



HAL
open science

DeCoSTAR: Reconstructing the ancestral organization of genes or genomes using reconciled phylogenies

Wandrille Duchemin, Yoann Anselmetti, Murray Patterson, Yann Ponty, Sèverine Bérard, Cedric Chauve, Celine Scornavacca, Vincent Daubin, Eric Tannier

► To cite this version:

Wandrille Duchemin, Yoann Anselmetti, Murray Patterson, Yann Ponty, Sèverine Bérard, et al.. DeCoSTAR: Reconstructing the ancestral organization of genes or genomes using reconciled phylogenies. *Genome Biology and Evolution*, 2017, 9 (5), pp.1312-1319. hal-01503766v1

HAL Id: hal-01503766

<https://inria.hal.science/hal-01503766v1>

Submitted on 7 Apr 2017 (v1), last revised 3 Jun 2017 (v2)

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

DeCoSTAR: Reconstructing the ancestral organization of genes or genomes using reconciled phylogenies

April 6, 2017

Wandrille Duchemin^{*,1,2}, Yoann Anselmetti^{2,3}, Murray Patterson^{2,4}, Yann Ponty^{5,6},
S everine B erard^{3,7}, Cedric Chauve⁸, Celine Scornavacca³, Vincent Daubin², Eric Tannier^{1,2}

¹Inria Grenoble Rh one-Alpes, F-38334 Montbonnot, France

²Univ Lyon, Universit  Lyon 1, CNRS, Laboratoire de Biom trie et Biologie  volutive
UMR5558, F-69622 Villeurbanne, France

³Institut des Sciences de l' volution Universit  de Montpellier, CNRS, IRD, EPHE CC
064 ; Place Eug ne Bataillon 34095 Montpellier cedex 05, France

⁴Experimental Algorithmics Lab (AlgoLab) Dipartimento di Informatica Sistemistica e
Comunicazione (DISCo) Universit  degli Studi di Milano-Bicocca Edificio U14, Viale
Sarca, 336 20126 Milano, Italia

⁵CNRS, Ecole Polytechnique, LIX UMR7161, 91128 Palaiseau, France

⁶Inria Saclay, EP AMIB, 91128 Palaiseau, France

⁷LIRMM, Universit  de Montpellier, CNRS
CC 477 ; 161 rue Ada 34095 Montpellier Cedex 5 - France

⁸Department of Mathematics, Simon Fraser University 8888 University Drive, Burnaby
(BC), V5a1S6, Canada

*Corresponding author: E-mail: wandrille.duchemin@univ-lyon1.fr

Abstract:

DeCoSTAR is a software that aims at reconstructing the organization of ancestral genes or genomes in the form of sets of neighborhood relations (adjacencies) between pairs of ancestral genes or gene domains. It can also improve the assembly of fragmented genomes by proposing evolutionary-induced adjacencies between scaffolding fragments. Ancestral genes or domains are deduced from reconciled phylogenetic trees under an evolutionary model that considers gains, losses, speciations, duplications, and transfers as possible events for gene evolution. Reconciliations are either given as input or computed with the *ecceTERA* package, into which DeCoSTAR is integrated. DeCoSTAR computes adjacency evolutionary scenarios using a scoring scheme based on a weighted sum of adjacency gains and breakages. Solutions, both optimal and near-optimal, are sampled according to the Boltzmann-Gibbs distribution centered around parsimonious solutions, and statistical supports on ancestral and extant adjacencies are provided. DeCoSTAR supports the features of previously-contributed tools that reconstruct ancestral adjacencies, namely DeCo, DeCoLT, ART-DeCo and DeClone. In a few minutes, DeCoSTAR can reconstruct the evolutionary history of domains inside genes, of gene fusion and fission events, or of gene order along chromosomes, for large data sets including dozens of whole genomes from all kingdoms of life. We illustrate the potential of DeCoSTAR with several applications: ancestral reconstruction of gene orders for *Anopheles* mosquito genomes, multidomain proteins in *Drosophila*, and gene fusion and fission detection in *Actinobacteria*.

Availability: <http://pbil.univ-lyon1.fr/software/DeCoSTAR>

Keywords: Gene order, Software, Reconciliation, Protein Domain, Evolution, Gene Fusion/Fission, Rearrangements

1 Introduction

Co-localization of genes along a chromosome, or combinations of domains within a gene are genomic features that evolve and can be gained or broken by rearrangements. We will

use the term *gene* to designate an evolutionary unit (a gene or a domain or any smaller or larger module), and we call *adjacency* the link between two genes. An adjacency thus represents either the link between two contiguous genes on a chromosome, or the link between two domains of a protein, or may also represent the link between two genes fused into a single gene. The evolution of adjacencies is usually modeled differently for different scales (Pasek *et al.*, 2006; Ma *et al.*, 2006; Wu *et al.*, 2013; Stolzer *et al.*, 2015), complex gene histories are rarely handled in ancestral organization reconstruction, and models integrating fusions and fissions of genes are called for¹.

We describe a software, DeCoSTAR, which reconstructs putative ancestral states of adjacencies, *e.g.* ancestral domain structures of a modular protein, as well as chromosome organizations of whole ancestral genomes, or fusion/fission histories or modular genes, when genes have complex histories made of gain, duplication, transfer, speciation, and loss events.

