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A q, t -analogue of Narayana numbers

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Abstract. We study the statistics *area*, *bounce* and *dinv* associated to polyominoes in a rectangular box m times n . We show that the bi-statistics $(\text{area}, \text{bounce})$ and $(\text{area}, \text{dinv})$ give rise to the same q, t -analogue of Narayana numbers, which was introduced by two of these authors in a recent paper. We prove the main conjectures of that same work, i.e. the symmetries in q and t , and in m and n of these polynomials, by providing a symmetric functions interpretation which relates them to the famous diagonal harmonics.

Résumé. Nous étudions les statistiques *area*, *bounce* et *dinv* associées aux polyominos dans un rectangle m par n . Nous montrons que les bi-statistiques $(\text{area}, \text{bounce})$ et $(\text{area}, \text{dinv})$ donnent lieu au même q, t -analogue des nombres de Narayana, qui a été introduit par deux de ces auteurs dans un article récent. Nous démontrons les conjectures principales du même article, c’est-à-dire la symétrie dans q et t , et dans m et n de ces polynômes, en donnant une interprétation en termes de fonctions symétriques qui les connecte aux célèbres diagonales harmoniques.

Keywords: q, t -Narayana, rectangular polyominoes, parking functions.

1 Introduction

Given two natural numbers m and n , the set of $m \times n$ *rectangular polyominoes* $\text{Polyo}_{m,n}$ is known to have cardinality equal to $N(m+n-1, m)$, where for positive integers $a, b \in \mathbb{N}$,

$$N(a, b) := \frac{1}{a} \binom{a}{b} \binom{a}{b-1}$$

are the famous *Narayana numbers*.

In [3] two authors of this work introduced two statistics on these combinatorial objects, *area* and *bounce*, which led to a q, t -analogue of the Narayana numbers $N(m+n-1, m)$, namely

$$\text{Nara}_{m,n}(q, t) := \sum_{P \in \text{Polyo}_{m,n}} q^{\text{area}(P)} t^{\text{bounce}(P)}.$$

In that same work it was conjectured that these polynomials were symmetric both in q and t , and in m and n .

In this work we introduce a new statistic dinv , which gives a new q, t -analogue of the same numbers

$$\widetilde{\text{Nara}}_{m,n}(q, t) := \sum_{P \in \text{Polyo}_{m,n}} q^{\text{dinv}(P)} t^{\text{area}(P)}.$$

The following theorem establish a relation between these two polynomials.

Theorem 1.1 *For all $m \geq 1$ and $n \geq 1$, we have*

$$\text{Nara}_{m,n}(q, t) = \widetilde{\text{Nara}}_{n,m}(q, t).$$

The main result of this paper is the proof of the symmetries conjectured in [3].

Theorem 1.2 *For all $m \geq 1$ and $n \geq 1$, we have*

$$\text{Nara}_{m,n}(q, t) = \text{Nara}_{m,n}(t, q)$$

and

$$\text{Nara}_{m,n}(q, t) = \text{Nara}_{n,m}(q, t).$$

In order to prove this result, we use a symmetric functions interpretation of our q, t -Narayana numbers:

Theorem 1.3 *For all $m \geq 1$ and $n \geq 1$ we have*

$$\text{Nara}_{m,n}(q, t) = (qt)^{m+n-1} \cdot \langle \nabla e_{m+n-2}, h_{m-1} h_{n-1} \rangle,$$

where e_k and h_k are the elementary and the homogeneous symmetric functions of degree k respectively, ∇ is the well known nabla operator introduced by Bergeron and Garsia (see [2, Section 9.6]), and the scalar product is the usual Hall inner product on symmetric functions.

This result brings a surprising link with the famous *diagonal harmonics* DH_n , since ∇e_n is the Frobenius characteristic of this important module of the symmetric group \mathfrak{S}_n , as it was shown by Haiman in [6].

Haglund in [4] gave a combinatorial interpretation of the polynomial $\langle \nabla e_{m+n-2}, h_{m-1} h_{n-1} \rangle$ in terms of parking functions. In fact Haglund's result would be an easy consequence of the famous *shuffle conjecture*, which predicts a combinatorial interpretation of ∇e_n in terms of parking functions (see [5, Chapter 6]).

