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Monotone Simultaneous Paths Embeddings in $\mathbb{R}^d$

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Abstract. We study the following problem: Given $k$ paths that share the same vertex set, is there a simultaneous geometric embedding of these paths such that each individual drawing is monotone in some direction? We prove that for any dimension $d \geq 2$, there is a set of $d + 1$ paths that does not admit a monotone simultaneous geometric embedding.

1 Introduction

Monotone drawings and simultaneous embeddings are well studied topics in graph drawing. Monotone drawings, introduced by Angelini et al. [2], are planar drawings of connected graphs such that, for every pair of vertices, there is a path between them that monotonically increases with respect to some direction. Monotone drawings of planar graphs have been studied both in the fixed and in the variable embedding settings and both with straight-line edges and with bends allowed along edges; recent papers on these topics include [3, 10, 12, 13].

The simultaneous (geometric) embedding problem was first described in a paper by Braß et al. [7]. The input is a set of planar graphs that share the same labeled vertex set (but the set of edges differs from one graph to another); the output is a mapping of the vertex set to a point set such that each graph admits a crossing-free drawing with the given mapping. The simultaneous embedding problem has also been studied by restricting/relaxing some geometric requirements; for example, while every pair of planar graphs sharing the same labeled vertex set admits a simultaneous embedding where each edge has at most two bends (see, e.g., [9, 11]), not even a tree and a path always admit a geometric simultaneous embedding (such that the edges are straight-line segments) [4]). See the book chapter on simultaneous embeddings by T. Bläsius et al. [6] for an extensive list of references on the problem and its variants.

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In this paper, we combine the two topics of simultaneous embeddings and monotone drawings. Namely, we are interested in computing geometric simultaneous embeddings of paths such that each path is monotone in some direction. Let $V = 1, 2, \ldots, n$ be a labeled set of vertices and let $\Pi = \{\pi_1, \pi_2, \ldots, \pi_k\}$ be a set of $k$ distinct paths each having the same set $V$ of vertices. We want to compute a labeled set of points $P = \{p_1, p_2, \ldots, p_n\}$ such that point $p_i$ represents vertex $i$ and for each path $\pi_i \in \Pi$ ($1 \leq i \leq k$) there exists some direction for which the drawing of $\pi_i$ is monotone.

It is already known that any two paths on the same vertex set admit a monotone simultaneous geometric embedding in 2D, while there exist three paths on the same vertex set for which a simultaneous geometric embedding does not exist even if we drop the monotonicity requirement [7]. An example of three paths that do not have a monotone simultaneous geometric embedding in 2D can also be derived from a paper of Asinowski on suballowable sequences [5]. On the other hand, it is immediate to see that in 3D any number of paths sharing the same vertex set admits a simultaneous geometric embedding: Namely, by suitably placing the points in generic position (no 4 coplanar), the complete graph has a straight-line crossing-free drawing; however, the drawing of each path may not be monotone. This motivates the following question: Given a set of paths sharing the same vertex set, does the set admit a monotone simultaneous geometric embedding in $d$-dimensional space for $d \geq 3$?

Our main result is that for any dimension $d \geq 2$, there exists a set of $d + 1$ paths that does not admit a monotone simultaneous geometric embedding in $d$-dimensional space. Our proof exploits the relationship between monotone simultaneous geometric embeddings in $d$-dimensional space and their corresponding representation in the dual space. Our approach extends to $d$ dimensions the primal-dual technique described in a recent paper by Aichholzer et al. [1] on simultaneous embeddings of upward planar digraphs in 2D.

