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Automatic Hippocampus Localization in Histological Images using Differential Evolution-Based Deformable Models

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Abstract

In this paper, the localization of structures in biomedical images is considered as a multimodal global continuous optimization problem and solved by means of soft computing techniques. We have developed an automatic method aimed at localizing the hippocampus in histological images, after discoveries indicating the relevance of structural changes of this region as early biomarkers for Alzheimer's disease and epilepsy. The localization is achieved by searching the parameters of an empirically-derived deformable model of the hippocampus which maximize its overlap with the corresponding anatomical structure in histological brain images. The comparison between six real-parameter optimization techniques (Levenberg-Marquardt, Differential Evolution, Simulated Annealing, Genetic Algorithms, Particle Swarm Optimization and Scatter Search) shows that Differential Evolution significantly outperforms the other techniques in this task, providing successful localizations in 90.9% and 93.0% of two test sets of real and synthetic im-

24 ages, respectively.

25 *Keywords:* Hippocampus, Deformable Models, Automatic Localization,
26 Histological Images, Global Continuous Optimization, Differential Evolution

27 **1. Introduction**

28 Among the different anatomical structures which make up the mammalian
29 brain, the hippocampal formation (HPF) (see Figure 1) is particularly inter-
30 esting. From an anatomical viewpoint, the HPF, composed by the Hip-
31 pocampus and the Subiculum (SUB), is located within the medial temporal
32 lobe. In turn, the Hippocampus is composed by the Dentate Gyrus (DG) and
33 Ammon’s Horn (CA), which is further composed by three different regions
34 (CA1, CA2, and CA3).

35 The hippocampus has long been known for its crucial role in learning
36 and memory processes [1]. Moreover, it has recently been demonstrated that
37 the volume of the hippocampus is an early biomarker for Alzheimer’s dis-
38 ease. Therefore, there is a great interest in understanding the cellular and
39 molecular events that take place in this structure, under both normal and
40 abnormal conditions. From this point of view, a precise gene expression map
41 at the cellular and subcellular level within this region can provide crucial
42 information for understanding such biological mechanisms. A very promis-
43 ing data source to derive this map has recently been provided by the Allen
44 Brain Atlas (ABA), a huge, publicly available database that contains high-
45 resolution images mapping the expression patterns of most genes contained in

the genomes of the analyzed organisms. The first release of the ABA was focused on mouse, and contained the expression patterns of about 20,000 genes obtained by In Situ Hybridization (ISH) of mouse brain sections [2]. In a more recent release, a similar resource based on spatially mapped microarray data has also been provided for the human brain [3]. There is wide availability of brain images containing morphological and functional information on the hippocampus in different organisms. Thus, it has become extremely important to design image analysis methods that accurately, robustly, and reproducibly identify the hippocampus region, to automatize any relevant analytic procedure. In the ABA, for each gene under consideration, several images are provided, corresponding to different sections of the brain. Each image is labelled according to the corresponding images of a reference atlas comprising 132 coronal and 21 sagittal sections spaced at 100 μm and 200 μm intervals, respectively.

In this paper, we describe a general model-based method for the automatic localization of anatomical districts in histological images, which could be also applied to other structures, as well as to different imaging modalities. In particular, we describe an application in which such a method is used to locate the hippocampus in ISH images from the ABA. This method allows us to automatically extract image parameters from corresponding regions of a huge number of images, to cluster genes with similar expression patterns and subcellular mRNA distribution. It can be hypothesized that genes whose expression is mapped in images with similar visual features are likely to be

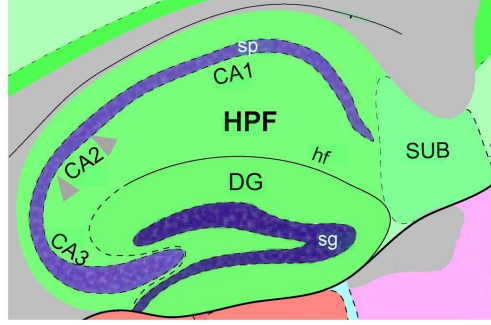


Figure 1: Regions in Hippocampal Formation (HPF)

also functionally similar.

In our method, the hippocampus is located by detecting, as landmarks, two regions which are usually well distinguishable within the structure (see Figure 1): the pyramidal (*sp*) and granule (*sg*) cell layers, which belong to the CA and DG regions, respectively.

As in most medical imaging applications, the problems we have to solve are mainly related with the characteristics of the images involved in the process. The main problematic features of these images in general, and of the hippocampus region in particular, related with biological features or with the image acquisition process, can be summarized as follows:

- natural variability of brain structure shapes in different subjects;
- fuzziness of the hippocampus boundary;
- limited relevance of color for detecting anatomical structures: regions with similar colors may represent different structures and vice versa, depending on the dye used as well as on local image acquisition settings;

- 84 • contrast variability between structures: different genes are not ex-
85 pressed equally in the same anatomical region, making it difficult to
86 construct a consistent model for each landmark in all images. More-
87 over, grained patterns with many irregularities hamper the classifica-
88 tion of individual pixels as belonging to the anatomical structures under
89 consideration;
- 90 • orientation issues: the imaged structures may be rotated or displaced
91 on the slice with respect to a “standard” alignment;
- 92 • lighting issues: within the same set of images, some are much brighter
93 than others.
- 94 • variable resolution even within the same image: high-resolution regions
95 coexist with low-resolution ones;
- 96 • presence of artefacts: tears, scraps, bubbles, streaks in tissues, partial
97 cut-off of regions;
- 98 • large image size (the typical resolution of ABA images is about 15,000
99 \times 7,000 pixels).

