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Interpolation, box splines, and lattice points in zonotopes

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Abstract. Given a finite list of vectors $X \subseteq \mathbb{R}^d$, one can define the box spline B_X . Box splines are piecewise polynomial functions that are used in approximation theory. They are also interesting from a combinatorial point of view and many of their properties solely depend on the structure of the matroid defined by the list X . The support of the box spline is the zonotope $Z(X)$. We show that if the list X is totally unimodular, any real-valued function defined on the set of lattice points in the interior of $Z(X)$ can be extended to a function on $Z(X)$ of the form $p(D)B_X$ in a unique way, where $p(D)$ is a differential operator that is contained in the so-called internal \mathcal{P} -space. This was conjectured by Olga Holtz and Amos Ron. We also point out connections between this interpolation problem and matroid theory, including a deletion-contraction decomposition.

Résumé. Étant donné une liste finie de vecteurs $X \subseteq \mathbb{R}^d$, on peut définir la box spline B_X . Les box splines sont des fonctions continues par morceaux qui sont utilisées en théorie de l'approximation. Elles sont aussi intéressantes d'un point de vue combinatoire et beaucoup de leurs propriétés dépendent uniquement de la structure du matroïde défini par la liste X . Le support de la box spline est le zonotope $Z(X)$. Si la liste X est totalement unimodulaire, nous démontrons que toute fonction à valeurs réelles définie sur l'ensemble des points du réseau à l'intérieur de $Z(X)$ peut être étendue à une fonction sur $Z(X)$ de la forme $p(D)B_X$ de manière unique, où $p(D)$ est un opérateur différentiel qui est contenu dans l'espace appelé \mathcal{P} -interne. Cela a été conjecturé par Olga Holtz et Amos Ron. Nous indiquons aussi des relations entre ce problème d'interpolation et la théorie des matroïdes, en plus d'une décomposition suppressions-contractions.

Keywords: matroid, zonotope, lattice points, interpolation, box spline

1 Introduction

Given a set $\Theta = \{u_1, \dots, u_k\}$ of k distinct points on the real line and a function $f : \Theta \rightarrow \mathbb{R}$, it is well-known that there exists a unique polynomial p_f in the space of univariate polynomials of degree at most $k - 1$ s. t. $p_f(u_i) = f(u_i)$ for $i = 1, \dots, k$.

If Θ is contained in \mathbb{R}^d for an integer $d \geq 2$, the situation becomes more difficult. Not all of the properties of the univariate case can be preserved simultaneously. The minimal number m_Θ s. t. for every

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$f : \Theta \rightarrow \mathbb{R}$ there exists a polynomial $p_f \in \mathbb{R}[x_1, \dots, x_d]$ of total degree at most m_Θ that satisfies $p_f(u_i) = f(u_i)$ depends on the geometric configuration of the points in Θ . Furthermore, the interpolating polynomial p_f of degree at most m_Θ is in general not uniquely determined. This is only possible if the dimension of the space of polynomials of degree at most m_Θ happens to be equal to k .

Uniqueness is possible if we choose the interpolating polynomials from a special space. Carl de Boor and Amos Ron introduced the *least solution* to the polynomial interpolation problem. For an arbitrary finite point set $\Theta \subseteq \mathbb{R}^d$, they construct a space of multivariate polynomials $\Pi(\Theta)$ that has dimension $|\Theta|$ and that contains a unique polynomial interpolating polynomial p_f for every function $f : \Theta \rightarrow \mathbb{R}$ [12, 13].

In this paper, we construct a space that contains unique interpolating functions for the special case where Θ is the set of lattice points in the interior of a zonotope. The space is of a very special nature: it is obtained by applying certain differential operators to the box spline. This is interesting because it connects various algebraic and combinatorial structures with interpolation and approximation theory. More information on multivariate polynomial interpolation can be found in the survey paper [17].

We use the following setup: U denotes a d -dimensional real vector space and $\Lambda \subseteq U$ a lattice. Let $X = (x_1, \dots, x_N) \subseteq \Lambda$ be a finite list of vectors that spans U . We assume that X is totally unimodular with respect to Λ , *i. e.* every basis for U that can be selected from X is also a lattice basis. The symmetric algebra over U is denoted by $\text{Sym}(U)$. We fix a basis s_1, \dots, s_d for the lattice. This makes it possible to identify Λ with \mathbb{Z}^d , U with \mathbb{R}^d , $\text{Sym}(U)$ with the polynomial ring $\mathbb{R}[s_1, \dots, s_d]$, and X with a $(d \times N)$ matrix. Then X is totally unimodular if and only if every non-singular square submatrix of this matrix has determinant 1 or -1 . A base-free setup is however more convenient when working with quotient vector spaces.

