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Parabolic-Cylindrical Moving Least Squares Surfaces

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Abstract

Moving least squares (MLS) surface approximation is a popular tool for the processing and reconstruction of non-structured and noisy point clouds. This paper introduces a new variant improving the approximation quality when the underlying surface is assumed to be locally developable, which is often the case in point clouds coming from the acquisition of manufactured objects. Our approach follows Levin’s classical MLS procedure: the point cloud is locally approximated by a bivariate quadratic polynomial height-field defined in a local tangent frame. The a priori developability knowledge is introduced by constraining the fitted polynomials to have a zero-Gaussian curvature leading to the actual fit of so-called parabolic cylinders. When the local developability assumption cannot be made unambiguously, our fitted parabolic cylinders seamlessly degenerate to linear approximations. We show that our novel MLS kernel reconstructs more locally-developable surfaces than previous MLS methods while being faithful to the data.

1. Motivation

Moving least squares (MLS) surfaces, also known as point set surfaces [14, 3] have proven to be useful for various point cloud processing tasks including surface reconstruction, resampling, smoothing, denoising and surface analysis. Given an unstructured and possibly noisy point cloud, MLS define a continuous surface by means of a continuously moving surface proxy approximating the input points nearby the considered evaluation point and some weight functions playing the role of a low-pass filter. By adjusting the support size of the weight functions, smoothness can be traded for proximity to the data [17].

Since the pioneering work of Alexa et al. [3] and Levin [14], countless variants have been developed to improve robustness [4, 11], or to take into account additional a priori knowledge such as local feature sizes [8], sharp edges [18, 22, 15], or anisotropic sampling [1].

This work is motivated by a different a priori knowledge of the MLS surface to be reconstructed, namely developability. By definition, developable surfaces, a subset of ruled surfaces, have zero-Gaussian curvature, or equivalently, one of its principal curvatures is null everywhere [9]. Less formally, developable surfaces can be unrolled onto a flat plane without tearing or stretching, and can thus be created from planar sheets of material without any torn or stretched deformations. This explains why an important subset of curved surfaces that are surrounding us are composed of developable pieces.

Developable surfaces have been mostly studied in the context of surface modeling [21, 23, 13, 25]. Several works aim to deform an existing mesh to make it developable and thus ease its fabrication [28, 16, 27, 29]. Eisensatz et al. [10] deform an existing mesh through arbitrary curvature manipulations. Tang and Chen [26] strive to fit an existing developable mesh to a sparse set of constraint points through isometric deformations.

All these methods work on an existing mesh and cannot really be applied in the context of surface reconstruction from point clouds. In the context of reverse engineering, some work aims at recovering developable surfaces within point clouds. For instance, in the work of Peternell [20], such surfaces are seen as envelopes of a one-parameter family of tangent planes. PERRIOLAT and Bartoli [19] cast the problem as a 2D parametrization. However, such methods are limited to simple shapes (e.g., cylinder, sheet of paper) and, to the best of our knowledge, there is no work that addressed developability in the context of MLS approximations.

In this work, we are interested by the more general class of locally developable surfaces that we define as surfaces that are developable almost everywhere. Given a noisy acquired point cloud, our general objective is thus to define a MLS operator that yields to a faithful and more locally-developable surface, while being resilient to noise and non-developable areas. The first two criteria mean that the defined surface should be as close as possible to the input data while exhibiting an as small as possible absolute Gaussian curvature. To this end, we introduce the Parabolic-Cylindrical MLS method (PC-MLS) whose key insight is to replace the general polynomial surface approximation of Levin’s standard MLS method by a zero-Gaussian curvature surface proxy. In particular, we focus on bivariate degree two polynomials with a zero-Gaussian curvature. Such anisotropic surfaces are also known as parabolic cylinders. The main technical contribution of this paper is thus a least-square fitting procedure allowing to fit such parabolic cylinders in a continuous manner: our procedure seamlessly degenerates the parabolic cylinders to a linear model when the developability cannot be resolved unambiguously because the surface is locally flat or clearly non-developable.