100 These problems significantly hamper tasks like localization and segmen-
101 tation of structures in such images.

102 The fully automatic 2D localization method we propose is based on atlas-
103 based registration and on the optimization of the parameters of a parametric
104 deformable model. Our method can be divided in two stages: (i) selection

105 of the corresponding slice in the reference atlas based on a two-step affine
106 registration, and (ii) proper localization of the hippocampus.

107 This technique was initially presented in [4], where the stochastic search
108 of the hippocampal region was performed using Particle Swarm Optimiza-
109 tion (PSO) [5]. In this paper, we give more details about the second stage
110 of the method, we delve into the rationale of its operation and we widen its
111 experimental evaluation performing more extensive tests. Moreover, we com-
112 pare six real-parameter stochastic optimization techniques on the task under
113 consideration; in particular, we show that Differential Evolution (DE) signif-
114 icantly outperforms the other methods taken into consideration: Levenberg-
115 Marquardt (LM) [6], Simulated Annealing (SA) [7], Scatter Search (SS) [8],
116 Genetic Algorithms (GA) [9] and PSO. In our tests, we evaluate the lo-
117 calization of the hippocampus in real and synthetic sagittal images, but this
118 method could as well be applied to other subcortical structures, image modal-
119 ities or anatomical planes.

120 The paper is organized as follows: in section 2 we provide the theoretical
121 foundations of our work, as well as an overview of previous related work. In
122 section 3, a general overview of the method is presented, providing details
123 about the implementation of the localization of the hippocampus. Finally,
124 section 4 presents results on both synthetic and real images with appropriate
125 statistical tests, followed, in section 5, by some final remarks and a discussion
126 about possible future developments.

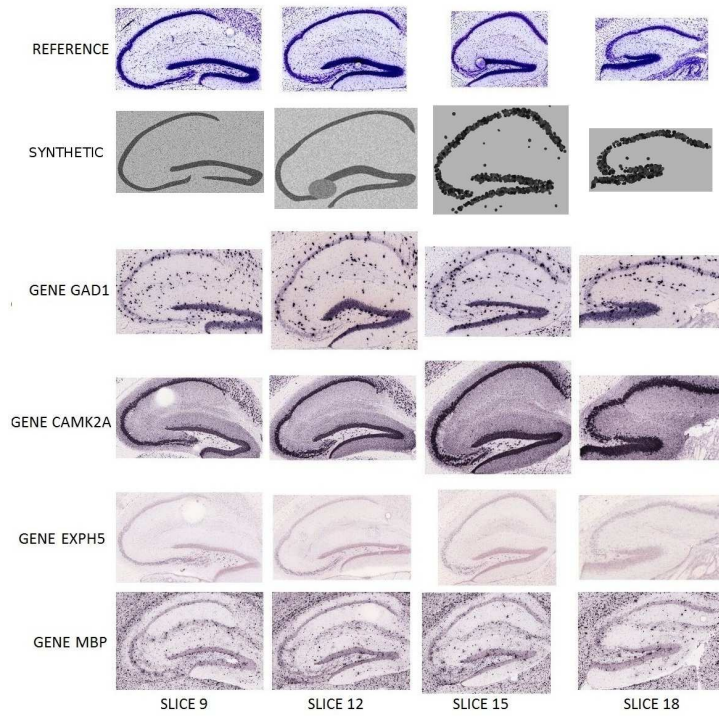


Figure 2: Hippocampus variability. Horizontal-wise: hippocampus sections taken at different levels look different, and different genes can as well produce very different visual features. Vertical-wise: corresponding sections from different brains maintain some shape similarity.

127 2. Theoretical background and related work

128 2.1. Deformable Models

129 Deformable models are curves or surfaces, defined within the image do-
130 main, that are deformed under the influence of “internal” forces, related with
131 the curve features, and “external” forces, related with the surrounding im-
132 age. Internal forces enforce regularity constraints and keep the model smooth
133 during deformation, while external forces are defined such that the model is
134 attracted toward an object or other features of interest within the image.
135 The term “deformable models” was first used in the late eighties [10, 11],
136 and one of the first examples, called “snakes” or Active Contour Models, was
137 presented shortly after in [12].

138 Active Shape Models (ASMs) [13] add more prior knowledge to deformable
139 models. These shape models derive a “point distribution model” from sets of
140 labelled points (landmarks) selected by an expert in a training set of images.
141 Thus, the final model of the object of interest can be derived by examining
142 the distribution of the positions of the labelled points. The model considers
143 the points’ average positions and the main modes of variation observed in the
144 training set. It is important to notice that an instance of the model can only
145 take into account deformations which appear in the training set: because of
146 this, the model may have problems with unexpected shapes, but it is robust
147 with respect to noise and image artefacts, like missing or damaged parts.

148 Although originally developed for computer vision applications to natu-
149 ral scenes and computer graphics problems, the applicability of deformable

150 models in medical image analysis has already been proven [14, 15].