In order to prove our main result, we used the recursion already established for $\text{Nara}_{m,n}(q, t)$, proving that the combinatorial polynomials in Haglund's result satisfy the same recursion.

This paper is organized in the following way:

- In Section 2 we give the basic definitions, introducing our statistics and our q, t -analogues of Narayana numbers.
- In Section 3 we provide a bijection between $\text{Polyo}_{m,n}$ and $\text{Polyo}_{n,m}$ sending the bivariate (area, bounce) in the bivariate (dinv, area), so establishing Theorem 1.1.
- In Section 4 we provide a recursion satisfied by both our q, t -Narayana, which gives another proof of Theorem 1.1.
- In Section 5 we provide the necessary background to state Theorem 1.3, we see some of its consequences, and we explain the strategy of its proof.

2 The statistics

In these paper we consider polyominoes in rectangular boxes. More precisely, consider a square grid in \mathbb{Z}^2 of width m and height n . On this grid consider two paths, both starting from the South-West corner and arriving at the North-East corner, travelling on the grid, performing only North or East steps, with the further restriction that they touch each other only at the starting point and at the ending point. The region between the two paths is called the *interior* of the polyomino.

In Figure 1 there is an example where $m = 12$ and $n = 7$, and the interior is shadowed.

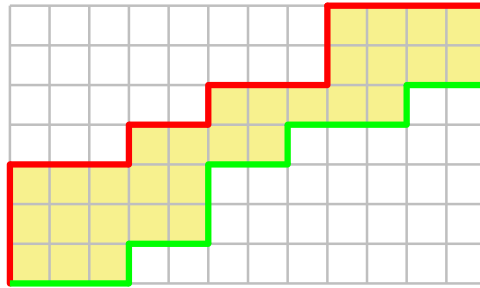


Fig. 1: A parallelogram polyomino having a 12 times 7 bounding box.

We encode the polyomino in an *area word* consisting of natural numbers and natural numbers with a bar on top, in the following way.

We will label each North step of the upper (red) path with a number with a bar, and each East step of the lower (green) path with a number without a bar. We do this in two stages.

First, for each East step of the lower path we draw a line starting with the East endpoint and going North-West until reaching the upper path: we label this step with the number of squares crossed by this line.

Second, we label each North step of the upper path by the number of squares in the interior of the polyomino to the East of it which were not crossed by any of the lines that we drew at the previous stage.

An example of this labelling is shown in Figure 2, where we put a black dot in the non-crossed squares.

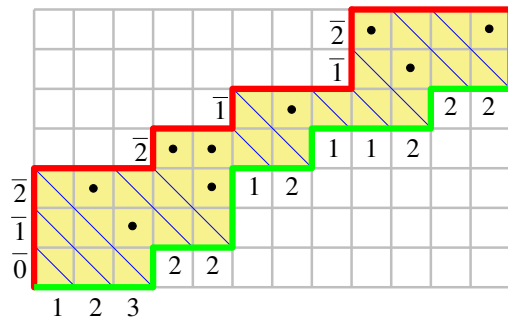


Fig. 2: The parallelogram polyomino of Figure 1 with its perimeter labelled.

Once we have done this labelling, we read the labels in the following order: starting from South-West and going to North-East imagine to span the polyomino with a straight line oriented North-West to South-East, and when we encounter vertical steps of the upper path or horizontal steps of the lower path we write the corresponding labels, recalling that if we encounter both types of steps at the same time we write the label of the upper path first.

For example, the area word of the example in Figure 2 is $\bar{0}\bar{1}\bar{2}\bar{2}322\bar{2}\bar{1}\bar{1}211\bar{1}\bar{2}\bar{2}22$.

Notice that the sum of these numbers (disregarding the bars) gives the area of the polyomino, which is the first of the statistics that are relevant to us. In the example the area is 30.

The next statistic that we want to consider is the bounce. Consider the following path in a given polyomino: we start with a single East step from the South-West corner, and then we move North until we reach the East endpoint of a horizontal step of the upper path; at this point we “bounce”, i.e. we start moving East, until we reach the North endpoint of a vertical step of the lower path; at this point we “bounce” again, starting moving North, and we repeat this procedure until we reach the North-East corner.