We emphasize that our approach weakly enforces the dif-
ferential property of the a-priory zero-Gaussian curvature to the fitted local surface proxy rather than on the resulting MLS surface itself. This strategy preserves all the advantages of MLS approaches (fast and purely local computation without pre-computation), whereas constraining the resulting MLS surface would lead to a non-linear and global differential problem. Such an approach has already been successfully followed in the MLS literature, for instance to reconstruct isometric transformations [24] or curl-free unit-vector fields [6].

Our results show that our novel approach exhibits an excellent trade-off by producing reconstructions almost as faithful as those obtained with a full quadratic patch, while being more developable.

2. PC-MLS surfaces

Our MLS variant follows a classical two-step polynomial approximation strategy that we briefly present in the Section 2.1, before presenting in detail our variant based on parabolic cylinders in Section 2.2, and the resulting projection procedure in Section 2.3.

2.1. Bivariate polynomial approximation

Given a set of 3D input points \( \{p_1, p_2, \ldots, p_n\} \) and an evaluation point \( x \) in the neighborhood of the input point cloud, the key ingredient of MLS surfaces is to approximate the input points closest to \( x \) by an analytic surface proxy. In former MLS approaches [14, 3], neighbor points are approximated by a bivariate polynomial height-field that is computed in two steps (see Figure 1).

The first step consists in computing a reference tangent plane approximating the neighbors of \( x \). This reference plane is typically obtained as the one passing through the weighted mean:

\[
\bar{p}(x) = \frac{\sum_i \theta(||x - p_i||) p_i}{\sum_i \theta(||x - p_i||)},
\]

with normal \( n(x) \) corresponding to the eigenvector of the smallest eigenvalue of the covariance matrix:

\[
C = \sum_i \theta(||x - p_i||)(p_i - \bar{p}(x))(p_i - \bar{p}(x))^T.
\]

The weight function \( \theta \) defines the neighborhood of \( x \). It must be monotonically decreasing, and, as in many previous works, we use the following compactly supported function:

\[
\theta(t) = \begin{cases} 
1 - \left(\frac{t}{h}\right)^3 & \text{if } t < h \\
0 & \text{otherwise}
\end{cases}
\]

where \( h \) defines the support radius.

If the input points are equipped with oriented normals \( n_i \), then the normal of the reference plane can be advantageously computed as a weighted average [2]:

\[
n(x) = \frac{\sum_i \theta(||x - p_i||) n_i}{\sum_i \theta(||x - p_i||)}.
\]

This reference plane defines a reference frame \( B(x) = [u \ v \ n] \) centered at \( \bar{p}(x) \), where \( u \) and \( v \) are arbitrary tangent vectors. The neighborhood can then be seen as a height-field by decomposing each neighbor \( p_i \) as a pair of 2D tangential coordinates \( q_i \) and a height component \( f_i \):

\[
\left( \begin{array}{c} q_i \\ f_i \end{array} \right) = B(x)^T (p_i - \bar{p}(x)).
\]

The second step then consists in approximating this height-field by a bivariate polynomial \( f_k : \mathbb{R}^2 \rightarrow \mathbb{R} \) in a weighted least-square sense:

\[
f_k = \arg \min_f \sum_i \theta(||x - p_i||) (f(q_i) - f_i)^2.
\]

A typical choice is to take \( f \) among the set of quadratic polynomials, which can be expressed as:

\[
f(q) = c + g^T q + q^T H q,
\]

where \( c \) and \( g \in \mathbb{R}^2 \) are the constant and linear coefficients, respectively, and \( H \) is the \( 2 \times 2 \) symmetric Hessian matrix. Indeed, linear polynomials tend to over-smooth the data, while higher-order polynomials are more expensive to compute and prone to over-fitting issues (e.g., oscillations).

