

## Building discourse relational device lexicons

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Information in a discourse connective lexicon
First method: linguistic criteria
Second method: corpus data
Third method: cross-linguistic data
Other related issues and Conclusion

## Building discourse relational device lexicons

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### Outline of the talk

- Information in a discourse connective lexicon
- First Method to build such a lexicon: linguistic criteria
- Second Method to build such a lexicon: corpus data
- Third Method to build such a lexicon: cross-linguitic data

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- Information in a discourse connective lexicon
- 2 First method: linguistic criteria
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- **5** Other related issues and Conclusion

### Discourse connectives

- Discourse connectives form a subset of Discourse Relational Devices (DRDs).
- Discourse connectives: logico-semantic predicates with two arguments, named Arg<sub>1</sub> and Arg<sub>2</sub>, denoting eventualities.
- focus of the talk: linguistic criteria to identify discourse connectives in written texts (no speech or chat).
- Other DRDs: briefly sketched at the end of the talk.

### Information included in a discourse connective lexicon

### An entry is a form of discourse connective with:

- its surfacic/orthographic variant(s) if any,
- its morpho-syntactic category (one entry by category),
- its sense (one entry by sense), generally through a set of discourse relations,
- example(s) of use,
- other miscellaneous information:
  - constraint on the position of the connective,
  - synonymy link with other connectives,
  - ambiguity with a non-discourse use,
  - etc

## Morpho-syntacic categories of discourse connectives

### Two major categories:

- "Intra-sentential connectives"
- "Inter-sentential connectives"

# Linguistic criterion to distinguish these two major categories:

- Intra-sentential connectives form discourse segments that can be embedded under a matrix clause, (1)
- Inter-sentential connectives form discourse segments that cannot be embedded under a matrix clause, (2).
- (1) a. Fred is nice, but he may be tough with women.
  - b. Jane said that [Fred is nice, but he may be tough women].
  - c. Fred is nice. But he may be tough with women.
- (2) a. Fred is nice, therefore he is never tough with women.
  - b. \*Jane said that [Fred is nice, therefore he is never tough with women].
  - c. Fred is nice. Therefore, he is never tough with women.



### Intra- versus inter- sentential connectives

- These two categories are grouped under a single notion ("discourse connective") only in the discourse community.
- In syntax or in formal semantics, these two categories are totally distinct and never studied together.
- In the rest of this talk, I will consider both intra- and intersentential connectives, even though their grouping under a single notion is debatable.

## Categories within intra-sentential connectives: introduction

### Coordinating conjunctions

- ex: et (and), mais (but), ou (or)
- ullet they introduce a finite clause, so noted as Coord + S

### Subordinating conjunctions

- ex: si (if), parce que (because), pour que (in order that)
- ullet they introduce a finite clause, so noted as Cunj + S

### **Prepositions**

- ex: pour (in order to), au lieu de (instead of)
- they introduce a VP (possibly an NP, see later), so noted as Prep + VP (possibly Prep + NP)

## Categories within inter-sentential connectives:introduction

- one-word adverbs: ensuite (next), finalement (finally), inversement (conversely)
- adverbial PPs (prepositional phrases): en résumé (in summary), en conclusion (in conclusion), par exemple (for example)
- their host is a finite clause, so noted Adv + S

## Differences between intra- and inter- sentential connectives

### Position of connectives

- Intra-sentential connectives (Coord/Cunj/Prep + X): compulsorily at the beginning of the element X they introduce, see (3)
- Inter-sentential connectives (Adv + S): at the beginning or within S, see (4).
- (3) a. Fred est de mauvaise humeur, parce qu' il a perdu ses clefs.
  - b. \*Fred est de mauvaise humeur, il a parce que perdu ses clefs. (Fred is in a bad mood, because he lost his keys.)
- (4) a. Fred a perdu ses clefs. De ce fait, il est de mauvaise humeur.
  - b. Fred a perdu ses clefs. Il est, de ce fait, de mauvaise humeur.
    - (Fred lost his keys. Therefore, he is in a bad mood/ He is, therefore, in a bad mood.)