The *zonotope* $Z(X)$ is defined as

$$Z(X) := \left\{ \sum_{i=1}^N \lambda_i x_i : 0 \leq \lambda_i \leq 1 \right\}. \tag{1}$$

We denote its set of interior lattice points by $\mathcal{Z}_-(X) := \text{int}(Z(X)) \cap \Lambda$. The *box spline* $B_X : U \rightarrow \mathbb{R}$ is a piecewise polynomial function that is supported on the zonotope $Z(X)$. It is defined by

$$B_X(u) := \frac{1}{\sqrt{\det(XX^T)}} \text{vol}_{N-d} \left\{ (\lambda_1, \dots, \lambda_N) \in [0, 1]^N : \sum_{i=1}^N \lambda_i x_i = u \right\}. \tag{2}$$

For examples, see Figure 1 and Example 10. A good reference for box splines and their applications in approximation theory is [11]. Our terminology is closer to [14, Chapter 7], where splines are studied from an algebraic point of view.

A vector $u \in U$ defines a linear form $p_x \in \text{Sym}(U)$. For a sublist $Y \subseteq X$, we define $p_Y := \prod_{y \in Y} p_y$. For example, if $Y = ((1, 0), (1, 2))$, then $p_Y = s_1^2 + 2s_1s_2$. Now we define the

$$\text{central } \mathcal{P}\text{-space } \mathcal{P}(X) := \text{span}\{p_Y : \text{rk}(X \setminus Y) = \text{rk}(X)\} \tag{3}$$

$$\text{and the internal } \mathcal{P}\text{-space } \mathcal{P}_-(X) := \bigcap_{x \in X} \mathcal{P}(X \setminus x). \tag{4}$$

The space $\mathcal{P}_-(X)$ was introduced in [18] where it was also shown that the dimension of this space is equal to $|\mathcal{Z}_-(X)|$. The space $\mathcal{P}(X)$ first appeared in approximation theory [1, 10, 16]. Later, spaces of this type and generalisations were also studied by authors in other fields, *e. g.* [2, 4, 19, 22, 24, 26, 29].

We will let the elements of $\mathcal{P}_-(X)$ act as differential operators on the box spline. For $p \in \mathcal{P}_-(X) \subseteq \text{Sym}(U) \cong \mathbb{R}[s_1, \dots, s_r]$, we write $p(D)$ to denote the differential operator obtained from p by replacing the variable s_i by $\frac{\partial}{\partial s_i}$.

The following proposition ensures that the box spline is sufficiently smooth so that the derivatives that appear in the Main Theorem actually exist.

Proposition 1. *Let $X \subseteq \Lambda \subseteq U \cong \mathbb{R}^d$ be a list of vectors that is totally unimodular and let $p \in \mathcal{P}_-(X)$. Then $p(D)B_X$ is a continuous function.*

Now we are ready to state the Main Theorem. It was conjectured by Olga Holtz and Amos Ron [18, Conjecture 1.8].

Theorem 2 (Main Theorem). *Let $X \subseteq \Lambda \subseteq U \cong \mathbb{R}^d$ be a list of vectors that is totally unimodular. Let f be a real valued function on $\mathcal{Z}_-(X)$, the set of interior lattice points of the zonotope defined by X .*

Then there exists a unique polynomial $p \in \mathcal{P}_-(X) \subseteq \mathbb{R}[s_1, \dots, s_d]$, s. t. $p(D)B_X$ equals f on $\mathcal{Z}_-(X)$.

Here, $p(D)$ denotes the differential operator obtained from p by replacing the variable s_i by $\frac{\partial}{\partial s_i}$ and B_X denotes to the box spline defined by X .

Remark 3. Total unimodularity of the list X is a crucial requirement in Theorem 2. Namely, the dimension of $\mathcal{P}_-(X)$ and $|\mathcal{Z}_-(X)|$ agree if and only if X is totally unimodular. Note that if one vector in X is multiplied by an integer $\lambda \geq 2$, $|\mathcal{Z}_-(X)|$ increases while $\mathcal{P}_-(X)$ stays the same.

Total unimodularity also enables us to make a simple deletion-contraction proof: it implies that Λ/x is a lattice for all $x \in X$. In general, quotients of lattices may contain torsion elements.