To compute a point on the MLS surface, one can for instance project \( x \) onto its local approximation \( f_k \) (see Figure 1) and iterate until convergence [2].

2.2. Parabolic cylinder approximation

As motivated above, our key insight to obtain higher quality reconstructions in the context of locally-developable surfaces consists in restricting the fitted polynomials \( f \) to the subset of developable ones. Recall that this subset is defined by the polynomials for which the Gaussian curvature vanishes. In the case of quadratic polynomials as in Eq. 6, this condition is satisfied if and only if the product of the eigenvalues of the Hessian matrix \( H \) vanishes, that is if and only if its determinant vanishes:

\[
|H| = 0.
\]

Quadratic surfaces fulfilling this constraint are called \textit{parabolic cylinders}. 

Figure 2: Illustration of our fitting procedure of parabolic cylinders. (a) Given a point cloud (in red), we start by fitting a plane (in grey) defining a reference frame \([u v n]\). (b) Then, interpreting the neighbors at a height-field, fitting a full quadratic polynomial allows us to estimate the principal directions of curvature \([u_0 v_1]\). (c) The last step consists in fitting a second quadratic polynomial within this new reference frame and such that there is no quadratic variation along the \[u_0\] axis. This yields a parabolic cylinder aligned with the directions of principal curvatures.

Directly integrating this constraint into the polynomial fit (Eq. 5) would lead to a costly non-convex minimization problem, which is not compatible with a MLS approach. Indeed, let us recall that in a MLS framework, the number of fits that have to be carried out is typically of the order of millions, and the efficiency of the fitting procedure is thus crucial. More importantly, the existence and continuity of the resulting surface is conditioned by the continuity of the fitting procedure: \(f_x\) must smoothly vary with respect to small changes of \(x\) and small changes of the input points. Since we are in the presence of a non-convex problem, the continuity of \(f_x\) cannot be satisfied. In this case, the projection procedure sketched above might not converge at all, and no surface will be defined in some area.

To address the performance issue, we propose a two-step fitting procedure of parabolic cylinders involving only two linear problems and one \(2 \times 2\) eigenvalue problem. To address the continuity issue, we extend our direct fitting procedure by allowing parabolic cylinders to seamlessly degenerate to linear polynomials when the problem becomes ambiguous. These two ingredients are detailed below.

**Direct fitting of parabolic cylinders**

Our direct fitting procedure of parabolic cylinders is depicted in Figure 2. As in standard MLS, it starts by the fitting of a reference frame and a complete quadratic polynomial (Eq. 6). Then, we perform an eigenvalue decomposition of the resulting Hessian matrix:

\[
H = U \begin{pmatrix} \lambda_0 & 0 \\ 0 & \lambda_1 \end{pmatrix} U^T,
\]

where \(U = (u_0 u_1)\) is the eigenvector matrix, and the eigenvalues are ordered such that \(|\lambda_0| \geq |\lambda_1|\). Since the principal curvatures are proportional to these eigenvalues, our insight consists in enforcing its smallest eigenvalue \(\lambda_1\) to be zero while maintaining the quadratic polynomial as close as possible to the input data. Practically, this is accomplished by aligning our current frame with the eigenvectors \(U\), which also correspond to the principal curvature directions. Within this new frame, the Hessian coincides with the diagonal eigenvalue matrix, and enforcing \(\lambda_1\) is accomplished by reducing the polynomial basis as follows:

\[
f(q) = c + g^T q + a(u_0^T q)^2,
\]

where the new coefficients \(c\), \(g\), and \(a\) are computed through a second linear minimization as in Eq. 5.

To summarize, this procedure is equivalent to first align the reference frame with the principal curvatures, and then fit a bivariate polynomial that is linear in one dimension, and quadratic in the other.