Once we have the *bounce path*, starting from South-West, we label each step of the first sequence of vertical steps with 1, then each step of the second of such sequences with 2, and so on; while we label each step of the first sequence of horizontal steps with $\bar{0}$, then each step of the second of such sequences with $\bar{1}$, and so on. See Figure 3 for an example.

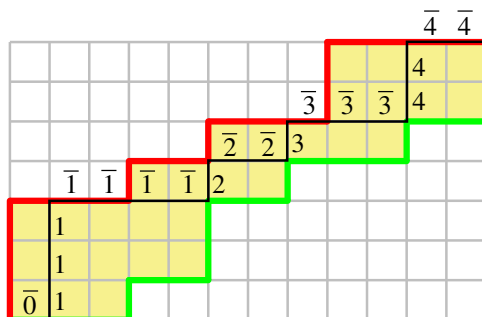


Fig. 3: The labelled bounce path.

We define the bounce of the polyomino to be the sum of the labels of the bounce path, disregarding the bars. For example the bounce of the example in Figure 3 is 41.

Consider now the total order on the labels

$$\bar{0} < 1 < \bar{1} < 2 < \bar{2} < 3 < \bar{3} < 4 < \bar{4} < \dots$$

Given a polyomino with area word $a_1 a_2 \dots a_k$, we define the *dinv* as the number of pairs a_i, a_j with $i < j$ and a_j is the successor of a_i in the fixed order. For example the *dinv* of the polyomino in Figure 2 is 35.

We fix the following notations: let $\text{Polyo}_{m,n}$ be the set of polyominoes in a rectangle m times n , and let

$$\text{Nara}_{m,n}(q, t) := \sum_{P \in \text{Polyo}_{m,n}} t^{\text{area}(P)} q^{\text{dinv}(P)}$$

the new labels will prescribe the relative positions of the 1's and the $\bar{1}$'s. In the example (see Figure 5) we get the prescriptions $1\bar{1}\bar{1}\bar{1}\bar{1}\bar{1}$. This together with the other prescription gives a partial word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{1}\bar{1}$. In general we will construct this partial word in a way that it can be the word of a polyomino and respecting all the prescriptions. This will always be possible since the first step of the including path that we read will always be labelled by the smallest of the two types of labels that we are considering: this is due to the definition of the bounce path.

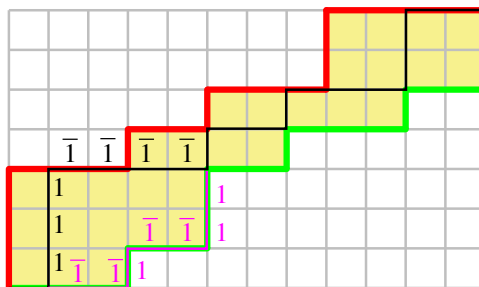


Fig. 5: The containing path and the new labels are violet.

We keep doing this until all the labels of the bounce path are included. At the end we will get a word of a polyomino. In the example, at the next step we get the prescriptions $\bar{1}\bar{1}\bar{2}\bar{1}\bar{1}$, which gives the partial word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{2}\bar{1}\bar{1}\bar{1}$; then we get the prescriptions $2\bar{2}\bar{2}$, which gives the partial word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{2}\bar{2}\bar{2}\bar{1}\bar{1}\bar{1}$; then we get the prescriptions $\bar{2}\bar{2}\bar{3}$, which gives the partial word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{2}\bar{2}\bar{2}\bar{3}\bar{1}\bar{1}\bar{1}$; then we get the prescriptions $\bar{3}\bar{3}\bar{3}\bar{3}$, which gives the partial word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{2}\bar{2}\bar{2}\bar{3}\bar{3}\bar{3}\bar{3}\bar{1}\bar{1}\bar{1}$; then we get the prescriptions $\bar{3}\bar{3}\bar{4}\bar{4}\bar{3}$, which gives the partial word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{2}\bar{2}\bar{2}\bar{3}\bar{3}\bar{4}\bar{4}\bar{3}\bar{1}\bar{1}\bar{1}$; and finally we get the prescriptions $\bar{4}\bar{4}\bar{4}\bar{4}$, which gives the final word $0\bar{1}\bar{1}\bar{1}\bar{1}\bar{2}\bar{2}\bar{2}\bar{3}\bar{3}\bar{4}\bar{4}\bar{4}\bar{4}\bar{3}\bar{1}\bar{1}\bar{1}$.

