions. The arrows follow the trajectories of individual atoms. (A, B). Sliding of a DNA-binding protein (colored from cyan to purple) in the groove of the surface of the DNA (pdb code 3ugm). (C, D). Motion of two subunits of a terminase pentamer protein (pdb code 4bij). Three other subunits are shown in the surface representation. The 5-fold symmetry axis points towards the top of the figure.

exhibit. These are bending, stretching and twisting. All of these motions can be clearly seen with symmetric elongated rod-like objects. Therefore, for the first illustration we have chosen a coiled-coil water-soluble protein from the cytoplasmic domain of a bacterial chemorecep-

tor (pdb code 2ch7). For this protein, we have computed its ten lowest normal modes and specifically selected those that correspond to the described basic types of motions. Then, we have computed the linear and non-linear motion extrapolations at different amplitudes. These are presented in Figure 2. The difference between the two types of extrapolations is especially apparent for motions with a large portion of involved rotation. For example, Figures 2A-B show a bending type of motion and Figures 2E-F show a twisting motion. For these two types of motions the difference between the two extrapolation approaches is visually clear. This is because for these types of motions the translational component is typically negligible with respect to the rotational component, which is given as a pure rotation of rigid blocks about a certain center. Thus, the non-linear extrapolation produces a very different result at large deformation amplitudes. However, for the stretching motion, which is shown in Figures 2C-D, there is no noticeable visual difference between the two types of motion extrapolation. This is because in this case the motion is mostly represented by its translation component and there is almost no difference between the two extrapolation approaches.

Another interesting type of motion where the non-linear extrapolation produces a noticeable different result is the spiral sliding of a transcription activator-like effector (TALE) protein in a surface groove of its DNA target. This motion, both using linear and non-linear extrapolations at large amplitudes, is shown in Figures 3A-B. Here, we can see very similar motions of the DNA molecule (colored from green to red), while the extrapolated motions of the TALE protein (colored from cyan to purple) look more physically realistic in the non-linear case. We should note that the maximum overall **RMSD**, as measured for the linear extrapolation, is about 9 Å. At such large deformation amplitudes, the linear extrapolation significantly perturbs the structure, as can be illustrated by broken covalent bonds. We should also emphasize that this sliding motion, as computed by the NOLB analysis around the system's equilibrium position, is biologically rele-

vant, as has been recently demonstrated by the direct observation of TALE protein dynamics<sup>54</sup>. More precisely, the TALE proteins are capable of rapid diffusion along DNA using a combination of sliding and hopping.

Finally, as the last example, we have chosen a pentameric assembly of terminase proteins with the  $C_5$  cyclic symmetry. The terminase is a powerful motor that converts ATP hydrolysis into mechanical movement of the DNA<sup>55</sup>. Similar to the previous examples, we have computed the lowest normal modes for the whole assembly and chosen the one that is responsible for the opening and closing of the channel in the middle of the assembly. More precisely, here each of the five subunits rotates symmetrically such that the channel in the middle changes its shape. Figures 3C-D show the difference between both the linear and the non-linear extrapolations of this motion. In order to make the figure more comprehensible, we show the motion of only two out of five subunits, colored from cyan to purple according to the amplitude of the deformation. The three remaining subunits (shown in surface representation) are static. Again, we can see that at large amplitudes the non-linear extrapolation looks more physically realistic than the linear one. Similar to the previous example, this motion composed of symmetric rotations of each of the five subunits, as computed by the NOLB analysis, is biologically relevant and has been noticed during the cryo-electron microscopy reconstruction of the T7 large terminase<sup>55</sup>. More precisely, the five terminase subunits rotate to adapt the channel in such a way that it can accommodate the guest DNA.

