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# DECODING MT MOTION RESPONSE FOR OPTICAL FLOW ESTIMATION: AN EXPERIMENTAL EVALUATION

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## ABSTRACT

Motion processing in primates is an intensely studied problem in visual neurosciences and after more than two decades of research, representation of motion in terms of motion energies computed by V1-MT feedforward interactions remains a strong hypothesis. Thus, decoding the motion energies is of natural interest for developing biologically inspired computer vision algorithms for dense optical flow estimation. Here, we address this problem by evaluating four strategies for motion decoding: intersection of constraints, linear decoding through learned weights on MT responses, maximum likelihood and regression with neural network using multi scale-features. We characterize the performances and the current limitations of the different strategies, in terms of recovering dense flow estimation using Middlebury benchmark dataset widely used in computer vision, and we highlight key aspects for future developments.

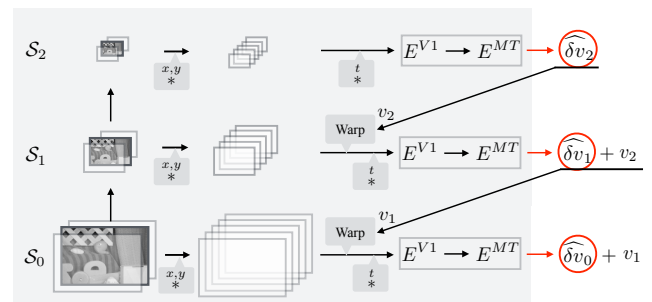
**Index Terms**— Optical flow, spatio-temporal filters, motion energy, population code, V1, MT, Middlebury dataset,

## 1. INTRODUCTION

Visual motion estimation is a widely studied problem in both computer vision and visual neuroscience. How do primates estimate motion has been a question of intense focus in visual neuroscience yet only partly understood owing both to underlying complexity and to the experimental stimuli that has been used (see [1] for a review). The limitations of the experimental and modeling studies in motion estimation so far have been well explained by Nishimoto et al. [2], in terms of partial coverage in spatio-temporal frequency domain, e.g., only direction of motion [3,4] or two-dimensional slice [5,6]. Though in [2] the authors show that the widely accepted feedforward spatio-temporal filtering model is a good fit for explaining neural responses to naturalistic videos, the model has not been tested in terms of recovering the dense velocity vector field, called optical flow, which has been extensively studied in computer vision due to its broad application potential. (see [7] for a review)

It is not clear how these spatio-temporal filter based models deal with several naturalistic scenarios such as motion boundaries, and occlusions. It is also not clear how these methods could produce a spatially accurate estimation in term of recovering dense optical flow as filter-based models tend to smooth the images. Modern computer vision datasets with ground truth, such as Middlebury dataset [8], give us an opportunity to study these aspects also with respect to the problem of decoding. The goal of this paper is to evaluate four decoding strategies to estimate optical flow from motion tuned population response.

This paper is organised as follows. In Sect. 2, we present the basis of our approach, which is a feedforward model of V1 and MT cortical areas: We start from the model [9] in which we revisited the seminal work by Heeger and Simoncelli [10, 11] (see Fig. 1). In Sect. 3, we propose three decoding strategies to estimate optical flow based on MT population response and a fourth one based on V1 population response. These four strategies are then evaluated and discussed in Sect. 4 using classical sequences from the literature.



**Fig. 1.** Illustration of the FFV1MT approach [9] based on a feedforward model of V1 and MT cortical layers and a coarse to fine implementation. At each scale, decoded velocities at a coarser scale are used to warp V1 motion energies at the finer scale (shown in red). Code available on ModelDB: <http://senselab.med.yale.edu/modeldb>.

Both authors M. C. and N.V.K. M. should be considered as first author.

## 2. V1-MT MODEL FOR MOTION PROCESSING

This section describes how V1 and MT responses are estimated at a given scale and we refer the reader to [9] for more details about the coarse to fine approach (see Fig. 1).