**Continuous fitting of parabolic cylinders**

The above procedure is fast but becomes unstable as soon as the eigenvalues are of similar magnitude, in which case discontinuities occur (Figure 3). This issue is also depicted in the following figure on a saddle configuration \((\lambda_0 \approx -\lambda_1)\) in which case a very small variation of the evaluation point \(x\) leads to two very different fits. Umbilical regions \((i.e., \lambda_0 \approx \lambda_1)\) exhibit a similar behavior.

To address this issue and ensure a continuous fit, we enforce the parabolic cylinder to degenerate to a plane when the difference of the eigenvalue magnitudes vanishes (Figure 4). This is accomplished through a third linear fit: the constant and linear coefficients \(c\) and \(g\) are re-computed by fitting the polynomial basis of Eq. 9 with the quadratic coefficient fixed to \(aa\), where the value of \(a\) comes from the previous fit. In practice, this re-fitting is equivalent to a linear blend proportional to \(\alpha\) between the parabolic cylinder obtained in the previous step, and the fit of a linear polynomial.

The coefficient \(\alpha\) is computed such that it is equal to 1 if the problem is unambiguously developable, and 0 when the problem tends to an umbilical or saddle configuration. In order to get a scale invariant measure, we propose to compute \(\alpha\) as:

\[
\alpha = \min\left(1, 2\frac{|\lambda_0| - |\lambda_1|}{|\lambda_0| + 1/\epsilon}\right).
\]

In this equation, the normalization by \(|\lambda_0|\) would have been a natural choice as it would adapt to the maximum curvature. However, normalizing the difference of the eigenvalue magnitudes by \(|\lambda_0|\) only would make the estimation of \(\alpha\) unstable in flat but noisy areas. Indeed, when \(\lambda_0\) is small, small variations
of the eigenvalues lead to high variations of $\alpha$. We thus add to the normalization term $|\lambda_0|$ an amount of curvature $1/h$ that we consider to be meaningful with respect to the current scale and neighborhood size $h$. Finally, the factor 2 permits to saturate to one as soon as the problem is clearly unambiguous.

We emphasize that this coefficient does not exactly measure the local level of developability of the point cloud, but rather the level of ambiguity of a parabolic cylindrical fit. In practice, we found such a measure more stable than taking, for instance, the absolute Gaussian curvature. To understand why, let us consider a simple example of a nearly flat and isotropic area. This area will obtain a very low $\alpha$ value even though it is almost-developable. In this case, enforcing a linear fit is important to guarantee the convergence of the projection procedure, even though this will have almost no effect on the result since the quadratic fit is already flat anyway. This $\alpha$ coefficient is depicted in Figure 6 on a garment model where, as expected, it can be seen that all inflection and flat areas exhibit a low value of $\alpha$.

2.3. Projection operator

To summarize the different steps of our approach, we present below the algorithm that projects an arbitrary point $x$ onto our PC-MLS surface. This iterative projection procedure follows the almost orthogonal projection strategy [2].

\textbf{Algorithm 1:} PC-MLS

\begin{center}
\begin{tabular}{ll}
\textbf{Input:} & An evaluation point $x$ and a set of points $\{p_i\}$
\textbf{Output:} & The projection $x'$ of $x$ on the PC-MLS surface
\end{tabular}
\end{center}

\begin{algorithm}
\caption{PC-MLS}
1. $x^0 \leftarrow x$
2. $k \leftarrow 0$
3. \textbf{do}
4. \hspace{1em} Collect weighted neighbors of $x^k$
5. \hspace{1em} Compute reference frame $B(x^k), \bar{p}(x^k)$
6. \hspace{1em} Express neighbors in reference frame
7. \hspace{1em} Compute Hessian matrix $H$ by
8. \hspace{2em} fitting a full quadratic polynomial
9. \hspace{1em} Compute eigenvalue decomposition of $H$
10. \hspace{1em} Compute quadratic coefficient $a$ by
11. \hspace{2em} fitting an axis-aligned parabolic cylinder
12. \hspace{1em} Update quadratic coefficient: $a \leftarrow aa$
13. \hspace{1em} Compute constant and linear coefficients $c, g$
14. \hspace{2em} by fitting an axis-aligned parabolic cylinder
15. \hspace{2em} with the quadratic coefficient fixed
16. \hspace{1em} Project $x$ onto $f_a: x^{k+1} \leftarrow B^T(x - \bar{p}) + f_a([u v]^T(x - \bar{p})) \cdot n$
17. \hspace{1em} $k \leftarrow k + 1$
18. \textbf{while} $||x^k - x^{k-1}|| > \epsilon$
19. \textbf{return} $x^k$
\end{algorithm}

