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m -noncrossing partitions and m -clusters

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Abstract. Let W be a finite crystallographic reflection group, with root system Φ . Associated to W there is a positive integer, the generalized Catalan number, which counts the clusters in the associated cluster algebra, the noncrossing partitions for W , and several other interesting sets. Bijections have been found between the clusters and the noncrossing partitions by Reading and Athanasiadis et al.

There is a further generalization of the generalized Catalan number, sometimes called the Fuss-Catalan number for W , which we will denote $C_m(W)$. Here m is a positive integer, and $C_1(W)$ is the usual generalized Catalan number. $C_m(W)$ counts the m -noncrossing partitions for W and the m -clusters for Φ . In this abstract, we will give an explicit description of a bijection between these two sets.

The proof depends on a representation-theoretic reinterpretation of the problem, in terms of exceptional sequences of representations of quivers.

Résumé. Soit W un groupe de réflexions fini et crystallographique, avec système de racines Φ . Associé à W , il y a un entier positif, le nombre de Catalan généralisé, qui compte les amas dans l'algèbre amassée associée, les partitions non-croisées de W , et plusieurs autres ensembles intéressantes. Des bijections entre les amas et les partitions non-croisées ont été données par Reading et Athanasiadis et al.

On peut encore généraliser le nombre de Catalan généralisé, obtenant le nombre Fuss-Catalan de W , que nous noterons $C_m(W)$. Ici m est un entier positif, et $C_1(W)$ est le nombre Catalan généralisé standard. $C_m(W)$ compte les partitions m -non-croisées de W et les m -amas de Φ . Dans ce résumé, nous donnerons une bijection explicite entre ces deux ensembles.

La démonstration dépend d'une réinterprétation des objets du point de vue des suites exceptionnelles de représentations de carquois.

Keywords: m -noncrossing partitions, m -clusters, Fuss-Catalan numbers

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1 Fuss-Catalan numbers

Let W be a finite reflection group, with a set of simple reflections S of cardinality n . For basic facts on reflection groups, see [Hu]. We will assume throughout that W is irreducible, that is to say, W is not the direct product of two smaller reflection groups; all our statements generalize in a completely straightforward way to the reducible case.

A *Coxeter element* for W is the product of the simple reflections of W , taken in some order. All Coxeter elements are conjugate, so they have a well-defined order, called the Coxeter number, and denoted h .

Associated to W are a collection of positive integers called its *exponents*, e_1, \dots, e_n . The Fuss-Catalan number for W is given by the following formula:

$$C_m(W) = \frac{\prod_{i=1}^n mh + e_i + 1}{\prod_{i=1}^n e_i + 1}.$$

If we set $m = 1$, we get the generalized Catalan number for W .

In the case that W is the symmetric group S_{n+1} , the Coxeter element is an $n+1$ -cycle, $h = n+1$, and the exponents are the numbers from 1 to n . In this case, the generalized Catalan numbers are just the usual Catalan numbers.

As we shall explain in more detail below, the Fuss-Catalan numbers count the maximal faces in the m -cluster complex associated to W and the m -noncrossing partitions for W . Bijections have been constructed between these two sets in the $m = 1$ case by Reading [Re] and Athanasiadis et al. [ABMW]. Our goal in this extended abstract is to construct a bijection for arbitrary m .

In order for m -clusters and m -noncrossing partitions to be well-defined, we do not need to assume that W is crystallographic. However, the techniques of our proof, which rely on quiver representations, do require that assumption. We will make clear at what point we have to add the crystallographic assumption.

The Fuss-Catalan numbers also arise in the study of the Shi arrangement and its generalizations (see [At]). At this point, even for $m = 1$, no type-free bijection is known from either clusters or noncrossing partitions to the regions of the Shi arrangement inside the dominant chamber (which are also counted by the generalized Catalan number).

2 Reflection group conventions

Let T be the set of all reflections for W . By definition, $T = \{ws w^{-1} \mid w \in W, s \in S\}$. Let N be the cardinality of T .

Associated to W is a *Coxeter diagram* whose vertices correspond to elements of S , and where two vertices are connected by an edge iff the corresponding simple reflections do not commute.

