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# A new insight into Serre's reduction problem

Thomas Cluzeau, Alban Quadrat

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## A new insight into Serre's reduction problem

Thomas Cluzeau\*, Alban Quadrat†

Project-Team Disco

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**Abstract:** The purpose of this paper is to study the connections existing between *Serre's reduction* of linear functional systems – which aims at finding an equivalent system defined by fewer equations and fewer unknowns – and the *decomposition problem* – which aims at finding an equivalent system having a diagonal block structure – in which one of the diagonal blocks is assumed to be the identity matrix. In order to do that, we further develop results on Serre's reduction problem and on the decomposition problem obtained in Boudellioua and Quadrat (2010); Cluzeau and Quadrat (2008). Finally, we show how these techniques can be used to analyze the decomposability problem of standard linear systems of partial differential equations studied in hydrodynamics such as Stokes equations, Oseen equations and the movement of an incompressible fluid rotating with a small velocity around the vertical axis.

**Key-words:** Mathematical systems theory, linear functional systems, module theory, Serre's reduction, the factorization problem, the decomposition problem, applications to hydrodynamics.

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## Un nouveau point de vue sur le problème de réduction de Serre

**Résumé :** Ce papier porte sur l'étude des liens entre la *réduction de Serre* des systèmes fonctionnels linéaires – qui a pour but de trouver un système équivalent défini par moins d'équations et moins d'inconnues – et le *problème de décomposition* – qui a pour but de trouver un système diagonal par blocs équivalent – dans le cas où l'un des blocs diagonaux est une matrice d'identité. Pour cela, nous étendons des résultats obtenus dans Boudellioua and Quadrat (2010); Cluzeau and Quadrat (2008) sur la réduction de Serre et sur le problème de décomposition. Finalement, nous montrons comment ces résultats peuvent être utilisés pour analyser le problème de la décomposabilité des systèmes linéaires d'équations aux dérivées partielles classiquement étudiés en hydrodynamique tels que les équations de Stokes, les équations d'Oseen et le mouvement d'un fluide incompressible en rotation à petite vitesse autour d'un axe vertical.

**Mots-clés :** Théorie mathématique des systèmes, systèmes fonctionnels linéaires, théorie des modules, réduction de Serre, problème de factorisation, problème de décomposition, applications à l'hydrodynamique.

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## 1 Introduction

Mathematical systems theory aims at studying general systems defined by mathematical equations. These systems are usually defined by *functional equations*, namely, systems whose unknowns are functions, such as ordinary differential (OD) or partial differential (PD) equations, differential time-delay equations, (partial) difference equations, . . . They can be linear, nonlinear, determined, overdetermined or underdetermined. A system can be studied by a broad spectrum of mathematical theories. For instance, mathematical models developed in natural sciences are usually studied by means of techniques coming from mathematical physics, functional analysis, probability and numerical analysis. There are at least two reasons for that. The first one is that it is generally difficult to obtain purely analytical results for such functional systems. The second one is the role that simulations play in nowadays life. Other functional systems coming from mathematical physics, differential geometry, hamiltonian systems, algebraic geometry, . . . are usually studied by means of algebraic or differential geometry techniques. More recently, the development of constructive versions of parts of pure mathematical theories (e.g., differential algebra, algebraic geometry, differential geometry, module theory, homological algebra) and their implementations in efficient computer algebra systems allow one to develop a more analytic study of certain functional systems studied, for instance, in control theory and in mathematical physics. The questions raised in this approach are the intrinsic study of these systems, i.e., the study of their built-in properties, their symmetries and their solutions, the computation of particular forms for the systems (e.g., formal integrable forms, Gröbner or Janet bases, block triangular forms, block diagonal forms, equidimensional decomposition), of conservation laws, . . . This intrinsic study leads to important information on the system (e.g., dimension of the solution space, invariants, cascade integration, decoupling), the computation of particular solutions (e.g., exponential, hypergeometric, parametrizations), . . .

Following the latter approach, the purpose of this paper is to further develop certain results obtained in Cluzeau and Quadrat (2008) and Boudellioua and Quadrat (2010) which study the existence of factorizations of a matrix of functional operators defining a linear functional system, the existence of equivalent block diagonal forms for the system and the existence of equivalent forms defined by fewer unknowns and fewer equations than the original system. More precisely, if  $\text{GL}_r(D)$  is the group formed by the  $r \times r$  matrices with entries in a ring  $D$  which are invertible and  $R \in D^{q \times p}$  is a matrix which defines the system equations  $R\eta = 0$ , where  $\eta \in \mathcal{F}^p$  is a vector of unknown functions which belong to a functional space  $\mathcal{F}$  having a left  $D$ -module structure, then the so-called *factorization problem*, *decomposition problem* and *Serre's reduction problem* are respectively defined by:

1. Find  $R' \in D^{r \times p}$  and  $R'' \in D^{q \times r}$  such that  $R = R'' R'$ .
2. Find  $V \in \text{GL}_q(D)$  and  $W \in \text{GL}_p(D)$  such that

$$V R W = \begin{pmatrix} \bar{R}_1 & 0 \\ 0 & \bar{R}_2 \end{pmatrix}$$

for certain matrices  $\bar{R}_1 \in D^{s \times t}$  and  $\bar{R}_2 \in D^{(q-s) \times (p-t)}$ .

3. Find  $V \in \text{GL}_q(D)$  and  $W \in \text{GL}_p(D)$  such that

$$V R W = \begin{pmatrix} I_s & 0 \\ 0 & \bar{R}_2 \end{pmatrix}$$

for a certain matrix  $\bar{R}_2 \in D^{(q-s) \times (p-s)}$ , where  $I_s$  denotes the identity matrix of  $\text{GL}_s(D)$ .

To do that, we study linear functional systems within the *algebraic analysis approach* (also called *D-module theory*) developed by Malgrange, Bernstein, Sato, Kashiwara ... See Hotta et al. (2008); Kashiwara (1995); Malgrange (1962); Quadrat (2010) and the references therein. In this approach, a linear functional system  $R\eta = 0$  is studied by means of the left  $D$ -module  $M$  *finitely presented* by the matrix  $R \in D^{q \times p}$  which defines the system equations and whose entries belong to a noncommutative polynomial ring  $D$  of functional operators. Using the recent development of *Gröbner or Janet basis techniques* for certain classes of noncommutative polynomial rings of functional operators (Chyzak et al. (2005)), results of algebraic analysis, using module theory and homological algebra, were made algorithmic in Chyzak et al. (2005); Cluzeau and Quadrat (2008); Quadrat (2010) and implemented in the OREMODULES and ORE MORPHISMS packages (Chyzak et al. (2007); Cluzeau and Quadrat (2009)).

In this paper, we first complete some of the main results obtained in Cluzeau and Quadrat (2008). In particular, we obtain a necessary and sufficient condition for the existence of a non-trivial factorization of the system matrix based on the concept of a *non-generic solution* developed in algebraic analysis. Even if this characterization is not constructive, it generalizes a result obtained in Cluzeau and Quadrat (2008) and gives another explanation to the well-known fact that, for a linear OD operator, the existence of a factorization cannot usually be detected from the knowledge of the associated *eigenring* (see Barkatou (2007); van der Put and Singer (2003) and the references therein). We then consider the decomposition problem and we obtain necessary and sufficient conditions for the existence of a direct decomposition of the module which generalize a result obtained in Cluzeau and Quadrat (2008). We study Serre's reduction problem as a particular case of the decomposition problem, i.e., as the particular case where one of the diagonal block is the identity matrix. We show how to use certain *homotopies* of the trivial idempotents of the left  $D$ -module  $M$ , namely, of the 0 and identity endomorphisms of  $M$ , and the solutions of an *algebraic Riccati equation* (generalized inverses) to obtain necessary and sufficient conditions of Serre's reduction. These conditions are then related to the ones obtained in Boudellioua and Quadrat (2010) following Serre's ideas (see the references of Boudellioua and Quadrat (2010)). In particular, we state a correspondence between these two approaches and show how to explicitly pass from one formulation to the other. Finally, we show how the above results can be used to prove that standard 2-dimensional linear PD systems studied in hydrodynamics (namely, Oseen equations and the movement of an incompressible fluid rotating with a small velocity around the vertical axis) are defined by indecomposable differential

modules. These results give a mathematical proof that the matrices of PD operators defining these systems are not equivalent to block diagonal matrices, and thus, that the equations of these systems cannot be uncoupled (which would exhibit independent physical subphenomena). These results are obtained by proving that their endomorphism rings are cyclic differential modules that only admit the two trivial idempotents.

The plan of the paper is the following. In Section 2, we briefly review the main ideas of the algebraic analysis approach to linear systems theory. In Section 3, we first present well-known results on the homomorphisms of finitely presented left modules and then study the multiplicative structure of the endomorphism ring of a finitely presented module over a commutative polynomial ring. In Section 4, we complete the results obtained in Cluzeau and Quadrat (2008) on the factorization problem. In Section 5, we further develop the results of Cluzeau and Quadrat (2008) on the decomposition problem. Serre's reduction problem is first recalled in Section 6 and the main results are reviewed. We then study the deep connections existing between Serre's reduction problem and the decomposition problem in the particular case when one of the diagonal blocks is the identity matrix. We exhibit a non-trivial correspondence between the solutions of Serre's reduction problem and those of the decomposition problem (which are based on the solvability of an algebraic Riccati equation). In Section 7, we illustrate how the techniques developed in this paper can be used to study the endomorphism ring of standard linear PD systems encountered in hydrodynamics and prove that some of these systems are indecomposable, i.e., that they cannot be uncoupled. Finally, the paper ends with Section 8 which is an appendix where the different computations used in Sections 5, 6 and 7, and obtained by means of the OREMORPHISMS package, are given.

**Notation.** In this article,  $D$  will denote a *left noetherian domain*, namely a ring without zero divisors and which is such that every left ideal of  $D$  is finitely generated as a left  $D$ -module (see, e.g., Rotman (2009)). When  $D$  is a (noncommutative) polynomial ring over a *computational field*  $k$ , we shall further assume that *Buchberger's algorithm* terminates for any *admissible term order* and computes a *Gröbner basis* (see Cluzeau and Quadrat (2008) and references therein). Moreover,  $D^{q \times p}$  denotes the  $D - D$ -bimodule formed by the  $q \times p$  matrices with entries in  $D$ . We simply note  $D^{p \times 1}$  by  $D^p$ . The group of invertible matrices of  $D^{p \times p}$  is denoted by  $\text{GL}_p(D)$ . If  $M$  and  $N$  are two left  $D$ -modules,  $\text{hom}_D(M, N)$  is the abelian group formed by the *left  $D$ -homomorphisms* (i.e., left  $D$ -linear maps) from  $M$  to  $N$ . If  $k$  is a field and  $D$  a  $k$ -algebra, then  $\text{hom}_D(M, N)$  inherits a  $k$ -vector space structure. Two left  $D$ -modules  $M$  and  $N$  are said to be *isomorphic*, which is denoted by  $M \cong N$ , if there exists an injective and surjective element of  $\text{hom}_D(M, N)$ . We denote by  $M \oplus N$  the *direct sum* of  $M$  and  $N$  (see, e.g., Rotman (2009)). Finally,  $\det(R)$  denotes the determinant of a square matrix  $R$  whose entries belong to a commutative ring and  $\text{diag}(R_1, R_2)$  is the block diagonal matrix formed by the matrices  $R_1$  and  $R_2$ .