With this construction we get a polyomino, which is clearly in a rectangle n times m , since the number of integers without a bar is n and the number of integers with the bar is m by construction. Moreover it has clearly area equal to the bounce of the original polyomino, again by construction. See Figure 6 for a picture of the image polyomino of the example.

We need to show that the dinv of the new polyomino is equal to the area of the original one.

To see this, recall how we constructed the word of the new polyomino: for consecutive types of labels, we prescribed the relative positions by reading the corresponding including path. But each pair of a vertical step and an horizontal step in the including path contributing to the dinv corresponds to a square in the area of the polyomino.

It remains to see that this is a bijection. To see this, we can consider the inverse function: given a polyomino, write in weakly increasing order its word, and draw it as a bounce path with labels. Then reading the relative positions of consecutive types of labels you can reconstruct piecewise both the upper and lower path. This completes the proof.

Let us observe some consequence of this result.

First of all, notice that iterating this bijection a second time, we get a bijection of the polyominoes in a rectangle m times n into themselves which sends the bounce in the area. Moreover, applying the inverse and composing it with the flip along the South-West to North-East line that pass through the South-West

denotes the q -analogue of n (by convention we set $[0]_q := 1$),

$$[n]_q! := \prod_{i=0}^n [i]_q,$$

denotes the q -analogue of the factorial $n!$, and finally for $n \geq k \geq 0$,

$$\begin{bmatrix} n \\ k \end{bmatrix}_q := \frac{[n]_q!}{[n-k]_q! [k]_q!}$$

denotes the q -analogue of the binomial $\binom{n}{k}$.

Theorem 4.1 For all $m \geq 1$ and $n \geq 1$, and for $1 \leq r \leq n$ and $0 \leq s \leq m - 1$ we have the recursion

$$\widetilde{\text{Nara}}_{m,n}^{(r,s)}(q, t) = t^{m+n-1} q^{r+s} \sum_{h=1}^{n-r} \sum_{k=0}^{m-s-1} \begin{bmatrix} s+r-1 \\ s \end{bmatrix}_q \begin{bmatrix} s+h-1 \\ h \end{bmatrix}_q \widetilde{\text{Nara}}_{m-s,n-r}^{(h,k)}(q, t),$$

with initial conditions

$$\widetilde{\text{Nara}}_{m,n}^{(n,s)}(q, t) = \begin{cases} (qt)^{m+n-1} \begin{bmatrix} m+n-2 \\ m-1 \end{bmatrix}_q & \text{if } s = m-1 \\ 0 & \text{if } s < m-1 \end{cases},$$

and

$$\widetilde{\text{Nara}}_{1,n}^{(r,0)}(q, t) = 0 \text{ for } r < n.$$

For a proof see [1].

Let us denote by $\text{Polyo}_{n,m}^{(r,s)}$ the set of polyominoes in a rectangle $n \times m$ whose area word has r many 1’s and s many $\bar{1}$ ’s.

We fix the notation

$$\text{Nara}_{n,m}^{(r,s)}(q, t) := \sum_{P \in \widetilde{\text{Polyo}}_{n,m}^{(r,s)}} t^{\text{area}(P)} q^{\text{dinv}(P)},$$

so that $\widetilde{\text{Nara}}_{n,m}^{(r,s)}$ is the sum over all r and s of $\widetilde{\text{Nara}}_{n,m}^{(r,s)}(q, t)$.