# Differences between intra- and inter- sentential connectives ctd

### Position of Conn + X

- Intra-sentential connectives (Cunj/Prep + X): Conn + X form a phrase that can be roughly anywhere within  $Arg_1$  (except for Coord + S), see (5)
- Inter-sentential connectives (Adv + S): compulsorily after (on the right of)  $Arg_1$ , see (6).
- (5) a. Fred est de mauvaise humeur, parce qu' il a perdu ses clefs.
  - b. Fred, parce qu' il a perdu ses clefs, est de mauvaise humeur. (Fred, because he lost his keys, is in a bad mood.)
- (6) a. Fred a perdu ses clefs. De ce fait, il est de mauvaise humeur.
  - b. \*Fred, de ce fait il est de mauvaise humeur, a perdu ses clefs.
     (Fred, therefore he is in a bad mood, lost his keys.)

## Set of discourse relations (taxonomy)

Roughly the same as in the PDTB

Not a focus in this talk

## LexConn: discourse connective lexicon for French

Version XML

Version web

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## Building a lexicon with linguistic criteria

### Two operations:

- Get a list of possible candidates (for example, from electronic dictionaries or from the translation of a connective lexicon in another language, see Third Method).
- Establish a set of linguistic criteria to filter this list:
  - The criteria may change according to the morpho-syntactic categories.
  - Comparison between French LexConn and German DiMLex.

## Criteria for intra-sentential connectives: conjunctions

### coordinating conjunctions Coord + S

in LexConn: all of them (mais, ou, et, donc, or, car, ni)

### subordinating conjunctions Cunj + S

in LexConn: any unit which introduces a finite clause (adverbial clause) with or without a complementizer C:

- one word: si (if), quand (when), comme (as) ...
- Prep + C: pour que (in order that), afin que (so that) . . .
- PP + C: à condition que (on condition that), dans le but que (in the aim that), dans l'espoir que (with the hope that), au moment où (at the time when)

in DiMLex, some PPs are excluded (see later)

## Prepositions which introduce an infinitival or gerund-participial VP (Prep + VP)

in LexConn: any unit

- one word: pour (in order to), après (after), sans (without), en (by) . . .
- ADV + C: avant de (before), au lieu de (instead of) . . .
- PP + C: dans le but de (in the aim of), dans l'espoir de (with the hope of) . . .

in DiMLex, some PPs are excluded (see later)

## Criteria for intra-sentential connectives: prepositions 2/2

## Prepositions which introduce an NP referring to an event (e.g. a nominalization)

in LexConn: no unit which introduces only an event NP  $\Rightarrow$  grâce à (thanks to), à cause de (because of) are excluded

- grace a (thanks to), a cause de (because of) are excluded

in DiMLex: units which introduce only an event NP

⇒ dank (thanks to), wegen (because of) are included

### Criteria for inter-sentential connectives: adverbs and PPs

### No use at the beginning of a discourse

(7) #De ce fait, Jean est de mauvaise humeur. #Therefore, John is in a bad mood.

### No use in a it-cleft clause

- (8) a. Il a joué dans plein de films. Par exemple, il tient le rôle principal dans Furyo.
  - He played in a lot of films. For example, it takes the lead role in Furyo.
  - b. #Il a joué dans plein de films. C'est par exemple qu'il tient le rôle principal dans Furyo.
    - #He played in a lot of films. It is for example that it takes the lead role in Furyo.

### Criteria for inter-sentential connectives: PPs

The basic idea is that these PPs must be non compositional and with a high degree of frozeness (grammaticalization process).

Compositional PPs could be considered as AltLex (alternative lexical unit, a notion introduced and explained in the PDTB).