2 Definitions

Let $\vec{v}$ be a vector in $\mathbb{R}^d$ and let $G$ be a directed acyclic graph with vertex set $V$. An embedding $\Gamma$ of the vertex set $V$ in $\mathbb{R}^d$ is called $\vec{v}$-monotone for $G$ if the vectors in $\mathbb{R}^d$ corresponding to oriented edges of $G$ have a positive scalar product with $\vec{v}$. Let $\mathcal{V} = \{\vec{v}_1, \ldots, \vec{v}_k\}$ be a set of $k > 1$ vectors in $\mathbb{R}^d$ and let $\mathcal{G} = \{G_1, G_2, \ldots, G_k\}$ be a set of $k$ distinct acyclic digraphs on the same vertex set $V$. A $\mathcal{V}$-monotone simultaneous embedding of $\mathcal{G}$ in $\mathbb{R}^d$ is an embedding $\Gamma$ of $V$ that is $\vec{v}_i$-monotone for $G_i$ for any $i$. A monotone simultaneous embedding of $\mathcal{G}$ is a $\mathcal{V}$-monotone simultaneous embedding for some set $\mathcal{V}$ of vectors.

If a graph is a path on $n$ (labeled) vertices, it can be trivially identified with a permutation of $[1, n]$. We look at the monotone simultaneous embedding problem in the dual space, by mapping points representing vertices to hyperplanes in $\mathbb{R}^d$. The dual formulation of monotone simultaneous embeddings is as follows (the equivalence of these formulations is shown in the next section). Let $\Pi = \{\pi_1, \pi_2, \ldots, \pi_k\}$ be a set of $k$ permutations of $[1, n]$. A parallel simultaneous
embedding of \( \Pi \) in \( \mathbb{R}^d \) is a set of \( n \) hyperplanes \( H_1, H_2, \ldots, H_n \) and \( k \) vertical lines \( L_1, L_2, \ldots, L_k \) such that the set of \( n \) points \( L_j \cap H_{\pi_1(j)}, \ldots, L_j \cap H_{\pi_n(j)} \) is linearly ordered from bottom to top along \( L_j \), for all \( j \).

3 The Dual Problem and Non-Existence Results

The first two lemmas give duality results between monotone simultaneous embeddings and parallel simultaneous embeddings.

Lemma 1. If a set of \( k \) permutations of \([1, n]\) admits a parallel simultaneous embedding in \( d \) dimensions, it also admits a monotone simultaneous embedding in \( d \) dimensions.

Proof. Consider the following duality between points and hyperplanes, where we denote by \( H^* \) the dual of a non-vertical hyperplane \( H \):

\[
H : x_d = \left( \sum_{i=1}^{d-1} \alpha_i x_i \right) - \alpha_0, \quad H^* = (\alpha_1, \ldots, \alpha_{d-1}, \alpha_0).
\]

This duality maps parallel hyperplanes to points that are vertically aligned (and vice-versa). Let \( (H_j)_{1 \leq i \leq n}, (L_j)_{1 \leq j \leq k} \) be a parallel simultaneous embedding and refer to Fig. 1. By definition, line \( L_j \) crosses hyperplanes \( H_1, \ldots, H_n \) in the order \( H_{\pi_1(j)}, H_{\pi_2(j)}, \ldots, H_{\pi_n(j)} \). The intersection points \( L_j \cap H_{\pi_1(j)}, L_j \cap H_{\pi_2(j)}, \ldots, L_j \cap H_{\pi_n(j)} \) are collinear and therefore represent parallel hyperplanes in the dual plane. Consider the vector line \( \vec{v}_j \) perpendicular to these hyperplanes and pointing downward. This line crosses them in the order \( (L_j \cap H_{\pi_1(j)})^*, (L_j \cap H_{\pi_2(j)})^*, \ldots, (L_j \cap H_{\pi_n(j)})^* \). Since point \( H_j^* \) lies in hyperplane \( (L_j \cap H_i)^* \), points \( H_i^*, 1 \leq i \leq n \), project on \( \vec{v}_j \) in the order \( H_{\pi_1(j)}^*, H_{\pi_2(j)}^*, \ldots, H_{\pi_n(j)}^* \). Therefore \( (H_j^*)_{1 \leq i \leq n} \) is an embedding such that path \( \pi_j \) is \( \vec{v}_j \)-monotone, for all \( j \). \( \square \)