### 2.1. Area V1: Motion Energy

Simple cells are characterized by the preferred spatial orientation  $\theta$  of their contrast sensitivity in the spatial domain and their preferred velocity  $v^c$  in the direction orthogonal to their contrast orientation often referred to as component speed. The receptive fields of the V1 simple cells are classically modeled using band-pass filters in the spatio-temporal domain. In order to achieve low computational complexity, the spatio-temporal filters are decomposed into separable filters in space and time. Spatial component of the filter is described by Gabor filters  $h$  and temporal component by an exponential decay function  $k$ . We define the following complex filters:

$$h(p; \theta, f_s) = B e^{\left(\frac{-(x^2+y^2)}{2\sigma^2}\right)} e^{j2\pi(f_s \cos(\theta)x + f_s \sin(\theta)y)},$$

$$k(t; f_t) = e^{\left(-\frac{t}{\tau}\right)} e^{j2\pi(f_t t)},$$

where  $\sigma$  and  $\tau$  are the spatial and temporal scales respectively, which are related to the spatial and temporal frequencies  $f_s$  and  $f_t$  and to the bandwidth of the filter. Denoting the real and imaginary components of the complex filters  $h$  and  $k$  as  $h_e, k_e$  and  $h_o, k_o$  respectively, and a preferred velocity (speed magnitude)  $v^c = f_t/f_s$ , we introduce the odd and even spatio-temporal filters defined as follows,

$$g_o(p, t; \theta, v^c, \sigma) = h_o(p; \theta, f_s) k_e(t; f_t) + h_e(p; \theta, f_s) k_o(t; f_t),$$

$$g_e(p, t; \theta, v^c, \sigma) = h_e(p; \theta, f_s) k_e(t; f_t) - h_o(p; \theta, f_s) k_o(t; f_t).$$

These odd and even symmetric and tilted (in space-time domain) filters characterize V1 simple cells. Using these expressions, we define the response of simple cells, either odd or even, with a preferred direction of contrast sensitivity  $\theta$  in the spatial domain, with a preferred velocity  $v^c$  and with a spatial scale  $\sigma$  by

$$R_{o/e}(p, t; \theta, v^c, \sigma) = g_{o/e}(p, t; \theta, v^c, \sigma) \overset{(p,t)}{*} I(p, t), \quad (1)$$

where  $I(p, t)$  is a gray-scale sequence, defined at positions  $p = (x, y)$  and time  $t > 0$ . The complex cells are described as a combination of the quadrature pair of simple cells (1) by using the motion energy formulation,

$$E(p, t; \theta, v^c, \sigma) = R_o(p, t; \theta, v^c, \sigma)^2 + R_e(p, t; \theta, v^c, \sigma)^2,$$

followed by a normalization: assuming that we consider a finite set of orientations  $\theta = \theta_1 \dots \theta_N$ , the final V1 response is given by

$$E^{V1}(p, t; \theta, v^c, \sigma) = \frac{E(p, t; \theta, v^c, \sigma)}{\sum_{i=1}^N E(p, t; \theta_i, v^c, \sigma) + \varepsilon}, \quad (2)$$

where  $0 < \varepsilon \ll 1$  is a small constant to avoid divisions by zero in regions with no energies, which happens when no spatio-temporal texture is present.

### 2.2. Area MT: Pattern Cells Response

MT neurons exhibit velocity tuning irrespective of the local structure orientation. This is believed to be achieved by pooling afferent V1 responses in both spatial and orientation domains followed by a non-linearity [4, 11]. The response of a MT pattern cell tuned to the speed  $v^c$  and to direction of speed  $d$  can be expressed by

$$E^{MT}(p, t; d, v^c, \sigma) = F \left( \sum_{i=1}^N w_d(\theta_i) \mathcal{P}(E^{V1})(p, t; \theta_i, v^c, \sigma) \right),$$

where  $w_d$  represents the MT linear weights that give origin to the MT tuning. It can be defined by a cosine function shifted over various orientations [4, 12], i.e.,

$$w_d(\theta) = \cos(d - \theta) \quad d \in [0, 2\pi[.$$

Then,  $\mathcal{P}(E^{V1})$  corresponds to the spatial pooling and is defined by

$$\mathcal{P}(E^{V1})(p, t; \theta_i, v^c, \sigma) = \frac{1}{A} \sum_{p'} f_\alpha(\|p-p'\|) E^{V1}(p, t; \theta_i, v^c, \sigma), \quad (3)$$