## Topology comparison

As we have discussed above, the non-linear normal modes approach demonstrated *visually* better results on all the tested examples. However, both linear and non-linear extrapolation methods result in physically unrealistic local geometries at large deformation amplitudes. Thus, an additional energy minimization is typically required to relax the locally disturbed molecular geometries. Therefore, in this test

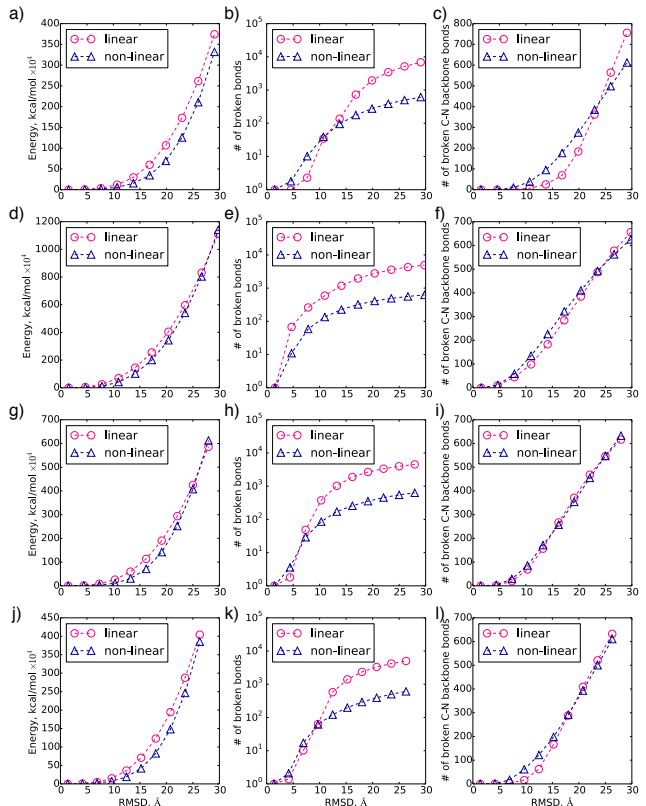


Figure 4: Comparison of linear and non-linear deformations averaged over the 10 lowest normal modes computed for the following systems, (a-c) 4bij, (d-f) 5a63, (g-i) 3j9j, and (j-l) 3j5p. In (a,d,g,j) the bond harmonic energy as a function of the deformation amplitude is shown. In (b,e,h,k) the total number of broken bonds as a function of the deformation amplitude is shown (in a log scale). In (c,f,i,l) the number of broken bonds between individual amino acids is shown as a function of the deformation amplitude. See the main text for details.

we estimate the computational difficulty of such a minimization, which should be proportional to the deformation energy of the final structure. More precisely, we assume that the covalent bonds in the initial molecular structure are represented by harmonic springs with a force constant of  $500 \text{ kcal}/(\text{mol } \text{\AA}^2)$ , which is a typical value in classical force fields<sup>56,57</sup>, and we also assume that the total potential energy in the system is given by the sum of the bond contributions.

For this test, we measured the potential energy of the molecular structures generated



by both linear and non-linear extrapolations at various deformation amplitudes. Figures 4(a,d,g,j) show potential energy for several molecular structures averaged over ten lowest normal modes as a function of the overall RMSD of the final structure with respect to the initial one. We can see that for all the systems the non-linear normal modes approach produces geometries with a lower bond energy than the standard linear NMA method, at least for deformations that do not exceed 25 Å in RMSD. This means that, in principle, it will be computationally more efficient to optimize the structures produced by the NOLB approach compared to the standard one.

To extend the analysis of the produced molecular topologies, we compared the number of broken covalent bonds in the final molecular structures. We define a covalent bond between two atoms as broken if its length exceeds the sum of the corresponding van der Waals radii multiplied by a factor of 0.6. Figures 4(b,e,h,k) show the total number of broken covalent bonds for the two approaches and clearly demonstrate that the linear extrapolation perturbs local molecular geometries much more compared to the NOLB method. Indeed, we can see that the gap between the two curves increases when the deformation amplitudes become larger. However, since the NOLB NMA approach relies on the rigid body dynamics and all the individual amino acids are treated as rigid blocks, we additionally compared the number of broken covalent bonds between individual amino acids for the two extrapolation approaches. Figures 4(c,f,i,l) show these comparisons. For this case we can see that at small deformation amplitudes, the NOLB method breaks more covalent bonds, which should be expected. At large deformation amplitudes, however, the NOLB method performs better than the standard approach. Nonetheless, we should only consider the total number of broken bonds, or the total deformation energy of the system. In all the cases, as Figure 4 demonstrates, the NOLB NMA approach produces much better results compared to the standard method. In Supporting Information we also provide individual tables that list the data for each of the normal