Lemma 2. If a set \( (\pi_j)_{1 \leq j \leq k} \) of \( k \) permutations of \([1, n]\) admits a monotone simultaneous embedding in \( d \) dimensions, there is a set \( (\pi_j')_{1 \leq j \leq k} \) that admits a parallel simultaneous embedding in \( \mathbb{R}^d \) where, for every \( j \), \( \pi_j' \) is either equal to \( \pi_j \) or to its reverse.
Proof. As in the proof of Lemma 1, we consider point-hyperplane duality. Let \((p_i)_{1 \leq i \leq n}\) be an embedding \(\vec{v}_j\)-monotone for \(\pi_j\), and \((p^*_i)_{1 \leq i \leq n}\) the corresponding set of dual hyperplanes. Let \(H_j\) be a hyperplane with normal vector \(\vec{v}_j\), \(1 \leq j \leq n\). Define \(L_j\) to be the vertical line through point \(H^*_j\). By construction, the points \((L_j \cap p^*_{\pi_j(i)})\) appear in order on \(L_j\) for one of the two possible orientations of \(L_j\). In particular, when \(\vec{v}_j\) points downward, \(L_j\) lists the points \(L_j \cap p^*_{\pi_j(i)}\) from bottom to top and vice versa. \(\square\)

We now prove results of existence and non-existence of parallel simultaneous embeddings, starting with a very simple result of existence.

**Proposition 1.** Any set of \(d\) permutations on \(n\) vertices admits a monotone simultaneous embedding and a parallel simultaneous embedding in \(d\) dimensions.

**Proof.** Choose \(d\) points in general position in the hyperplane \(x_d = 0\) and draw a vertical line through each of these points. For each vertical line, choose a permutation and place on the line \(n\) points numbered according to the permutation. Fit a hyperplane through all the points with the same number. By construction, this set of hyperplanes is a parallel simultaneous embedding. Going to the dual, by Lemma 1, gives a monotone simultaneous embedding. Alternatively, the monotone embedding can be seen directly by considering the rank in the \(i\)-th permutation as the \(i\)-th coordinate. \(\square\)

We now turn our attention to non-existence. For proving that there exists \(k = d + 1\) permutations that do not admit a parallel simultaneous embedding in \(d\) dimensions, observe that we can consider any generic placement of the \(d\) first lines \(L_j\) since all such placements are equivalent through affine transformations. We then construct permutations for \(n\) big enough that cannot be realized with any placement of \(L_{d+1}\). Similarly, constructing \(k = d + 1\) permutations that cannot be realized even up to inversion, yields the non-existence of a monotone simultaneous embedding in \(d\) dimensions by Lemma 2. We start with dimension 2, then move to dimension 3 and only then, generalize our results to arbitrary dimension. Observe that 2D results also follow from [5, Lemma 1 & Prop. 8], but we still present our proofs as a warm up for higher dimensions.

**Lemma 3.** There exists a set of 3 permutations on \(\{0, 1, 2\}\) that does not admit a parallel simultaneous embedding in 2D.

**Proof.** Let \(L_1\) and \(L_2\) be two vertical lines, \(H_1\) and \(H_2\) two other lines, and let \(\tau_1 = (1, 2)\) and \(\tau_2 = (2, 1)\) be two permutations of \(\{1, 2\}\). As in Fig. 2-left, if \(L_1\) is left of \(L_2\) and the intersections of \(H_1\) and \(H_2\) with \(L_j\) are ordered according to \(\tau_j\), we can deduce that \(H_1 \cap H_2\) is between \(L_1\) and \(L_2\). It follows that a vertical line crossing \(H_1\) below \(H_2\) is to the left of that intersection point and thus to the left of \(L_2\). Similarly, a vertical line crossing \(H_1\) above \(H_2\) is to the right of \(L_1\). If we now consider \(\tau_1 = \tau_2 = (1, 2)\) we have that a vertical line crossing \(H_1\) above \(H_2\) is not between \(L_1\) and \(L_2\) (Fig. 2-center). Consider now \(\tau_1 = (1, 0, 2), \tau_2 = (2, 1, 0)\) and \(\tau_3 = (0, 2, 1)\). Restricting the permutations to \(\{1, 2\}\) gives that \(L_3\) must be
right of $L_1$, restricting to \{0, 2\} gives that $L_3$ must be left of $L_2$, and restricting to \{0, 1\} gives that $L_3$ cannot be between $L_1$ and $L_2$ (Fig. 2-right). We deduce that no placement for $L_3$ can realize $\pi_3$. Notice that the reverse order (1, 2, 0) can be realized and thus the dual of this construction is not a counterexample to simultaneous monotone embeddings.