Examples of connective in (9a) and AltLex in (9b):

- (9) a. Fred est de mauvaise humeur parce qu'il a perdu ses clefs. Fred is in a bad mood because he lost his keys.
  - b. Fred est de mauvaise humeur. Ceci est dû au fait qu' il a perdu ses clefs
    Fred is in a bad mood. This is due to the fact that he lost his keys.

## PPs with a pronominal form

Examples in French: après ca, à part ca, à ce moment-là Criterion: if the pronominal form is anaphorical, then it is compositional and excluded (10); otherwise it is kept (11).

- (10) a. Fred est allé en Argentine. Après ça, il est allé au Pérou.
  - b. Fred est allé en Argentine. Après qu'il est allé en Argentine, il est allé au Pérou. Fred went to Argentina. After (that/he went to Argentina), he went to Perou.
- (11) a. J'ai croisé Fred dans un nightclub. À part ça, il nous dit qu'il est fatigué.
  - b. #J'ai croisé Fred dans un nightclub. À part que je l'ai croisé dans un nightclub, il nous dit qu'il est fatigué. I met Fred in a nightclub. Except (that/#I met him in a nightclub), he tells us he is tired.

## PPs: ambiguity between an Altlex and a connective

- (12) a. Il commença à pleuvoir. A ce moment-là, Fred arriva.
  - b. Il commença à pleuvoir. C'est à ce moment-là que Fred arriva.
  - c. Il commença à pleuvoir. A ce moment-là précis, Fred arriva.

It started raining. At this (very) moment, Fred arrived.

- (13) a. Tu penses qu'elle n'est pas honnête. A ce moment-là, tu devrais ne rien lui raconter.
  - You think she is not honest. Then, you should tell her nothing.
  - b. #Tu penses qu'elle n'est pas honnête. C'est à ce moment-là que tu devrais ne rien lui raconter.
  - c. #Tu penses qu'elle n'est pas honnête. A ce moment-là précis, tu devrais ne rien lui raconter.

## PPs with a high degree of frozeness

The PP connective à la place (instead) in (14a) can have no variant in number (14b) and no modification (14c):

- (14) a. Fred n'est pas allé au cinéma. A la place, il a fait du jogging.
  - Fred didn't go to the movies. Instead, he went jogging.
  - Fred n'est pas allé au cinéma. \*Aux places, il a fait du jogging.
  - c. Fred n'est pas allé au cinéma. \*A la place précise, il a fait du jogging.

## Summary on cross-linguistic divergences 1/3

### Prepositions which introduce an event NP

- NO in LexConn for French
- YES in DiMLex for German
- A decision could be made within TextLink

### Pros and Cons

- it is easier to exclude them: (i) no need to disambiguate between NPs referring to an event and other NPs, (ii) less connectives to be annotated
- it is more coherent to include them: see (15)
- (15) a. Fred est parti après que Marie a pris sa douche.
  - b. Fred est parti après avoir pris sa douche.
  - c. Fred est parti après sa douche.

    Fred left after (Mary took a shower/taking a shower/his shower).



## Non frozen intra-sentential connectives (16)

### YES in LexConn, NO in DiMLex

### Pros and Cons

- they should be **included** since they are synonymous with one-word intra-sentential connectives, (17)
- they should be excluded since multi-word inter-sentential connectives must be frozen
- (16) Fred a fait une pizza, dans ce but/ dans un but précis/dans le but de faire plaisir à Marie. Fred made a pizza, with this aim/ with a clear aim/ with the aim to please Mary.
- (17) a. Fred a fait une pizza, dans le but de/pour plaire à Marie.
   b. Fred machte eine Pizza, zum dem Zweck/um Marie bitte.
   Fred made a pizza, with the aim/ in order to please Mary.