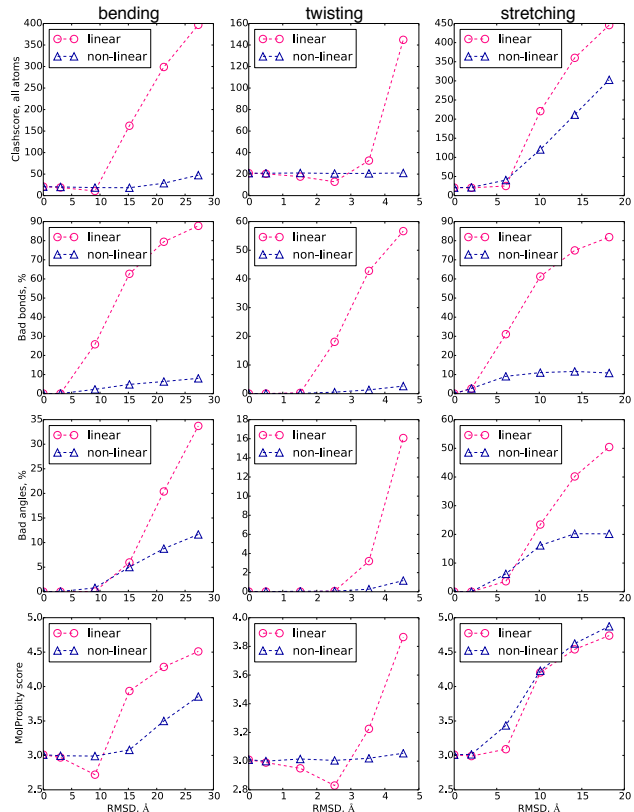


Figure 5: Comparison of linear and non-linear deformations of the coiled-coil cytoplasmic domain of a bacterial chemoreceptor (pdb code 2ch7) assessed by the MolProbity server.<sup>58</sup> Results for the bending motion are shown in the left column, for the twisting motion are shown in the middle column, and for the stretching motion are shown in the right column. Multiple MolProbity statistics are plotted as a function of the deformation amplitude. The 'clashescore' is the number of serious clashes (atomic overlap  $\geq 0.4$  Å) per 1,000 atoms. Bad bonds and angles are those that are further away than four standard deviations from the expected values. The MolProbity score is a log-weighted combination of the clashescore, the percentage of not favored Ramachandran angles, and the percentage of bad side-chain rotamers, giving one number that reflects the crystallographic resolution at which those values should be expected.

modes individually for all the described molecular structures.

To complete the analysis, we have also evaluated the quality of several selected structures using a popular MolProbity server.<sup>58</sup> For this

evaluation we chose three types of deformations of a coiled coil cytoplasmic domain of a bacterial chemoreceptor presented in Fig. 2, namely, bending, twisting, and stretching. MolProbity is a structure validation web service widely used to evaluate the quality of X-ray or NMR structures. For the analysis it uses a variety of physics- and knowledge-based algorithms. Figure 5 presents the computed MolProbity statistics. More precisely, it shows the amount of serious clashes (with atomic overlap  $\geq 0.4$  Å), the percentage of statistically abnormal bonds and angles, and finally, the cumulative 'MolProbity score', which reflects the crystallographic resolution at which these structures should be expected. As before, we can see that at large deformation amplitudes the NOLB method produces consistently better structures than the standard linear approach. This conclusion is true for all studied types of motions. At small deformation amplitudes, the linear NMA approach performs slightly better if we consider the total number of serious clashes in the structures. Interestingly enough, this number can even decrease compared to the crystallographic structure, presumably because of its moderate resolution.

The presented examples demonstrate that the NOLB approach is able to generate structures with a fewer number of geometric distortions compared to the linear NMA method. However, after a certain amplitude of deformation, our method will also produce topological artifacts. This amplitude will generally depend on the type of motion, or, more technically, on the amount of the involved rotation compared to the translation (see eqs. 14 and 17). For a pure rotational motion, for example, trajectories of all the rigid blocks will be located on certain circles and thus the maximum geometrical distortion of the structure will be always bounded by the circles radii regardless the deformation amplitude. Figure 2F gives a fair approximation of such a motion. For the other extreme case of a pure translational motion, there will be no difference between the two approaches and the distortions produced by the NOLB method will be the same as in the standard approach, as it is shown in Fig. 2D.