These polynomials satisfy the same recursion satisfied by the $\widetilde{\text{Nara}}_{m,n}^{(r,s)}(q, t)$ ’s:

Theorem 4.2 For all $m \geq 1$ and $n \geq 1$, and for $1 \leq r \leq n$ and $0 \leq s \leq m - 1$ we have the recursion

$$\text{Nara}_{n,m}^{(r,s)}(q, t) = t^{m+n-1} q^r \sum_{h=1}^{n-r} \sum_{k=0}^{m-s-1} q^s \begin{bmatrix} s+r-1 \\ s \end{bmatrix}_q \begin{bmatrix} s+h-1 \\ h \end{bmatrix}_q \text{Nara}_{n-r,m-s}^{(h,k)}(q, t),$$

with initial conditions

$$\text{Nara}_{n,m}^{(n,s)}(q, t) = \begin{cases} (qt)^{m+n-1} \begin{bmatrix} m+n-2 \\ m-1 \end{bmatrix}_q & \text{if } s = m-1 \\ 0 & \text{if } s < m-1 \end{cases},$$

and

$$\text{Nara}_{n,1}^{(r,0)}(q, t) = 0 \text{ for } r < n.$$

For a proof see [1].

These recursions give immediately $\text{Nara}_{n,m}^{(r,s)}(q, t) = \widetilde{\text{Nara}}_{m,n}^{(r,s)}(q, t)$, and hence another proof of the identity $\text{Nara}_{m,n}(q, t) = \widetilde{\text{Nara}}_{n,m}(q, t)$.

5 Symmetric functions interpretation

In this section we will use some tools from the theory of Macdonald polynomials. For a quick survey of what we need (and more), we refer to the book [2], in particular Chapters 3 and 9.

Here we will recall only some basic facts, mostly to fix the notation.

Let $\Lambda = \bigoplus_{n \geq 0} \Lambda^n$ be the space of symmetric functions with coefficients in $\mathbb{C}(q, t)$, where q and t are variables, with its natural decomposition in components of homogeneous degree.

Recall the fundamental bases of symmetric functions: *elementary* $\{e_\mu\}_\mu$, *homogeneous* $\{h_\mu\}_\mu$, *power* $\{p_\mu\}_\mu$, *monomial* $\{m_\mu\}_\mu$ and *Schur* $\{s_\mu\}_\mu$, where the indices μ are partitions.

A scalar product is defined on Λ by declaring the Schur basis to be orthonormal:

$$\langle s_\lambda, s_\mu \rangle = \chi(\lambda = \mu),$$

where χ is the indicator function, which is 1 when its argument is true, and 0 otherwise.

Another fundamental basis of Λ $\{\tilde{H}_\mu\}_\mu$ has been introduced by Macdonald, and its elements are called *Macdonald polynomials*.

The fundamental ingredient of the theory is the nabla operator ∇ acting on Λ . This is an homogeneous invertible operator introduced by F. Bergeron and A. Garsia in the study of the famous diagonal harmonics DH_n of S_n . In fact, it turns out that ∇e_n gives precisely the bigraded Frobenius characteristic of DH_n .

The so called *shuffle conjecture* predicts a combinatorial interpretation of ∇e_n in terms of parking functions. Special cases of this conjecture have been proven by several authors. In particular J. Haglund proved the combinatorial interpretation of

$$\langle \nabla e_n, h_j h_{n-j} \rangle$$

for $1 \leq j \leq n$ predicted by the shuffle conjecture.

Surprisingly, this same polynomial provides the symmetric functions interpretation of our q, t -Narayana numbers.

More precisely, we have Theorem 1.3, which is the main result of this paper. For a proof see [1].

Theorem 5.1

$$\text{Nara}_{m,n}(q, t) = (qt)^{m+n-1} \cdot \langle \nabla e_{m+n-2}, h_{m-1} h_{n-1} \rangle.$$

We give here an immediate corollary.

Corollary 5.2 *The polynomials $\text{Nara}_{m,n}(q, t)$ are symmetric both in q and t , and in m and n . Moreover, we have*

$$\text{Nara}_{m,n}(q, t) = \widetilde{\text{Nara}}_{m,n}(q, t)$$

Proof of the Corollary: The symmetry in q and t comes from a general property of the nabla operator, which is easy to show: nabla applied to any Schur function is symmetric in q and t .

The symmetry in m and n is obvious from the formula.

Now the fact that $\text{Nara}_{m,n}(q, t) = \widetilde{\text{Nara}}_{m,n}(q, t)$ is a direct consequence of the symmetries and of Theorem 1.1. \square

In order to prove Theorem 1.3, we used the combinatorial interpretation given by Haglund for $\langle \nabla e_{m+n-2}, h_{m-1} h_{n-1} \rangle$. In order to state it, we need some definitions.