151 2.2. Differential Evolution

152 In the last two decades, research on global optimization has been very ac-
153 tive [16, 17, 18], and many different deterministic and stochastic algorithms
154 for continuous optimization have been developed. Among the stochastic
155 approaches, Evolutionary Algorithms (EAs) [19, 20] offer a number of ad-
156 vantages that make them attractive: implicit parallelism, robust and reliable
157 performance, global search capability, no need of specific information about
158 the problem to solve, easy implementation, good insensitivity to noise, and
159 no requirements for differentiable or continuous objective functions. DE,
160 first introduced by Storn and Price [21], has recently been one of the most
161 successful evolutionary algorithms. Unlike traditional EAs, DE perturbs the
162 current population members with the scaled differences of randomly selected
163 and distinct individuals [22]. This way, in the first iterations, the elements
164 are widely scattered in the search space and have a great exploration ability.
165 During optimization, the individuals tend to concentrate in the regions of
166 the search space with the best fitness values [23].

167 In DE, new individuals that will be part of the next generation are created
168 by combining members of the current population. Every individual acts as
169 a parent and is associated to a donor vector. In the basic version of DE,
170 the donor vector V_i for the i^{th} parent (X_i) is generated, by combining three
171 random and distinct population members (X_{r1} , X_{r2}) and X_{r3} , as follows:

$$V_i = X_{r1} + F \cdot (X_{r2} - X_{r3})$$

172 where F (scale factor) is a real-valued parameter that strongly influences
 173 DE’s performances and typically lies in the interval $[0.4, 1]$. Other mutation
 174 strategies have been applied to DE, experimenting with different base vectors
 175 and different numbers of vectors for perturbation.

176 After mutation, every parent-donor pair generates an offspring O_i by
 177 means of a crossover operation. The newly-generated offspring is evaluated
 178 and its fitness and its parent’s are compared. The better survives and will
 179 be part of the next generation.

180 2.3. Related Work

181 We shortly review some of the most relevant and recent approaches which
 182 combine deformable models and evolutionary computation techniques. In
 183 [24], “Genetic snakes” are active contour models with an optimization pro-
 184 cedure based on genetic algorithms. In [25] a GA evolves a population of
 185 medial-based shapes, using prior shape knowledge to produce feasible defor-
 186 mations while also controlling the scale and localization of these defor-
 187 mations. In [26] a GA is used to perform level set curve evolution using texture
 188 and shape information to automatically segment the prostate in CT and MRI
 189 pelvic images. Finally, in [27], the authors describe a GA-based method that
 190 minimizes the Topological Active Nets energy, evaluating the segmentation
 191 results of a greedy, a genetic, and a memetic algorithm over seven synthetic

192 images and three CT scans of human bones.

193 Recently, PSO has been successfully used in conjunction with various
194 types of deformable models. Asl and Seyedin [28] apply the technique pro-
195 posed in [29] using PSO instead of a GA, obtaining similar results in terms
196 of precision but in shorter time. In [30] the initial segmentation based on the
197 level set method is refined using swarms of intelligent agents. Finally, in [31],
198 a customized PSO algorithm overcomes the drawbacks of snakes, including
199 initialization, concave boundaries, sensitivity to noise and local minima.

200 To the best of our knowledge, one of the few works that uses DE and
201 deformable models for solving medical imaging problems is presented in [32],
202 where DE, in combination with a greedy search algorithm, is used to evolve
203 Topological Active Nets, a discrete implementation of an elastic mesh, for
204 CT image segmentation.

205 **3. DE-based hippocampus localization**

206 Our accurate and automatic structure localization method consists of two
207 phases:

- 208 1. *Best Reference Slice Selection*, implemented as a two-step affine regis-
209 tration method which: (i) determines the position of the section dis-
210 played in the target image according to a reference atlas, and (ii) ex-
211 tracts the region of interest (ROI) where the hippocampus is more likely
212 to be located. Hence, it represents the initialization of the deformable

213 model: it determines which template should be used and where it
214 should be applied.

215 2. *Structure Localization*, in which the point distribution model selected
216 in the previous step is adapted by a stochastic optimization procedure
217 based on DE, to fit the image region where the structure of interest (if
218 any) is located.

219 3.1. *Best Reference Slice Selection*

220 The initialization of the Deformable Model, in terms of choosing a model
221 and its starting position, is obtained using an atlas-based affine registration
222 with the reference images of the ABA. The main idea is to find the sagittal
223 reference slice of the atlas which best matches the target image. This
224 phase produces two results: firstly, based on the information contained in
225 the corresponding reference atlas image, it allows one to extract the Region
226 of Interest (ROI) where the hippocampus is expected to be located. Secondly,
227 it makes it possible to determine the position, within the brain, of
228 the section represented in the target image. Consequently, one can select the
229 corresponding point distribution model of the hippocampus (derived empirically
230 as described for the ASMs), which is to be applied in the following
231 step within the selected ROI. This can be achieved by the two-step matching
232 method presented in [4].