## 2 Algebraic analysis approach to linear functional systems

In this paper, we study *linear systems theory* within the *algebraic analysis framework* (see Chyzak et al. (2005); Cluzeau and Quadrat (2008); Malgrange (1962); Quadrat (2010) and the references therein). Let us briefly state again the main ideas of this approach. Let  $D$  be a left noetherian domain,  $\mathcal{F}$  a left  $D$ -module and  $R \in D^{q \times p}$ . A *linear system*, also called *behaviour* in control theory, is defined by the following abelian group:

$$\ker_{\mathcal{F}}(R.) := \{\eta \in \mathcal{F}^p \mid R\eta = 0\}.$$

If  $k$  is a field and  $D$  a  $k$ -algebra, then  $\ker_{\mathcal{F}}(R.)$  inherits a  $k$ -vector space structure.

To study the linear system  $\ker_{\mathcal{F}}(R.)$ , we first introduce the *finitely presented* left  $D$ -module  $M$  defined as the cokernel of the following left  $D$ -homomorphism

$$\begin{aligned} .R: D^{1 \times q} &\longrightarrow D^{1 \times p} \\ \lambda &\longmapsto \lambda R, \end{aligned}$$

i.e., with the notation  $\text{im}_D(.R) := D^{1 \times q} R$ , defined by the following factor left  $D$ -module:

$$M := D^{1 \times p} / (D^{1 \times q} R).$$



Let us explain why this module plays an important role in the algebraic analysis approach to linear systems theory. Let  $\pi \in \text{hom}_D(D^{1 \times p}, M)$  be the left  $D$ -homomorphism sending  $\lambda \in D^{1 \times p}$  onto its *residue class*  $\pi(\lambda) \in M$  (i.e.,  $\pi(\lambda) = \pi(\lambda')$  if and only if there exists  $\mu \in D^{1 \times q}$  such that  $\lambda = \lambda' + \mu R$ ),  $\{f_j\}_{j=1, \dots, p}$  the *standard basis* of  $D^{1 \times p}$ , i.e.,  $f_j \in D^{1 \times p}$  is the vector formed by 1 at the  $j^{\text{th}}$  position and 0 elsewhere, and  $y_j := \pi(f_j)$  for  $j = 1, \dots, p$ . Then, every element  $m \in M$  is of the form  $m = \pi(\lambda)$  for a certain  $\lambda = (\lambda_1 \dots \lambda_p) \in D^{1 \times p}$ , which yields  $m = \pi(\sum_{j=1}^p \lambda_j f_j) = \sum_{j=1}^p \lambda_j \pi(f_j) = \sum_{j=1}^p \lambda_j y_j$  and shows that  $\{y_j\}_{j=1, \dots, p}$  is a *family of generators* of  $M$ . These generators satisfy the following *left  $D$ -linear relations*:

$$\forall i = 1, \dots, q, \quad \sum_{j=1}^p R_{ij} y_j = \sum_{j=1}^p R_{ij} \pi(f_j) = \sum_{j=1}^p \pi(R_{ij} f_j) = \pi((R_{i1} \dots R_{ip})) = 0.$$

If we note  $y := (y_1 \dots y_p)^T$ , then we have  $Ry = 0$ . For more details, see Chyzak et al. (2005); Cluzeau and Quadrat (2008); Quadrat (2010).

Let  $M', M$  and  $M''$  be left  $D$ -modules,  $f \in \text{hom}_D(M', M)$  and  $g \in \text{hom}_D(M, M'')$ . If  $\ker g = \text{im } f$ , then  $M' \xrightarrow{f} M \xrightarrow{g} M''$  is called an *exact sequence* at  $M$  (see, e.g., Rotman (2009)). If the above sequence is exact at  $M$  and if  $g = 0$ , then  $\text{im } f = M$ , i.e.,  $f$  is surjective, or if  $f = 0$ , then  $\ker g = 0$ , i.e.,  $g$  is injective. By definition of  $M$  as the cokernel of  $\cdot R \in \text{hom}_D(D^{1 \times q}, D^{1 \times p})$ , we have the exact sequence

$$D^{1 \times q} \xrightarrow{\cdot R} D^{1 \times p} \xrightarrow{\pi} M \longrightarrow 0,$$

which is called a *finite presentation* of  $M$ . If we apply the *contravariant left exact functor*  $\text{hom}_D(\cdot, \mathcal{F})$  (see, e.g., Rotman (2009)) to the above exact sequence, we get the following exact sequence

$$\text{hom}_D(D^{1 \times q}, \mathcal{F}) \xleftarrow{(\cdot R)^*} \text{hom}_D(D^{1 \times p}, \mathcal{F}) \xleftarrow{\pi^*} \text{hom}_D(M, \mathcal{F}) \longleftarrow 0,$$

where  $(\cdot R)^*(\phi) = \phi \circ (\cdot R)$  for all  $\phi \in \text{hom}_D(D^{1 \times p}, \mathcal{F})$  and  $\pi^*(\psi) = \psi \circ \pi$  for all  $\psi \in \text{hom}_D(M, \mathcal{F})$ . For more details, see, e.g., Rotman (2009). Using the isomorphism  $\text{hom}_D(D^{1 \times r}, \mathcal{F}) \cong \mathcal{F}^r$  defined by mapping the elements of the standard basis of  $D^{1 \times r}$  to elements of  $\mathcal{F}$ , the above exact sequence yields the following exact sequence of abelian groups

$$\mathcal{F}^q \xleftarrow{R \cdot} \mathcal{F}^p \longleftarrow \text{hom}_D(M, \mathcal{F}) \longleftarrow 0,$$

where  $(R \cdot)(\eta) = R\eta$  for all  $\eta \in \mathcal{F}^p$ , which finally shows that:

$$\ker_{\mathcal{F}}(R \cdot) = \{\eta \in \mathcal{F}^p \mid R\eta = 0\} \cong \text{hom}_D(M, \mathcal{F}). \quad (1)$$

More precisely, we can easily show that  $\phi \in \text{hom}_D(M, \mathcal{F})$  yields  $\eta := (\phi(y_1) \dots \phi(y_p))^T \in \ker_{\mathcal{F}}(R \cdot)$ , where  $\{y_j\}_{j=1, \dots, p}$  is the family of generators of  $M$  defined as above, and if  $\eta \in \ker_{\mathcal{F}}(R \cdot)$ , then  $\phi_\eta(\pi(\lambda)) := \lambda \eta$  for all  $\lambda \in D^{1 \times p}$  is a left  $D$ -homomorphism from  $M$  to  $\mathcal{F}$ . See, e.g., Chyzak et al. (2005). The isomorphism (1) shows that the linear system  $\ker_{\mathcal{F}}(R \cdot)$  can be studied by means of  $M$  and  $\mathcal{F}$  (Malgrange (1962)). The finitely presented left  $D$ -module  $M$  encodes the algebraic side (i.e., the linear equations) of  $\ker_{\mathcal{F}}(R \cdot)$  and the left  $D$ -module  $\mathcal{F}$  is the (functional) space in which the solutions are sought.

**Example 1.** A commutative ring  $A$  is called a *differential ring* if  $A$  is equipped with  $n$  *commuting derivations*  $\partial_i$ ,  $i = 1, \dots, n$ , i.e., maps  $\partial_i : A \rightarrow A$  satisfying  $\partial_i(a_1 + a_2) = \partial_i a_1 + \partial_i a_2$ ,  $\partial_i(a_1 a_2) = (\partial_i a_1) a_2 + a_1 \partial_i a_2$  (Leibniz rule) for all  $a_1, a_2 \in A$ , and  $\partial_j \partial_i a = \partial_i \partial_j a$  for all  $a \in A$ . A *differential field*  $K$  is a field  $K$  endowed with a differential ring structure (which yields  $\partial_i a^{-1} = -a^{-2} \partial_i a$ ).

The ring  $D := A\langle d_1, \dots, d_n \rangle$  of PD operators with coefficients in a differential ring  $(A, \{\partial_i\}_{i=1, \dots, n})$  is defined as the noncommutative polynomial ring formed by elements of the form  $\sum_{0 \leq |\mu| \leq r} a_\mu d^\mu$ , where  $a_\mu \in A$ ,  $\mu := (\mu_1 \dots \mu_n) \in \mathbb{Z}_{\geq 0}^n$ ,  $|\mu| := \mu_1 + \dots + \mu_n$ ,  $d^\mu := d_1^{\mu_1} \dots d_n^{\mu_n}$ , and the  $d_i$ 's satisfy the relations:

$$\forall a \in A, \quad \forall i, j = 1, \dots, n, \quad \begin{cases} d_i a = a d_i + \partial_i a, \\ d_i d_j = d_j d_i. \end{cases}$$

If  $A := k[x_1, \dots, x_n]$  (resp.,  $k(x_1, \dots, x_n)$ ), then the ring  $A\langle d_1, \dots, d_n \rangle$  is simply denoted by  $A_n(k)$  (resp.,  $B_n(k)$ ) and is called the *polynomial* (resp., *rational*) *Weyl algebra*. Finally, if  $A := k[[x_1, \dots, x_n]]$  (resp.,  $A = k\{x_1, \dots, x_n\}$ ) is the integral domain of formal (resp., locally convergent) power series in  $x_1, \dots, x_n$  with coefficients in the field  $k$  (resp.,  $k = \mathbb{R}$  or  $\mathbb{C}$ ), and  $Q(A)$  its quotient field, i.e., the ring of Laurent formal power series (resp., the ring of Laurent power series), then  $Q(A)\langle d_1, \dots, d_n \rangle$  is simply denoted by  $\widehat{D}_n(k)$  (resp.,  $\mathcal{D}_n(k)$ ).

If  $D = A\langle d_1, \dots, d_n \rangle$  is a ring of PD operators with coefficients in a differential ring  $A$ , then  $R \in D^{q \times p}$  is a  $q \times p$  matrix of PD operators. If  $\mathcal{F}$  is a left  $D$ -module (e.g.,  $\mathcal{F} = A$ ), then (1) shows that the solutions  $\eta \in \mathcal{F}^p$  of the PD system  $R\eta = 0$  are in a 1-1 correspondence with the elements of  $\text{hom}_D(M, \mathcal{F})$ .

See Chyzak et al. (2005); McConnell and Robson (2000) for other noncommutative polynomial algebras of functional operators (e.g., time-delay or shift operators) such as the *Ore extensions* and the *Ore algebras*.

Let us briefly review a part of the classification of *finitely generated* left  $D$ -modules, i.e., left  $D$ -modules which can be defined by a finite number of generators.

**Definition 1** (Lam (1999); McConnell and Robson (2000); Rotman (2009)). Let  $D$  be a left noetherian domain and  $M$  a finitely generated left  $D$ -module.