The Coxeter diagram of a finite reflection group is always a tree, so in particular it is a bipartite graph. Therefore, we can divide S into two parts, S^+ and S^- such that no two vertices in either part are adjacent. (This division is unique up to the labelling of the parts.) Number the reflections in S^+ as s_1 to s_r , and the reflections in S^- as s_{r+1} to s_n .

Fix the Coxeter element $c = s_1 \dots s_n$.

For $1 \leq i \leq N$, let r_i be defined as $s_1 s_2 \dots s_{i-1} s_i s_{i-1} \dots s_1$, where the indexing of simple reflections is taken mod n , so that $s_{n+1} = s_1$, etc.

Each reflection in T occurs as r_i for exactly one value of i with $1 \leq i \leq N$. Define a total order on T by saying that $r_i < r_j$ iff $i < j$.

3 *m*-noncrossing partitions

In this section we discuss *m*-noncrossing partitions for a reflection group W . The definition is due to Armstrong; for further information, see [Ar1].

Define $\ell_T : W \rightarrow \mathbb{N}$ by letting $\ell_T(w)$ be the minimal length of an expression for w as a product of elements of T . (Note that this is not the classical length function for W , which would consider instead only expressions for w as a product of elements of S .) We also note, for future use, that $\ell_T(c) = n$.

We can partially order W as follows: $u <_T v$ iff there is a minimal-length expression for v as a product of elements of T which has a minimal-length expression for u as a prefix. The usual (type-free) definition of noncrossing partitions is to take $\text{NC}(W)$ to be the interval from the identity element e to c in this order [BW1, Be]. The number of elements of $\text{NC}(W)$ is the generalized Catalan number $C_1(W)$.

We now give an *m*-ified version. For $w \in W$, define a *minimal k-factorization of w* to be a k -tuple (u_0, \dots, u_{k-1}) of elements of W such that

$$w = u_0 \dots u_{k-1} \quad \text{and} \quad \ell_T(w) = \sum_i \ell_T(u_i).$$

We define $\text{NC}^{(m)}(W)$, the *m*-noncrossing partitions of W to be the collection of minimal $m+1$ -factorizations of c . (Note that there is a bijection from $\text{NC}^{(1)}$ to NC , defined by sending (u, v) to u .)

Armstrong obtained the following enumeration of the *m*-noncrossing partitions.

Theorem 1 ([Ar1]) $|\text{NC}^{(m)}(W)| = C_m(W)$.

4 Coloured factorizations

A *coloured factorization* of the Coxeter element c is simply an expression for c as a product of n elements of T , where each reflection has an associated *colour* in \mathbb{Z} . We will write the colour as a superscript in parentheses.

We define an *m-increasing coloured factorization* to be a coloured factorization whose colours are chosen from 0 to m , such that the colours appear in weakly increasing order, and among the reflections of a given colour, the order of the reflections is increasing with respect to the total order on T .

Proposition 1 *There is a bijection between m-noncrossing partitions and m-increasing coloured factorizations.*

To construct the bijection, we use the following result:

Theorem 2 ([ABW]) *Let $u \leq_T c$, with $\ell_T(u) = r$. There is a unique factorization of u as a product of r reflections $u = t_1 \dots t_r$ such that $t_1 < \dots < t_r$.*

This factorization appears in [ABW] as the set of labels on the increasing chain from e to u in an EL-labelling for NC.

We now return to the problem of constructing an m -increasing factorization of c from an m -noncrossing partition. Let $u = (u_0, \dots, u_m)$ be an m -noncrossing partition. Note that $u_i <_T c$ for all i , so Theorem 2 applies to each u_i . Let $(t_{i1}, \dots, t_{ir_i})$ be the factorization of u_i obtained from Theorem 2. The m -increasing coloured factorization associated to u is

$$(t_{01}^{(0)}, \dots, t_{0r_0}^{(0)}, t_{11}^{(1)}, \dots, t_{1r_1}^{(1)}, \dots, t_{mr_m}^{(m)}).$$

In other words, we take the factorizations of each of the u_i from Theorem 2, concatenate them, and colour the reflections corresponding to u_i with the colour i .

It is clear that this map from m -noncrossing partitions to m -increasing factorizations can be inverted, and thus defines a bijection.