3. Results

Performance-wise, our approach remains similar to a standard MLS approach based on the fitting of quadratic polynomials. Indeed, the cost of the additional operations required to continuously fit our parabolic cylinders is small compared to the cost of collecting the neighbors and performing the full quadratic fit. The table below gives some timings in milliseconds for the projection procedure of the input points to the respective MLS surface using either a full quadratic polynomial basis, our method, or a linear basis. The latter method appears to be slower than the use of a full quadratic basis because it requires more iterations to converge.

<table>
<thead>
<tr>
<th>Surface</th>
<th># Points</th>
<th>Quadratic</th>
<th>PC-MLS</th>
<th>Linear</th>
</tr>
</thead>
<tbody>
<tr>
<td>Half-cylinder</td>
<td>20k</td>
<td>714</td>
<td>1050</td>
<td>762</td>
</tr>
<tr>
<td>Garment</td>
<td>50k</td>
<td>1010</td>
<td>1235</td>
<td>1150</td>
</tr>
</tbody>
</table>

In order to evaluate the effect of parabolic cylinders for MLS surface reconstruction, we compare our approach to the two standard MLS surfaces (as described in Section 2.1) based on the fitting of full quadratic polynomials and linear polynomials, respectively. The behavior of each of these methods is depicted in Figures 5, 6, 8, and 9 on various point clouds obtained.
Figure 5: Comparison of three MLS variants on a scanned sheet of paper (11k points). Input model and its associated $\alpha$ weight (left). Top row shows Gaussian curvature and distance between input and output surfaces (the max. distance in red is equal to 1.59%). Bottom row shows the computed surfaces. Note that our method has a lower overall absolute Gaussian curvature while remaining close to the data.

<table>
<thead>
<tr>
<th>Model</th>
<th>Input Model</th>
<th>Quadratic poly.</th>
<th>PC-MLS Method</th>
<th>Linear poly.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>Std Dev</td>
<td>Mean</td>
<td>Std Dev</td>
</tr>
<tr>
<td>Half-cylinder</td>
<td>1.30e-05</td>
<td>1.71e-05</td>
<td>8.09e-06</td>
<td>9.93e-06</td>
</tr>
<tr>
<td>Sheet of paper 1</td>
<td>1.11e-04</td>
<td>5.03e-04</td>
<td>8.72e-05</td>
<td>3.56e-04</td>
</tr>
<tr>
<td>Sheet of paper 2</td>
<td>4.23e-04</td>
<td>7.53e-04</td>
<td>3.37e-04</td>
<td>5.47e-04</td>
</tr>
<tr>
<td>Garment</td>
<td>1.04e-03</td>
<td>1.97e-03</td>
<td>9.90e-04</td>
<td>2.00e-03</td>
</tr>
<tr>
<td>Fabric</td>
<td>1.32e-04</td>
<td>1.82e-04</td>
<td>9.46e-05</td>
<td>1.22e-04</td>
</tr>
<tr>
<td>Half-pipe</td>
<td>6.19e-03</td>
<td>2.20e-02</td>
<td>5.15e-03</td>
<td>1.80e-02</td>
</tr>
</tbody>
</table>

Table 1: Comparison of developability and mean distance. Best measures with 15% of tolerance in bold.