For us a *Dyck path* of order k will be given by an *area word*, i.e. a sequence of non-negative integers $b_1 b_2 \cdots b_k$ such that $b_1 = 0$, and $b_{i+1} \leq b_i + 1$ for all $i = 1, 2, \dots, k - 1$.

A *parking function* of order k will be given by a *domino sequence*, i.e. sequence of dominoes $\begin{bmatrix} a \\ b \end{bmatrix}$

like $PF = \begin{bmatrix} a_1 & a_2 & \cdots & a_k \\ b_1 & b_2 & \cdots & b_k \end{bmatrix}$, where $b_1 b_2 \cdots b_k$ is the area word of a Dyck path, and the a_i ’s are the integers from 1 to k , and they satisfy $a_i < a_{i+1}$ if $b_i < b_{i+1}$.

For example $PF = \begin{bmatrix} 5 & 11 & 1 & 9 & 6 & 8 & 3 & 4 & 7 & 10 & 2 \\ 0 & 1 & 1 & 2 & 0 & 1 & 0 & 1 & 2 & 3 & 3 \end{bmatrix}$ is a parking function of order 11.

The set of parking functions of order k is denoted by PF_k .

Given a parking function, we can reorder its dominoes by comparing first the bottom numbers, from the biggest to the smallest, and then, we place the dominoes with the same bottom number in order as we read them from right to left in the parking function. The *reading word* $\sigma(PF)$ associated to the parking function PF is the permutation that we obtain by reading the upper entries of this reordered sequence of dominoes.

For example, the parking function that we have seen before get reordered as

$$\begin{bmatrix} 2 & 10 & 7 & 9 & 4 & 8 & 1 & 11 & 3 & 6 & 5 \\ 3 & 3 & 2 & 2 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix},$$

so the corresponding reading word is

$$\sigma(PF) = 2\ 10\ 7\ 9\ 4\ 8\ 1\ 11\ 3\ 6\ 5.$$

Given a parking function $PF = \begin{bmatrix} a_1 & a_2 & \cdots & a_k \\ b_1 & b_2 & \cdots & b_k \end{bmatrix}$, we define its *area* $\text{area}(PF)$ as the sum $\sum_{i=1}^k b_i$ of the bottom numbers of the dominoes, and its *dinv* $\text{dinv}(PF)$ as the number of pairs of dominoes $\begin{bmatrix} a_i \\ b_i \end{bmatrix}, \begin{bmatrix} a_j \\ b_j \end{bmatrix}$ of PF with $i < j$, where $b_i = b_j$ and $a_i < a_j$, or $b_i = b_j + 1$ and $a_i > a_j$.

For example the area of the parking function of our previous example is 14, while its *dinv* is 8.

Given two disjoint sequences of numbers A and B , we denote by $A \cup B$ the set of *shuffles* of A and B , i.e. the sequences consisting of the numbers from $A \cup B$ in which all the elements of A and B appear in their original order, so that $|A \cup B| = \binom{|A| + |B|}{|A|}$.

For any a and b in \mathbb{N} , we call $\text{Park}_{a,b}$ the set of parking functions PF of order $a + b$ such that $\sigma(PF) \in (1, 2, \dots, a) \cup (a + 1, a + 2, \dots, a + b)$.

We finally set

$$\text{Para}_{a,b}(q, t) := \sum_{PF \in \text{Park}_{a,b}} t^{\text{area}(PF)} q^{\text{dinv}(PF)}.$$

We can state now the result of Haglund (see [4] for a proof, and [5] for the needed background).

Theorem 5.3 (Haglund) *For all $m \geq 1$ and $n \geq 1$, we have*

$$\langle \nabla e_{m+n-2}, h_{m-1} h_{n-1} \rangle = \text{Para}_{n-1, m-1}(q, t).$$

Hence in order to prove Theorem 1.3, it remains to show that

$$\text{Nara}_{m,n}(q, t) = (qt)^{m+n-1} \text{Para}_{n-1, m-1}(q, t).$$

We proved this by showing that they both satisfy the recurrence given in Section 4. See [1] for the details.

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