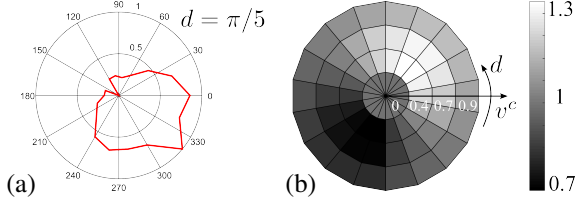
where  $f_\alpha(s) = \exp(s^2/2\alpha^2)$ ,  $\|\cdot\|$  is the  $L_2$ -norm,  $\alpha$  is a constant,  $A$  is a normalization term (here equal to  $2\pi\alpha^2$ ) and  $F(s) = \exp(s)$  is a static nonlinearity chosen as an exponential function [4]. The pooling defined by (3) is a spatial Gaussian pooling.

Figure 2 shows examples of MT responses at (a) single cell and (b) population levels. In this paper, the velocity space was sampled by considering MT neurons that span over the 2-D velocity space with a preferred set of  $Q = 19$  tuning speed directions  $d_1 \dots d_Q$  in  $[0, 2\pi[$  and  $M = 7$  tuning speeds  $v_1^c \dots v_M^c$  in the range  $\pm 1$  pixel/frame.

## 3. DECODING OF THE VELOCITY REPRESENTATION OF AREA MT

In order to engineer an algorithm capable of recovering dense optical flow estimates  $v(p, t) = (v_x, v_y)(p, t)$ , we need to address the problem of decoding the population responses of tuned MT neurons. Indeed, a unique velocity vector cannot be recovered from the activity of a single velocity tuned MT neuron as multiple scenarios could evoke the same activity. However, a unique vector can be recovered from the population activity of MT cells tuned to different motion directions.

Four decoding strategies are proposed and evaluated. We first propose three decoding methods for computing velocity from the MT response at each scale [9] (see Fig. 1) *intersection of constraints (IOC)*, *linear decoding through learned*



**Fig. 2.** MT response. (a) Example of an MT direction tuning curve for a cell tuned at  $d = \pi/5$  responding to moving random dot stimuli that span all the speed directions. (b) Example of MT population response at a given image point  $p$ , for a random dot sequence that moves at  $v_x = 0.3$  and  $v_y = 0.3$  pixel/frame. The MT population response shows a peak for the direction and the speed present in the input stimulus. The range of the responses is between 0.7 and 1.3.

weights (LW), and maximum likelihood (ML). Note that these decoding methods will impact the quality of the optical flow extracted at each scale and used for the warping. Then we propose a fourth strategy, called *regression with neural network* (RegNN), which learns to estimate optical flow directly from the V1 responses at every scales.

### 3.1. Intersection of Constraints Decoding (IOC)

The MT response is obtained through a static nonlinearity described by an exponential function, thus we can linearly decode the population activities [13]. Since the distributed representation of velocity is described as a function of two parameters (speed  $v^c$  and direction  $d$ ), first we linearly decode the speed (velocity magnitude) for each speed direction, then we apply the IOC [1] to compute the speed direction. The speed along direction  $d$  can be expressed as:

$$v^d(p, t; d, \sigma) = \sum_{i=1}^M v_i^c E^{MT}(p, t; d, v_i^c, \sigma). \quad (4)$$