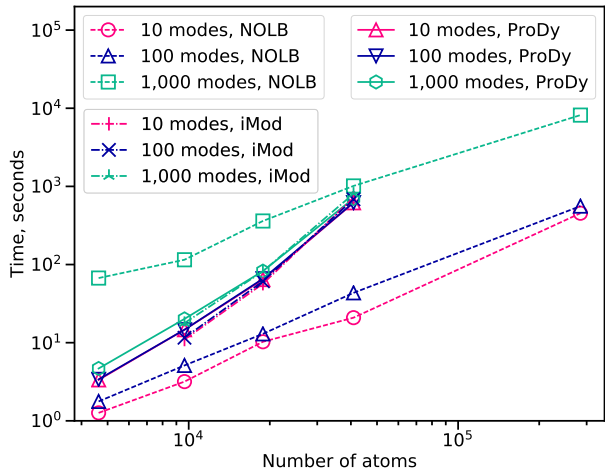


Figure 6: Total time taken by the NOLB, ProDy and iMod methods to compute first 10, 100, and 1,000 normal modes for five molecular structures as a function of their size in a log-log scale. Several data points are missing because ProDy failed on the largest system and iMod failed on the smallest and the largest systems. See the main text for details.

We would like to conclude this section mentioning that structural distortions presented above are not a serious obstacle for the applicability of the Cartesian NMA approaches. Indeed, the produced molecular structures can be straightforwardly optimized using standard techniques, for example, gradient-based minimizers and classical force-fields. However, as we hinted above, it will be computationally more efficient to optimize a structure produced by the NOLB approach compared to the linear one due to a typically lower energy of the NOLB structure. Also, at large NMA deformation amplitudes, the result of such an optimization for the linear technique will be generally different from the one of the non-linear technique. Thus, the presented NOLB approach is a computationally cheap alternative to the other NMA methods when large deformation amplitudes are required.

## Memory and CPU consumption

Finally we demonstrate the scalability of our method on five molecular structures of vari-

Table 1: Memory consumption of the NOLB **NMA** method on the tested molecular structures. All the computations were performed using the double precision variables. We set the interatomic interaction cutoff to 10 Å. The number of atoms is listed without the heteroatoms. The size of the matrices is given as the number of rows (or columns) they contain.

Name	PDB code	Number of atoms	All-atom Hessian size	RTB Hessian size	Memory required
Chemoreceptor	2ch7	4,630	13,890	3,702	123 Mb
Human $\gamma$ -secretase	5a63	9,646	28,938	7,338	310 Mb
Terminase	4bij	18,855	56,565	14,220	570 Mb
Photosystem II	5b5e	40,908	122,724	31,494	1,3 Gb
70S ribosome	5j8a	284,479	853,437	123,804	9,3 Gb

ous sizes and geometries, as we have described in more detail above. We should specifically mention that these results only demonstrate the performance of our RTB NMA implementation. The subsequent non-linear analysis of the motions takes only a marginal piece of the total time, which can be ignored. More technically, our method uses sparse data representation and the Lanczos scheme to find a subset of eigenvectors of the Hessian matrix. As a reference, we also provide results of other state-of-the-art NMA methods. These are the RTB module of the ProDy package<sup>59</sup> and the iMod method that performs NMA in internal coordinates.<sup>36</sup> Both of these methods operate with dense matrices and use LAPACK routines for the partial diagonalization. ProDy computes a subset of eigenvectors of a real symmetric matrix, whereas iMod seeks for a subset of eigenvectors of the generalized symmetric definite eigenvalue problem. We should mention that we also tested the original RTB NMA implementation of Yves-Henri Sanejouand and colleagues,<sup>1,2</sup> but it turned out to be much slower than the other tested methods because of the full Hessian diagonalization. Also, the CHARMM program used to have a generalized RTB method called block-normal-modes (BNM),<sup>26</sup> but it disappeared from the recent CHARMM releases and we could not assess its performance. We present the numerical results measured on a MacBook Pro Mid 2015 laptop with a 2.8 GHz Intel Core i7 processor and 16 GB 1600 MHz DDR3 RAM. The same interaction cutoff value of 10 Å was used in all the tested methods. The rigid blocks in both ProDy and NOLB were constructed on a single residue