233 The first step performs a “global” affine registration between the target
234 image and each image in the reference atlas, considering the shapes of the

235 whole sections and ignoring the internal structures. Then, using the atlas,
 236 the ROI where the hippocampus is more likely to be located is extracted, and
 237 a “local” affine registration is performed in order to match the hippocampus
 238 in the reference and the target image. After these two registration steps,
 239 two similarity measures between the target image and each reference image
 240 are computed. The reference image that achieves a better trade off between
 241 these two measures is selected as the best reference.

242 In order to evaluate the correctness of this two-step approach, we com-
 243 puted the distance (in slices) between the slice selected by our system and
 244 the one suggested by the ABA on a test set of 320 images. In 45% of cases
 245 the slice selected was exactly the same, while in 43%, 10% and 2% of cases
 246 there was a difference of one, two, and three or more slices, respectively. In
 247 those cases in which our system selected a reference slice different from the
 248 one proposed by the ABA, we also compared the fitness values obtained by
 249 the hippocampus localization algorithm. The results of these experiments
 250 showed that there are no statistically significant differences between the two
 251 choices. Therefore, these results demonstrate that our initialization method
 252 is effective and can be used also in problems where no ground truth is avail-
 253 able.

254 3.2. Hippocampus Localization

255 While in the standard ASMs external forces are driven by the contours
 256 in the image, in our approach we parametrically deform the model shape to

257 match as closely as possible the shape of the hippocampus in the region we
 258 want to locate. The model is moved and deformed, by altering its parametric
 259 representation using an optimization heuristic like DE or PSO, which max-
 260 imizes a function which measures the similarity between the model and the
 261 object itself. Since, in our problem, color and shapes are greatly variable, the
 262 only common information on which we can rely is that all hippocampi have
 263 two substructures (*sg* and *sp*) whose shapes have more consistent features,
 264 besides being usually characterized by lower intensity values and higher color
 265 saturation with respect to the surrounding structures.

266 To enhance the contrast between *sg*, *sp* and the surrounding parts, we
 267 pre-process the ROI containing the hippocampus by stretching its histogram.
 268 We invert pixel intensities, to consistently use the convention by which, as
 269 for binarized images, the most relevant regions in the image have higher
 270 intensities. These operations are not applied to the original images of the
 271 ABA we show, to avoid altering their actual appearance.

272 3.2.1. *Templates*

273 Obviously, our main concern is to adapt a model that permits an effi-
 274 cient localization, but we want, as well, to obtain this goal with the simplest
 275 possible model, i.e. having the least possible number of points in each tem-
 276 plate. In this work, for every slice of the reference atlas, two templates (one
 277 composed of 7 points for *sg*, one with 8 points for *sp*) have been created
 278 by manually selecting significant corresponding landmarks in all images in a

279 training set.

280 A template aims at taking into account all possible positions and defor-
281 mations with respect to the prototypical shape of the structure it represents.
282 The template does not refer to the absolute positions of the points, but de-
283 scribes the relative positions (or shifts) between consecutive points in polar
284 coordinates. This is uncommon for a DM, since the majority of the imple-
285 mentations use cartesian coordinates. Every template is composed of two
286 parts:

- 287 • an “inner set” of points that lies on the anatomical part we want to
288 locate;
- 289 • an “outer set” of points that lies just outside it, obtained by rigidly
290 shifting the previous set.

291 For a proper localization, the “outer set” is fundamental; otherwise, using
292 only the “inner set”, a completely dark and large area would always achieve
293 the highest values of the target function.

294 A template is fully described by four $2 \times n$ matrices, where n is the number
295 of points in the template:

$$\mathbf{S} = \begin{bmatrix} \rho_{m1} & \vartheta_{m1} \\ \rho_{m2} & \vartheta_{m2} \\ \vdots & \vdots \\ \rho_{mn} & \vartheta_{mn} \end{bmatrix} \quad \mathbf{\Delta} = \begin{bmatrix} \Delta_{\rho1} & \Delta_{\vartheta1} \\ \Delta_{\rho2} & \Delta_{\vartheta2} \\ \vdots & \vdots \\ \Delta_{\rho n} & \Delta_{\vartheta n} \end{bmatrix}$$

$$\mathbf{L} = \begin{bmatrix} \rho_{l1} & \vartheta_{l1} \\ \rho_{l2} & \vartheta_{l2} \\ \vdots & \vdots \\ \rho_{ln} & \vartheta_{ln} \end{bmatrix} \quad \mathbf{U} = \begin{bmatrix} \rho_{u1} & \vartheta_{u1} \\ \rho_{u2} & \vartheta_{u2} \\ \vdots & \vdots \\ \rho_{un} & \vartheta_{un} \end{bmatrix}$$

296 \mathbf{S} is the “standard template” and represents the standard coordinates of
 297 the inner set, $\mathbf{\Delta}$ is the displacement of the outer set with respect to the
 298 inner set, \mathbf{L} and \mathbf{U} are the minimum and maximum values allowed for every
 299 parameter that describes the inner set. It should be noticed that ρ_1 and
 300 ϑ_1 represent the positions of the first point with respect to the upper left
 301 corner of the image. After that point, proceeding row-wise, every (ρ, ϑ)
 302 pair represents the shifts of the subsequent point in terms of distance and
 303 angle with respect to the previous point, respectively. As a consequence, the
 304 number of parameters to optimize for each model is twice the number of the
 305 points in the template (14 parameters for *sg* and 16 for *sp*).