The input of DeCoSTAR consists in a species tree, a set of extant gene families—each in the form of one or several gene trees—and extant adjacencies between pairs of extant genes.

The gene trees and the species tree follow the *reconciliation* framework that is described by Jacox *et al.* (2016)². The species tree may be dated or not, and gene families may be provided in the form of a gene tree sample, a single gene tree, or directly a fully reconciled gene tree. Reading direction (orientation) of genes on the chromosome may be given or not. Accordingly, ancestral genes are directed or not in ancestral organizations.

The output consists of adjacencies between ancestral genes along with evolutionary scenarios composed of *gains* and *breakages* of adjacencies. DeCoSTAR optimizes on a linear combination of the number of gains and breakages of adjacencies along the species tree. It can sample among optimal solutions, and thus give a statistical support to each inferred adjacency. It can also sample in the space of sub-optimal solutions using

¹For example an alternative to Adobe Illustrator as a method for co-evolution reconstruction used by Haggerty *et al.* (2014).

²Reconciled gene trees are rooted gene trees whose nodes are associated to an evolutionary event, such as speciation, gene loss, gene duplication, or lateral gene transfer, and to a position in the species tree. Numerous methods exist to build reconciliations, see (Åkerborg and Sennblad, 2009; Bansal *et al.*, 2012; Stolzer *et al.*, 2012; Szöllösi *et al.*, 2015) for example.

a Boltzmann-Gibbs distribution centered on the optimal solutions. As an option, it is possible to propose, based on the adjacencies in other species, adjacencies that are not in the input between extant genes; these new adjacencies can be used to improve the assembly of extant genomes.

Note that input adjacencies depicting the linear organization of chromosomes do not guarantee the same linear organization in ancestral genomes. We provide in the distribution a linearization method (Manuch *et al.*, 2012) to transform the output in a linear organization if needed.

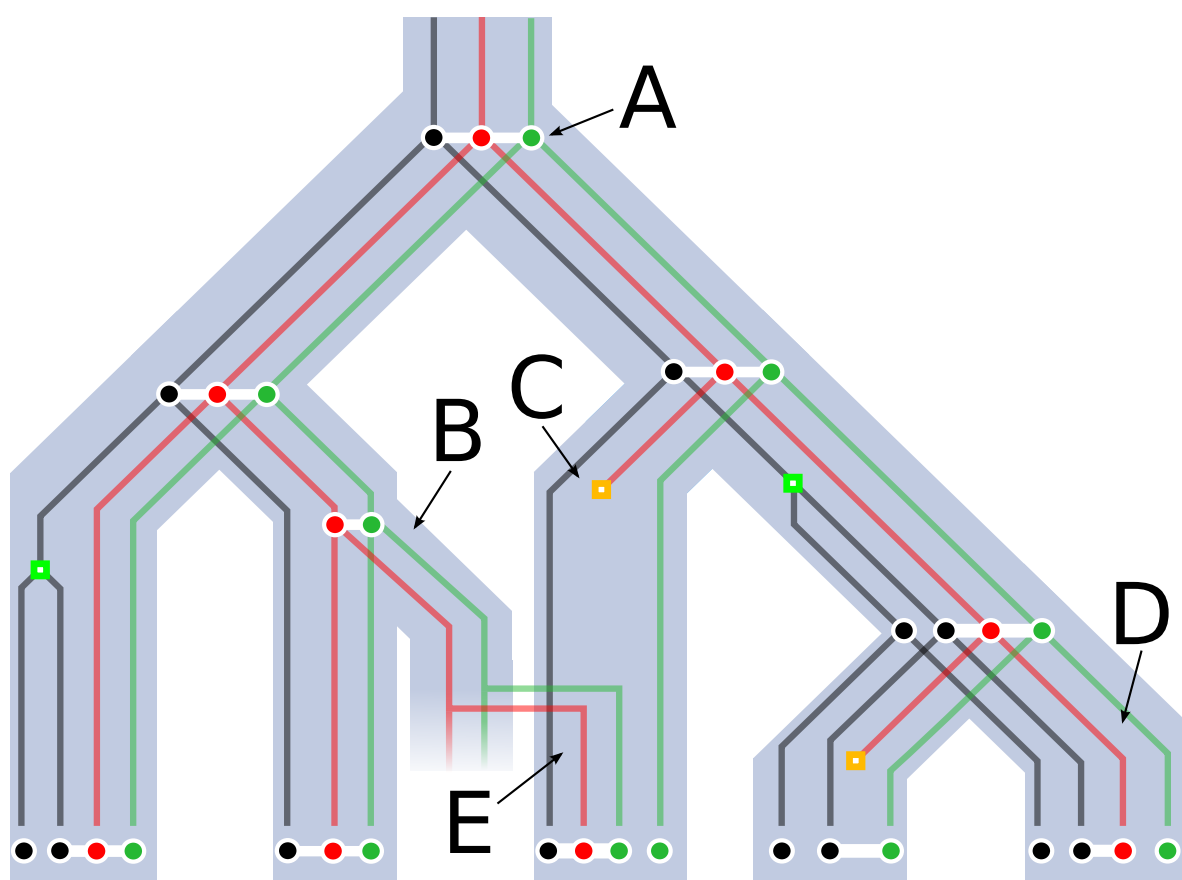


Figure 1: A species tree (light blue), three reconciled gene trees (black, red and green) (losses are orange squares; duplications are green squares) and a set of extant and ancestral adjacencies linking genes (white). A - an adjacency is inherited by both sister species after a speciation occurs. B - an adjacency between the red and green gene is transferred, and so are both extremities of this adjacency. C - the red gene undergoes gene loss and thus both adjacencies it was a part of disappear. D - the adjacency between the red and the green gene disappear on the branch leading to the leaf. E - an adjacency is gained between the black gene and the newly acquired red gene.