1.  $M$  is *free* if there exists  $r \in \mathbb{Z}_{>0}$  such that  $M \cong D^{1 \times r}$ . Then,  $r$  is called the *rank* of the free left  $D$ -module  $M$  and is denoted by  $\text{rank}_D(M)$ .
2.  $M$  is *stably free* if there exist  $r, s \in \mathbb{Z}_{\geq 0}$  such that  $M \oplus D^{1 \times s} \cong D^{1 \times r}$ . Then,  $r - s$  is called the *rank* of the stably free left  $D$ -module  $M$ .
3.  $M$  is *projective* if there exist  $r \in \mathbb{Z}_{>0}$  and a left  $D$ -module  $N$  such that  $M \oplus N \cong D^{1 \times r}$ .
4.  $M$  is *reflexive* if the canonical left  $D$ -homomorphism  $\varepsilon : M \rightarrow M^{**}$  defined by  $\varepsilon(m)(f) = f(m)$  for all  $f \in M^* := \text{hom}_D(M, D)$ , where  $M^{**} = \text{hom}_D(\text{hom}_D(M, D), D)$ , is an isomorphism (which then yields  $M \cong M^{**}$ ).
5.  $M$  is *torsion-free* if the *torsion left  $D$ -submodule* of  $M$ , namely,

$$t(M) := \{m \in M \mid \exists d \in D \setminus \{0\} : dm = 0\},$$

is reduced to 0, i.e., if  $t(M) = 0$ . The elements of  $t(M)$  are called the *torsion elements* of  $M$ .

6.  $M$  is *torsion* if  $t(M) = M$ , i.e., if every element of  $M$  is a torsion element.
7.  $M$  is *cyclic* if there exists  $m \in M$  such that  $M = Dm := \{dm \mid d \in D\}$ .
8.  $M$  is *decomposable* if there exist two proper left  $D$ -submodules  $M_1$  and  $M_2$  of  $M$  such that:

$$M = M_1 \oplus M_2.$$

If  $M$  is not decomposable, then  $M$  is said to be *indecomposable*.

9. A non-zero left  $D$ -module  $M$  is called *simple* if  $M$  has no non-zero proper left  $D$ -submodules.

Similar definitions exist for finitely generated right  $D$ -modules.

We refer to Chyzak et al. (2005); Fabiańska and Quadrat (2007); Quadrat and Robertz (2007a) for algorithms which test whether or not a finitely presented module  $M$  over some classes of noncommutative polynomial rings admits a non-trivial torsion submodule, is torsion-free, projective, stably free or free. These algorithms are implemented in the OREMODULES (Chyzak et al. (2007)), QUILLENUSLIN (Fabiańska and Quadrat (2007)) and STAFFORD (Quadrat and Robertz (2007a)) packages.

A free module is clearly stably free (take  $s = 0$  in 2 of Definition 1), a stably free module is projective (take  $N = D^{1 \times s}$  in 3 of Definition 1) and a projective module is torsion-free (since it can be embedded into a free, and thus into a torsion-free module). More generally, we have the following results.

**Theorem 1** (Lam (1999); McConnell and Robson (2000); Rotman (2009)). *Let  $D$  be a left noetherian domain. Then, we have the following implications for finitely generated left/right  $D$ -modules:*

$$\text{free} \Rightarrow \text{stably free} \Rightarrow \text{projective} \Rightarrow \text{reflexive} \Rightarrow \text{torsion-free}.$$

The converses of the above results are generally not true. Some of them hold for particular domains playing particular roles in linear systems theory.

**Theorem 2** (Lam (1999); McConnell and Robson (2000); Quadrat and Robertz (2014); Rotman (2009)). *We have the following results:*

1. *If  $D$  is a principal ideal domain, i.e., every left ideal  $I$  and every right ideal  $J$  of the domain  $D$  are principal, i.e., are of the form  $I = Dd_1$  and  $J = d_2D$  for  $d_1, d_2 \in D$  (e.g., the ring  $A\langle\partial\rangle$  of OD operators with coefficients in a differential field  $A$  such as the ring  $B_1(k)$ ,  $\widehat{D}_1(k)$ ,  $\mathcal{D}_1(k)$ ), then every finitely generated torsion-free left or right  $D$ -module is free.*
2. *If  $D = k[x_1, \dots, x_n]$  is a commutative polynomial ring with coefficients in a field  $k$ , then every finitely generated projective  $D$ -module is free (Quillen-Suslin theorem).*
3. *If  $D$  is the Weyl algebra  $A_n(k)$  or  $B_n(k)$ , where  $k$  is a field of characteristic 0 (e.g.,  $k = \mathbb{Q}, \mathbb{R}, \mathbb{C}$ ), then every finitely generated projective left/right  $D$ -module is stably free and every finitely generated stably free left/right  $D$ -module of rank at least 2 is free (Stafford's theorem).*
4. *If  $D = \widehat{D}_n(k)$ ,  $\mathcal{D}_n(k)$  or  $D = A\langle\partial\rangle$ , where  $A = k[[t]]$  and  $k$  is a field of characteristic 0, or  $A = k\{t\}$  and  $k = \mathbb{R}$  or  $\mathbb{C}$ , then every finitely generated projective left/right  $D$ -module is stably free and every finitely generated stably free left/right  $D$ -module of rank at least 2 is free.*

A matrix  $R \in D^{q \times p}$  is said to have *full row rank* if  $\ker_D(\cdot R) := \{\mu \in D^{1 \times q} \mid \mu R = 0\} = 0$ , i.e., if the rows of the matrix  $R$  are left  $D$ -linearly independent. If  $R \in D^{q \times p}$  has full row rank, then we have  $D^{1 \times q} \cong D^{1 \times q} R \subseteq D^{1 \times p}$ , which yields  $q \leq p$ . The next theorem characterizes when a left  $D$ -module  $M$ , finitely presented by a full row rank matrix  $R$ , is a projective or a free module.

**Theorem 3** (Fabiańska and Quadrat (2007); Quadrat and Robertz (2007a)). *Let  $M = D^{1 \times p}/(D^{1 \times q} R)$  be a left  $D$ -module finitely presented by a full row rank matrix  $R \in D^{q \times p}$ . Then, we have:*

1.  *$M$  is a projective left  $D$ -module if and only if  $M$  is a stably free left  $D$ -module.*
2.  *$M$  is a stably free left  $D$ -module of rank  $p - q$  if and only if  $R$  admits a right inverse, i.e., if and only if there exists a matrix  $S \in D^{p \times q}$  such that  $RS = I_q$ .*
3.  *$M$  is a free left  $D$ -module of rank  $p - q$  if and only if there exists  $U \in \text{GL}_p(D)$  such that:*

$$RU = \begin{pmatrix} I_q & 0 \end{pmatrix}.$$

*If  $U := (S \quad Q)$ , where  $S \in D^{p \times q}$  and  $Q \in D^{p \times (p-q)}$ , then we have the following isomorphisms*

$$\begin{array}{ccc} \psi : M & \longrightarrow & D^{1 \times (p-q)} & & \psi^{-1} : D^{1 \times (p-q)} & \longrightarrow & M \\ \pi(\lambda) & \longmapsto & \lambda Q, & & \mu & \longmapsto & \pi(\mu T), \end{array}$$

*where the matrix  $T \in D^{(p-q) \times p}$  is defined by:*

$$U^{-1} := \begin{pmatrix} R \\ T \end{pmatrix} \in D^{p \times p}.$$

*In particular, we have  $M \cong D^{1 \times p} Q = D^{1 \times (p-q)}$ . The matrix  $Q$  is called an injective parametrization of  $M$ . If  $T_{i\bullet}$  denotes the  $i^{\text{th}}$  row of  $T$ , then  $\{\pi(T_{i\bullet})\}_{i=1, \dots, p-q}$  is a basis of the free left  $D$ -module  $M$  of rank  $p - q$ .*

The Quillen-Suslin theorem (resp., Stafford's theorem) is implemented in the `QUILLENUSULIN` package (Fabiańska and Quadrat (2007)) (resp., `STAFFORD` package (Quadrat and Robertz (2007a))). Hence, for  $D = k[x_1, \dots, x_n]$  and  $k = \mathbb{Q}$ ,  $A_n(\mathbb{Q})$  or  $B_n(\mathbb{Q})$ , bases and injective parametrizations of finitely generated free left  $D$ -modules can be computed.

### 3 Homomorphisms of finitely presented left $D$ -modules

In this section, we first briefly review the characterization of a left  $D$ -homomorphism of two finitely presented left  $D$ -modules. For more details, see Cluzeau and Quadrat (2008); Rotman (2009).

**Lemma 1** (Cluzeau and Quadrat (2008)). *Let  $M = D^{1 \times p} / (D^{1 \times q} R)$  and  $M' = D^{1 \times p'} / (D^{1 \times q'} R')$  be two finitely presented left  $D$ -modules and  $\pi : D^{1 \times p} \rightarrow M$  and  $\pi' : D^{1 \times p'} \rightarrow M'$  the canonical projections onto  $M$  and  $M'$ .*

1. *The existence of  $f \in \text{hom}_D(M, M')$  is equivalent to the existence of a pair of matrices  $P \in D^{p \times p'}$  and  $Q \in D^{q \times q'}$  satisfying the following relation:*

$$R P = Q R'. \quad (2)$$

Hence, we have the following commutative exact diagram

$$\begin{array}{ccccccc} D^{1 \times q} & \xrightarrow{\cdot R} & D^{1 \times p} & \xrightarrow{\pi} & M & \longrightarrow & 0 \\ \downarrow \cdot Q & & \downarrow \cdot P & & \downarrow f & & \\ D^{1 \times q'} & \xrightarrow{\cdot R'} & D^{1 \times p'} & \xrightarrow{\pi'} & M' & \longrightarrow & 0, \end{array}$$

i.e., (2) holds and  $f \circ \pi = \pi' \circ (\cdot P)$ , where  $f \in \text{hom}_D(M, M')$  is defined by:

$$\forall \lambda \in D^{1 \times p}, \quad f(\pi(\lambda)) = \pi'(\lambda P). \quad (3)$$

2. *Let  $P \in D^{p \times p'}$  and  $Q \in D^{q \times q'}$  satisfy (2) and  $R'_2 \in D^{q'_2 \times q'}$  be such that  $\ker_D(\cdot R') = \text{im}_D(\cdot R'_2)$ . Then, the matrices defined by*

$$\begin{cases} \bar{P} := P + Z R', \\ \bar{Q} := Q + R Z + Z_2 R'_2, \end{cases}$$

for all  $Z \in D^{p \times q'}$  and  $Z_2 \in D^{q \times q'_2}$ , satisfy the identity  $R \bar{P} = \bar{Q} R'$  and we have:

$$\forall \lambda \in D^{1 \times p}, \quad f(\pi(\lambda)) = \pi'(\lambda P) = \pi'(\lambda \bar{P}).$$

For two finitely presented left  $D$ -modules  $M$  and  $M'$ , the problem of characterizing elements of  $\text{hom}_D(M, M')$  is considered in Cluzeau and Quadrat (2008) for certain classes of noncommutative polynomial rings and algorithms are given (see Algorithms 2.1 and 2.2 of Cluzeau and Quadrat (2008)). An implementation is available in the OREMORPHISMS package (Cluzeau and Quadrat (2009)).

If  $f \in \text{hom}_D(M, M')$ , then we can define the following finitely generated left  $D$ -modules:

$$\begin{cases} \ker f := \{m \in M \mid f(m) = 0\}, \\ \text{im } f := \{m' \in M' \mid \exists m \in M : m' = f(m)\}, \\ \text{coim } f := M / \ker f, \\ \text{coker } f := M' / \text{im } f. \end{cases}$$

For two finitely presented left  $D$ -modules  $M$  and  $M'$ , let us explicitly characterize the kernel, image, coimage and cokernel of  $f \in \text{hom}_D(M, M')$ .