306 The matrices \mathbf{S} , \mathbf{L} and \mathbf{U} have been computed by manually selecting
 307 the reference points in a training set. The first one is the median of the
 308 selected shifts and the other two are the minimum and the maximum values

309 observed in the training set, respectively. To improve the templates, a manual
 310 refinement of the parameters has been performed. The matrix Δ has been
 311 manually built based on the observation of several hippocampi.

312 From a computational point of view, a model is a vector of $2 \times n$ elements
 313 that ranges within the “boundary templates” \mathbf{L} and \mathbf{U} ; its elements (coor-
 314 dinates) are evolved by a metaheuristic which optimizes the target function
 315 described in section 3.3. The aims with which the target function was defined
 316 are to match the model with the target while keeping it as close as possible
 317 to the shape of the standard template \mathbf{S} .

318 3.3. Target Function

319 As in classical deformable models, we consider the model to be subjected
 320 to external forces (driven by the image features) and internal forces (driven
 321 by the model itself).

322 The target function F , which is to be maximized, has three components:
 323 external energy E , internal energy I , and contraction factor C :

$$F = E - (I + C)$$

324 The external forces move (and deform) the model to maximize the in-
 325 tensity of pixels in the inner set, while minimizing the intensity of pixels in
 326 the outer set. For both sets, we evaluate the intensity of the image within a
 327 3×3 neighborhood N_3 of all points in the model (Punctual Energy, PE) and
 328 in p intermediate points along the segment between two consecutive points

329 (Continuous Energy, CE), i.e.

$$PE = \sum_{i=1}^n [T(N_3(I_i)) - T(N_3(O_i))]$$

330 where n is the number of points in the model, $I_i = \{x_i, y_i\}$ is the i^{th} point
 331 of the inner set (in cartesian coordinates), $O_i = \{x_i + \Delta x_i, y_i + \Delta y_i\}$ is the
 332 i^{th} point of the outer set, $T(P)$ is the intensity of the image in P , if P is
 333 a point, or the average intensity, if P is a neighborhood; $\Delta x_i, \Delta y_i$ are the
 334 elements of Δ in cartesian coordinates. As well,

$$\begin{aligned} CE &= \sum_{i=2}^n \sum_{j=1}^p T(I_{i-1} + \frac{j}{p+1}(I_i - I_{i-1})) \\ &- \sum_{i=2}^n \sum_{j=1}^p T(O_{i-1} + \frac{j}{p+1}(O_i - O_{i-1})) \end{aligned}$$

335 where p is the number of points to evaluate in every segment. In our case
 336 we set $p = 20$. The final external energy is computed as

$$E = \gamma_P \cdot PE + \gamma_C \cdot CE$$

337 where the weights γ_P and γ_C have been empirically set to 5 and 1 for all
 338 tests, respectively (see Figure 3).

339 The internal energy I , related with the forces that oppose the deformation
 340 of the model, is computed as:

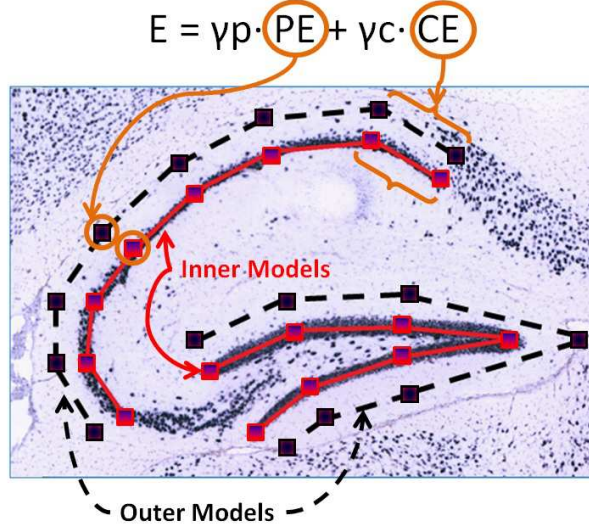


Figure 3: Inner and outer models superimposed to a hippocampus image, showing the components of the external energy.

$$I = \xi_\rho \cdot \sqrt{\sum_{i=2}^n (\rho_i - \rho_{mi})^2} + \xi_\vartheta \cdot \sqrt{\sum_{i=2}^n (\vartheta_i - \vartheta_{mi})^2}$$

where ξ_ρ and ξ_ϑ are two positive weights that regulate the deformability of the model. The higher their values, the less the model deforms and the more it keeps the shape of the standard template \mathbf{S} . Please notice that the index i starts from 2. This way, the first shift (which actually represents the starting position of the model) is not taken into account and the model is independent of its position in the image.

Finally, the contraction factor C also regulates the model's deformability to avoid unfeasible situations (e.g. having the extremes of sg too close to each other), and is defined as follows:

$$C = \xi_c \cdot \|I_n - I_1\|$$

350 If $\xi_c < 0$ the two extremes of the model repel each other, if $\xi_c > 0$ they
 351 attract each other. In our case we set $\xi_c > 0$ for the *sp* models and $\xi_c < 0$
 352 for the *sg* models.