An example of input and output for DeCoSTAR is depicted in Figure 1 where the

evolution of three gene families linked by some adjacencies is represented: The adjacencies follow the evolutionary path of the genes they link and undergo speciations (Figure 1-A), are transferred (Figure 1-B), disappear because of a gene loss (Figure 1-C) or adjacency breakage (Figure 1-D), and are gained (Figure 1-E).

2 Features and implementation

DeCoSTAR supersedes³ and combines all the features of DeCo (Bérard *et al.*, 2012), DeCoLT (Patterson *et al.*, 2013), DeClone (Chauve *et al.*, 2015), and ART-DeCo (Anselmetti *et al.*, 2015). The generalization of all these methods offers novel capabilities, including the Boltzman-Gibbs sampling of ancestral adjacencies in the presence of transfers from error-prone/partial genome assemblies. The integration with the software package ecceTERA dedicated to reconciliations (Jacox *et al.*, 2016) adds novel features, such as the possibility of taking unrooted gene trees or undated species trees as input. As a novelty, it also fully handles gene orientations whenever available, and provides statistical supports of ancestral adjacencies by sampling among optimal solutions.

DeCoSTAR is a C++ program requiring the Bio++ library (Gueguen *et al.*, 2013) and the Boost library (BOOST, 2003) to be installed. It is a command-line program whose various options and input can be specified on the command line or given in a parameter file. It handles newick format for trees and recPhyloXML (Gence, 2016) format for trees and reconciliations.

A detailed documentation of DeCoSTAR options, input and output formats is available in the Supplementary material and is included within the distributed version of the software.

³with the exception of the ability of DeClone (Chauve *et al.*, 2015) to compute the exact expectation of the frequency of a property of interest using a variant of the *inside-outside algorithm*.

3 Algorithm

Given a set of adjacencies between extant genes, DeCoSTAR partitions it into homologous families. Two adjacencies a_1a_2 and b_1b_2 are homologous if a_1 and b_1 , respectively a_2 and b_2 , have a common ancestor i_1 , respectively i_2 , such that i_1 and i_2 are in a different gene tree or, if they are in the same gene tree, one is not an ancestor of the other. This relation is transitive, yielding a partition of the full set of input adjacencies into families.

For each family of homologous adjacencies, a minimal cost adjacency history, *i.e.* a history that minimizes the number of adjacency gains and adjacency breakages weighted by their respective costs, is computed. This is done in a dynamic programming matrix following a generalization of the propagation rules described in Patterson *et al.* (2013) (see Table 1 and below where we introduce the notation we use).

Once the dynamic programming matrix of has been computed, backtracking on this matrix permits to produce an evolutionary history for the family of homologous adjacencies. This history takes the form of ancestral adjacencies (linking ancestral nodes of the gene trees) and the events they undergo. Events may occur to individual genes or to pairs of genes linked by an adjacency, in which case it is called a *co-event*. A co-event implies that the events from two different reconciled gene tree nodes are part of a single event spanning multiple genes.

DeCoSTAR allows multiple backtracks of the dynamic programming matrix in order to form a sample of adjacency histories, either within optimal solutions or according to a probability space defined by a Boltzmann-Gibbs distribution centered on the optimal solutions.

Each propagation rule is translated into a specific term in a dynamic programming equation for the reconstruction of ancestral states. The complete set of rules (19 rules, whose combinations cover all the cases encountered by the algorithm) implemented in DeCoSTAR is the result of a complete re-writing of a combination of rules taken in the previous softwares, aggregating them in more general rules. For comparison DeCoLT (Patterson *et al.*, 2013), a less general algorithm, used a set of 23 rules.

For two genes a and b , we note $c_1(a, b)$ and $c_0(a, b)$ the cost of respectively having an