Lemma 4. There exists a set of 3 permutations on 6 vertices that does not admit a monotone simultaneous embedding in 2D.

Proof. Let $\pi_1 = (f, b, d, e, a, c)$, $\pi_2 = (d, f, c, b, e, a)$, and $\pi_3 = (f, a, d, e, b, c)$. The sub-permutations of $\pi_1$, $\pi_2$ and $\pi_3$ on \{a, b, c\} are (by matching (a, b, c) to (0, 1, 2)) the 3 permutations that do not admit a parallel simultaneous embedding in the proof of Lemma 3. The same is obtained by reversing only $\pi_1$ (resp. $\pi_2$, $\pi_3$) and considering sub-permutations on \{a, c, d\} (resp. \{d, b, e\}, \{b, f, d\}). Other possibilities are symmetric and Lemma 2 yields the result.

Lemma 5. There exists a set of 4 permutations on 5 vertices that does not admit a parallel simultaneous embedding in 3D.

Proof. As in the proof of Lemma 1 we consider 3 points $\ell_1, \ell_2, \ell_3$ in general position in the hyperplane $x_3 = 0$ and the 3 vertical lines $L_1, L_2, L_3$ going through these points. Let $L$ be a vertical line (candidate position for $L_4$) and $\ell$ its intersection with $x_3 = 0$. We consider the 3 permutations $\tau_1 = (1, 2, 3)$, $\tau_2 = (2, 3, 1)$, $\tau_3 = (3, 1, 2)$ defining the vertical order of the intersections of $L_1, L_2, L_3$ with hyperplanes $(H_i)_{1\leq i \leq 3}$. We denote by $h_{i,j}$ the projection of the line $H_i \cap H_j$, $1 \leq i \neq j \leq 3$, onto the plane $x_3 = 0$. Since the three planes $H_i$, $1 \leq i \leq 3$ meet in one point, the lines $h_{1,2}$, $h_{2,3}$ and $h_{1,3}$ meet at the projection of that point onto the plane $x_3 = 0$.

Refer to Fig. 3. For $L$ to cut $H_2$ below $H_1$, $\ell$ must be in the half-plane limited by $h_{1,2}$ and containing $\ell_2$, and, similarly, for $L$ to cut $H_3$ below $H_2$, $\ell$ must be in the half-plane limited by $h_{2,3}$ and containing $\ell_3$. Thus, $\ell$ must be in a wedge with apex $h_{1,2} \cap h_{2,3}$ (Fig. 3-left). Since $h_{1,2}$ separates $\ell_2$ from $\ell_1$ and $\ell_3$, and $h_{2,3}$ separates $\ell_3$ from $\ell_1$ and $\ell_2$, the union of all wedges, for all possible positions of $h_{1,2}$ and $h_{2,3}$, is the union, $\mathcal{R}$, of triangle $\ell_1 \ell_2 \ell_3$ and the half-plane limited by $\ell_2 \ell_3$ and not containing $\ell_1$ (Fig. 3-center). To summarize, if $\tau_1 = (1, 2, 3)$, $\tau_2 = (2, 3, 1)$, $\tau_3 = (3, 1, 2)$, and $\tau_4 = (3, 2, 1)$ then $\ell_4$ (the intersection point of $L_4$ with the hyperplane $x_3 = 0$) must lie in this region $\mathcal{R}$.
Next, we build the permutations \( \pi_1, \pi_2, \pi_3 \) and \( \pi_4 \) by repeating this example as follows: \( \pi_1 = (0,1,2,3,4) \), \( \pi_2 = (2,3,4,0,1) \), \( \pi_3 = (3,4,0,1,2) \), and \( \pi_4 = (1,3,2,0,4) \). The restriction of these permutations to \( \{0,2,3\} \) yields that \( \ell_4 \) must be in the triangle or in the half-plane limited by \( \ell_2 \ell_3 \) and not containing \( \ell_1 \). The restriction to \( \{1,2,3\} \) yields that \( \ell_4 \) must be in the triangle or in the half-plane limited by \( \ell_1 \ell_3 \) and not containing \( \ell_2 \). The restriction to \( \{0,2,4\} \) yields that \( \ell_4 \) must be in the triangle or in the half-plane limited by \( \ell_1 \ell_2 \) and not containing \( \ell_3 \). Finally, considering \( \{0,1\} \) yields that \( \ell_4 \) must be outside the triangle (Fig. 3-right). Thus there is no possibility for placing \( L_4 \).