5 m -clusters

Let Φ be a root system for W , with simple roots $\Pi = \{\alpha_1, \dots, \alpha_n\}$ corresponding (in order) to the simple reflections s_1, \dots, s_n . We do not (yet) assume that Φ is crystallographic. The m -coloured almost positive roots consist of m copies of $\Phi_{>0}$, each indexed by a number from 0 to $m-1$, together with a single copy of $-\Pi$, the negative simple roots. The set of m -coloured almost positive roots is denoted $\Phi_{\geq -1}^{(m)}$.

The m -cluster complex was defined by Fomin and Reading [FR] as a certain simplicial complex on this set. We will give an equivalent definition, which is due to Tzanaki [Tz] (up to some changes of convention).

Define an m -decreasing coloured factorization of c as follows:

- The colours of the reflections are integers from 0 to m .
- The colours appear in weakly decreasing order.
- Among the reflections of a fixed colour, the reflections appear in decreasing order with respect to the total order on T .
- The only reflections of colour m which are allowed are $\{r_i = s_1 s_2 \dots s_i \dots s_2 s_1 \mid 1 \leq i \leq n\}$.

There is a bijection ϕ from the set of roots $\Phi_{\geq -1}^{(m)}$, to the set of coloured reflections that can appear in an m -decreasing factorization of c . It is defined as follows:

- ϕ sends the coloured positive root $\beta^{(i)}$ to the coloured reflection $t_\beta^{(i)}$, where t_β is the reflection through the hyperplane perpendicular to β ,
- ϕ sends the negative simple root $-\alpha_i$ to the reflection $r_i^{(m)}$.

Note that for $s_i \in S^-$, $\phi(-\alpha_i)$ is not the reflection s_i .

The result of Tzanaki (which generalizes a result of [BW2] in the $m = 1$ case), and which we can take as the definition of m -clusters, is the following:

Theorem 3 ([Tz]) *m -clusters can be characterized as those sets of n elements from $\Phi_{>-1}^{(m)}$ such that, if their corresponding reflections under ϕ are ordered in decreasing order (by colour and then with respect to the total order on T), the result is an m -decreasing factorization of c .*

The enumeration of m -clusters was carried out by Fomin and Reading:

Theorem 4 ([FR]) *The number of m -clusters for Φ is $\text{NC}^{(m)}(W)$.*

We have now defined the objects which we are interested in, the m -noncrossing partitions for W and the m -clusters for Φ , and have recalled that they have the same cardinality. We will now proceed to define a bijection between them, or rather, between the m -increasing and m -decreasing factorizations of c .

6 Mutation of coloured factorizations

There is a *mutation* procedure which allows one to replace one coloured factorization of c by another. The term mutation does not come from cluster algebras, but rather from the theory of exceptional sequences. See the final section for more details and references.

For $1 \leq i \leq n-1$, define an operation μ_i on coloured factorizations as follows.

$$\mu_i(t_1^{(c_1)}, \dots, t_i^{(c_i)}, t_{i+1}^{(c_{i+1})}, \dots, t_n^{(c_n)}) = (t_1^{(c_1)}, \dots, t_{i+1}^{(c_{i+1})}, (t_{i+1}t_it_{i+1})^{(d)}, \dots, t_n^{(c_n)})$$

where $d = c_i + 1$ if $t_{i+1}t_it_{i+1} < t_i$, otherwise $d = c_i$.

Lemma 1 *The operations μ_i satisfy the braid relations, that is to say, $\mu_i\mu_{i+1}\mu_i = \mu_{i+1}\mu_i\mu_{i+1}$, and $\mu_i\mu_j = \mu_j\mu_i$ if $|i-j| \geq 2$.*

Define $\mu_{\text{rev}} = \mu_1(\mu_2\mu_1)(\mu_3\mu_2\mu_1)\dots(\mu_{n-1}\mu_{n-2}\dots\mu_1)$. (Note that, since the μ_i satisfy the braid relations, there are many equivalent ways to define μ_{rev} .)

Then we have the following theorem:

Theorem 5 μ_{rev} *defines a bijection from the m -decreasing coloured factorizations of c to the m -increasing coloured factorizations of c .*

Together with the bijections we have already established between m -clusters and m -decreasing factorizations of c , and between m -noncrossing partitions and m -increasing factorizations of c , this defines a bijection between m -clusters and m -noncrossing partitions, as desired.

7 Example: A_2 , $m = 2$

In this section, we consider a small example. W is the symmetric group on 3 letters, generated by $s_1 = (12)$ and $s_2 = (23)$. Let $m = 2$.