Then the IOC solution is defined by solving the minimization problem

$$v = \underset{w}{\operatorname{argmin}} \{G(w)\} \quad (5)$$

where

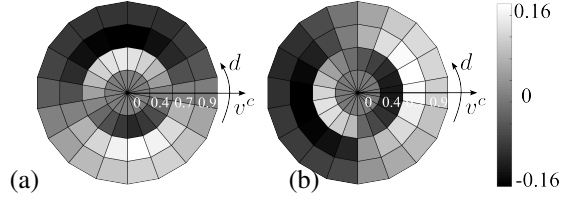
$$G(w) = \sum_{i=1}^Q (v^{d_i} - w \cdot (\cos d_i, \sin d_i)^T)^2$$

where  $(\cdot)^T$  indicates the transpose operation. Solving (5) gives:

$$\begin{aligned} v_x &= \frac{2}{Q} \sum_{i=1}^Q v^d(p, t; d_i, \sigma) \cos d_i, \\ v_y &= \frac{2}{Q} \sum_{i=1}^Q v^d(p, t; d_i, \sigma) \sin d_i. \end{aligned}$$

### 3.2. Linear Decoding Through Learned Weights (LW)

The MT response can be decoded by learning the two-dimensional matrix of weights  $\mathcal{W}$  so that  $v = E^{MT}\mathcal{W}$ .



**Fig. 3.** Two-dimensional matrices of weights learned using sequences of random dots and used to decode (a)  $v_x$  and (b)  $v_y$ . In these plots, we represent only half of the matrix  $\mathcal{W}$  for  $v^c = [0; 0.4; 0.7; 0.9]$ .

To learn the weights, we used a dataset of  $8 \times 7$  random dot sequences with known optical flow  $v_{gt}$  (8 directions and 7 speeds), which cover the spatio-temporal filters' range, and we estimated  $\mathcal{W}$  by minimizing the cost function  $L$ :

$$L(\mathcal{W}) = \|\mathcal{R}\mathcal{W} - v_{gt}\|^2 + \lambda\|\mathcal{W}\|^2, \quad (6)$$

where  $\mathcal{R}$  is a matrix whose rows contain the MT population responses (for the whole training set),  $\mathcal{W}$  is the vector of weights,  $v_{gt}$  contains the ground truth speeds,  $\|\cdot\|$  is the  $L_2$ -norm and we chose  $\lambda = 0.05$ . It is worth to note that such procedure has been carried out at a single spatial scale. Since we use random dots, we have considered the average MT response. Figure 3 shows the learned two-dimension matrix of weights.

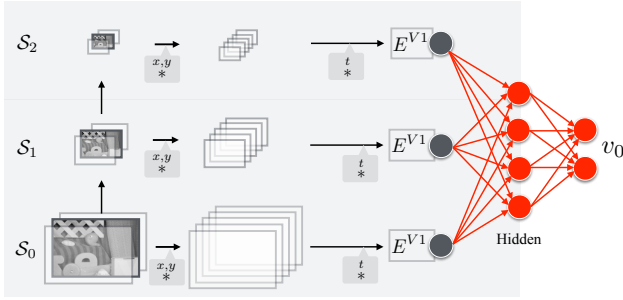
### 3.3. Maximum Likelihood Decoding (ML)

The MT response can be decoded with a Maximum Likelihood technique [14]. In this paper, the ML estimate is performed through a curve fitting, or template matching, method. In particular, we decode the MT activities by finding the Gaussian function that best match the population response. The position of the peak of the Gaussian corresponds to the ML estimate.

### 3.4. Decoding by Regression with Neural Network (RegNN)

For the regression using neural network, spatio-temporal energies representative of the V1 complex cell responses are computed across various scales and are concatenated to form an input vector of dimension 504 (6 scales  $\times$  12 orientations  $\times$  7 velocities). The feature computation stage is illustrated in Fig. 4. It is worth to note that in this decoding strategy we do not use the coarse to fine approach. We use a feedforward network comprising of a hidden sigmoidal layer and a linear output layer with 400 neurons in the hidden layer and 2 neurons in the output layer, computing velocity along  $x$  and  $y$  axis. The hidden layer can be interpreted as MT cells tuned to different velocities. For training the network, subsampled features by a factor of 30 from Middlebury sequences are used and the network is trained for 500 epochs using back propagation algorithm till the RMSE of the network over the training





**Fig. 4.** Scale space for regression based learning (see Sect. 3.4).

samples has reached 0.3. Note that we only have a single network or a regressor and it is applied to all pixels. For training and simulating the experiment PyBrain package has been used.