353 4. Experimental Results

354 To test the system we used both real and synthetic images. As for the
 355 former, we randomly selected 320 images (corresponding to 320 different
 356 genes) from the ABA within subsets of representative samples of all possible
 357 hippocampi, featuring high and low levels of gene expression, good-quality
 358 and low-quality images, and so on. We also created 20 synthetic images that
 359 represent simplified versions of the real ones. In these images, representing all
 360 reference slices, the hippocampus is made up of small circles having random
 361 radius and color; small and big ellipses were also added trying to simulate
 362 cells and, finally, gaussian ($\mu \in [0.0, 0.2]$ and $\sigma \in [0.01, 0.15]$) and salt and
 363 pepper noise (density $\in [0.05, 0.25]$) were introduced to add fuzziness to the
 364 images.

365 Tests were run on a computer equipped with a 64-bit Intel® Core™i7
 366 CPU running at 2.67 GHz with 4 Gb of RAM.

367 We compared the results obtained by DE with those obtained by LM, a
 368 classical combination of the gradient descent and the Gauss-Newton methods,
 369 and the following stochastic optimization techniques: a variation of PSO,

used in [4], where it was shown to give better results than the standard PSO on this task; SS with local search based on the line search algorithm and the blx- α crossover, as described in [33]; GA and SA. In LM and SA we used, as initial value, the best solution in a randomly generated set of the same size as the other methods' populations.

The parameters (as well as the population topologies and the mutation and crossover operators) set in the tests have been initially chosen based on the most commonly used, and then refined during the development of the system. The values chosen for the most relevant parameters are shown in Table 1. For all techniques, a limit of 20000 function evaluations has been set as the only termination criterion, and the limits for the deformation were used as constraints in creating new solutions by crossover and mutation.

Table 1: Parameters used in testing different optimization techniques.

DE	PSO	SS	GA	SA	LM
$Cr = 0.9$ $F = 0.7$ Uniform Crossover Mutation: DE/target-to-best/1 Population Size = 80 Iterations = 250	$c_1 = 2.05$ $c_2 = 1.75$ $w_{max} = 1.0$ $w_{min} = 0.2$ Population Size = 80 Iterations = 250	$b_1 = 7$ $b_2 = 8$ $\alpha = 0.5$	$P_{crossover} = 0.6$ $P_{mutation} = 0.09$ Population Size = 80 Iterations = 250 Tournament of size 4 Uniform Crossover	$T_{start} = 1.5$ $T_{end} = 1E - 9$ exponential cooling schedule: $T_{k+1} = 0.8 \cdot T_k$	$\lambda_0 = 0.01$

For every image, we first performed the extraction of the ROI and the selection of the best model, as described in Section 3.1. After this, for each optimization technique, we ran 25 tests on every image, for a total of 8000 experiments on real images and 500 on synthetic images.

Table 2: Comparative results of *sp* and *sg* localization for synthetic and real images (20000 function evaluations per experiment; the higher the values, the better the localization).

Synthetic Images						
<i>sp</i> localization						
Method	Average	StdDev	Avg. Worst	Avg. Best	Avg. Median	Wilcoxon test
DE	120.41	5.49	106.30	126.37	121.68	-
PSO	105.86	8.67	84.86	118.61	107.71	<1.00E-16
SS	105.70	6.16	91.66	115.69	106.37	<1.00E-16
GA	105.93	15.64	54.93	120.40	110.11	<1.00E-16
SA	101.80	8.19	80.87	110.64	103.76	<1.00E-16
LM	35.43	30.86	19.96	94.24	34.11	<1.00E-16
<i>sg</i> localization						
Method	Average	StdDev	Avg. Worst	Avg. Best	Avg. Median	Wilcoxon test
DE	128.91	2.92	121.18	132.99	129.49	-
PSO	118.29	5.85	105.55	127.63	119.05	<1.00E-16
SS	115.56	6.00	101.37	124.81	116.08	<1.00E-16
GA	115.03	9.67	87.86	127.20	116.49	<1.00E-16
SA	111.23	3.87	102.49	117.91	111.40	<1.00E-16
LM	64.76	19.58	33.79	103.34	61.42	<1.00E-16
Real Images						
<i>sp</i> localization						
Method	Average	StdDev	Avg. Worst	Avg. Best	Avg. Median	Wilcoxon test
DE	141.47	5.59	124.36	146.06	145.21	-
PSO	133.56	8.24	110.94	143.89	138.64	<1.00E-16
SS	131.06	6.04	118.54	141.66	135.81	<1.00E-16
GA	132.00	14.49	86.07	143.70	138.58	<1.00E-16
SA	131.00	3.05	123.83	137.71	134.91	<1.00E-16
LM	79.32	28.26	9.60	126.11	85.02	<1.00E-16
<i>sg</i> localization						
Method	Average	StdDev	Avg. Worst	Avg. Best	Avg. Median	Wilcoxon test
DE	148.24	1.54	143.58	149.73	149.30	-
PSO	144.65	3.69	135.37	148.70	145.52	<1.00E-16
SS	140.07	4.27	129.10	146.23	140.69	<1.00E-16
GA	140.89	6.83	122.56	148.50	143.06	<1.00E-16
SA	138.31	1.70	134.60	141.51	139.28	<1.00E-16
LM	105.58	20.01	65.54	141.61	110.52	<1.00E-16

386 4.1. Algorithm Comparison

387 Table 2 summarizes the results of our tests. In the upper part of the table
388 we report the results for the 20 synthetic images and, in the lower part, the
389 ones related to the 320 real images. The second column reports the average
390 fitness for all tests, while the third reports the average standard deviation of
391 fitness in the 25 experiments performed on each image. The fourth and fifth
392 columns report the average of the worst and best results obtained for each
393 image. Similarly, the sixth column reports the average of the medians.