	Synchronous	Asynchronous (a before b)
a has two children b has one children	$c_{0SYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b_1) + c_0(a_2, b_1) , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + Gain, \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + Gain, \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + 2 * Gain \end{aligned})$ $c_{1SYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b_1) + c_0(a_2, b_1) + Break, \\ &c_1(a_1, b_1) + c_0(a_2, b_1), \\ &c_0(a_1, b_1) + c_1(a_2, b_1), \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + Gain \end{aligned})$	$c_{0ASYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b) + c_0(a_2, b), \\ &c_1(a_1, b) + c_0(a_2, b) + Gain, \\ &c_0(a_1, b) + c_1(a_2, b) + Gain, \\ &c_1(a_1, b) + c_1(a_2, b) + Gain \end{aligned})$ $c_{1ASYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b) + c_0(a_2, b) + Break, \\ &c_1(a_1, b) + c_0(a_2, b), \\ &c_0(a_1, b) + c_1(a_2, b), \\ &c_1(a_1, b) + c_1(a_2, b) + Gain \end{aligned})$
a has one child b has one child	$c_{0SYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b_1), \\ &c_1(a_1, b_1) + Gain \end{aligned})$ $c_{1SYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b_1) + Break, \\ &c_1(a_1, b_1) \end{aligned})$	$c_{0ASYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b), \\ &c_1(a_1, b) + Gain \end{aligned})$ $c_{1ASYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b) + Break, \\ &c_1(a_1, b) \end{aligned})$
a has two children b has two children	$c_{0SYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 1 * Gain , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 1 * Gain , \\ &c_0(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 1 * Gain , \\ &c_0(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 1 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 2 * Gain , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 2 * Gain , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 2 * Gain , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 2 * Gain , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 2 * Gain , \\ &c_0(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 2 * Gain , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 3 * Gain , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 3 * Gain , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 3 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 3 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 3 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 4 * Gain \end{aligned})$ $c_{1SYNCH}(a, b) = \min(\begin{aligned} &c_0(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 2 * Break , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 1 * Break , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 1 * Break , \\ &c_0(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 1 * Break , \\ &c_0(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 1 * Break , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_0(a_2, b_2) + 1 * Break + 1 * Gain , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 1 * Break + 1 * Gain , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 1 * Break + 1 * Gain , \\ &c_0(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 1 * Break + 1 * Gain , \\ &c_0(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 1 * Gain , \\ &c_1(a_1, b_1) + c_0(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 1 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_0(a_1, b_2) + c_1(a_2, b_2) + 1 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_0(a_2, b_2) + 1 * Gain , \\ &c_1(a_1, b_1) + c_1(a_2, b_1) + c_1(a_1, b_2) + c_1(a_2, b_2) + 2 * Gain \end{aligned})$	<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> <p>In the case where a and b both are (comparable) losses:</p> $c_1(a, b) = 0$ $c_0(a, b) = 0$ </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> <p>In the case where a and b are incomparable:</p> $c_1(a, b) = \infty$ <p>(no adjacency for incomparable genes)</p> $c_0(a, b) = \min(\begin{aligned} &c_{0ASYNCH}(a, b) , \\ &c_{0ASYNCH}(b, a) \end{aligned})$ <p>(a is before b and b before a)</p> </div> <div style="border: 1px solid black; padding: 5px;"> <p>In the case where a and b are comparable:</p> $c_1(a, b) = \min(\begin{aligned} &c_{1ASYNCH}(a, b) , \\ &c_{1ASYNCH}(b, a) , \\ &c_{1SYNCH}(a, b) \end{aligned})$ $c_0(a, b) = \min(\begin{aligned} &c_{0ASYNCH}(a, b) , \\ &c_{0ASYNCH}(b, a) , \\ &c_{0SYNCH}(a, b) \end{aligned})$ </div>

Table 1: Description of the propagation rules under different situations

adjacency and having no adjacency between a and b . We call a_1 and a_2 (respectively, b_1 and b_2) the children of a (resp. b) (NB: if a (resp. b) only has one child, then a_2 (resp. b_2) does not exist).

We note *Gain* the cost of a single adjacency gain. We note *Break* the cost of a single adjacency breakage. Two gene tree nodes a and b (from the same gene tree or not) are said to be *comparable* if they are in the same species, if they are in the same time slice when relevant, and if one is not an ancestor of the other. Otherwise they are said to be *incomparable*.

If the events at a and b (deduced from the gene tree/species tree reconciliations) occurred simultaneously (which is only possible if they are comparable), we call them *synchronous*. Otherwise we call them *asynchronous* and have to take into account if the event at a occurred before the one at b or the opposite.

The different formulas of the propagation rules are combinations of different cases where a and b are comparable, synchronous and how many children they have.

The different case formulas are presented in Table 1. In the asynchronous cases, only the number of children of the events that happens first (a in the figure) matters.

General formulas :		$c_1(a, b) = -\tau * \log(p)$	$c_0(a, b) = -\tau * \log(1 - p)$	
	Adjacency given in input	Adjacency absent from input		
base mode	$p = 1$ τ does not matter \Downarrow $c_1(a, b) = 0$ $c_0(a, b) = \infty$	$p = 0$ τ does not matter \Downarrow $c_1(a, b) = \infty$ $c_0(a, b) = 0$		$BP = \frac{\#ctg - \#chr}{2 * \#ctg * (\#ctg - 1)}$
	$p =$ score given as input $\tau = \log(\frac{1}{b})$ $b = 10\ 000$ by default	scaffolding mode For each species: $p = F_{adj} * BP$ $\tau = \frac{Break}{SPI * \log(\frac{1 - BP}{BP})}$		$\#ctg$: number of contigs $\#chr$: expected number of chromosomes F_{adj} : 0, 1, 2 or 4 SPI : Scaffolding Propagation Index

Table 2: Description of the score between leaves. The two parameters F_{adj} and SPI used in the scaffolding modes respectively account for the position of the genes in their contig and the repartition of poorly assembled genomes in the species tree; both parameters are described with more details in the Supplementary materials. *NB* : the *scaffolding mode* and *score given* option can be used simultaneously as they affect a different set of adjacencies: respectively the adjacencies absent from the input and the adjacencies given in the input.

An exception to these rules occurs in the specific case where a and b or their children

are considered to be in an extinct or unsampled lineage of the species tree (Szöllősi *et al.*, 2013). In these specific lineages event of adjacency breaks are not counted in the cost function.