#### 4. EXPERIMENTAL EVALUATION AND DISCUSSION

Table 1 shows the average angular errors (AAE) and the end-point errors (EPE) with the corresponding standard deviations, by considering the Middlebury training set and the Yosemite sequence. Results for the four decoding strategies (IOC, LW, ML and RegNN) are reported. Some sample optical flows for the four decoding methods are reported in Fig. 5. Results show that the IOC approach gives estimates similar to the ones obtained by considering LW. The ML approach does not perform as well as the IOC one: this is due to the actual MT activity pattern, and to the fact that MT population responses for low speed has several peaks and it is hard to fit a Gaussian.

Observing the results obtained after decoding suggests that scale-space with warping procedure is not well suited for analysis with spatio-temporal features and produces larger errors when compared to the regression scheme where the spatio-temporal motion energies across scales are simultaneously taken into consideration. This is in accordance with earlier model by Heeger, where plane fitting in spatio-temporal domain has been adapted, indicating that interscale interactions are critical in velocity decoding. The RegNN approach has preserved motion edges much better when compared to the warping scheme in most of the sequences, but however it fails in the Yosemite sequence, which indicates that there is some diffusion happening in regions without motion energy as could be seen in the sky region. The responses of the network need to be more smooth to better match the ground truth, however this is to be expected as this regression scheme does not have any neighborhood interactions and smoothness criterion in place.

As a whole, this paper provides a first comparative study of several motion estimation approaches by population decod-

ing. Results are promising although further investigations are needed to reach the state-of-the-art performances. Our future work will focus on incorporating spatial pooling of motion energies and spatial interaction at MT level into the model.

#### Acknowledgments

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Sequence	IOC		LW		ML		RegNN	
	AAE $\pm$ STD	EPE $\pm$ STD	AAE $\pm$ STD	EPE $\pm$ STD	AAE $\pm$ STD	EPE $\pm$ STD	AAE $\pm$ STD	EPE $\pm$ STD
grove2	<b>4.3</b> $\pm$ 10.3	<b>0.3</b> $\pm$ 0.6	4.6 $\pm$ 9.7	0.3 $\pm$ 0.6	9.8 $\pm$ 21.1	0.8 $\pm$ 1.3	5.2 $\pm$ 8.5	0.4 $\pm$ 0.5
grove3	<b>9.7</b> $\pm$ 19.0	1.1 $\pm$ 1.8	9.9 $\pm$ 18.8	1.2 $\pm$ 1.8	13.7 $\pm$ 25.7	1.5 $\pm$ 2.3	9.7 $\pm$ 15.4	<b>1.0</b> $\pm$ 1.4
Hydrangea	6.0 $\pm$ 11.2	0.6 $\pm$ 1.0	6.3 $\pm$ 11.8	0.7 $\pm$ 1.0	8.9 $\pm$ 20.4	0.9 $\pm$ 1.4	<b>3.2</b> $\pm$ 6.2	<b>0.3</b> $\pm$ 0.4
RubberWhale	10.2 $\pm$ 17.7	0.3 $\pm$ 0.5	10.1 $\pm$ 16.7	0.3 $\pm$ 0.5	16.3 $\pm$ 26.3	0.7 $\pm$ 1.5	<b>7.6</b> $\pm$ 9.0	<b>0.3</b> $\pm$ 0.3
urban2	15.2 $\pm$ 10.2	0.6 $\pm$ 1.1	16.5 $\pm$ 22.8	1.5 $\pm$ 1.9	14.2 $\pm$ 20.4	1.5 $\pm$ 1.9	<b>4.6</b> $\pm$ 9.7	<b>0.3</b> $\pm$ 0.6
urban3	15.8 $\pm$ 35.9	1.9 $\pm$ 3.2	14.1 $\pm$ 33.3	1.7 $\pm$ 3.1	18.2 $\pm$ 39.5	1.8 $\pm$ 2.9	<b>5.8</b> $\pm$ 17.5	<b>0.8</b> $\pm$ 1.5
Yosemite	<b>3.5</b> $\pm$ 2.9	<b>0.2</b> $\pm$ 0.2	3.8 $\pm$ 3.0	0.2 $\pm$ 0.2	5.3 $\pm$ 7.2	0.3 $\pm$ 0.7	20.1 $\pm$ 14.7	0.9 $\pm$ 0.9
all	9.2 $\pm$ 15.3	0.7 $\pm$ 1.2	9.3 $\pm$ 16.6	0.8 $\pm$ 1.3	12.3 $\pm$ 22.9	1.1 $\pm$ 1.7	<b>8.0</b> $\pm$ 11.6	<b>0.6</b> $\pm$ 0.8