$S^+ = \{s_1\}$, $S^- = \{s_2\}$. $c = s_1s_2 = (123)$. $h = 3$. Write t for $s_1s_2s_1 = (13)$, the unique non-simple reflection. The total order on the reflections is $s_1 < t < s_2$. Write α_1 and α_2 for the simple roots, and β for the unique non-simple positive root. The Fuss-Catalan number is 12.

In the table below, we list the twelve 2-clusters for A_2 , their corresponding decreasing coloured factorizations as in Theorem 3, the result of applying $\mu_{\text{rev}} = \mu_1$ to the 2-decreasing coloured factorization (which yields a 2-increasing factorization), and the corresponding 2-noncrossing partition.

$$\begin{array}{llll}
\{\beta^{(0)}, \alpha_1^{(0)}\} \rightarrow & (t^{(0)}, s_1^{(0)}) \rightarrow & (s_1^{(0)}, s_2^{(0)}) \rightarrow & (s_1 s_2, e, e) \\
\{\beta^{(1)}, \alpha_1^{(0)}\} \rightarrow & (t^{(1)}, s_1^{(0)}) \rightarrow & (s_1^{(0)}, s_2^{(1)}) \rightarrow & (s_1, s_2, e) \\
\{-\alpha_2, \alpha_1^{(0)}\} \rightarrow & (t^{(2)}, s_1^{(0)}) \rightarrow & (s_1^{(0)}, s_2^{(2)}) \rightarrow & (s_1, e, s_2) \\
\{\alpha_2^{(0)}, \beta^{(0)}\} \rightarrow & (s_2^{(0)}, t^{(0)}) \rightarrow & (t^{(0)}, s_1^{(1)}) \rightarrow & (t, s_1, e) \\
\{\alpha_2^{(1)}, \beta^{(0)}\} \rightarrow & (s_2^{(1)}, t^{(0)}) \rightarrow & (t^{(0)}, s_1^{(2)}) \rightarrow & (t, e, s_1) \\
\{\alpha_1^{(1)}, \alpha_2^{(0)}\} \rightarrow & (s_1^{(1)}, s_2^{(0)}) \rightarrow & (s_2^{(0)}, t^{(1)}) \rightarrow & (s_2, t, e) \\
\{-\alpha_1, \alpha_2^{(0)}\} \rightarrow & (s_1^{(2)}, s_2^{(0)}) \rightarrow & (s_2^{(0)}, t^{(2)}) \rightarrow & (s_2, e, t) \\
\{\beta^{(1)}, \alpha^{(1)}\} \rightarrow & (t^{(1)}, s_1^{(1)}) \rightarrow & (s_1^{(1)}, s_2^{(1)}) \rightarrow & (e, s_1 s_2, e) \\
\{-\alpha_2, \alpha_1^{(1)}\} \rightarrow & (t^{(2)}, s_1^{(1)}) \rightarrow & (s_1^{(1)}, s_2^{(2)}) \rightarrow & (e, s_1, s_2) \\
\{\alpha_2^{(1)}, \beta^{(1)}\} \rightarrow & (s_2^{(1)}, t^{(1)}) \rightarrow & (t^{(1)}, s_1^{(2)}) \rightarrow & (e, t, s_1) \\
\{-\alpha_1, \alpha_2^{(1)}\} \rightarrow & (s_1^{(2)}, s_2^{(1)}) \rightarrow & (s_2^{(1)}, t^{(2)}) \rightarrow & (e, s_2, t) \\
\{-\alpha_2, -\alpha_1\} \rightarrow & (t^{(2)}, s_1^{(2)}) \rightarrow & (s_1^{(2)}, s_2^{(2)}) \rightarrow & (e, e, s_1 s_2)
\end{array}$$

8 Positive parts

There is a subcomplex of the m -cluster complex which is called its positive part, that is, the part which does not involve any of the negative simple roots. Under the correspondence of Theorem 3, the positive m -clusters (the m -clusters in the positive part) correspond to m -decreasing factorizations of c in which no reflections with the colour m appear.