**Lemma 2** (Cluzeau and Quadrat (2008)). *Let  $M = D^{1 \times p} / (D^{1 \times q} R)$  (resp.,  $M' = D^{1 \times p'} / (D^{1 \times q'} R')$ ) be a left  $D$ -module finitely presented by  $R \in D^{q \times p}$  (resp.,  $R' \in D^{q' \times p'}$ ) and  $f \in \text{hom}_D(M, M')$  defined by (3), where  $P \in D^{p \times p'}$  satisfies (2) for a certain matrix  $Q \in D^{q \times q'}$ .*

1. *Let  $S \in D^{r \times p}$  and  $T \in D^{r \times q'}$  be two matrices such that*

$$\ker_D \left( \cdot \begin{pmatrix} P^T & R'^T \end{pmatrix}^T \right) = \text{im}_D(\cdot (S \quad - T)), \quad (4)$$

$L \in D^{q \times r}$  a matrix satisfying  $R = LS$  and  $Q = LT$ , and  $S_2 \in D^{r_2 \times r}$  a matrix such that  $\ker_D(.S) = \text{im}_D(.S_2)$ . Then, we have:

$$\ker f = (D^{1 \times r} S) / (D^{1 \times q} R) \cong D^{1 \times r} / \left( D^{1 \times (q+r_2)} \begin{pmatrix} L^T & S_2^T \end{pmatrix}^T \right). \quad (5)$$

Hence,  $f$  is injective if and only if the matrix  $\begin{pmatrix} L^T & S_2^T \end{pmatrix}^T$  admits a left inverse, i.e., if and only if there exists  $X = (X_1 \ X_2) \in D^{r \times (q+r_2)}$  such that  $X_1 L + X_2 S_2 = I_r$ .

2. With the above notations, we have:

$$\text{coim } f = D^{1 \times p} / (D^{1 \times r} S) \cong \text{im } f = \left( D^{1 \times (p+q')} \begin{pmatrix} P^T & R'^T \end{pmatrix}^T \right) / (D^{1 \times q'} R').$$

Moreover, we have the following commutative exact diagram

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \downarrow & & \\ & & & & \ker f & & \\ & & & & \downarrow & & \\ D^{1 \times q} & \xrightarrow{\cdot R} & D^{1 \times p} & \xrightarrow{\pi} & M & \longrightarrow & 0 \\ \downarrow \cdot L & & \parallel & & \downarrow \rho & & \\ D^{1 \times r} & \xrightarrow{\cdot S} & D^{1 \times p} & \xrightarrow{\kappa} & \text{coim } f & \longrightarrow & 0, \\ & & & & \downarrow & & \\ & & & & 0 & & \end{array}$$

where  $\rho : M \longrightarrow \text{coim } f = M / \ker f$  is the canonical projection.

3. We have  $\text{coker } f = D^{1 \times p'} / \left( D^{1 \times (p+q')} \begin{pmatrix} P^T & R'^T \end{pmatrix}^T \right)$  and the following long exact sequence

$$D^{1 \times r} \xrightarrow{\cdot(S \ -T)} D^{1 \times (p+q')} \xrightarrow{\cdot \begin{pmatrix} P^T & R'^T \end{pmatrix}^T} D^{1 \times p'} \xrightarrow{\epsilon} \text{coker } f \longrightarrow 0$$

defining the beginning of a finite free resolution of  $\text{coker } f$ . Hence,  $f$  is surjective if and only if the matrix  $\begin{pmatrix} P^T & R'^T \end{pmatrix}^T$  admits a left inverse, i.e., if and only if there exists  $Y = (Y_1 \ Y_2) \in D^{p' \times (p+q')}$  such that  $Y_1 P + Y_2 R' = I_{p'}$ .

4. We have the following commutative exact diagram

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \downarrow & & \\ D^{1 \times r} & \xrightarrow{\cdot S} & D^{1 \times p} & \xrightarrow{\kappa} & \text{coim } f & \longrightarrow & 0 \\ \downarrow \cdot T & & \downarrow \cdot P & & \downarrow f^\# & & \\ D^{1 \times q'} & \xrightarrow{\cdot R'} & D^{1 \times p'} & \xrightarrow{\pi'} & M' & \longrightarrow & 0, \\ & & & & \downarrow & & \\ & & & & \text{coker } f & & \\ & & & & \downarrow & & \\ & & & & 0 & & \end{array}$$

where  $f^\# \in \text{hom}_D(\text{coim } f, M')$  is defined by  $f^\#(\kappa(\lambda)) = \pi'(\lambda P)$  for all  $\lambda \in D^{1 \times p}$ .

To study the *decomposition problem*, namely the problem of recognizing whether or not a finitely presented left  $D$ -module  $M$  is decomposable (see of 8 of Definition 1), we shall focus on the case  $M' = M$ , i.e., on the study of the *endomorphism ring*  $\text{end}_D(M) := \text{hom}_D(M, M)$  of  $M$ .

In many standard examples coming from linear systems theory and mathematical physics (see, e.g., the examples considered in Section 7),  $D$  is a commutative polynomial ring. In this particular case,  $\text{hom}_D(M, M')$  inherits a  $D$ -module structure (which is usually not the case for a noncommutative ring  $D$ ) and an explicit description of the  $D$ -module  $\text{hom}_D(M, M')$  in terms of generators and relations can be given. For more details and explicit algorithms, we refer to Cluzeau and Quadrat (2008).

Till the end of this section, we assume that  $D$  is a commutative ring. From Lemma 1, it follows that the ring  $\text{end}_D(M)$  can be written as the factor of two  $D$ -modules, i.e., we have

$$\text{end}_D(M) \cong \mathcal{B} := \mathcal{A}/(D^{p \times q} R),$$

where  $\mathcal{A} := \{P \in D^{p \times p} \mid \exists Q \in D^{q \times q} : RP = QR\}$  is a ring called *eigenring*. Indeed, we clearly have  $0 \in \mathcal{A}$ ,  $I_p \in \mathcal{A}$  and if  $P_1, P_2 \in \mathcal{A}$ , i.e.,  $RP_1 = Q_1R$  and  $RP_2 = Q_2R$  for some matrices  $Q_1, Q_2 \in D^{q \times q}$ , then we have  $R(P_1 + P_2) = (Q_1 + Q_2)R$  and  $R(P_1 P_2) = (Q_1 Q_2)R$  so that  $P_1 + P_2 \in \mathcal{A}$  and  $P_1 P_2 \in \mathcal{A}$ . The other properties of a ring can easily be checked. The ring  $\mathcal{A}$  is a noncommutative ring since  $P_1 P_2$  is usually different from  $P_2 P_1$ . Moreover,  $D^{p \times q} R$  is a two-sided ideal of  $\mathcal{A}$ . Indeed, if  $P_1, P_2 \in \mathcal{A}$  and  $Z_1 R, Z_2 R \in D^{p \times q} R$ , where  $Z_i \in D^{p \times q}$  for  $i = 1, 2$ , then we have:

$$\begin{cases} P_1 (Z_1 R) + P_2 (Z_2 R) = (P_1 Z_1 + P_2 Z_2) R, \\ (Z_1 R) P_1 + (Z_2 R) P_2 = (Z_1 Q_1 + Z_2 Q_2) R. \end{cases}$$

Thus,  $\mathcal{B} = \mathcal{A}/(D^{p \times q} R)$  is a noncommutative ring and  $\kappa := \text{id}_p \otimes \pi : \mathcal{A} \longrightarrow \mathcal{B}$  is the canonical projection onto  $\mathcal{B}$ . In particular, the product of  $\mathcal{B}$  is defined by:

$$\forall P_1, P_2 \in \mathcal{A}, \quad \kappa(P_1) \kappa(P_2) = \kappa(P_1 P_2).$$

We call *opposite ring* of  $\mathcal{B}$ , denoted by  $\mathcal{B}^{\text{op}}$ , the ring defined by  $\mathcal{B}$  as an abelian group but equipped with the *opposite multiplication*  $\bullet$  defined by:

$$\forall b_1, b_2 \in \mathcal{B}, \quad b_1 \bullet b_2 := b_2 b_1.$$

If  $\phi : \mathcal{B} \longrightarrow \text{end}_D(M)$  is the abelian group isomorphism mapping  $\kappa(P)$  to  $\phi(\kappa(P))$  defined by

$$\forall \lambda \in D^{1 \times p}, \quad \phi(\kappa(P))(\pi(\lambda)) = \pi(\lambda P),$$

then we have

$$\forall \lambda \in D^{1 \times p}, \quad (\phi(\kappa(P_2)) \circ \phi(\kappa(P_1)))(\pi(\lambda)) = \pi(\lambda P_1 P_2) = \phi(\kappa(P_1 P_2))(\pi(\lambda)) = \phi(\kappa(P_1) \kappa(P_2))(\pi(\lambda)),$$

i.e., using the opposite ring  $\mathcal{B}^{\text{op}}$ , we obtain:

$$\phi(\kappa(P_2) \bullet \kappa(P_1)) = \phi(\kappa(P_1) \kappa(P_2)) = \phi(\kappa(P_2)) \circ \phi(\kappa(P_1)).$$

Since  $\phi(\kappa(I_p)) = \text{id}_M$ ,  $\phi$  is a ring isomorphism, i.e.:

$$\text{end}_D(M) \cong \mathcal{B}^{\text{op}}.$$

Algorithm 2.1 in Cluzeau and Quadrat (2008) computes a family of generators  $\{f_i\}_{i=1, \dots, s}$  of the finitely generated  $D$ -module  $\text{end}_D(M)$ . The  $f_i$ 's are given by means of two matrices  $P_i \in D^{p \times p}$  and  $Q_i \in D^{q \times q}$  satisfying  $RP_i = Q_iR$ , i.e.,  $f_i(\pi(\lambda)) = \pi(\lambda P_i)$  for all  $\lambda \in D^{1 \times p}$  and  $i = 1, \dots, s$  (see Lemma 1).