Table 1. Error measurements on Middlebury training set and on the Yosemite sequence.

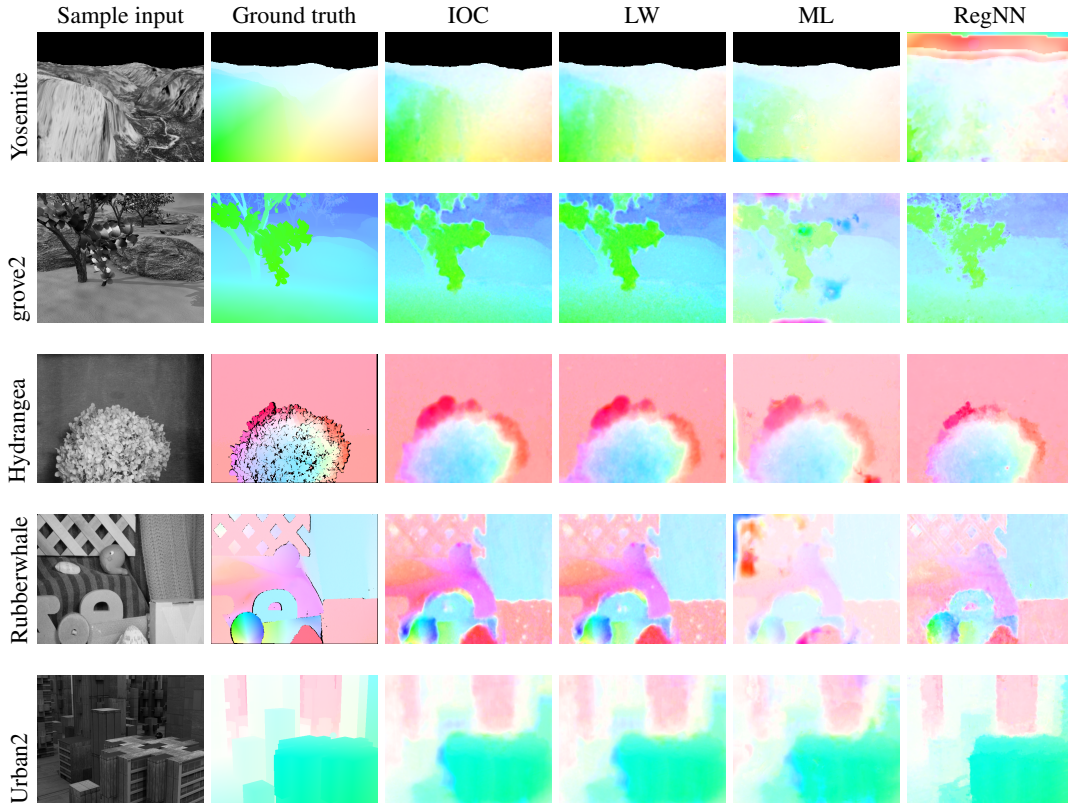


Fig. 5. Sample results on a subset of Middlebury training set and on the Yosemite sequence (see Tab. 1 for the quantitative evaluation).

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