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# A Fault Data Capture Method for Water Quality Monitoring Equipment Based On Structural Pattern Recognition

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**Abstract.** To capture equipment fault in real time and automate fault diagnosis, a pattern recognition method, based on data eigenvector and TCP transport protocol, was proposed to capture Water Quality Monitoring equipment's fault information. Fault data eigenvector was designed after analyzing the equipment fault feature and capture strategy, structural pattern recognition strategy was confirmed and specific data frame was designed in response to the fault data eigenvector, by integrating the data frame design into the equipment's communication protocol, data related to different fault compiled into fault data frames by transmitters or communication module of equipment's different components, the remote sever captures equipment fault on transport via fault data frames according to the structural pattern recognition strategy. With 7 months of practical application in Taihu aquaculture project and research center of agricultural information technology, combining with historical fault data and contrast with artificial recognition result, the simulate experiment shows this method has higher response rate and process rate with a nice accurate.

**Keywords:** fault data capture, data eigenvector, transport layer, fault filter.

## 1. Introduction

Water quality parameters includes DO (dissolved oxygen), EC (electrical conductivity), SAL(solidity), WT (water temperature), PH and TUR (turbidity), all of these parameters effect deeply to aquaculture management and breeding decision, so collecting water quality parameters is an extremely important work to aquaculture. The Water quality monitoring equipment in the paper is made to collect water quality parameters in time and offer water quality optimization services include SMS warning,

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oxygenation and other services. Equipment have been deployed in maricultural breeding bases and industrial aquaculture in Beijing, Tianjin, Hebei, Shandong, Jiangsu and Guangdong. Nevertheless, equipment fault prevents farmers from increasing more reliance on the equipment, researches must be done to develop fault diagnosis technology and form an integrated strategy.

ANNs requires large amount of sample data for training, as its process is pure mathematical procedure [1-13]. There are many fault detection methods applied the support vector machine [14].

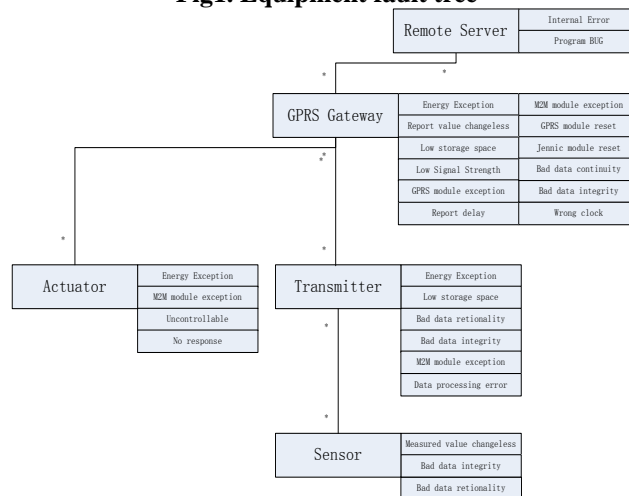
Fault detection should be an independent step in fault diagnosis process, fault diagnosis should base on fault data captured by fault detection step, and serious redundancy calculations exist in diagnosis process.

## 2. Data Eigenvector analysis

### 2.1 Equipment Faults Description

Equipment faults are divided into five types due to component types. Namely sensor faults, transmitter faults, actuator faults, GPRS gateway faults and remote server faults, see fig1.

**Fig1. Equipment fault tree**



When combined with some high-class arithmetic like support vector machine to solve fault capture task in every node, long training time cost is not acceptable.

### 2.2 Data Eigenvector Related to Different Equipment Faults

To an object represents a specific fault, recognition arithmetic need to judge whether it contains fault information according data eigenvector, for each fault type,

there is a specific eigenvector and type record; these records are listed in Form 1.