If a and b both are leaves, the score associated to the presence of the adjacency relies on the adjacencies given as an input. If the scaffolding mode is used, then the formulas at the leaves follow the ones described in (Anselmetti *et al.*, 2015), as described in Table 2.

If Boltzmann sampling is used, then the formulas undergo the same changes described in Chauve *et al.* (2015). Namely, every occurrence of the $+$ operator becomes a product, $\min()$ functions become sums, and any event cost $EventCost$ becomes $e^{-\frac{EventCost}{T}}$, where T corresponds to a pseudo-temperature (the higher the temperature, higher the probability for non parsimonious scenarios to be sampled). The costs between two leaves also follow a similar transformation.

4 Results

We tested DeCoSTAR on several biological datasets in order to demonstrate its versatility in various contexts. The first example shows a combination of options previously implemented separately: Boltzmann sampling on the adjacencies and the inference of new extant adjacencies in 18 mosquito genomes under an evolutionary model where only duplications and losses are allowed.

The two other datasets show the application of DeCoSTAR in a context different from gene order reconstruction: protein modular architecture evolution, shown on a set of drosophila genes in which we reconstruct ancestral adjacencies between protein domains, and a history of fusions/fissions between bacterial genes in the presence of transfers. Note that such applications were previously discussed (see for instance the conclusion of (Patterson *et al.*, 2013)), but had never been demonstrated.

18 *Anopheles*

We selected 14 940 gene families in 18 mosquito species from Neafsey *et al.* (2015). Gene trees were constructed with RAxML (Edgar, 2004) and corrected with ProfileNJ (Nouhati *et al.*, 2016) (keeping all branches with a 100% bootstrap support and correcting the others to minimize duplications and losses in a reconciliation with a species tree).

A sample of 100 solutions was generated according to a Boltzmann distribution with temperature 0.05. As the genomes are not fully assembled, we added the possibility of proposing extant adjacencies (the scaffolding mode). Combining these two options (sampling and extant adjacencies proposition) is a specificity of DeCoSTAR as they were hitherto only available separately.

This treatment provides a comprehensive history of duplications, losses and rearrangements of *Anopheles*, in addition to novel propositions for the scaffolding of extant genomes: 187 870 ancestral adjacencies and 16 193 new extant adjacencies were generated, all with a posterior probability which corresponds to their frequency in a sample. Figure 2 depicts the connectivity of genes with other genes in the same extant or ancestral species and thus gives an insight on the shape of extant and ancestral genomes in the input and output. In the input (see the black line), most genes have exactly two neighbors with adjacencies weighted 1, but some have one or zero neighbors because of incomplete assemblies. In the output, extant genomes are better scaffolded (less genes with zero or one neighbor, more with two) but ancestral genomes may show some conflict (genes with three neighbors or more) because adjacencies evolved independently in the model.

Fly protein domains

DeCoSTAR can also be applied to protein domain architecture. When doing so, gene trees become domain trees, evolving along a species tree. Proteins are not modeled explicitly but are rather formed by groups of domains linked together. Thus, the resolution slightly differs from a similar previous approach proposing to reconcile domain trees with gene trees (Stolzer *et al.*, 2015). For example, the transfers of domains from one gene to another result in a sequence of adjacency gains and breakages, while they were modeled