**Lemma 6.** There exists a set of 4 permutations on 40 vertices that does not admit a monotone simultaneous embedding in 3D.

*Sketch of proof.* The idea is to concatenate several versions of the counterexample of the previous lemma to cover all possibilities of reversing permutations. Note that the number of 40 vertices is not tight.

**Lemma 7.** There exists a set of \( d + 1 \) permutations on \( 3 \cdot 2^d \) vertices that does not admit a parallel simultaneous embedding in \( d \) dimensions.

*Sketch of proof.* As in Lemma 5, the idea is to consider the simplex \( (\ell_j)_{1 \leq j \leq d} \) and to construct the permutations for the \( L_i \) in order to prevent all possibilities for placing \( \ell_{d+1} \).

To get a result in the dual, the difficulty is that we have to prevent not only some permutations but also their reverse versions.

**Theorem 1.** There exists a set of \( d + 1 \) permutations on \( 3 \cdot 2^{2d} \) vertices that does not admit a monotone simultaneous embedding in \( d \) dimensions.

*Sketch of proof.* As for Lemma 6 we concatenate several versions of previous counter-example to cover all possibilities of reversing permutations.

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References

A Proofs

Proof of Lemma 6. We consider
\[\pi_1=(0, 1, 2, 3, 4, 10, 11, 12, 13, 14, 20, 21, 22, 23, 24, 30, 31, 32, 33, 34, 40, 41, 42, 43, 44, 50, 51, 52, 53, 54, 60, 61, 62, 63, 64, 70, 71, 72, 73, 74),\]
\[\pi_2=(2, 3, 4, 0, 1, 12, 13, 14, 10, 11, 22, 23, 24, 20, 21, 32, 33, 34, 30, 31, 41, 40, 44, 43, 42, 51, 50, 54, 53, 52, 61, 60, 64, 63, 62, 71, 70, 74, 73, 72),\]
\[\pi_3=(3, 4, 0, 1, 2, 13, 14, 10, 11, 12, 22, 21, 20, 24, 23, 32, 31, 30, 34, 33, 43, 44, 40, 41, 42, 53, 54, 50, 51, 52, 62, 61, 60, 64, 63, 72, 71, 70, 74, 73),\]
and
\[\pi_4=(1, 3, 2, 0, 4, 14, 10, 12, 13, 11, 21, 23, 22, 20, 24, 34, 30, 32, 33, 31, 41, 43, 42, 40, 44, 54, 50, 52, 53, 51, 61, 63, 62, 60, 64, 74, 70, 72, 73, 71)\]
The idea is that we have eight groups of vertices. Group \{0, 1, 2, 3, 4\} restricts exactly to the example of Lemma 5 and prevents going from primal to dual without reversing any permutations. Group \{10, 11, 12, 13, 14\} prevents going from primal to dual reversing exactly \(\pi_4\). The other groups prevent all combinations of reversals that leave the first permutation fixed. In this example we prefer the simplicity of proof to optimizing the number of vertices. Counterexamples with less vertices can be easily obtained by sharing vertices between the different groups.