Let us now explain how to obtain a finite family of  $D$ -linear relations among these generators, i.e.,  $XF = 0$ , where  $F = (f_1 \dots f_s)^T$  and  $X \in D^{t \times s}$ . A  $D$ -linear relation  $\sum_{j=1}^s d_j f_j = 0$  between the  $f_i$ 's is equivalent to the existence of  $Z \in D^{p \times q}$  satisfying:

$$\sum_{j=1}^s d_j P_j = ZR. \tag{6}$$

To solve (6), let us introduce a few definitions and a standard result which holds for matrices with entries in a commutative ring  $D$ . If  $F \in D^{q \times p}$ , then  $\text{row}(F) \in D^{1 \times qp}$  denotes the row vector obtained by concatenating the rows of  $F$ . If  $F \in D^{q \times p}$  and  $F' \in D^{q' \times p'}$ , then  $K := F \otimes F'$  stands for the *Kronecker product* of  $F$  and  $F'$ , namely, the matrix  $K \in D^{qq' \times pp'}$  defined by  $(F_{ij} F'_{kl})_{1 \leq i \leq q, 1 \leq j \leq p}$ . If  $F \in D^{q \times p}$ ,  $G \in D^{r \times q}$  and  $H \in D^{s \times r}$ , then a standard result on Kronecker products states that we have:

$$\text{row}(HGF) = \text{row}(G)(H^T \otimes F).$$

Applying the above identity to (6), we get:

$$\sum_{j=1}^s d_j \text{row}(P_j) - \text{row}(Z)(I_p \otimes R) = 0 \iff (d_1 \dots d_s - \text{row}(Z)) \begin{pmatrix} \text{row}(P_1) \\ \vdots \\ \text{row}(P_s) \\ I_p \otimes R \end{pmatrix} = 0.$$

If we introduce the matrices

$$\begin{cases} U := (\text{row}(P_1)^T \dots \text{row}(P_s)^T)^T \in D^{s \times p^2}, \\ V := I_p \otimes R \in D^{pq \times p^2}, \\ W := (U^T \quad V^T)^T \in D^{(s+pq) \times p^2}, \end{cases} \quad (7)$$

then there exist  $X \in D^{t \times s}$  and  $Y \in D^{t \times pq}$  satisfying  $\ker_D(.W) = D^{1 \times t}(X \quad -Y)$ . If  $Y_{i,j}$  denotes the  $i \times j$  entry of the matrix  $Y$  and for  $i = 1, \dots, t$ ,

$$Z_i = \begin{pmatrix} Y_{i,1} & \dots & Y_{i,q} \\ Y_{i,(q+1)} & \dots & Y_{i,2q} \\ \vdots & & \vdots \\ Y_{i,(p-1)q+1} & \dots & Y_{i,pq} \end{pmatrix} \in D^{p \times q},$$

then  $\sum_{j=1}^s X_{ij} P_j = Z_i R$ , and thus the  $f_i$ 's satisfy the following  $D$ -linear relations:

$$\forall i, = 1, \dots, t, \quad \sum_{j=1}^s X_{ij} f_j = 0. \quad (8)$$

Hence, we get  $\text{end}_D(M) \cong D^{1 \times s} / (D^{1 \times t} X)$ , i.e.,  $\text{end}_D(M)$  is finitely presented by the matrix  $X \in D^{t \times s}$ .

Now, the ring structure of  $\text{end}_D(M)$  is characterized by the expressions of the  $f_i \circ f_j$ 's in terms of the generators  $f_k$ 's of the  $D$ -module  $\text{end}_D(M)$ , i.e.:

$$\forall i, j = 1, \dots, s, \quad f_i \circ f_j = \sum_{k=1}^s \gamma_{ijk} f_k, \quad \gamma_{ijk} \in D. \quad (9)$$

The  $\gamma_{ijk}$ 's look like the *structure constants* appearing in the theory of finite-dimensional algebras. The matrix  $\Gamma$  formed by the  $\gamma_{ijk}$  satisfies  $F \otimes F = \Gamma F$ .  $\Gamma$  is called a *multiplication table* in group theory. If  $D\langle f_1, \dots, f_s \rangle$  denotes the free associative  $D$ -algebra generated by the  $f_i$ 's and

$$J = \left\langle \sum_{j=1}^s X_{ij} f_j, i = 1, \dots, t, f_i \circ f_j - \sum_{k=1}^s \gamma_{ijk} f_k, i, j = 1, \dots, s \right\rangle$$

is the two-sided ideal of  $D\langle f_1, \dots, f_s \rangle$  generated by the relations (8) and (9), then the noncommutative ring  $\text{end}_D(M)$  is defined by  $\text{end}_D(M) = D\langle f_1, \dots, f_s \rangle / J$ , which shows that  $\text{end}_D(M)$  can be defined as the quotient of a free associative algebra by a two-sided ideal generated by linear and quadratic relations over  $D$ .

Using (7), the structure constants  $\gamma_{ijk}$ 's can be computed as follows. The computation of the normal form of the rows  $\text{row}(P_i P_j)$  with respect to a Gröbner basis of the  $D$ -module  $D^{1 \times (s+pq)} W$  for  $i, j = 1, \dots, s$  yields a matrix  $(\Gamma_1 \ \Gamma_2) \in D^{s^2 \times (s+pq)}$ , where  $\Gamma_1 \in D^{s^2 \times s}$  and  $\Gamma_2 \in D^{s^2 \times pq}$ . Then, the matrix  $\Gamma_1$  defines the multiplication table of the family of generators  $\{f_i\}_{i=1, \dots, s}$  of  $\text{end}_D(M)$ . The computation of the endomorphism ring  $\text{end}_D(M)$  (i.e., generators, relations and multiplication table) for a finitely presented module over a commutative polynomial ring  $D$  is implemented in the OREMORPHISMS package (Cluzeau and Quadrat (2009)).

**Example 2.** Let us consider the motion of a fluid in a one-dimensional tank studied in Dubois et al. (1999) and defined by the following linear system of OD time-delay equations

$$\begin{cases} y_1(t - 2h) + y_2(t) - 2\dot{y}(t - h) = 0, \\ y_1(t) + y_2(t - 2h) - 2\dot{y}(t - h) = 0, \end{cases} \tag{10}$$

where  $h$  a positive real number. Let  $D = \mathbb{Q}(\alpha)[\partial, \delta]$  be the commutative polynomial ring of OD time-delay operators with rational constant coefficients, i.e.,  $\partial y(t) = \dot{y}(t)$ ,  $\delta y(t) = y(t - h)$  and  $\partial \delta = \delta \partial$ ,

$$R = \begin{pmatrix} \delta^2 & 1 & -2\partial\delta \\ 1 & \delta^2 & -2\partial\delta \end{pmatrix} \in D^{2 \times 3} \tag{11}$$

the matrix defining (10), and the  $D$ -module  $M = D^{1 \times 3} / (D^{1 \times 2} R)$  finitely presented by  $R$ . Applying Algorithm 2.1 of Cluzeau and Quadrat (2008) to  $R$ , the  $D$ -module structure of  $\text{end}_D(M)$  is generated by  $f_{e_1}, f_{e_2}, f_{e_3}, f_{e_4} \in \text{end}_D(M)$  defined by  $f_\alpha(\pi(\lambda)) = \pi(\lambda P_\alpha)$  for all  $\lambda \in D^{1 \times 3}$ , where  $\alpha = (\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4) \in D^{1 \times 4}$ ,  $\{e_i\}_{i=1, \dots, 4}$  is the standard basis of  $D^{1 \times 4}$  and:

$$P_\alpha = \begin{pmatrix} \alpha_1 & \alpha_2 & 2\alpha_3\partial\delta \\ \alpha_2 + 2\alpha_4\partial & \alpha_1 - 2\alpha_4\partial & 2\alpha_3\partial\delta \\ \alpha_4\delta & -\alpha_4\delta & \alpha_1 + \alpha_2 + \alpha_3(\delta^2 + 1) \end{pmatrix},$$

$$Q_\alpha = \begin{pmatrix} \alpha_1 - 2\alpha_4\partial & \alpha_2 + 2\alpha_4\partial \\ \alpha_2 & \alpha_1 \end{pmatrix}.$$

Let us simply set  $f_i := f_{e_i}$ . We can check that the generators  $\{f_i\}_{i=1, \dots, 4}$  of the  $D$ -module structure of  $\text{end}_D(M)$  satisfy the following  $D$ -linear relations:

$$(\delta^2 - 1)f_4 = 0, \quad \delta^2 f_1 + f_2 - f_3 = 0, \quad f_1 + \delta^2 f_2 - f_3 = 0. \tag{12}$$

A complete description of the noncommutative ring  $\text{end}_D(M)$  is given by the knowledge of the expressions of the compositions  $f_i \circ f_j$  in the family of generators  $\{f_k\}_{k=1, \dots, 4}$  for  $i, j = 1, \dots, 4$ :

$$\begin{cases} f_1 \circ f_i = f_i \circ f_1 = f_i, & i = 1, \dots, 4, \\ f_2 \circ f_2 = f_1, \\ f_2 \circ f_3 = f_3 \circ f_2 = f_3, \\ f_2 \circ f_4 = 2\partial f_1 - 2\partial f_2 + f_4, \\ f_4 \circ f_2 = -f_4, \end{cases} \quad \begin{cases} f_3 \circ f_3 = (\delta^2 + 1)f_3, \\ f_3 \circ f_4 = 2\partial f_1 - 2\partial f_2 + 2f_4, \\ f_4 \circ f_3 = 0, \\ f_4 \circ f_4 = -2\partial f_4. \end{cases} \tag{13}$$

Denoting by  $f_c \circ f_r$  the composition of an element  $f_c$  in the first column by an element  $f_r$  in the first row of the table below, we can write (13) in the form of the following multiplication table:

$f_c \circ f_r$	$f_1$	$f_2$	$f_3$	$f_4$
$f_1$	$f_1$	$f_2$	$f_3$	$f_4$
$f_2$	$f_2$	$f_1$	$f_3$	$2\partial f_1 - 2\partial f_2 + f_4$
$f_3$	$f_3$	$f_3$	$(\delta^2 + 1)f_3$	$2\partial f_1 - 2\partial f_2 + 2f_4$
$f_4$	$f_4$	$-f_4$	0	$-2\partial f_4$



We finally obtain  $\text{end}_D(M) = D\langle f_1, f_2, f_3, f_4 \rangle / J$ , where

$$J = \langle (\delta^2 - 1)f_4, \delta^2 f_1 + f_2 - f_3, f_1 + \delta^2 f_2 - f_3, f_1 \circ f_1 - f_1, \dots, f_4 \circ f_4 + 2\partial f_4 \rangle$$

is the two-sided ideal of the free  $D$ -algebra  $D\langle f_1, f_2, f_3, f_4 \rangle$  generated by the polynomials defined by the identities (12) and (13).

## 4 Factorization problem

In this section, we complete results of Cluzeau and Quadrat (2008) to obtain a necessary and sufficient condition for the existence of a *strict factorization* of a linear functional system.

Let us first give a necessary and sufficient condition for the existence of a factorization of  $R \in D^{q \times p}$ .

**Lemma 3.** *If  $R \in D^{q \times p}$ , then the following assertions are equivalent:*

1. *There exist two matrices  $L \in D^{q \times r}$  and  $S \in D^{r \times p}$  such that:*

$$R = LS. \quad (14)$$

2. *There exist a finitely presented left  $D$ -module  $M'$  and  $f \in \text{hom}_D(M, M')$ , where  $M = D^{1 \times p} / (D^{1 \times q} R)$ , such that:*

$$\text{coim } f = D^{1 \times p} / (D^{1 \times r} S), \quad \ker f = (D^{1 \times r} S) / (D^{1 \times q} R). \quad (15)$$

*Proof.*  $1 \Rightarrow 2$ . Let  $M' := D^{1 \times p} / (D^{1 \times r} S)$  be the left  $D$ -module finitely presented by  $S$ . The relation  $R = LS$  induces the commutative exact diagram

$$\begin{array}{ccccccc} D^{1 \times q} & \xrightarrow{\cdot R} & D^{1 \times p} & \xrightarrow{\pi} & M & \longrightarrow & 0 \\ & & \downarrow \cdot L & & \parallel & & \\ D^{1 \times r} & \xrightarrow{\cdot S} & D^{1 \times p} & \xrightarrow{\kappa} & M' & \longrightarrow & 0, \end{array}$$

which defines  $f \in \text{hom}_D(M, M')$  by  $f(\pi(\lambda)) = \kappa(\lambda)$  for all  $\lambda \in D^{1 \times p}$ . Indeed, if  $\pi(\lambda) = \pi(\lambda')$  for some  $\lambda' \in D^{1 \times p}$ , then there exists  $\mu \in D^{1 \times q}$  such that  $\lambda = \lambda' + \mu R$ , which yields:

$$f(\pi(\lambda)) = \kappa(\lambda) = \kappa(\lambda') + \kappa(\mu R) = \kappa(\lambda') + \kappa((\mu L) S) = \kappa(\lambda') = f(\pi(\lambda')).$$

Using  $\ker_D(\cdot (I_p^T \quad S^T)^T) = D^{1 \times r} (S \quad -I_r)$ , 1 and 2 of Lemma 2 yield (15).