**Form1.** Data type and eigenvector corresponding to different faults

Recognition target	Data type	Eigenvectors
Uncontrollable	Actuator state	Response data and executive result
No response	Actuator state	Response data
Energy Exception	M2M state	Power supply mode & nominal voltage & measured value
Low storage space	Device information	Residual capacity calculated value
Jennic module exception	M2M state	Jennic module reset times
M2M module exception	M2M state	M2M signal value and emission frequency
Data process error	M2M state	Conversion formula
Wrong clock	GPRS state	GPRS gateway clock
GPRS module exception	GPRS state	State code & SIM card state & heart beat interval
GPRS module exception	GPRS state	Reset times
Low Signal strength	GPRS state/Device state	Signal emission frequency
Internal error	Message from server	Error code
Program BUG	None	Server crash
Bad data integrity	Record	Actual record count divide theoretical record count & sensor state
Bad data continuity	Record	Actual record interval time
Report delay	Record	Report time minus collected time
Report value changeless	Record	value & sensor collect voltage value
Bad data rationality	Record	Value change rate & value

### 3 Method and Capture Strategy

#### 3.1 Data Transfer Process on Transport Layer

Data frame format was proposed in the following passage. Form.2 is the transmitting data frame format.

**Form2.** Transmitting Data Frame Format

Length	2 bytes	1 byte	1 bytes	1 bytes	2 bytes	M bytes	N bytes	2 bytes	2 bytes
Content	Start segment	Frame type	Frame length	Frame code	Control code	Address segment	Data segment	CRC check	Terminal
Description	Head				Eigenvector or data			Tail	

frame

The eigenvector data frames and fault filter introduced in following passages are based on the data frame format and this protocol.

### 3.2 Define Eigenvector Data Frames and Frame Filter

The design of eigenvector data frame is shown in form 3 below. Eigenvector type is corresponding to the frame code; form 4 is the detail design of eigenvector data frame model.

**Form3.** Eigenvector data frame format

	Type	GPRS gateway clock/Report time	Eigenvector type	Eigenvector data
Length	2 bytes	6 bytes	1 bytes	N

**Form4.** Detail Design of Eigenvector Data Frame Model

Eigenvector source	Frame code	Type code	Eigenvector type	Description
GPRS gateway state	0x01	Link heartbeat:[0x01][0x00];	Device heart beat interval time	Hexadecimal value
			Reporting cycle	Hexadecimal value
		State heartbeat: long connection: [0x02][0x01]	Acquisition cycle	Hexadecimal value
			Storage cycle	Hexadecimal value
		Short connection:[0x02][0x02]	Signal strength	Hexadecimal value
		no connection: [0x02][0x03]	Not reported data count	Hexadecimal value
Gateway voltage	Hexadecimal value			
M2M state	0x02	Power on: [0x01][0x00] Pause: [0x02][0x00] Power off:[0x03][0x00]	Energy type	0x00:battery
				0x01:electric supply
				0x02:solar panel
			Device reset time	Hexadecimal value
			Not reported data count	Hexadecimal value
	Deivce voltage	Hexadecimal value		
Record	0x03	Channel	Channel	Hexadecimal value

		count:Generated by sending device, equals the amount of channels belong to the device	number	
			channel type	DO:0x00;
				EC:0x01;
				WT:0x02;
				PH:0x03;
			channel value	Hexadecimal value
<b>Actuator state</b>	0x04	Power on: [0x01][0x00]	Channels corresponding to control action	Hexadecimal value
		Pause: [0x02][0x00]	Control trigger	0x00:unknown
		Power off: [0x03][0x00]		0x01:timing
		No action: [0x04][0x00]		0x02:automatic
0x03:remote control				
0x04>manual operation				
0x05 exception protect				
				0x06:Illegal control
				0x07:SMS control
<b>Actuator state</b>	0x04	No action: [0x04][0x00]	Control type	0x00:power on
				0x01:pause
				0x02:power off
				0x03:no action
			State feedback type	0x00:poer on
				0x01:pause
				0x02:power off
			State feedback channels	Hexadecimal value
			Original channel value	Hexadecimal value

A frame filter is designed here to filter eigenvector information from data frames with a high rate. The filter design is showed in Form 5.