basis. For the iMod method, we chose all the dihedral angles as degrees of freedom. Table 1 lists the memory consumption of the NOLB method on the tested structures. We can see that even the structure of the E. coli 70S ribosome with  $\sim 300,000$  of atoms, which is one of the largest in the protein data bank, can be computed with our method on all the modern computers. Figure 6 shows the total execution time of the NOLB, ProDy and iMod methods to compute the first 10, 100, and 1,000 normal modes for five systems of increasing size. We should mention that the NOLB method spends almost all of its time in the diagonalization of the Hessian matrix, thus its total time can be generally attributed solely to the diagonalization procedure. Also, in these tests, we have disabled the output of the computed normal modes, as this might take a significant portion of time. Overall, the timing for our method scales linearly with the size of the molecular structure and non-linearly with the number of the computed normal modes. Regarding the other two methods, we can draw several observations. First of all, in terms of speed ProDy and iMod are very similar to each other despite the fact that one uses the RTB model in the Cartesian space, while the other uses model representation in the internal coordinates. Second, the performance of these two methods is almost independent of the number of requested modes. We should mention that iMod failed on the smallest chemoreceptor system outputting zero eigenvectors, so we removed these data from the plot. Finally, both methods failed with the segmentation fault on the largest system during the computation of the Hessian matrix. There-

fore, we repeated the test removing all the RNA chains from the ribosome molecule, such that the final structure contained only 90,587 atoms, but the two methods failed again. To conclude, if only a few normal modes are required (up to 100), then the sparse iterative scheme based on the Lanczos diagonalization algorithm seems to be advantageous over the other strategies. The difference becomes very significant for mid- to large-size systems starting at about 20,000 of atoms. On the other hand, if all the modes are required, then the dense diagonalization methods are much more effective. Finally, for molecular systems of a very large size starting from about 100,000 of atoms, only the sparse method implemented in NOLB completed the job. We should mention here that, of course, more aggressive coarse-graining schemes can be used for large systems such that dense diagonalization methods will be very efficient as well. Also, our test case is far from being exhaustive and more rigorous comparisons of different diagonalization techniques can be found elsewhere, for example in a recent study from the authors of iMod,<sup>60</sup> where they drew the same conclusions regarding the advantage of the iterative Krylov subspace techniques. Overall, this test demonstrated that modern NMA algorithms compute the slowest normal modes for mid-size molecular systems in a very reasonable time, typically in less than a minute, and in many cases these are computed in several seconds almost at the interactive rates.

## Conclusion

In this work we have presented a conceptually simple and computationally efficient method for non-linear normal mode analysis. It relies on the rotation-translation of rigid blocks theoretical basis developed by Y.-H. Sanejouand and colleagues<sup>1,2</sup>. We have demonstrated how to physically interpret the eigenvalues computed in the **RTB** basis in terms of angular and linear velocities applied to the rigid blocks and how to construct a non-linear extrapolation of motion using these velocities. The key observation of our method is that the angular veloc-

ity of a rigid block can be interpreted as the result of an implicit applied force, such that the motion of the rigid block can be considered as a pure rotation about a certain center. In principle, our method is independent of the force-field. However, we have only tested it with the anisotropic network model. We demonstrated the motions produced with the NOLB method on three different molecular systems, and noted that some of the lowest frequency normal modes correspond to the biologically relevant motions, as has been reported in literature. For example, NOLB has detected the spiral sliding motion of the TALE proteins, which are capable of rapid diffusion along DNA. It has also detected the simultaneous rotation of the five terminase subunits in a pentameric assembly, which allows it to accommodate the guest DNA. We have compared our method with the standard approach in Cartesian coordinates and have shown that the NOLB **NMA** produces better structures, especially at large deformation amplitudes, as has been also confirmed by the MolProbity service validation. We have also demonstrated that our method is scalable and can be applied to very large molecular systems, such as ribosomes. In the future it will be interesting to study how the NOLB normal modes describe protein conformational changes compared to other approaches.<sup>40</sup> Standalone executables of the NOLB **NMA** are available at <https://team.inria.fr/nano-d/software/nolb-normal-modes/>. A graphical user interface created for the SAMSON software platform will be shortly available at <https://www.samson-connect.net>.

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**Supporting Information Available:** Sup-



plementary Information pdf file is available free of charge. It contains 8 tables that list the energy and number of broken bonds for deformations along the lowest 10 normal modes for 4 tested molecular structures. This material is available free of charge via the Internet at <http://pubs.acs.org/>.

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