$2 \Rightarrow 1$  is proved in Theorem 3.1 of Cluzeau and Quadrat (2008). For the sake of completeness, we repeat the proof here. Let  $M' := D^{1 \times p'} / (D^{1 \times q'} R')$  and  $f \in \text{hom}_D(M, M')$  satisfy (15). From Lemma 1,  $f$  is defined by (3) where  $P \in D^{p \times p'}$  satisfies (2) for a certain matrix  $Q \in D^{q \times q'}$ . Using (2) and (4) of Lemma 2, we get  $\text{im}_D(\cdot (R \quad -Q)) \subseteq \ker_D(\cdot (P^T \quad R'^T)^T) = \text{im}_D(\cdot (S \quad -T))$ , which shows that there exists a matrix  $L \in D^{q \times r}$  such that  $R = LS$  and  $Q = LT$ . □

Using Gröbner basis techniques for a polynomial ring  $D$ , the factorization (14) can be computed (see, e.g., Chyzak et al. (2005, 2007)).

**Definition 2.** A factorization  $R = LS$ , where  $R \in D^{q \times p}$ ,  $L \in D^{q \times s}$  and  $S \in D^{s \times p}$ , is called *strict* if:

$$\text{im}_D(\cdot R) \subsetneq \text{im}_D(\cdot S).$$

If  $\mathcal{F}$  is a left  $D$ -module and  $R = LS$ , then  $\ker_{\mathcal{F}}(S \cdot) \subseteq \ker_{\mathcal{F}}(R \cdot)$ , i.e., every  $\mathcal{F}$ -solution of  $S\eta = 0$  is a  $\mathcal{F}$ -solution of  $R\eta = 0$ . Hence, finding solutions of a linear functional system is an application of the problem of factoring matrices of functional operators.

**Proposition 1.** *If  $R = LS$  is not a strict factorization, then we have  $\ker_{\mathcal{F}}(R \cdot) = \ker_{\mathcal{F}}(S \cdot)$  for all left  $D$ -modules  $\mathcal{F}$ .*

*Proof.* Since, by definition of  $S$ , we have  $D^{1 \times q} R \subseteq D^{1 \times r} S$ ,  $D^{1 \times r} S = D^{1 \times q} R$  is equivalent to the existence of  $F \in D^{r \times q}$  such that  $S = FR$ . Combining this identity with  $R = LS$ , we get  $(I_q - LF)R = 0$  and  $(I_r - FL)S = 0$ , and thus there exist two matrices  $X \in D^{r \times q_2}$  and  $Y \in D^{r \times r_2}$  such that

$$\begin{cases} LF = I_q + X R_2, \\ FL = I_r + Y S_2, \end{cases} \quad (16)$$

where  $R_2 \in D^{q_2 \times q}$  (resp.,  $S_2 \in D^{r_2 \times r}$ ) satisfies  $\ker_D(\cdot R) = \text{im}_D(\cdot R_2)$  (resp.,  $\ker_D(\cdot S) = \text{im}_D(\cdot S_2)$ ). Then, using (16), we can easily check that we have

$$R\eta = L(S\eta) = 0 \Rightarrow \begin{cases} L\theta = 0, \\ S\eta = \theta, \end{cases} \Rightarrow S\eta = 0,$$

since  $\theta \in \mathcal{F}^r$  satisfies  $S_2\theta = 0$ , and thus  $\theta = F(L\theta) - Y(S_2\theta) = 0$  by (16), and conversely

$$S\eta = F(R\eta) = 0 \Rightarrow \begin{cases} F\zeta = 0, \\ R\eta = \zeta, \end{cases} \Rightarrow R\eta = 0,$$

since  $\zeta \in \mathcal{F}^q$  satisfies  $R_2\zeta = 0$ , and thus  $\zeta = L(F\zeta) - X(R_2\zeta) = 0$  by (16), i.e.,  $\ker_{\mathcal{F}}(R) = \ker_{\mathcal{F}}(S)$ .  $\square$

**Remark 1.** Homological algebra techniques can be used to give another proof of Proposition 1. Indeed, by  $1 \Rightarrow 2$  of Lemma 3, the factorization  $R = LS$  defines  $f \in \text{hom}_D(M, M')$ , where  $M' = D^{1 \times p}/(D^{1 \times r} S)$ , such that we have (15). Then, applying the contravariant left exact functor  $\text{hom}_D(\cdot, \mathcal{F})$  to the canonical short exact sequence  $0 \rightarrow \ker f \rightarrow M \rightarrow \text{coim } f \rightarrow 0$  and using (1) and (15), we get the following long exact sequence

$$\begin{aligned} 0 &\longrightarrow \ker_{\mathcal{F}}(S) \longrightarrow \ker_{\mathcal{F}}(R) \longrightarrow \text{hom}_D(\ker f, \mathcal{F}) \\ &\longrightarrow \text{ext}_D^1(\text{coim } f, \mathcal{F}) \longrightarrow \text{ext}_D^1(M, \mathcal{F}) \longrightarrow \text{ext}_D^1(\ker f, \mathcal{F}) \\ &\longrightarrow \text{ext}_D^2(\text{coim } f, \mathcal{F}) \longrightarrow \text{ext}_D^2(M, \mathcal{F}) \longrightarrow \cdots, \end{aligned}$$

where the  $\text{ext}_D^i(M, \mathcal{F})$ 's are the so-called *extension abelian groups* (see, e.g., Rotman (2009)). Hence, if  $R = LS$  is not a strict factorization, i.e.,  $D^{1 \times r} S = D^{1 \times q} R$ , or equivalently  $\ker f = 0$ , then  $\text{hom}_D(\ker f, \mathcal{F}) = 0$ , and thus  $\ker_{\mathcal{F}}(S) = \ker_{\mathcal{F}}(R)$ .

Now, if  $\mathcal{F}$  is a so-called *injective* left  $D$ -module, i.e. if we have  $\text{ext}_D^i(P, \mathcal{F}) = 0$  for all left  $D$ -modules  $P$  and for  $i \geq 1$  (see, e.g., Rotman (2009)), then the above long exact sequence reduces to the following short exact sequence:

$$0 \longrightarrow \ker_{\mathcal{F}}(S) \longrightarrow \ker_{\mathcal{F}}(R) \longrightarrow \text{hom}_D(\ker f, \mathcal{F}) \longrightarrow 0.$$

We then get  $\ker_{\mathcal{F}}(R)/\ker_{\mathcal{F}}(S) \cong \text{hom}_D(\ker f, \mathcal{F}) \cong \ker_{\mathcal{F}}((L^T \ S_2^T)^T)$  by (1) and (5). In particular, if  $S$  has full row rank, i.e.,  $S_2 = 0$ , then we finally obtain:

$$\ker_{\mathcal{F}}(R)/\ker_{\mathcal{F}}(S) \cong \ker_{\mathcal{F}}(L).$$

In particular, this result holds for  $\mathcal{F} = C^\infty(\mathbb{R}^n)$  and  $D = \mathbb{R}\langle d_1, \dots, d_n \rangle = \mathbb{R}[d_1, \dots, d_n]$ .

Let us now introduce the concept of a *generic solution* of the linear system  $\ker_{\mathcal{F}}(R)$ .

**Definition 3.** Let  $\mathcal{F}$  be a left  $D$ -module,  $M = D^{1 \times p}/(D^{1 \times q} R)$  a finitely presented left  $D$ -module, and  $\pi : D^{1 \times p} \rightarrow M$  the canonical projection onto  $M$ . Then,  $\eta \in \ker_{\mathcal{F}}(R)$  is called a *generic solution* if  $\phi_\eta \in \text{hom}_D(M, \mathcal{F})$ , defined by  $\phi_\eta(\pi(\lambda)) = \lambda\eta$  for all  $\lambda \in D^{1 \times p}$ , is injective.

For instance, with the notations of Section 2,  $y = (y_1 \ \dots \ y_p)^T$  is a generic solution of  $\ker_M(R) \cong \text{end}_D(M)$  corresponding to  $\text{id}_M$ .

The next result is a reformulation of the concept of a strict factorization in terms of homomorphisms.

**Theorem 4.** *If  $R \in D^{q \times p}$ , then the following assertions are equivalent:*

1. *The matrix  $R$  admits a strict factorization, i.e., there exist  $L \in D^{q \times r}$  and  $S \in D^{r \times q}$  such that  $R = LS$  with  $\text{im}_D(.R) \subsetneq \text{im}_D(.S)$ .*
2. *There exist a finitely presented left  $D$ -module  $\mathcal{F}$  and  $f \in \text{hom}_D(M, \mathcal{F})$  such that  $\ker f \neq 0$ .*
3. *There exists a finitely presented left  $D$ -module  $\mathcal{F}$  such that the linear system  $\ker_{\mathcal{F}}(R.)$  admits a non-generic solution in the sense of Definition 3.*

*Proof.* By Lemma 3, the existence of a factorization  $R = LS$  is equivalent to the existence of a finitely presented left  $D$ -module  $\mathcal{F}$  and  $f \in \text{hom}_D(M, \mathcal{F})$  such that  $\text{coim } f = D^{1 \times p} / (D^{1 \times r} S)$  and  $\ker f = (D^{1 \times r} S) / (D^{1 \times q} R)$ . Moreover, the factorization is strict if and only if  $\ker f \neq 0$ , i.e., if and only if the linear system  $\ker_{\mathcal{F}}(R.)$  admits a non-generic solution. □

Theorem 4 shows that the factorization problem cannot simply be solved by studying the ring  $\text{end}_D(M)$  since the factorizations of  $R$  correspond to finitely presented left  $D$ -modules  $\mathcal{F}$  which are usually not equal to  $M$ .