**Form5.** Data filter design

Process	Byte number	Mask code	Description
1	1	0xFF	Extract the first byte, whose low three bits maybe the high three bits of the frame length.
2	4	0xFF	Extract the length of this frame
3	5	0xFF	Extract the frame code.
4	6	0xF0	Address type
4	6	0x0F	Address type

4	7	0xF0	Address type
4	7	0x0F	Address type
5	8	0xF0	Address description
6	9~9+M	0xF...F	Device address
7	9+M~11+M	0xFFFF	Data type
8	11+M~17+M	0xF...F	Report time/ GPRS Gateway clock
9	17+M~18+M	0xFF	Eigenvector type
10	18+M~18+M+N	0xF...F	Eigenvector value

### 3.3 A method to Capture Fault by Filtering Data Frame

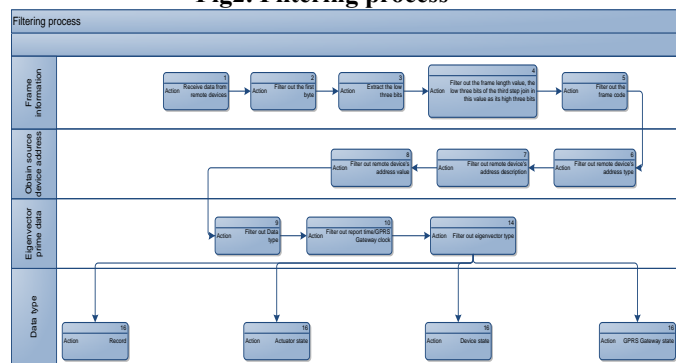
Form 6 is the recognize rule. To explain the process more clearly and detail, the filtering process is shown in the following fig2. Fig3 introduces recognition strategy respectively for GPRS gateway fault, because of space limit, device fault, record fault and actuator fault recognition strategy is not introduced here.

**Form6.** Recognize rule

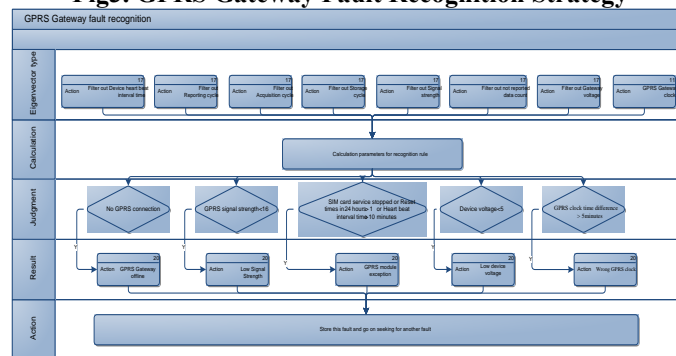
Data type	Recognize parameter	Recognize result
GPRS Gateway state	No GPRS connection	GPRS offline
GPRS Gateway state	GPRS signal strength<16	Communication error: low signal strength
GPRS Gateway state	SIM card service stopped or Reset times in 24 hours>1 or Heart beat interval time>10minutes	GPRS module exception
GPRS Gateway state	Reporting cycle> heart beat interval time	Bad data integrity
GPRS Gateway state	Device voltage<5	Low device voltage
GPRS Gateway state	GPRS clock time difference>5 minutes	Wrong GPRS clock
Device state	Device power off	Device shut down
Device state	Device voltage as the high byte and energy type as the low byte	<0x0502, solar pannel exception
Device state	Device voltage as the high byte and energy type as the low byte	<0x0501,electric supply exception
Device state	Device voltage as the high byte and energy type as the low byte	<0x0500,battery exception
Device state	Device reset time>1 in 24 hours	M2M module exception
Device state	Signal strength<50	Communication error:Low signal strength
Device state	Report time difference>5minutes	Report delay
Device state	Not reported data count>200 in 24 hours	Bad data integrity
Record	Report data value changeless	M2M communication exception

Record	Report data value out the threshold	Bad data rationality
Record	Unnormal report data value change rate	Bad data rationality
Record	Report time difference>5minutes	Report delay
Record	(Data count/heart beat count)/(Heart beat interval time/data collection cycle)	>1,GPRS connection fault
Record	(Data count/heart beat count)/(Heart beat interval time/data collection cycle)	<1,Bad data integrity
Actuator state	Actuator state code equals [0x02 0x00] or [0x03 0x00]	Uncontrollable
Actuator state	Actuator state code equals [0x04 0x00]	No response
Actuator state	Report delay	Report time difference>5minutes