Theorem 6 ([FR]) *The number of m -clusters in the positive part of the cluster complex for Φ is:*

$$|C_{-m-1}(W)| = \frac{\prod_{i=1}^n m h + e_i - 1}{\prod_{i=1}^n e_i + 1}.$$

We can give the following description of the image of the positive m -clusters under our bijection. We use the definition of r_i from Section 2.

Theorem 7 *The image under μ_{rev} of the m -decreasing factorizations of c corresponding to positive m -clusters, consists of those m -increasing factorizations in which the coloured reflections $\{r_{N-i+1}^{(m)} \mid 1 \leq i \leq n\}$ do not appear.*

In fact, as was conjectured by Armstrong [Ar2], there is a whole family of natural bijections. In Section 2 we defined r_1, \dots, r_N . We will now extend that definition. For $i \geq 1$, define r_i to be the coloured reflection $(s_1 s_2 \dots s_i \dots s_1)^{(\lfloor i/N \rfloor)}$.

Totally order the coloured reflections by $r_i < r_j$ iff $i < j$. Define a decreasing coloured factorization of c to be a factorization of c into coloured reflections such that the factors are decreasing with respect to this order, and define an increasing coloured factorization of c similarly.

Then Proposition 1 can be restated as saying that m -noncrossing partitions are in bijection with increasing factorizations of c using coloured reflections from the set $\{r_1, \dots, r_{(m+1)N}\}$. Theorem 3 can be restated as saying that m -clusters are in bijection with decreasing factorizations of c using coloured reflections from the set $\{r_1, \dots, r_{mN+n}\}$, while the positive m -clusters are in bijection with decreasing factorizations using coloured reflections from the set $\{r_1, \dots, r_{mN}\}$.

We have the following generalization of Theorems 5 and 7:

Theorem 8 *For any $0 \leq m$ and $0 \leq k$, the image under μ_{rev} of the decreasing factorizations of c using coloured reflections $\{r_i\}$ with $1 \leq i \leq Nm + (k+1)n$, consists of the increasing factorizations of c using coloured reflections $\{r_i\}$ with $1 \leq i \leq N(m+1) + kn$.*

Other than the cases described by Theorems 5 and 7, there do not seem to be enumerative results known for these families.

9 Representation theory

In this section, we shall sketch the approach taken in our proofs of the preceding results. This approach depends heavily on the theory of quiver representations, of which we will attempt to sketch some elements. The interested reader is urged to consult [ARS, ASS] for an accessible introduction to this topic.

Assume that W is a finite, simply laced reflection group, with root system Φ . (We shall discuss more general settings at the end of the section.) Let Q be the directed graph obtained by taking the Coxeter diagram of W and orienting the edges from S_- to S_+ . Fix an algebraically closed ground field k .

A *representation* V of Q is an assignment of a finite dimensional vector space V_i over k to each vertex i of Q , and a linear map V_α between the corresponding vector spaces to each arrow α of Q . A morphism from V to W is a collection of linear maps $f_i : V_i \rightarrow W_i$ which makes all squares commute. The representations of Q form an abelian category, which is denoted $\text{rep}(Q)$. This category is equivalent to the category of finitely generated modules over the path algebra of Q .

If $V, W \in \text{rep}(Q)$, we can define a k -vector space $\text{Hom}(V, W)$. Using standard homological algebra, one can then define $\text{Ext}^i(V, W)$ for $i > 0$. Note that $\text{rep}(Q)$ is *hereditary*, that is to say, $\text{Ext}^i(V, W) = 0$ for $i \geq 2$.

A representation of Q is called *indecomposable* if it is not the direct sum of two subrepresentations. By Gabriel's theorem, the indecomposable representations of Q are naturally in 1-1 correspondence with $\Phi_{>0}$, or, equivalently, with T . (If Q is non-Dynkin, the situation is more complex.)

Following [Cr], define an *exceptional sequence* of representations of Q to be a sequence of indecomposable representations (F_1, \dots, F_r) such that $\text{Hom}(F_i, F_j) = 0 = \text{Ext}^1(F_i, F_j)$ for $i < j$. (Note that this reverses the usual convention for the order of an exceptional sequence. Also, one normally must also require that $\text{Ext}^1(F_i, F_i) = 0$ for all i , but this is automatic in the present setting where Q is Dynkin.) The maximal length of an exceptional sequence is n .