**Example 3.** We illustrate the known fact that an operator  $R \in D = B_1(\mathbb{Q})$  can admit a strict factorization  $R = LS$  even if  $\text{end}_D(M)$  is reduced to  $k \text{id}_M$  (see van der Put and Singer (2003); Barkatou (2007)). Let us consider the OD operator  $R = d^2 + td \in D$ . An element of  $\text{end}_D(M)$  can be defined by  $P = ad + b$ , where  $a, b \in \mathbb{Q}(t)$ , which satisfies  $RP = QR$  for a certain  $Q \in D$ . We have:

$$RP = (d^2 + td)(ad + b) = ad^3 + (2\dot{a} + ta + b)d^2 + (\ddot{a} + t(\dot{a} + b) + 2\dot{b})d + \ddot{b} + t\dot{b}.$$

Hence,  $Q$  has the form  $Q = ad + c$ , where  $c \in \mathbb{Q}(t)$ , which yields

$$QR = (ad + c)(d^2 + td) = ad^3 + (ta + c)d^2 + (a + tc)d,$$

and thus  $RP = QR$  is equivalent to the following linear OD system:

$$\begin{cases} 2\dot{a} + b - c = 0, \\ \ddot{a} + t(\dot{a} + b - c) + 2\dot{b} - a = 0, \\ \ddot{b} + t\dot{b} = 0. \end{cases} \quad (17)$$

If we note  $u := \dot{b}$ , then the last equation of (17) gives  $\dot{u} + tu = 0$ , i.e.,  $u = c_1 e^{-t^2/2}$ , and thus we have  $b = c_1 \int_0^t e^{-s^2/2} ds + c_2$ , where  $c_1$  and  $c_2$  are two arbitrary constants, i.e.,  $c_1, c_2 \in \mathbb{Q}$ . Since  $b \in \mathbb{Q}(t)$ , we get  $c_1 = 0$  and  $b = c_2$  and the above system becomes:

$$\begin{cases} \ddot{a} - t\dot{a} - a = \frac{d}{dt}(\dot{a} - ta) = 0, \\ b = c_2, \\ c = 2\dot{a} + c_2. \end{cases}$$

The integration of the first equation gives  $\dot{a} - ta = c_3$  so that we get  $a = (c_4 + c_3 \int_0^t e^{-s^2/2} ds) e^{t^2/2}$ , where  $c_3$  and  $c_4$  are two arbitrary constants, i.e.,  $c_3, c_4 \in \mathbb{Q}$ . Since  $a \in \mathbb{Q}(t)$ , we must have  $c_3 = c_4 = 0$ , i.e.,  $a = 0$  and  $b = c = c_2$ . Hence, we obtain  $P = Q = c_2$ , i.e., every element of  $\text{end}_D(M)$  has the form of  $f = c_2 \text{id}_M$ , where  $c_2 \in \mathbb{Q}$ , and thus  $\ker f = 0$  if  $c_2 \neq 0$ . An algorithm for computing rational solutions of linear OD systems can be found in Barkatou (1999). See also Barkatou (2007); van der Put and Singer (2003) and references therein for the computation of the eigenring of a linear OD operator and a first order linear OD system.

Theorem 4 asserts that  $R$  admits a strict factorization if and only if there exists a finitely presented left  $D$ -module  $\mathcal{F}$  and  $f \in \text{hom}_D(M, \mathcal{F})$  such that  $\ker f \neq 0$ . If we take  $\mathcal{F} = D/(Dd) \cong \mathbb{Q}(t)$  and  $f \in \text{hom}_D(M, \mathcal{F})$  defined by  $f(\pi(\lambda)) = \kappa(\lambda)$  for all  $\lambda \in D$ , where  $\kappa : D \rightarrow \mathcal{F}$  is the canonical projection onto  $\mathcal{F}$ , then we get  $\ker f = (Dd)/(DR) \neq 0$ , which shows that the OD equation  $\ddot{\eta} + t\dot{\eta} = 0$  admits the non-generic solution  $\eta = 1$  and yields the strict factorization  $R = LS$ , where  $L = d + t$  and  $S = d$ .

We refer the reader to the ALGEBRAICANALYSIS package (Cluzeau et al. (2013)) which computes general homomorphisms of two finitely presented differential modules by integrating linear PD systems in the unknown coefficients of a fixed order ansatz for  $P$ . For instance, for the above example, the ALGEBRAICANALYSIS package integrates (17) to get that the general endomorphism of  $M$  is defined by:

$$P = \left( c_4 + c_3 \int_0^t e^{-s^2/2} ds \right) e^{t^2/2} d + c_1 \int_0^t e^{-s^2/2} ds + c_2, \quad c_1, \dots, c_4 \in \mathbb{Q}.$$

If  $M$  is a simple left  $D$ -module (see 9 of Definition 1) and  $f \in \text{hom}_D(M, \mathcal{F}) \setminus \{0\}$ , then we have  $\ker f = 0$ , which shows that  $f$  is injective. If  $M$  is a left  $D$ -module finitely presented by  $R \in D^{q \times p}$ , then  $R$  does not admit a strict factorization by Theorem 4. Moreover, if  $\mathcal{F} = M$ , then  $\text{im } f = M$  since  $\text{im } f$  is a non-trivial left  $D$ -submodule of  $M$ , which shows that a non-trivial  $f \in \text{end}_D(M)$  is an automorphism, i.e.,  $f \in \text{aut}_D(M)$ . This result is the so-called *Schur's lemma* stating that the endomorphism ring  $\text{end}_D(M)$  of a simple left  $D$ -module  $M$  is a *division ring* (see, e.g., McConnell and Robson (2000)).

**Example 4.** Let us show that  $M = D/(Dd_1 + Dd_2) \cong k[x_1, x_2]$  is a simple left  $D = A_2(\mathbb{Q})$ -module. If  $L$  is a non-trivial left  $D$ -submodule of  $M$  and  $z := dy \in L$ , where  $d \in D \setminus \{0\}$ ,  $y = \pi(1)$  is the generator of  $M$  and  $\pi : D \rightarrow M$  the canonical projection onto  $M$ , then we can assume without loss of generality that  $d \in k[x_1, x_2]$  since  $y$  satisfies the following relations:

$$\begin{cases} d_1 y = 0, \\ d_2 y = 0. \end{cases} \tag{18}$$

Using (18), we get  $d_i z = d_i(dy) = dd_i y + \frac{\partial d}{\partial x_i} y = \frac{\partial d}{\partial x_i} y = 0$  for  $i = 1, 2$ . Thus, there exists  $d' \in D$  such that  $y = d'z \in L$  for a certain  $d' \in D \setminus \{0\}$ , i.e.,  $L = M$ , which proves that  $M$  is a simple left  $D$ -module. Using Proposition 2.5 of Cluzeau and Quadrat (2008), we can easily prove that  $\text{aut}_D(M) = k \setminus \{0\}$ .

## 5 Decomposition problem

### 5.1 General results

The existence of a non-trivial decomposition  $M = M_1 \oplus M_2$  of a left  $D$ -module  $M$  is known to be equivalent to the existence of a non-trivial *idempotent element*  $f \in \text{end}_D(M)$ , i.e.,  $f^2 = f$ , where  $f$  is neither  $\text{id}_M$  nor 0. See, e.g., McConnell and Robson (2000); Cluzeau and Quadrat (2008).

Let us state a characterization of an idempotent element of  $\text{end}_D(M)$ .

**Lemma 4** (Cluzeau and Quadrat (2008)). *Let  $M = D^{1 \times p}/(D^{1 \times q}R)$  be the left  $D$ -module finitely presented by  $R \in D^{q \times p}$  and  $R_2 \in D^{r \times q}$  a matrix such that  $\ker_D(\cdot R) = \text{im}_D(\cdot R_2)$ . Then,  $f \in \text{end}_D(M)$ , defined by a matrix  $P \in D^{p \times p}$  satisfying  $RP = QR$  for a certain  $Q \in D^{q \times q}$ , is an idempotent element of  $\text{end}_D(M)$ , i.e.,  $f^2 = f$ , if and only if there exists  $Z \in D^{p \times q}$  such that:*

$$P^2 = P + ZR. \tag{19}$$

Then, there exists a matrix  $Z' \in D^{q \times r}$  such that:

$$Q^2 = Q + RZ + Z'R_2.$$

In particular, if  $R \in D^{q \times p}$  has full row rank, then we have  $Q^2 = Q + RZ$ .

An algorithm for the computation of idempotents of  $\text{end}_D(M)$  is given in Algorithm 4.1 of Cluzeau and Quadrat (2008).

If  $f^2 = f \in \text{end}_D(M)$ , then we have  $M = \ker f \oplus \text{im } f$ . Indeed, we have  $m = f(m) + (m - f(m))$  for all  $m \in M$ , where  $m - f(m) \in \ker f$ .

Let us now generalize Lemma 4.4 of Cluzeau and Quadrat (2008).

**Lemma 5.** Let  $R \in D^{q \times p}$ ,  $\ker_D(\cdot R) = \text{im}_D(\cdot R_2)$ ,  $\ker_D(\cdot R_2) = \text{im}_D(\cdot R_3)$ ,  $M = D^{1 \times p}/(D^{1 \times q} R)$  and  $f \in \text{end}_D(M)$  an idempotent defined by  $P \in D^{p \times p}$  satisfying  $P^2 = P + Z R$  and  $R P = Q R$  for a certain matrix  $Z \in D^{p \times q}$  and a matrix  $Q$  necessarily of the form  $Q^2 = Q + R Z + Z' R_2$  for a certain matrix  $Z' \in D^{q \times r}$ . Moreover, let  $S \in D^{r \times r}$  be such that  $R_2 Q = S R_2$ . If there exist  $\Delta \in D^{p \times q}$ ,  $\Delta_2 \in D^{q \times r}$ ,  $U \in D^{p \times r}$  and  $V \in D^{q \times s}$  such that

$$\begin{cases} \Delta R \Delta + (P - I_p) \Delta + \Delta Q + Z = U R_2, \\ \Delta_2 R_2 \Delta_2 + (Q - I_q + R \Delta) \Delta_2 + \Delta_2 S + R U + Z' = V R_3, \end{cases} \quad (20)$$

then the matrices defined by  $\bar{P} := P + \Delta R$  and  $\bar{Q} := Q + R \Delta + \Delta_2 R_2$  satisfy  $R \bar{P} = \bar{Q} R$ ,  $\bar{P}^2 = \bar{P}$ ,  $\bar{Q}^2 = \bar{Q}$  and  $f(\pi(\lambda)) = \pi(\lambda \bar{P})$  for all  $\lambda \in D^{1 \times p}$ .

If  $R$  has full row rank, then (20) reduces to the following algebraic Riccati equation:

$$\Delta R \Delta + (P - I_p) \Delta + \Delta Q + Z = 0. \quad (21)$$

*Proof.* Considering  $\bar{P} := P + \Delta R$ , we can check that

$$\bar{P}^2 - \bar{P} = (\Delta R \Delta + (P - I_p) \Delta + \Delta Q + Z) R,$$

which shows that  $\bar{P}^2 = \bar{P}$  if and only if the first equation of (20) holds for a certain  $U \in D^{p \times r}$ . Now, using the first equation of (20), we can check that the matrix  $\bar{Q} := Q + R \Delta + \Delta_2 R_2$  satisfies

$$\begin{aligned} \bar{Q}^2 - \bar{Q} &= R(\Delta R \Delta + (P - I_p) \Delta + \Delta Q + Z) + (\Delta_2 R_2 \Delta_2 + (Q - I_q + R \Delta) \Delta_2 + \Delta_2 S + Z') R_2 \\ &= (\Delta_2 R_2 \Delta_2 + (Q - I_q + R \Delta) \Delta_2 + \Delta_2 S + R U + Z') R_2, \end{aligned}$$

and thus  $\bar{Q}^2 = \bar{Q}$  if and only if the second equation of (20) holds for a certain  $V \in D^{q \times s}$ . Finally, (20) reduces to (21) when  $R$  has full row rank.  $\square$

**Remark 2.** If  $D$  is a polynomial ring over a computational field  $k$ , then a solution  $\Delta \in D^{p \times q}$  of the first equation of (20) can be obtained by considering an ansatz for  $\Delta$  for a fixed total degree and by solving the quadratic equations in the parameters of the ansatz so that all the normal forms of the rows of  $\Delta R \Delta + (P - I_p) \Delta + \Delta Q + Z$  with respect of a Gröbner basis of the  $D$ -module  $D^{1 \times r} R_2$  reduce to zero. In this way, we can obtain a solution  $\Delta$  of the first equation of (20) for a certain  $U \in D^{p \times r}$ . Then, the second equation of (20) can be solved by considering an ansatz for  $\Delta_2$  for a fixed total degree and by solving the quadratic equations in the parameters of the ansatz so that all the normal forms of the rows of  $\Delta_2 R_2 \Delta_2 + (Q - I_q + R \Delta) \Delta_2 + \Delta_2 S + R U + Z'$  with respect of a Gröbner basis of the  $D$ -module  $D^{1 \times s} R_3$  reduce to zero. We can get a solution  $\Delta_2$  of the second equation of (20) for a certain  $V \in D^{q \times s}$ .