**Fig2. Filtering process**



**Fig3. GPRS Gateway Fault Recognition Strategy**



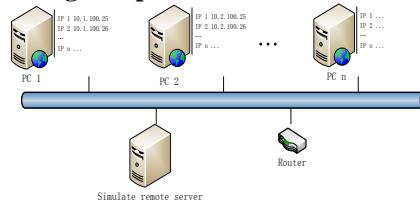
## 4 Simulation Experiment and Discussion

### 4.1 Simulation strategy and build simulation LAN

To verify the strategy's processing rate and accuracy, different personal computers with simulating IP addresses are regarded as the GPRS gateways to report collected data to remote server. The experiment LAN structure is shown in fig4.



**Fig4. Experiment LAN Structure**



The router simulate the GPRS service, simulate remote server is another PC, simulate remote server receive the data frame via TCP/IP protocol and telnet service, then decode and filter the data frames with programs based on the fault recognition strategy in chapter 3.3. The program are written in java and based on apache mina framework.

**4.2 Experiment result and Evaluation**

1290 records of fault are found from working log of operation and maintenance team is used in the experiment. The program was divided into two executable jar file, namely Simulation Server and Simulation Gateway. Fig 5 to 6 shows simulation experiment process and result.

**Fig5. Handling process**

```

Wed Jul 17 21:51:14 CST 2013: A new Client at /10.2.205.204:51736 connect successfully
starting recognition handler and decoding.....
Decoding No.1data frame. result:No Fault
Decoding No.2data frame. result:No Fault
Decoding No.3data frame. result:No Fault
Decoding No.4data frame. result:No Fault
Decoding No.5data frame. result:No Fault
Decoding No.6data frame. result:No Fault
Decoding No.7data frame. result:No Fault
Decoding No.8data frame. result:No Fault
Decoding No.9data frame. result:Unnormal report data value change rate
Decoding No.10data frame. result:Bad data integrity
Decoding No.11data frame. result:No Fault
Decoding No.12data frame. result:No Fault
Decoding No.13data frame. result:No Fault
Decoding No.14data frame. result:No Fault
Decoding No.15data frame. result:No Fault
Decoding No.16data frame. result:Report delay
Decoding No.17data frame. result:Communication error: low signal strength
Decoding No.18data frame. result:No Fault
Decoding No.19data frame. result:No Fault
Decoding No.20data frame. result:No Fault
Decoding No.21data frame. result:No Fault
Decoding No.22data frame. result:Bad data integrity
Decoding No.23data frame. result:Bad data integrity
Decoding No.24data frame. result:No Fault
Decoding No.25data frame. result:No Fault
Decoding No.26data frame. result:No Fault
Decoding No.27data frame. result:No Fault
Decoding No.28data frame. result:No Fault
Decoding No.29data frame. result:GPRS module exception
Decoding No.30data frame. result:Bad data integrity
    
```