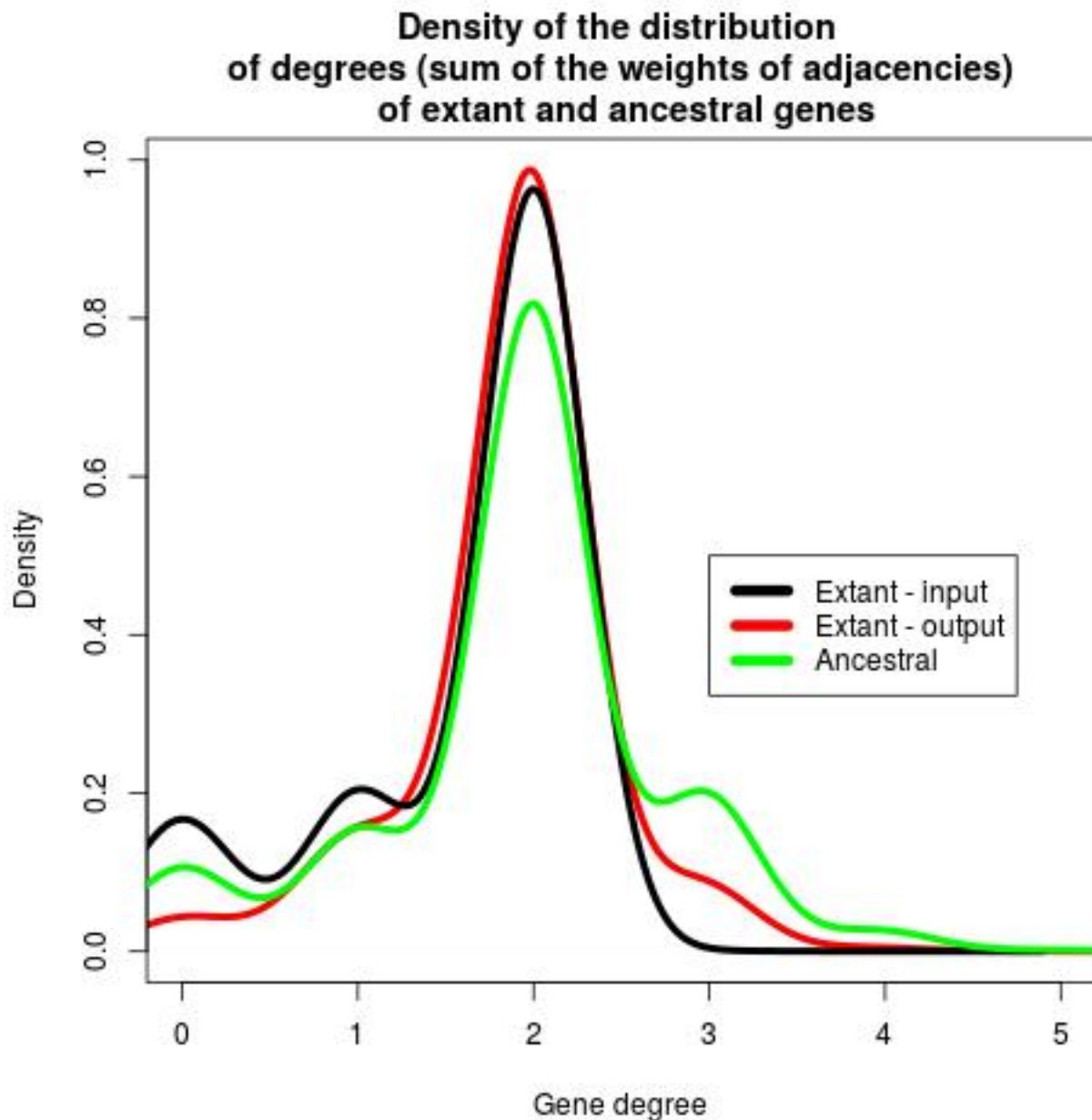


Figure 2: Density of the distribution of the degree of all genes inferred by DeCoSTAR on the 18 *Anopheles* data-set. The degree of an extant or ancestral gene is the sum of the weights of all adjacencies containing this gene. For extant genomes in the input (black line), this value can only be 0, 1 or 2. For genomes in the output, extant (red line) or ancestral (green line), all values are possible since adjacencies have scores between 0 and 1, and a gene can belong to an arbitrary number of adjacencies. The difference between the black and red lines are due to the scaffolding: genes with 0 or 1 neighbor are linked to other genes as an output of DeCoSTAR. In ancestral genomes, some genes have degree three or slightly more.

as singular events there. We exhibit an example of such an application on the protein domain families described in Wu *et al.* (2012). It features 22 867 protein domain families

in 9 fully sequenced fly genomes. Of these, we kept the 12 906 protein domain families that have at least one extant copy that is part of an extant multi-domain protein. Protein domain families were aligned using MUSCLE (Edgar, 2004) and their trees were inferred using RAxML (Stamatakis, 2014) with the appropriate model (inferred using the RAxML perl helper script for finding the best protein substitution model). The adjacencies used as input reflect neighborhood relationship between domains of the same extant protein.

There are in average 5 278 proteins per extant species in the input data-set with an average protein size of 2.030 domains. DeCoSTAR was used to infer ancestral adjacencies forming an average of 4 977 proteins per ancestral species, for an average protein size of 2.188 domains. As with the validation on the *Anopheles* species dataset, some ancestral protein domains have been erroneously inferred with more than two neighbors, leading to the presence of some non-linear proteins in the ancestral species. Non-linear proteins should be seen as several linear proteins erroneously linked together. Their presence decreases the total number of proteins and increases the average number of protein domains per protein, which would explain the difference in average number of proteins and average protein size between extant and ancestral species.

A fusion-fission history in Actinobacteria

Adjacencies can be used to denote the fact that two genes are fused into one. To illustrate this, we use a set of three gene families from the HOGENOM database (Penel *et al.*, 2009) that we respectively call *A*, *B* and *C*. In all *Actinobacteria* present in HOGENOM, the *A* and *B* genes are always present together, but never with *C* genes. Furthermore, in a profile alignment, *A* and *B* both align on disjoint, consecutive regions of *C*, covering nearly 98% of its length. We use this signal as the marker that *A* and *B* genes fused in order to give *C* genes.

To reconstruct the history of this system, we manually cut each *C* gene into its parts that respectively aligned with *A* and *B*, added them to the alignment of the family with whom they aligned and put an adjacency between the newly formed gene so that we could account for the fact that they fused.

We used an option of DeCoSTAR that specifies that an adjacency at the root of its history should not be penalized by a gain, as we do not make any assumption about the ancestral fissioned or fused state (which is not the case for ancestral genome reconstruction for example, where an adjacency can always be considered as gained in some root branch of the phylogeny). Moreover, we set the event costs so that an adjacency break (corresponding to a fission event), costs four times as much as an adjacency gain (corresponding to a fusion event), following the results of (Kummerfeld and Teichmann, 2005) (by default, from the gene order context, an adjacency gain costs twice as much as an adjacency break).

The results obtained with DeCoSTAR are represented in Figure 3. It exhibits three adjacency gains (represented by an upper G on the figure), which correspond to three independent fusion events between gene families A and B .