Remark 4. We have mentioned above that $\dim(\mathcal{P}_-(X)) = |\mathcal{Z}_-(X)|$ holds. This is a consequence of a deep connection between the spaces $\mathcal{P}_-(X)$ and $\mathcal{P}(X)$ and matroid theory. The Hilbert series of these two spaces are evaluations of the Tutte polynomial of the matroid defined by X [2]. One can deduce that the Hilbert series of the internal space is equal to the h -polynomial of the broken-circuit complex [5] of the matroid $M^*(X)$ that is dual to the matroid defined by X and the Hilbert series of the central space equals the h -polynomial of the matroid complex of $M^*(X)$. The Ehrhart polynomial of a zonotope that is defined by a totally unimodular matrix is also an evaluation of the Tutte polynomial (see e. g. [30]). In summary, for a totally unimodular matrix X

$$\dim \mathcal{P}_-(X) = |\mathcal{Z}_-(X)| = \mathfrak{T}_X(0, 1) \text{ and } \dim \mathcal{P}(X) = \text{vol}(Z(X)) = \mathfrak{T}_X(1, 1) \tag{5}$$

holds, where \mathfrak{T}_X denotes the Tutte polynomial of the matroid defined by X .

It is also interesting to know that the Ehrhart polynomial of an arbitrary zonotope defined by an integer matrix is an evaluation of the arithmetic Tutte polynomial [6, 7].

The full-length version of this extended abstract that includes all proof is available on the arXiv [23]. Various related papers have been presented at FPSAC in recent years (e. g. [2, 21, 27]).

Organisation of this extended abstract. In Section 2 we will discuss some basic properties of splines. Section 3 is devoted to the one-dimensional case. In Section 4 we will recall the wall-crossing formula for splines that can be used to prove Proposition 1. In Section 5 we will define deletion and contraction and in Section 6 we will state a deletion-contraction decomposition of our interpolation problem that implies the Main Theorem.

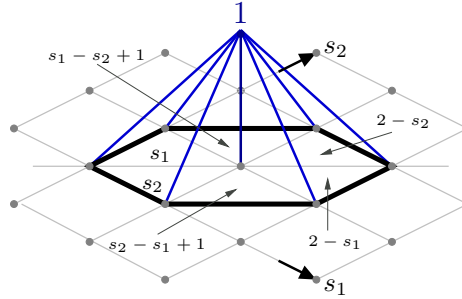


Figure 1: A very simple two-dimensional example. Here, $X = ((1, 0), (0, 1), (1, 1))$, $\mathcal{P}_-(X) = \mathbb{R}$, and there is only one interior lattice point in $Z(X)$.

2 Splines

In this section we will introduce the multivariate spline and discuss some basic properties of splines. Proofs of the results that we mention here can be found in [14, Chapter 7] and some also in [11].

If the convex hull of the vectors in X does not contain 0, we define the *multivariate spline* (or truncated power) $T_X : U \rightarrow \mathbb{R}$ by

$$T_X(u) := \frac{1}{\sqrt{\det(XX^T)}} \text{vol}_{N-d}\{(\lambda_1, \dots, \lambda_N) \in \mathbb{R}_{\geq 0}^N : \sum_{i=1}^N \lambda_i x_i = u\}. \tag{6}$$

The support of T_X is the *cone* $\text{cone}(X) := \left\{ \sum_{i=1}^N \lambda_i x_i : \lambda_i \geq 0 \right\}$.

Sometimes it is useful to think of the two splines B_X and T_X as distributions. In particular, one can then define the splines for lists $X \subseteq U$ that do not span U .

Remark 5. Let $X \subseteq U \cong \mathbb{R}^r$ be a finite list of vectors. The multivariate spline T_X and the box spline B_X are distributions that are characterised by the formulae

$$\int_U \varphi(u) B_X(u) \, du = \int_0^1 \cdots \int_0^1 \varphi \left(\sum_{i=1}^N \lambda_i x_i \right) \, d\lambda_1 \cdots d\lambda_N \tag{7}$$

$$\text{and } \int_U \varphi(u) T_X(u) \, du = \int_0^\infty \cdots \int_0^\infty \varphi \left(\sum_{i=1}^N \lambda_i x_i \right) \, d\lambda_1 \cdots d\lambda_N. \tag{8}$$

where φ denotes a test function.