**Fig6. Result and evaluation**

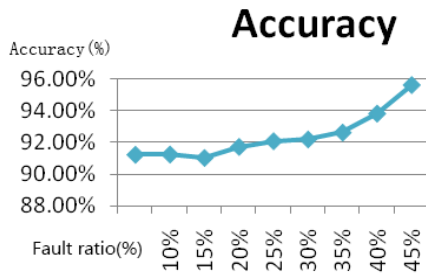
```

1 | GPRS gateway | /10.2.205.204:51736 | GPRS offline |
1 | FB sensor | Sensor at No.4 | Bad data rationality |
1 | Turbidity sensor | Sensor at No.5 | Bad data integrity |
1 | Transmitter | NEM device at MAC address: 7b-7f-6f-82-8f-04 | Communication error:Low signal |
1 | EC sensor | Sensor at No.2 | Report delay |
1 | Actuator | Actuator at MAC address: 3c-85-13-c9-ea-31 | Illegal control |
1 | Transmitter | NEM device at MAC address: f9-62-e8-f8-25-19 | electric supply exception |
1 | Transmitter | NEM device at MAC address: 9-9f-d1-8-d-32-3 | Bad data integrity |
1 | Transmitter | NEM device at MAC address: 8-4f-4a-30-82-71 | Communication error:low signal |
1 | Actuator | Actuator at MAC address: 14-16-4-01-a3-67 | Report delay |
1 | WF sensor | Sensor at No.3 | Bad data rationality |
1 | Transmitter | NEM device at MAC address: 55-66-77-21-e0-7f | electric supply exception |
1 | GPRS gateway | /10.2.205.204:51736 | Bad data integrity |
1 | GPRS gateway | /10.2.205.204:51736 | GPRS module exception |
1 | Transmitter | NEM device at MAC address: 31-81-93-71-61-1d | Device shut down |
1 | GPRS gateway | /10.2.205.204:51736 | Bad data integrity |
1 | Actuator | Actuator at MAC address: f1-65-56-da-d8-7d | No response |
Fault ratio of the fault recognition handler is 31.5%
Handling time is 29.56486 seconds
    
```

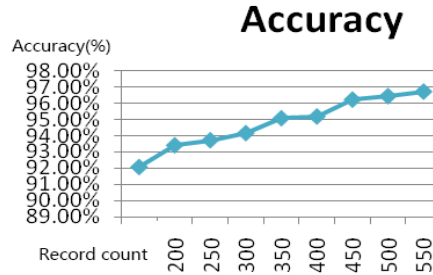
The first experiment is to get the accuracy of the recognition method under different actual fault record ratio, and total records' count is fixed to 200. The experiment result is shown in fig7. The second experiment is about the accuracy under different total record count, the result is shown in fig8.

The last experiment is to test the time-cost under different fault record count, as the strategy time-cost is mainly on recognized fault records' handling, so this experiment change the fault record count and take the average fault records' handling time as the time-cost evaluation parameter, total record count is fixed to 400. The average fault records' handling time equals total handling time divides 400. The result proves the handling time is proportional to fault record count, as shown in fig .22.

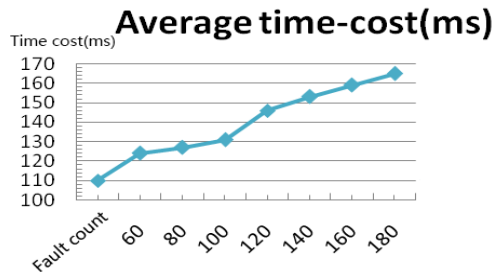
**Fig7. Accuracy under Different Actual Fault Record Ratio**



**Fig8. Accuracy under Different Total Record Count**



**Fig9. Average time-cost**



### 4.3 Application effect

The recognition strategy was applied in the Water Quality Monitoring system developed by China Agricultural University, the program has been deployed on the remote server of the system since December, 2012, by now it recognized 246586 records, and is still running on the server. Its high efficient, low time-cost and nice accuracy received praises of maintenance team.

## 5 Conclusions

The proposed pattern recognition method, based on data eigenvector and TCP transport protocol analyses the Water Quality Monitoring equipment comprehensively. The author designed the data transport protocol based on transmit rule, based on this transport protocol, the data filter is proposed. According to actual diagnosis experiment and knowledge, added threshold to the data filter, as a result, the filter is able to filter out data that beyond the threshold. A novel recognition method is proposed to handle fault data with high efficiency and low time-cost, the method is a combination of four strategies, the strategy is specifically, the method manage these strategies to cooperation more efficient.

After 7 months' application, program based on the proposed method performed well on Water Quality Monitoring system. Work logs and praises from maintenance team prove the method feasible and efficient.

Nevertheless, because of the shortage of eigenvector analysis and filter design, recognition misses and errors exist, the method has potential to be improved better, further research should focus on the reduction of the recognition misses and errors. Moreover, relative diagnosis algorithm is necessary to be designed.

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