## Summary on cross-linguistic divergences 3/3

### Inter-sentential PPs which include an anaphoric element

- NO in LexConn for French, (18)
- YES in DiMLex for German, (19)
- Idiosyncrasy for German?
- (18) a. Il commença à pleuvoir. Après, Fred arriva b. Il commença à pleuvoir. Après cela, Fred arriva. It started raining. After (that), Fred arrived.
- (19) a. Es begann zu regnen. Wonach Fred eingetroffen. b. Es begann zu regnen. \*Wo Nach /Nach Fred eingetroffen.

## Assigning a sense to discourse connectives

- lacktriangledown fix a set (taxonomy) of discourse relations  ${\mathcal S}$
- find examples illustrating a given connective (for LexConn, examples from FranText)
- $\ \, \ \, \ \,$  assign to the given connective one or several sense(s) in  ${\cal S}$  if any
- otherwise, use the sense MISSING (25 connectives in LexConn, 7%), see (20)
- (20) a. Son élocution vacillait au fur et à mesure qu'il descendait la bouteille de whisky.
  - The more he was drinking the whisky bottle, the more his speech was flickering.
  - b. Pour une fois qu'il part à l'heure, il y a des problèmes dans le métro.
    - For once he leaves on time, there are problems in the subway.

# Conclusion on building a connective lexicon with linguistic criteria

- The resulting lexicon is quite coherent on the linguistic level.
- It may be more or less complete:
  - missing connectives,
  - above all, for a given connective, missing sense(s)
- It may be improved with the second and third methods

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## Building a connective lexicon from a corpus

### First idea: start from scratch

- browse a corpus and stop when a possible connective is found,
- assign a sense to this discourse connective and add it in the lexicon,
- keep on going.

### **Drawbacks**

- Quite time consuming because a large corpus is needed to build a complete lexicon.
- The resulting lexicon could be incoherent on the linguistic level, for example with frozen and non frozen elements.



## Second idea: start from an existing lexicon

### FDTB project (French Discourse TreeBank)

- Discourse annotation on the corpus FTB, already syntactically annotated.
- Discourse annotation in the PDTB style.
- First step: FDTB1.

### FDTB1 project (Danlos et al. 2015)

- Identification of all the occurrences of connectives in the corpus.
- Not only the first step in the complete discourse annotation,
- but also a way to improve LexConn.

## Methodolgy for FDTB1

- Project all the elements of LexConn on the corpus, i.e. all the occurrences of LexConn entries are highlighted.
- Filter out the occurrences which don't follow the LexConn linguistic criteria; step achieved thanks to the syntactic analysis, (21).
- Oisambiguate the remaining occurrences: 3 tasks.
- (21) a. Fred made a pizza pour faire plaisir à Marie.
  - b. Fred made a pizza pour le plaisir de Marie.
  - c. Fred made a pizza pour Marie.

    Fred made a pizza in order to please Mary/ for Mary's pleasure / for Mary.

## First disambiguation task: morpho-syntactic disamb

- The word car is either a coordinating conjunction or a noun,
   (22)
- The sequence en fait is either an adverbial connective or a pronoun + verb, (23)
- (22) a. Fred est de mauvaise humeur car il a perdu ses clefs (Fred is in a bad mood for he lost his keys.)
  - b. Le car Paris-Pau arriva en retard. (The Paris-Pau bus arrived late.)
- (23) a. Fred a l'air heureux. En fait, il est gravement malade. (Fred looks happy. In fact, he is badly sick.)
  - b. Cette place est piétonne. Le Maire en fait un parking. (This square is pedestrian. The Mayor makes it a parking.)

## Second disambiguation task for intra-sentential connectives

The subordinating conjunction *pour que* can be a connective but has also non-discourse uses, e.g. it can be subcategorized by a verb, a noun an adjective or an adverb, (24)

- (24) a. Fred a fait une pizza pour que Mary le félicite.

  (Fred made a pizza in order that Mary congratulates him.)
  - b. Fred va s'arranger pour que Marie garde les enfants ce soir. (Fred will arrange for Mary keeps kids tonight.)
  - c. Un ordinateur est <u>nécessaire</u> <u>pour <del>que</del></u> j'accomplisse cette tâche.
    - (A computer is necessary for me to accomplish this task.)
  - d. Il pleut <u>trop</u> <u>pour</u> <del>que</del> nous puissions faire une promenade. (It rains too much for us to take a walk.)