Remark 6. Convolutions of splines are again splines. In particular,

$$T_X = T_{x_1} * \cdots * T_{x_N} \text{ and } B_X = B_{x_1} * \cdots * B_{x_n}. \tag{9}$$

For $x \in X$, differentiation of the two splines in direction x is particularly easy:

$$D_x T_X = T_{X \setminus x} \tag{10}$$

$$\text{and } D_x B_X = \nabla_x B_{X \setminus x} := B_{X \setminus x} - B_{X \setminus x}(\cdot - x). \tag{11}$$

Remark 7. For a basis $C \subseteq U$,

$$B_C = \frac{\chi_{Z(C)}}{|\det(C)|} \text{ and } T_C = \frac{\chi_{\text{cone}(C)}}{|\det(C)|}, \tag{12}$$

where $\chi_A : U \rightarrow \{0, 1\}$ denotes the indicator function of the set $A \subseteq U$. In conjunction with (9), (12) provides a simple recursive method to calculate the splines.

Remark 8. The box spline can easily be obtained from the multivariate spline. Namely,

$$B_X(u) = \sum_{S \subseteq X} (-1)^{|S|} T_X(u - a_S), \tag{13}$$

where $a_S := \sum_{a \in S} a$.

3 Cardinal B-splines

In this section we will discuss the one-dimensional case of Theorem 2. This case can be used as base case for the inductive proof of the Main Theorem.

Let $X_N := (\underbrace{1, \dots, 1}_{N \text{ times}}) \subseteq \mathbb{Z} \subseteq \mathbb{R}^1$. WLOG every totally unimodular list of vectors in \mathbb{R}^1 can be written in this way.

One can easily calculate the corresponding box splines (cf. Remark 7):

$$B_{X_{N+1}}(u) = \int_0^1 B_{X_N}(u - \tau) d\tau = \sum_{j=0}^{N+1} \frac{(-1)^j}{N!} \binom{N+1}{j} (u - j)_+^N, \tag{14}$$

where $(u - j)_+^N := \max(u - j, 0)^N$. The functions $B_{X_{N+1}}$ are called *cardinal B-splines* in the literature (e. g. [9]).

Note that $\mathcal{Z}_-(X_{N+1}) = \{1, 2, \dots, N\}$,

$$\mathcal{P}_{X_{N+1}} = \text{span}\{1, s, \dots, s^N\}, \text{ and } \mathcal{P}_-(X_{N+1}) = \text{span}\{1, s, \dots, s^{N-1}\}.$$

Hence, in the one-dimensional case, Theorem 2 is equivalent to the following proposition.

Proposition 9. *Let $N \in \mathbb{N}$. For every function $f : \{1, \dots, N\} \rightarrow \mathbb{R}$, there exist uniquely determined numbers $\lambda_1, \dots, \lambda_N \in \mathbb{R}$ s. t.*

$$\sum_{i=1}^N \lambda_i D_x^{i-1} B_{X_{N+1}}(j) = f(j) \text{ for } j = 1, \dots, N. \tag{15}$$

For $N \in \mathbb{N}$, we consider the matrix $(N \times N)$ -matrix M^N whose entries are given by

$$m_{ij}^N = D_x^{i-1} B_{X_{N+1}}(j). \tag{16}$$

Proposition 9 is equivalent to M^N having full rank. Here are a few simple examples (see also Figure 2).

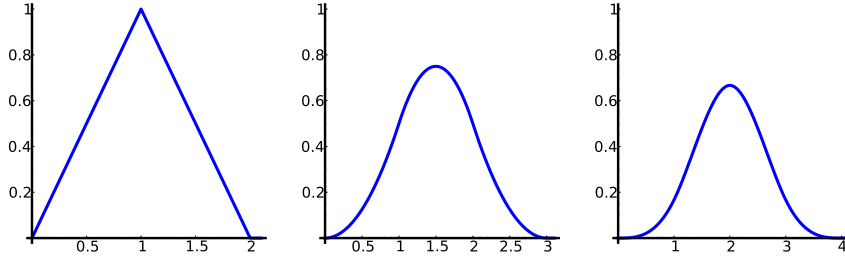


Figure 2: The cardinal B -splines B_2 , B_3 , and B_4 .