In addition to visual inspections of the reconstructed surfaces, we measured the Hausdorff distance between the input and the output surfaces [7]. We also measured the amount of developability of the reconstructed surfaces as the average and standard deviation of the Gaussian curvature that we estimated from the quadratic fits as in the Jet-fitting method [5]. These measures are summarized in Table 1 for six different point clouds of the previous figures and the ones of Figure 7. These objective measures confirm the previous observations: it can be seen that overall, the developability of the surfaces obtained with our method is close to the one obtained with a linear fit, while providing much tighter reconstructions. On the other hand, full quadratic fits exhibit a significantly worse developability.

with Kinect Fusion [12]. In all these results, it can be seen that the full quadratic fit tends to reproduce the input noise. This observation is confirmed in Figures 5 and 9 by a visualization of the Gaussian curvature. Reconstructions made by a full quadratic fit clearly exhibit a larger amount of absolute Gaussian curvature than the ones performed with our approach or linear fits. The distances to the input data are visualized in Figure 6 through a cut, and in Figure 5 as a color code. It can be seen that our approach approximates the data almost as tightly as a full quadratic fit, whereas linear fits over-smooth the surface features. Overall, our approach provides a good compromise by producing smooth reconstructions which tightly fit the input data.
The sensitivity to noise of our method is depicted in Figure 10 on a synthetically perturbed point cloud. As can be seen, despite a very large amount of noise, our method remains consistent and close to the original data.

Finally, Figure 11 shows how our approach compares on a model for which none of the parts are nearly developable. In this case, our developability prior is counterproductive and, as expected, using a full quadratic polynomials basis is preferred. Nonetheless, this result shows that even for unsuitable models, our approach does not introduce unwanted artifacts and still performs better than a linear basis by producing a sharper reconstruction.

4. Conclusion

In this paper, we introduced a fast least-square fitting method of parabolic cylinders that is continuous in space and scale. Thanks to these properties, our fitting method can be seamlessly integrated within a MLS framework. We have shown that parabolic cylinders achieve higher quality approximations when the underlying surface can be assumed to be partly developable. Our approach preserves all the nice properties of MLS surfaces: no precomputation, purely local computation, low-memory consumption, works at arbitrary scale, etc. Our approach is thus ready for high versatility of use.

Central to our approach is the detection of areas that are not locally developable for which we continuously fall back to a linear approximation. Currently, this detection is carried out at the actual reconstruction scale by measuring some kind of level of ambiguity of the parabolic cylinder fit. As future work, it might be beneficial to study whether some kind of multi-scale analysis [17] could allow to resolve some of the ambiguous cases as this might allow to produce even more developable reconstructions. Our approach could also be easily adapted to fall back to a full quadratic approximation instead of a linear one. In the ambiguous areas, this would have the effect to favor fidelity to the data over smoothness and developability. Finally, as the original MLS method for surfaces, our current approach is limited by the need of a local reference frame parametrizing the input point cloud, and it would also be interesting to extend our approach to other high-order parametrization-free MLS methods such as [11].

Acknowledgements

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References

Figure 8: Top row illustrates a CAD half-pipe model, the printed 3D version, and its scanned version. Bottom row shows the reconstruction with three MLS variants. Note the distance of the linear reconstruction at the sharp edges, and the bumps on the surface with the quadratic reconstruction. The reconstruction with our method stays flat and close to the surface.

Figure 9: Fabric model. Left image: visualization of the weight $\alpha$ (the more red, the more $\alpha$ is high). Right images: visualization of the Gaussian curvature of the input surface and reconstruction with various methods.

Figure 10: Illustration of the sensitivity of our method to noise. From left to right, results of the PC-MLS on model perturbed with different amounts of random noise (bottom row). Top row shows the input noisy model and distance between smoothed and approximated model (the max. distance in red is equal to 2.31%). Our PC-MLS reconstruction remains consistent and close to the data.