# Second disambiguation task for intra-sentential connectives

The prepositon pour + VP-inf can be a connective but has also non-discourse uses, e.g. it can be subcategorized by a verb, a noun, an adjective or an adverb (25) (Colinet et al. 2014)

- (25) a. Fred a été puni pour avoir dit des gros mots. (Fred was punished things for saying bad words)
  - b. Le Maire n'a pas <u>profité</u> de l'occasion <u>pour</u> trancher. (The Mayor has not taken the opportunity to decide.)
  - c. Fred a trouvé une <u>astuce pour</u> peler les tomates. (Fred found a trick to peel tomatoes.)
  - d. Ce couteau est <u>idéal pour</u> peler les tomates. (This knife is ideal for peeling tomatoes.)

# Third disambiguation task for inter-sentential connectives

The adverb *ainsi* can be a connective but has also non-discourse uses, (26)

- (26) a. Fred a fait beaucoup de bêtises. Ainsi il a renversé sa tasse de café. (Fred did a lot of stupid things. As an example, he spilled his coffee.)
  - b. Fred a ainst dit au juge : "Va au diable". (Fred said to the judge: "Go to hell".)
  - c. Fred se comporte ains quand il est fatigué. (Fred behave this way when he is tired.)

# Third disambiguation task for inter-sentential connectives

The PP *d'abord* can be a connective but has also non-discourse uses, (27)

- (27) a. Fred a d'abord été au Pérou. Ensuite, il est allé au Chili. (Fred first went to Peru. Next, he went to Chili.)
  - b. Manger bio est d'abord une question de bon sens. (Eating organic is primarily a matter of common sense.)

# Quantitative data on FDTB1

FTB		FDTB1	
sentences 18	005 3535 35 000	adverbials coord cunj sub cunj prép V-inf en V-ant TOTAL	3221 3653 1949 1070 536

## Enhancement of LexConn thanks to FDTB1

- 5 connectives have been suppressed from LexConn.V1,
- 30 connectives have been added,
- In total, LexConn.V2 has 353 entries,
- The sense(s) of the connectives have been refined thanks to corpus examples.

# Results on uses of LexConn connectives in a corpus

- Nearly 70% of elements in LexConn has an occurrence in the corpus FTB
- List of the 100 elements which are the most frequent
- List of the LexConn elements which are morpho-syntactically ambiguous with non-discourse use examples
- List of 3 subordinating conjunctions and 5 prepositions +
   VP-inf which have a non-discourse use with examples
- List of 100 inter-sentential connectives which have a non-discourse use with examples
- List of 50 inter-sentential connectives which have always a discourse use in the FTB

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# Third method: cross-linguistic data

#### To build a new lexicon or to enhance an existing one

TextLink Short-term scientific mission of M. Colinet in Postdam (Colinet et al. 2016)

- translate the elements of LexConn in German and compare with DiMLex
- vice-versa, translate the elements of DiMLex in French and compare with LexConn

#### Warning

A translation correspondence between two units unit<sub>a</sub> -> unit<sub>b</sub> from Lex<sub>a</sub> and Lex<sub>b</sub> doesn't mean that **any** occurrence of unit<sub>a</sub> in a corpus  $C_a$  translates as unit<sub>b</sub> in an aligned corpus  $C_b$  (Cartoni 2014).

## Constraints for the list of elements in the two lexicons

As usual in translation, a one-word unit may translate as a multi-word unit or vice-versa, without changing the morpho-syntactic category, e.g. au lieu de -> statt, both Prep + VP, (28)

- (28) a. Il est allé à Bruxelles, au lieu d'aller à Paris.
  - b. Er ist nach Bruxelles gegangen, statt nach Paris zu gehen. (He went to Bruxelles, instead of going to Paris).