394 We focused our analysis on the comparison between the performance of
395 DE and of the other methods. DE achieved the best average fitness as well
396 as the lowest standard deviation, which indicates a more robust behavior,
397 as it is able to produce more consistent results over different trials; the per-
398 formance of the other algorithms is more dependent on their random initial-
399 ization. To assess the significance of this result, we performed a statistical
400 test with confidence level of 0.01. We used non-parametric tests because
401 the assumption of normality was not met. The Kruskal-Wallis test [34] was
402 statistically significant in all cases ($p\text{-value} < 1.00\text{E-}16$) and proved the ex-
403 istence of differences between the sets of results, where at least one sample
404 median was significantly different from the others. After that, the paired
405 Wilcoxon signed-rank test was performed [35], assuming as null hypothesis
406 that the median of DE results is less than or equal to the median of the other
407 methods. This is a one-tailed test in which the alternate hypothesis is that
408 the median of any other method is less than the median of DE. The corre-

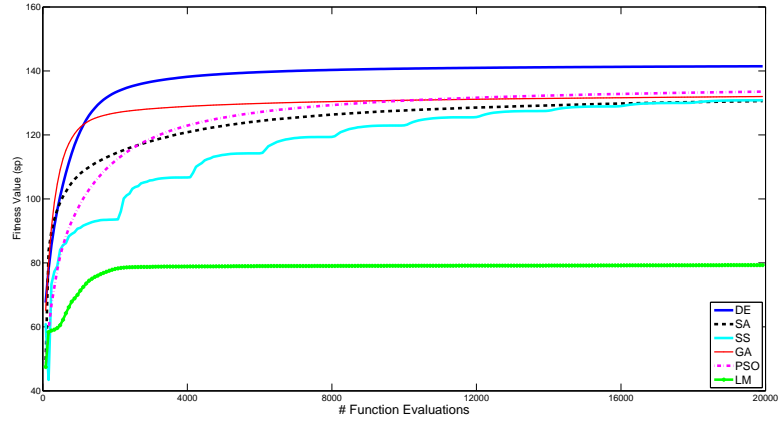
sponding p-values (shown in the last column of Table 2) reject, in all cases, the null hypothesis, showing that significant differences exist between the performance of DE and of the other methods. Moreover, the average worst values show that DE avoids local minima and is able to get good results more consistently than the other methods.

Figure 4 describes the behavior of the five metaheuristics and a gradient-based local search method by plotting the average fitness value versus the number of function evaluations. It can be seen how DE and GA start better than the other techniques but, while the former continues to improve its performance, the latter is not able to refine the solution found. At the same time, the evolution of SS is more discontinuous due to the premature convergence of the reference set, followed, after each reconstruction, by an abrupt improvement of the fitness values. The worst results were obtained by the gradient-based local search method (LM), due to the multimodality and non-differentiability of the function to optimize, providing a reason for using metaheuristics to solve this kind of problems.

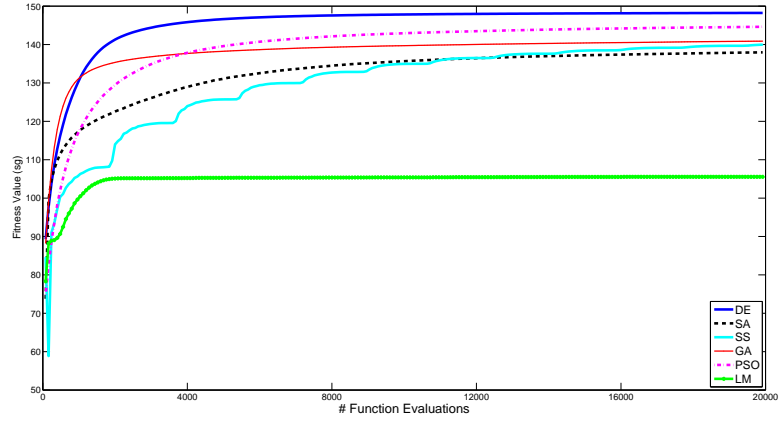
4.2. Overall System Performance

In a second test we evaluated the results of the entire localization method by dividing the outcomes into three quality classes (see Figure 5):

1. Perfect Match: all points of the two models are over the corresponding parts and cover them almost entirely;
2. Good Localization: (i) all points of the two models belong to the regions



(a) sp



(b) sg

Figure 4: Evolution of the fitness function for the localization of sp (above) and sg (below).

431 which must be detected, but they do not cover them entirely or (ii) at
 432 most two points are slightly outside of them;
 433 3. Error: all other possibilities, from three or more misplaced points to
 434 models which are located in a completely different position of the brain.