Example 10.

$$B_{X_2}(s) = s - 2(s - 1)_+ + (s - 2)_+ \tag{17}$$

$$B_{X_3}(s) = \frac{1}{2}(s^2 - 3(s - 1)_+^2 + 3(s - 2)_+^2 - (s - 3)_+^2) \tag{18}$$

$$B_{X_4}(s) = \frac{1}{6}(s^3 - 4(s - 1)_+^3 + 6(s - 2)_+^3 - 4(s - 3)_+^3 + (s - 4)_+^3) \tag{19}$$

$$M^2 = (1) \quad M^3 = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ 1 & -1 \end{pmatrix} \quad M^4 = \begin{pmatrix} \frac{1}{6} & \frac{4}{6} & \frac{1}{6} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ 1 & -2 & 1 \end{pmatrix}$$

4 Smoothness and wall-crossing

In this section we will discuss the proof of Proposition 1. First, we will mention some results on the structure of the multivariate spline T_X that can be used in the proof. The Wall-Crossing Theorem describes the behaviour of T_X when we pass from one region of polynomiality to another.

Definition 11. A *tope* is a connected component of the complement of

$$\mathcal{H}_X := \{\text{span}(Y) : Y \subseteq X, \text{rk}(Y) = \text{rk}(X) - 1\} \subseteq U \tag{20}$$

The following theorem is a consequence of Lemma 3.3 and Proposition 3.7 in [15].

Theorem 12. Let $X \subseteq U \cong \mathbb{R}^d$ be a list of vectors N that spans U and whose convex hull does not contain 0 .

Then T_X agrees with a homogeneous polynomial f^τ of degree $N - d$ on every tope τ .

Given a hyperplane H and a tope τ which does not intersect H (but its closure may do so), we partition $X \setminus H$ into two sets A_H^τ and B_H^τ . The set A_H^τ contains the vectors that lie on the same side of H as τ and B_H^τ contains the vectors that lie on the other side. Note that the convex hull of $(A_H^\tau, -B_H^\tau)$ does not contain 0 . Hence, we can define the multivariate spline

$$T_{X \setminus H}^\tau := (-1)^{|B_H^\tau|} T_{(A_H^\tau, -B_H^\tau)}. \tag{21}$$

Now we are ready to state the wall-crossing formula as in [15, Theorem 4.10]. Related results are in [8, 28].

Theorem 13 (Wall-crossing for multivariate splines). *Let τ_1 and τ_2 be two topes whose closures have an $r - 1$ dimensional intersection τ_{12} that spans a hyperplane H . Then there exists a uniquely determined distribution $f^{\tau_{12}}$ that is supported on H s. t. the difference of the local pieces of T_X in τ_1 and τ_2 is equal to the polynomial*

$$T_X^{\tau_1} - T_X^{\tau_2} = (T_{X \setminus H}^{\tau_1} - T_{X \setminus H}^{-\tau_1}) * f^{\tau_{12}}. \tag{22}$$

Proof of Proposition 1 (sketch): Let $u \in U$. If $u \in U \setminus \mathcal{H}_X$, there is nothing to prove: by Theorem 12, T_X is polynomial in a neighbourhood of u and hence smooth. If $u \in \mathcal{H}_X$, u is contained in the closure of at least two topes. We have to show that the derivatives of the polynomial pieces in the topes agree on u . This can be done using the wall-crossing formula. \square

Remark 14. Holtz and Ron conjectured that $\mathcal{P}_-(X)$ is spanned by polynomials p_Y where Y runs over all sublists of X s. t. $X \setminus (Y \cup x)$ has full rank for all $x \in X$ [18, Conjecture 6.1]. By formula (11), this would have implied Proposition 1. However, this conjecture has recently been disproved [3].

5 Deletion and contraction

In this section we will introduce the operations deletion and contraction which will can be used in an inductive proof of the Main Theorem. We will also state two lemmas about deletion and contraction for box splines and zonotopes.

Let $x \in X$. We call the list $X \setminus x$ the *deletion* of x . The image of $X \setminus x$ under the canonical projection $\pi_x : U \rightarrow U / \text{span}(x) =: U/x$ is called the *contraction* of x . It is denoted by X/x .

The projection π_x induces a map $\text{Sym}(\pi_x) : \text{Sym}(U) \rightarrow \text{Sym}(U/x)$. If we identify $\text{Sym}(U)$ with the polynomial ring $\mathbb{R}[s_1, \dots, s_r]$ and $x = s_r$, then $\text{Sym}(\pi_x)$ is the map from $\mathbb{R}[s_1, \dots, s_r]$ to $\mathbb{R}[s_1, \dots, s_{r-1}]$ that sends s_r to zero and s_1, \dots, s_{r-1} to themselves. The space $\mathcal{P}(X/x)$ is contained in the symmetric algebra $\text{Sym}(U/x)$.

Since X is totally unimodular, $\Lambda/x \subseteq U/x$ is a lattice for every $x \in X$ and X/x is totally unimodular with respect to this lattice.