Proof of Lemma 7. As in previous lemma, we generalize Lemma 3 without trying to optimize the number of vertices in the permutations. We consider \(d\) points \((\ell_j)_{1\leq j \leq d}\) in general position in the hyperplane \(x_d = 0\) and the \(d\) vertical lines \((L_j)_{1\leq j \leq d}\) going through these points. Let \(L_{d+1}\) be a (variable) vertical line and \(\ell_{d+1}\) its intersection with \(x_d = 0\). In a similar manner as in two dimensions consider \(\tau_1 = (1, 0, 2)\), \(\tau_2 = (2, 1, 0)\), and \(\tau_3 = (0, 2, 1)\) and \(H_1 \subset \{i | 1 \leq i \leq d\}\), \(H_2 = \{i | 1 \leq i \leq d\} \setminus H_1\), and \(H_3 = \{d + 1\}\); then assume that \(\tau_1\) is the order of hyperplanes \(H_0, H_1, H_2\) along \(L_k\) for any \(k \in H_i\). In other words, above \(\ell_k\), we have for instance \(H_2\) above \(H_1\) for \(k \in H_1\) and the converse for \(k \in H_2 \cup H_3\).

In projection, this means that \(h_{1,2} = H_1 \cap H_2\) separates \((\ell_i)_{i \in H_1}\) from \((\ell_i)_{i \in H_2}\) and that \(\ell_{d+1}\) is on the side of \((\ell_i)_{i \in H_2}\). Thus, \(\ell_{d+1}\) must be in the pink hatched part in Fig. 4. Considering \(h_{0,2}\) yields similarly that \(\ell_{d+1}\) must be in the blue hatched part, and consequently, there is a hyperplane through \(\ell_{d+1}\) that separates \((\ell_i)_{i \in H_1}\) from \((\ell_i)_{i \in H_2}\).

Fig. 4. Non-existence of a \(d\)-dimensional parallel simultaneous embedding.
Now we construct $\pi_1, \ldots, \pi_{d+1}$ by concatenating one copy of $\tau_1$, $\tau_2$, and $\tau_3$ with three new vertices for each possible partition of $\{i \mid 1 \leq i \leq d\}$ in $\Pi_1$ and $\Pi_2$. For any such partition, there is a hyperplane through $\ell_{d+1}$ that separates $(\ell_i)_{i \in \Pi_1}$ from $(\ell_i)_{i \in \Pi_2}$. Points $(\ell_j)_{1 \leq j \leq d+1}$ can be seen in $\mathbb{R}^{d-1}$ (since $x_d = 0$) and considering the partition with $\Pi_1 = \emptyset$ yields that there is a hyperplane (in $\mathbb{R}^{d-1}$) through $\ell_{d+1}$ with all $(\ell_j)_{1 \leq j \leq d}$ on one side. In other words, there is a hyperplane (in $\mathbb{R}^{d-1}$) separating $\ell_{d+1}$ from $(\ell_j)_{1 \leq j \leq d}$. Projecting $(\ell_j)_{1 \leq j \leq d}$ onto that plane (with a central projection with center $\ell_{d+1}$) yields $d$ points in $\mathbb{R}^{d-2}$, which can be partitioned in two sets, whose convex hulls intersect by Radon’s theorem [14]. For this partition, there is no hyperplane through $\ell_{d+1}$ that separates $(\ell_i)_{i \in \Pi_1}$ from $(\ell_i)_{i \in \Pi_2}$, which is a contradiction. Hence, these $d+1$ permutations on $3 \cdot 2^d$ vertices prevent all placements for $\ell_{d+1}$, which concludes the proof. (Note however that this number of vertices is clearly non-optimal.)

Proof of Theorem 1. A counterexample of $d+1$ permutations $(\pi_j)_{1 \leq j \leq d}$ with no monotone simultaneous embedding must be a counterexample of $d+1$ permutations with no parallel simultaneous embedding for any set of permutations obtained from $(\pi_j)_{1 \leq j \leq d}$ by reversing some of these permutations. Since there are $2^d$ ways of choosing which permutations are reversed, we can concatenate $2^d$ images of counterexamples from Lemma 7 by reversing some permutations so that the situation of Lemma 7 appears whatever choice of reversing is done. □