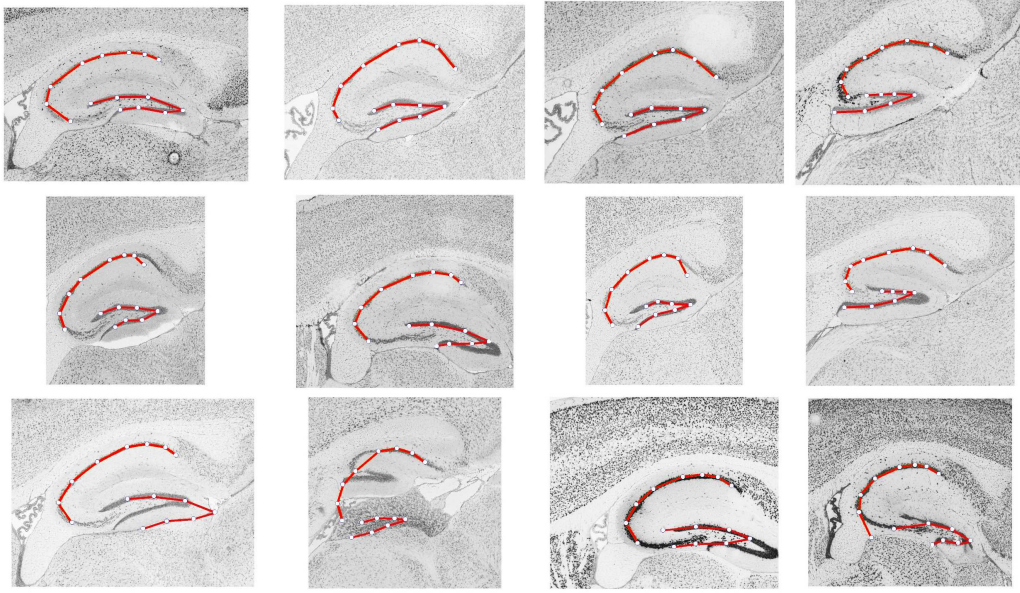


Figure 5: Upper row: perfect matches; middle row: good results; lower row: erroneous localizations.

435 If the results obtained by the model chosen in the Best Reference Slice
 436 Selection phase were not good enough (using a threshold for the target func-
 437 tion values), we repeated the procedure using the model which ranked second
 438 in the previous phase, and took the best result.

439 Our method was able to perfectly localize the hippocampus in 58.0% of
 440 synthetic images and in 47.8% of real images, and reached a good localization
 441 in 35% and 43.1% of cases, respectively. This means that our method was

442 able to localize the hippocampus satisfactorily in 93.0% with synthetic images
443 (20 images and 25 runs per image) and in 90.9% of cases with real images
444 (320 images and 1 run per image).

445 The most problematic situations that appear to drive the system to bad
446 localizations are substantially three:

- 447 • low quality of images (for instance, images that are damaged or have
448 very low contrast): this can affect the results of ROI extraction or of
449 the Best Reference Slice Selection phase;
- 450 • images where the hippocampus has low levels of gene expression and is
451 surrounded by other anatomical parts with higher expression levels;
- 452 • hippocampi having shapes which differ substantially from the typical
453 cases included in the training set.

454 Most of these errors could most probably be avoided by increasing the
455 size of the training set for the point distribution models, or improving the
456 preprocessing phase of the images.

457 On the other hand, the method we adopted shows very good performances
458 when dealing with corrupted images of hippocampi.

459 With regard to time complexity, the localization task, implemented in
460 C, employs on average 2.88 seconds per image, applying the Best Reference
461 Slice Selection process on 10 reference slices.

462 5. Discussions and Conclusions

463 In this work we presented a two-step algorithm aimed at automatically
464 localizing the hippocampus in histological images. The first phase roughly
465 locates the anatomical structure by comparing the image under consideration
466 with images taken from the ABA reference atlas. In the second phase, the
467 structure is more precisely localized using a DE-based parametric deformable
468 model that adapts its shape to match the anatomical structure of interest.
469 The method is able to deal with imprecise and incomplete images, and, in
470 our tests on actual images from the ABA, has been successful in 90.9% of
471 cases.

472 Since classical gradient-based local search methods (like LM) are not able
473 to solve this problem satisfactorily, due to its multimodality and high dimen-
474 sionality, we have applied and studied different metaheuristics: DE, SA, GA,
475 PSO, and SS. DE achieved the best results, for both average and standard
476 deviation. This result can be explained by a better balance between explo-
477 ration/diversification and exploitation/intensification and upholds the results
478 obtained in other cases by DE on benchmarks containing multimodal non-
479 separable functions [36]. Moreover, DE has shown greater robustness: it is
480 able to reproduce good results more consistently over many trials, whereas
481 the performance of other algorithms, like GA or SS, is more dependent on the
482 stochastic initialization of individuals and parameters. DE is also, together
483 with GA, the metaheuristic that converges faster to good solutions.

484 Possible future work includes automating the configuration step which

485 sets the algorithm parameters. Another interesting development could con-
486 sider applying this method to other subcortical structures and anatomical
487 planes in order to evaluate its general applicability. The automatic segmen-
488 tation of the structure and the texture analysis of the segmented areas will
489 lead, in a subsequent phase of the project within which this method was
490 developed, to identifying sets of genes whose expression generates similar
491 textural patterns in corresponding regions. We aim to cluster similar genes
492 according to such visual features to detect correlations in their expression,
493 since it can be argued that genes with similar expression patterns are also
494 functionally correlated.

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