The following two lemmas describe the behaviour zonotopes and box splines under deletion and contraction. See Figure 3 for an illustration of the first lemma.

Lemma 15. *The following map is a bijection:*

$$\mathcal{Z}_-(X) \setminus \mathcal{Z}_-(X \setminus x) \rightarrow \mathcal{Z}_-(X/x) \tag{23}$$

$$z \mapsto \bar{z}. \tag{24}$$

Lemma 16. *Let $x \in X$, $u \in U$, and $\bar{u} = u + \text{span}(x)$ the coset of u in X/x . Then*

$$B_{X/x}(\bar{u}) = \int_{\mathbb{R}} B_{X \setminus x}(u + \tau x) \, d\tau = \sum_{\lambda \in \mathbb{Z}} B_X(u + \lambda x). \tag{25}$$

6 Exact sequences

In this section we will state a result involving deletion and contraction that implies the Main Theorem. We start with a simple observation.

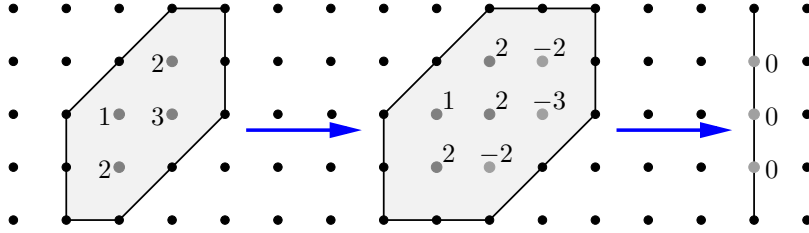


Figure 3: Deletion and contraction for a zonotope and a function defined on the interior lattice points of the zonotope.

Remark 17. If X contains a *coloop*, i. e. an element x s. t. $\text{rk}(X \setminus x) < \text{rk}(X)$, then $\mathcal{Z}_-(X) = \emptyset$ and $\mathcal{P}_-(X) = \{0\}$. Hence, Theorem 2 is trivially satisfied.

We will consider the set $\Xi(X) := \{f : \Lambda \rightarrow \mathbb{R} : \text{supp}(f) \subseteq \mathcal{Z}_-(X)\}$ and the map

$$\begin{aligned} \gamma_X : \mathcal{P}_-(X) &\rightarrow \Xi(X) \\ p &\mapsto [\Lambda \ni z \mapsto p(D)B_X(z)] \end{aligned} \tag{26}$$

Note that the Main Theorem is equivalent to γ_X being an isomorphism.

Proposition 18. Let $d \geq 2$ and let $\Lambda \subseteq U \cong \mathbb{R}^d$ be a lattice. Let $X \subseteq \Lambda$ be a finite list of vectors that spans U and that is totally unimodular with respect to Λ . Let $x \in X$ be a non-zero element s. t. $\text{rk}(X \setminus x) = \text{rk}(X)$.

Then the following diagram of real vector spaces is commutative, the rows are exact and the vertical maps are isomorphisms:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{P}_-(X \setminus x) & \xrightarrow{p_x} & \mathcal{P}_-(X) & \xrightarrow{\text{Sym}(\pi_x)} & \mathcal{P}_-(X/x) \longrightarrow 0 \\ & & \downarrow \gamma_{X \setminus x} & & \downarrow \gamma_X & & \downarrow \gamma_{X/x} \\ 0 & \longrightarrow & \Xi(X \setminus x) & \xrightarrow{\nabla_x} & \Xi(X) & \xrightarrow{\Sigma_x} & \Xi(X/x) \longrightarrow 0 \end{array} \tag{27}$$

where $\nabla_x(f)(z) := f(z) - f(z - x)$, (28)

$$\Sigma_x(f)(\bar{z}) := \sum_{x \in \bar{z} \cap \Lambda} f(x) = \sum_{\lambda \in \mathbb{Z}} f(\lambda x + z) \text{ for some } z \in \bar{z}. \tag{29}$$

7 Outlook

In a forthcoming paper the Main Theorem will be made more explicit. Namely, in [25] polynomials $f_z \in \mathcal{P}_-(X)$ will be constructed for all $z \in \mathcal{Z}_-(X)$ s. t. $f_z(D)B_X$ equals one on z and vanishes elsewhere on $\mathcal{Z}_-(X)$. The construction of these polynomials involves Todd operators.

A variant of the Khovanskii-Pukhlikov formula [20] that relates the volume and the number of integer points in a smooth polytope is obtained as a corollary.

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