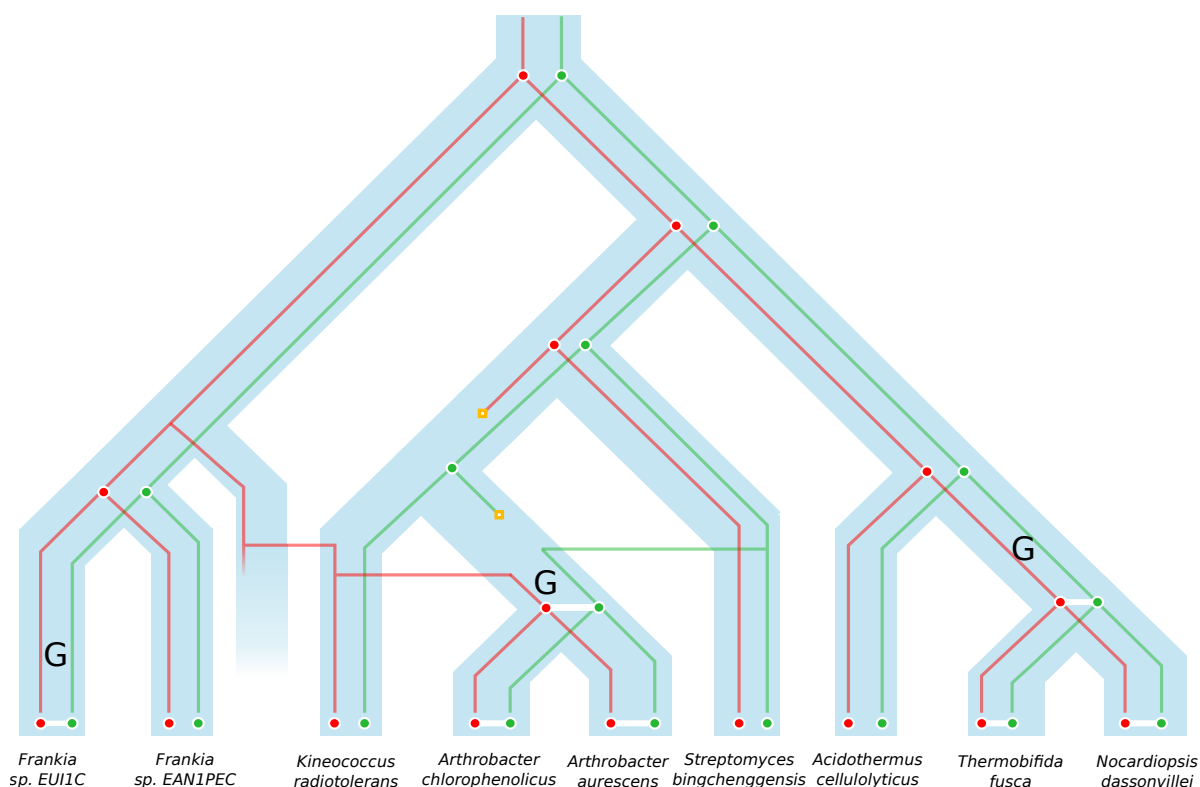


Figure 3: A schematic representation of the results obtained for the fusion-fission data-set, following the schema described in Figure 1, and with adjacency gain marked by an upper G . Family A and B are represented as reconciled gene trees, respectively in red and green. The presence of an adjacency denotes the fusion of A and B to form the family C .

5 Conclusion

There exists an extensive set of bioinformatics tools aiming at reconstructing the history of an evolutionary unit, as a gene or a domain or a gene concatenate. But they all make the assumptions that, inside a unit, all sites have the same history, and that two units are independent. The inter or intra unit organization is rarely modeled, with the effect of missing an evolutionary view on what the living is essentially made of: organization, interaction. Here, we propose to depict this interaction in the form of adjacencies between units, where the units can be genes, gene domains, or parts of genes having different histories like in the case of fusions or fissions. We present a software—DeCoSTAR—that generalizes several algorithms published by our group, is easy to install and to use, allows a wide range of genomic events such as duplications, transfers, losses, rearrangements and can deal with poorly assembled genomes. We demonstrate the utility of this software on a diverse set of very large biological datasets where taking the interactions between units into account is crucial. We show that a single methodological framework can account for diverse situations which were previously approached separately by *ad-hoc* methods. Up to changes in propagation rules, the same principle can also be used to reconstruct ancestral states of any binary relationship, such as protein interaction, regulation or co-expression.

Funding

This work is funded by the Agence Nationale pour la Recherche, Ancestrome project ANR-10-BINF- 01-01.

References

- Åkerborg, Ö. and Sennblad, B. (2009). Simultaneous Bayesian gene tree reconstruction and reconciliation analysis. *Proceedings of the . . .*, **106**(14), 5714–5719.
- Anselmetti, Y., Berry, V., Chauve, C., Chateau, A., Tannier, E., and Bérard, S. (2015). Ancestral gene synteny reconstruction improves extant species scaffolding. *BMC Genomics*, **16**(Suppl 10), S11.
- Bansal, M. S., Alm, E. J., and Kellis, M. (2012). Efficient algorithms for the reconciliation problem with gene duplication, horizontal transfer and loss. *Bioinformatics*, **28**(12), 283–291.