# Should the morpho-syntactic category of $unit_a$ -> $unit_b$ be the same?

- The two major classes of unit<sub>a</sub> and unit<sub>b</sub> intra- and intersentential connectives — should be the same,
- which means, for example, that an adverb (inter-sentential connective) in Lex<sub>a</sub> cannot translate as a conjunction (intra-sentential connective) in Lex<sub>b</sub> or vice-versa.
- arguments: these two classes of connectives are too different on several linguistic grounds, they are not "comparable"

# Should the morpho-syntactic category be the same within intra-sentential connectives?

Categories: Coord + S, Sub + S, Prep + VP, Prep + NP

The linguistic criteria should be agreed upon, e.g. if Prep + NP are excluded (resp. included) in  $Lex_a$ , then they should be excluded (resp. included) in  $Lex_b$ 

Discrepancies could be found and allowed e.g. Prep + VP -> Sub + S (29)

- (29) a. Marie prend une douche avant d' aller au lit.
  - b. Marie nimmt eine Dusche bevor sie ins Bett geht. (Mary takes a shower before going to bed.)

# Should the morpho-syntactic category be the same within inter-sentential connectives?

Categories: adverbs + S and PPs + S

only difference: one-word adverb versus multi-word PPs

Discrepancies can be found and allowed, e.g. adverb -> PP, see *conversely* -> à *l'inverse* 

#### Problems with the frontier between connectives and AltLex

French *en plus* (connective) and *en plus de cela* (AltLex) both translate in German as *dazu* (in which *da* is a pronominal form)

# Constraints on the senses of connectives in Lex<sub>a</sub> and Lex<sub>b</sub>

#### By definition

a translation correspondence between two units  $unit_a -> unit_b$  means that these two units have the same sense

#### As a consequence

the lexicon  $\text{Lex}_a$  may benefit from the senses recorded in  $\text{Lex}_b$  and vice-versa (Stede 2016)

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### Other related issues and conclusion

- Other issues around the notion of connectives
- DRDs which are not discourse markers

## Other issues around the notion of connectives 1/2

#### Pairs of connectives

```
si ...alors, d'abord ...ensuite, d'une part ...d'autre part, (30)
```

- (30) a. Si il ne pleut pas, alors Fred sera heureux. (If it doesn't rain, then Fred will be happy.)
  - b. Fred a beaucoup voyage cet été. D'abord, il est allé au Pérou. Ensuite, il est allé au Chili.
     (Fred travelled a lot last summer. First, he went to Peru. Next, he went to Chili.)
  - c. Fred est vraiment odieux. D'une part, il est radin. D'autre part, il est dur avec les femmes. (Fred is really nasty. On the one hand, he is stingy. On the other hand, he is tough with women.)

## Other issues around the notion of connectives 2/2

#### Doublets of connectives

- two connectives with the same host sentence, the same sense and the same Arg1
- redundancy : mais cependant, et puis, (31)
- (31) a. Fred est généreux mais cependant il est radin. (Fred is generous but however he is stingy.)
  - b. Fred est allé au Pérou. Et, il est ensuite allé au Chili. (Fred went to Peru. And, he went next to Chili.)

### Discourse markers

#### Meta-expressions

They look as connectives but they have only one argument and behave as speaker-oriented adverbs (32)

- (32) a. Pour parler franchement, Fred est idiot. (Frankly speaking, Fred is an idiot.)
  - b. Franchement, Fred est idiot. (Frankly, Fred is an idiot.)

### Conclusion

- Discourse connectives form a half-open (or half-closed) class, with more than 100 elements and less than 500 for a given language.
- Quite possible to build a discourse connective lexicon,
- with well established linguistic criteria,
- with enhancement from corpus annotations and/or from cross-linguistic data.

#### Goal in TextLink:

Build as many discourse connective lexicons as possible!