The notion of exceptional sequence is related to the concepts we have been discussing via the following theorem:

Theorem 9 ([IT]) *For β_1, \dots, β_n a collection of n positive roots, $(E_{\beta_1}, \dots, E_{\beta_n})$ is an exceptional sequence iff $t_{\beta_1} \dots t_{\beta_n} = c$.*

(This theorem is shown in [IT] in the case which we need here, when Q is Dynkin, and also when Q is affine; for arbitrary Q without oriented cycles, it is proved in [IS].)

There are well-defined mutation operations on the set of exceptional sequences of a given length. Given an exceptional sequence (E_1, \dots, E_n) , which, for convenience, we assume to have maximal length, for $1 \leq i \leq n-1$, the operation μ_i is defined by:

$$\mu_i(E_1, \dots, E_i, E_{i+1}, \dots, E_n) = (E_1, \dots, E_{i+1}, M, \dots, E_n)$$

where M is uniquely determined by the fact that $\mu_i(E_1, \dots, E_n)$ forms an exceptional sequence.

Now, consider the collection of all factorizations of c as a product of n reflections. Clearly, there is also a mutation operation on such factorizations: just consider the mutation operation from Section 6, but ignore colour. It is a theorem of [Cr] that mutation of exceptional sequences can also be defined in more Coxeter-theoretic terms, from which it follows that mutation of exceptional sequences agrees via Theorem 9 with the mutation operation which we have just defined on factorizations of c .

In order to interpret *coloured* factorizations representation-theoretically, we must pass from $\text{rep}(Q)$ to its bounded derived category $D^b(Q)$. As usual, we think of $\text{rep}(Q)$ sitting inside $D^b(Q)$ in degree 0. Thanks to the shift functor $[1]$ of $D^b(Q)$, for any indecomposable $V \in \text{rep}(Q)$, we have indecomposable objects $V[i] \in D^b(Q)$ for all $i \in \mathbb{Z}$. Because $\text{rep}(Q)$ is hereditary, there are no other indecomposable objects in $D^b(Q)$. Thus, there is a bijection between coloured reflections and indecomposable objects in $D^b(Q)$. The notions of exceptional sequences and mutations extend naturally to $D^b(Q)$, and these mutation operations agree precisely with those of Section 6.

Next, one has to study the special types of exceptional sequences which correspond to m -increasing and m -decreasing coloured factorizations. One has:

Proposition 2 *If $(t_1^{(c_1)}, \dots, t_n^{(c_n)})$ is a coloured factorization of c , and (E_1, \dots, E_n) is the corresponding exceptional sequence in $D^b(Q)$, then the factorization is m -increasing iff:*

- For all i , $E_i \in \text{rep}(Q)[k]$ for some $0 \leq k \leq m$,
- For all $i \neq j$, $\text{Ext}^k(E_i, E_j) = 0$ for $-m \leq k \leq 0$.

Proposition 3 *If $(t_1^{(c_1)}, \dots, t_n^{(c_n)})$ is a coloured factorization of c , and (E_1, \dots, E_n) is the corresponding exceptional sequence in $D^b(Q)$, then the factorization is m -decreasing iff:*

- For all i , $E_i \in \text{rep}(Q)[k]$ for some $0 \leq k < m$ or $E_i = P[m]$ for some indecomposable projective P ,
- For all i, j , $\text{Ext}^k(E_i, E_j) = 0$ for $1 \leq k \leq m$.

Note that this latter proposition is closely related to the usual approach to categorifying the m -cluster combinatorics of [FR], see [Zh, Th, Wr] and subsequent papers.

Theorem 5 is then proved by showing that μ_{rev} transforms the exceptional sequences of Proposition 3 into those of Proposition 2. Theorems 7 and 8 are proved similarly.

If W is a non-simply laced but crystallographic reflection group, then our techniques can be made to apply by a folding argument, or by working over a non-algebraically closed ground field and applying [Ri]. If W is non-crystallographic, our techniques do not apply. Note that the definition we have given of the bijection from m -increasing factorizations of c to m -decreasing factorizations of c still makes sense, but we cannot prove that it is a bijection.

There is nothing in our approach which really requires that W be finite; all we really need is the much weaker condition that Q have no oriented cycles. In this much more general setting,

however, there are some additional aspects which must be taken care of. We are preparing a paper in which we will explain these extra aspects, and provide the proofs of the assertions in this extended abstract.

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