- Bérard, S., Gallien, C., Boussau, B., Szöllősi, G. J., Daubin, V., and Tannier, E. (2012). Evolution of gene neighborhoods within reconciled phylogenies. *Bioinformatics (Oxford, England)*, **28**(18), i382–i388.
- BOOST (2003). Boost c++ libraries URL : . <http://www.boost.org/> [last accessed:05.12.2016.].
- Chauve, C., Ponty, Y., and Zanetti, J. P. P. (2015). Evolution of genes neighborhood within reconciled phylogenies: an ensemble approach. *Lecture Notes in Computer Science (Advances in Bioinformatics and Computational Biology)*, **8826 LNBI**, 49–56.
- Edgar, R. C. (2004). MUSCLE: multiple sequence alignment with high accuracy and high throughput. *Nucleic acids research*, **32**(5), 1792–7.
- Gence, G. (2016). recphyloxml URL : . <http://phylarlane.univ-lyon1.fr/recphyloxml/> [last accessed:05.12.2016.].
- Gueguen, L., Gaillard, S., Boussau, B., Gouy, M., Groussin, M., Rochette, N. C., Bigot, T., Fournier, D., Pouyet, F., Cahais, V., Bernard, A., Scornavacca, C., Nabholz, B., Haudry, A., Dachary, L., Galtier, N., Belkhir, K., and Dutheil, J. Y. (2013). Bio++: Efficient Extensible Libraries and Tools for Computational Molecular Evolution. *Molecular Biology and Evolution*, **30**(8), 1745–1750.
- Haggerty, L., Jachiet, P., Hanage, W., Fitzpatrick, D., Lopez, P., O’Connell, M., Pisani, D., Wilkinson, M., Baptiste, E., and McInerney, J. (2014). A pluralistic account of homology: adapting the models to the data. *Mol Biol Evol*, **31**, 501–16.
- Jacox, E., Chauve, C., Szöllősi, G. J., Ponty, Y., and Scornavacca, C. (2016). ecceTERA : Comprehensive gene tree-species tree reconciliation using parsimony. *Bioinformatics (Oxford, England)*, pages 1–3.
- Kummerfeld, S. and Teichmann, S. (2005). Relative rates of gene fusion and fission in multi-domain proteins. *Trends in Genetics*, **21**, 35–30.
- Ma, J., Zhang, L., Suh, B., Raney, B., Burhans, R., Kent, W., Blanchette, M., Haussler, D., and Miller, W. (2006). Reconstructing contiguous regions of an ancestral genome. *Genome Res.*, **16**, 1557–65.
- Manuch, J., Patterson, M., Wittler, R., Chauve, C., and Tannier, E. (2012). Linearization of ancestral multichromosomal genomes. *BMC Bioinformatics*, **13**.
- Neafsey, D. E., Waterhouse, R. M., Abai, M. R., Aganezov, S. S., Alekseyev, M. A., Allen, J. E., Amon, J., Arcà, B., Arensburger, P., Artemov, G., *et al.* (2015). Highly evolvable malaria vectors: The genomes of 16 anopheles mosquitoes. *Science*, **347**(6217), 1258522.
- Nouhati, E., Semeria, M., Lafond, M., Seguin, J., Boussau, B., Guéguen, L., El-Mabrouk, N., and Tannier, E. (2016). Efficient gene tree correction guided by species and synteny evolution. *PLoS ONE*.
- Pasek, S., Risler, J.-L., and Brézellec, P. (2006). Gene fusion/fission is a major contributor to evolution of multi-domain bacterial proteins. *Bioinformatics (Oxford, England)*, **22**(12), 1418–23.
- Patterson, M., Szöllősi, G., Daubin, V., and Tannier, E. (2013). Lateral gene transfer, rearrangement, reconciliation. *BMC Bioinformatics*, **14**(Suppl 15), S4.
- Penel, S., Arigon, A.-M., Dufayard, J.-F., Sertier, A.-S., Daubin, V., Duret, L., Gouy, M., and Perrière, G. (2009). Databases of homologous gene families for comparative genomics. *BMC bioinformatics*, **10 Suppl 6**, S3.
- Stamatakis, A. (2014). RAxML version 8: A tool for phylogenetic analysis and post-analysis of large phylogenies. *Bioinformatics*, **30**(9), 1312–1313.

- Stolzer, M., Lai, H., Xu, M., Sathaye, D., Vernot, B., and Durand, D. (2012). Inferring duplications, losses, transfers and incomplete lineage sorting with nonbinary species trees. *Bioinformatics*, **28**(18), 409–415.
- Stolzer, M., Siewert, K., Lai, H., Xu, M., and Durand, D. (2015). Event inference in multidomain families with phylogenetic reconciliation. *BMC Bioinformatics*, **16**(Suppl 14), 1–13.
- Szöllősi, G., Tannier, E., Daubin, V., and Boussau, B. (2015). The inference of gene trees with species trees. *Syst Biol*, **64**, 42–62.
- Szöllősi, G. J., Tannier, E., Lartillot, N., and Daubin, V. (2013). Lateral gene transfer from the dead. *Systematic Biology*, **62**(3), 386–397.
- Wu, Y.-C., Rasmussen, M. D., and Kellis, M. (2012). Evolution at the subgene level: domain rearrangements in the *Drosophila* phylogeny. *Molecular biology and evolution*, **29**(2), 689–705.
- Wu, Y.-C., Rasmussen, M. D., Bansal, M. S., and Kellis, M. (2013). TreeFix: Statistically Informed Gene Tree Error Correction Using Species Trees. *Systematic Biology*, **62**(1), 110–120.