The interest of defining an idempotent  $f$  of  $\text{end}_D(M)$  by two idempotent matrices  $\bar{P} \in D^{p \times p}$  and  $\bar{Q} \in D^{q \times q}$  (i.e., two *projectors*) is that the left  $D$ -modules  $\ker_D(\cdot \bar{P})$ ,  $\text{im}_D(\cdot \bar{P})$ ,  $\ker_D(\cdot \bar{Q})$  and  $\text{im}_D(\cdot \bar{Q})$  then satisfy

$$\begin{cases} D^{1 \times p} = \ker_D(\cdot \bar{P}) \oplus \text{im}_D(\cdot \bar{P}), \\ D^{1 \times q} = \ker_D(\cdot \bar{Q}) \oplus \text{im}_D(\cdot \bar{Q}), \end{cases} \quad (22)$$

which shows that  $\ker_D(\cdot \bar{P})$ ,  $\text{im}_D(\cdot \bar{P})$ ,  $\ker_D(\cdot \bar{Q})$  and  $\text{im}_D(\cdot \bar{Q})$  are finitely generated projective left  $D$ -modules (see 3 of Definition 1). In this case, we also have  $\ker_D(\cdot \bar{P}) = \text{im}_D(\cdot (I_p - \bar{P}))$  and  $\text{im}_D(\cdot \bar{P}) = \ker_D(\cdot (I_p - \bar{P}))$  and similarly with  $\bar{Q}$ .

Let us state again two standard results of homological algebra that will be used in what follows.

**Proposition 2** (Rotman (2009)). Let  $0 \longrightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \longrightarrow 0$  be a short exact sequence. Then, the following assertions are equivalent:

1. There exists  $u \in \text{hom}_D(M'', M)$  such that  $g \circ u = \text{id}_{M''}$ .
2. There exists  $v \in \text{hom}_D(M, M')$  such that  $v \circ f = \text{id}_{M'}$ .

3. There exist  $u \in \text{hom}_D(M'', M)$  and  $v \in \text{hom}_D(M, M')$  such that  $f \circ v + u \circ g = \text{id}_M$ .
4. We have  $M \cong M' \oplus M''$ , where the isomorphism is defined by  $(f \ u) : M' \oplus M'' \rightarrow M$  and  $(v^T \ g^T)^T : M \rightarrow M' \oplus M''$ , with  $u$  and  $v$  defined as above, i.e.:

$$(f \ u) \circ \begin{pmatrix} v \\ g \end{pmatrix} = \text{id}_M, \quad \begin{pmatrix} v \\ g \end{pmatrix} \circ (f \ u) = \text{id}_{M' \oplus M''}. \quad (23)$$

The short exact sequence is then said to split or is a split short exact sequence, which is denoted by:

$$0 \longrightarrow M' \begin{matrix} \xrightarrow{f} \\ \xleftarrow{v} \end{matrix} M \begin{matrix} \xrightarrow{g} \\ \xleftarrow{u} \end{matrix} M'' \longrightarrow 0. \quad (24)$$

**Proposition 3** (Rotman (2009)). *If  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$  is a short exact sequence and  $M''$  is a projective left  $D$ -module, then the exact sequence splits, i.e.  $M \cong M' \oplus M''$ .*

**Example 5.** Let us suppose that the left  $D$ -module  $M = D^{1 \times p}/(D^{1 \times q} R)$  is free of rank  $m$ . Then, composing the left  $D$ -isomorphism  $\iota : M \rightarrow D^{1 \times m}$  with  $\pi \in \text{hom}_D(D^{1 \times p}, M)$  defined by the finite presentation  $0 \rightarrow \text{im}_D(.R) \xrightarrow{i} D^{1 \times p} \xrightarrow{\pi} M \rightarrow 0$  of  $M$ , we obtain the short exact sequence

$0 \rightarrow \text{im}_D(.R) \xrightarrow{i} D^{1 \times p} \xrightarrow{.Q} D^{1 \times m} \rightarrow 0$ , where the matrix  $Q := (Q_{1\bullet}^T \ \dots \ Q_{p\bullet}^T)^T \in D^{p \times m}$  is defined by  $Q_{j\bullet} = (\iota \circ \pi)(f_j) \in D^{1 \times m}$  for  $j = 1, \dots, p$  and  $\{f_j\}_{j=1, \dots, p}$  is the standard basis of  $D^{1 \times p}$ , i.e.,  $\iota \circ \pi = .Q$ . Using Proposition 3, the above exact sequence splits, and thus there exists  $T \in D^{m \times p}$  such that  $TQ = I_m$ . Hence, if  $M$  is free of rank  $m$ , then there exist  $Q \in D^{p \times m}$  and  $T \in D^{m \times p}$  such that  $\ker_D(.Q) = \text{im}_D(.R)$  and  $TQ = I_m$ . Conversely, if such matrices exist, then the above short exact sequence holds, which shows that  $M = \text{coker } i \cong D^{1 \times m}$ , i.e., that  $M$  is a free left  $D$ -module of rank  $m$ . For more details, see Chyzak et al. (2005); Fabiańska and Quadrat (2007); Quadrat and Robertz (2007a).

We now recall Theorem 4.2 of Cluzeau and Quadrat (2008).

**Theorem 5** (Cluzeau and Quadrat (2008)). *Let  $M = D^{1 \times p}/(D^{1 \times q} R)$  be the left  $D$ -module finitely presented by  $R \in D^{q \times p}$  and  $f \in \text{end}_D(M)$  an idempotent, i.e.,  $f^2 = f$ , defined by two idempotent matrices  $P \in D^{p \times p}$  and  $Q \in D^{q \times q}$  satisfying the relations  $RP = QR$ ,  $P^2 = P$  and  $Q^2 = Q$ . If the finitely generated projective left  $D$ -modules  $\ker_D(.P)$ ,  $\text{im}_D(.P)$ ,  $\ker_D(.Q)$  and  $\text{im}_D(.Q)$  are free of rank  $m$ ,  $p - m = \text{trace}(P)$ ,  $l, q - l = \text{trace}(Q)$ , then there exist four matrices  $U_1 \in D^{m \times p}$ ,  $U_2 \in D^{(p-m) \times p}$ ,  $V_1 \in D^{l \times q}$  and  $V_2 \in D^{(q-l) \times q}$  satisfying*

1.  $U := (U_1^T \ U_2^T)^T \in \text{GL}_p(D)$ ,  $V := (V_1^T \ V_2^T)^T \in \text{GL}_q(D)$ ,
2.  $\bar{R} := V R U^{-1} = \begin{pmatrix} V_1 R W_1 & 0 \\ 0 & V_2 R W_2 \end{pmatrix} \in D^{q \times p}$ , where  $U^{-1} := (W_1 \ W_2)$ ,  $W_1 \in D^{p \times m}$  and  $W_2 \in D^{p \times (p-m)}$ .

*In particular, the full row rank matrix  $U_1$  (resp.,  $U_2, V_1, V_2$ ) defines a basis of the free left  $D$ -module  $\ker_D(.P)$  (resp.,  $\text{im}_D(.P), \ker_D(.Q), \text{im}_D(.Q)$ ) of rank  $m$  (resp.,  $p - m, l, q - l$ ), i.e., we have:*

$$\begin{cases} \ker_D(.P) = \text{im}_D(.U_1), \\ \text{im}_D(.P) = \text{im}_D(.U_2), \\ \ker_D(.Q) = \text{im}_D(.V_1), \\ \text{im}_D(.Q) = \text{im}_D(.V_2). \end{cases} \quad (25)$$

*If  $V^{-1} := (X_1 \ X_2)$ , where  $X_1 \in D^{q \times l}$  and  $X_2 \in D^{(q-l) \times q}$ , then we have the following diagram formed by horizontal split exact sequences, vertical exact sequences and whose squares commute in both directions:*

$$\begin{array}{ccccccc}
0 & \longrightarrow & D^{1 \times l} & \xrightleftharpoons[\cdot X_1]{\cdot V_1} & D^{1 \times q} & \xrightleftharpoons[\cdot V_2]{\cdot X_2} & D^{1 \times (q-l)} & \longrightarrow & 0 \\
& & \downarrow \cdot V_1 R W_1 & & \downarrow \cdot R & & \downarrow \cdot V_2 R W_2 & & \\
0 & \longrightarrow & D^{1 \times m} & \xrightleftharpoons[\cdot W_1]{\cdot U_1} & D^{1 \times p} & \xrightleftharpoons[\cdot U_2]{\cdot W_2} & D^{1 \times (p-m)} & \longrightarrow & 0 \\
& & \downarrow \tau & & \downarrow \pi & & \downarrow \sigma & & \\
0 & \longrightarrow & \ker f & \xrightleftharpoons[\text{id}_M - f]{i} & M & \xrightleftharpoons[f|_{\text{im } f}]{f} & \text{im } f & \longrightarrow & 0 \\
& & \downarrow & & \downarrow & & \downarrow & & \\
& & 0 & & 0 & & 0 & & 
\end{array} \tag{26}$$

In particular, we have  $M = \ker f \oplus \text{im } f$ , where

$$\begin{cases} \ker f \cong D^{1 \times m} / (D^{1 \times l} (V_1 R W_1)), \\ \text{im } f \cong D^{1 \times (p-m)} / (D^{1 \times (q-l)} (V_2 R W_2)), \end{cases}$$

i.e., the first (resp., second) diagonal block of  $\bar{R}$  corresponds to  $\ker f$  (resp.,  $\text{im } f$ ) up to an isomorphism.

For rings  $D$  and modules satisfying the conditions of Theorem 2, the projective left  $D$ -modules  $\ker_D(\cdot P)$ ,  $\text{im}_D(\cdot P)$ ,  $\ker_D(\cdot Q)$  and  $\text{im}_D(\cdot Q)$  satisfying (22) are free of finite rank.

We now prove the converse of Theorem 5.

**Theorem 6.** A matrix  $R \in D^{q \times p}$  is equivalent to a block-diagonal matrix  $\bar{R} \in D^{q \times p}$ , i.e., there exist  $U \in \text{GL}_p(D)$  and  $V \in \text{GL}_q(D)$  such that

$$\bar{R} := V R U^{-1} = \begin{pmatrix} \bar{R}_1 & 0 \\ 0 & \bar{R}_2 \end{pmatrix}, \quad \bar{R}_1 \in D^{l \times m}, \quad \bar{R}_2 \in D^{(q-l) \times (p-m)}, \tag{27}$$

if and only if there exist two idempotent matrices  $P \in D^{p \times p}$  and  $Q \in D^{q \times q}$ , i.e.,  $P^2 = P$ ,  $Q^2 = Q$ , satisfying  $R P = Q R$  and such that the projective left  $D$ -modules  $\ker_D(\cdot P)$ ,  $\text{im}_D(\cdot P)$ ,  $\ker_D(\cdot Q)$  and  $\text{im}_D(\cdot Q)$  are free of rank respectively  $m$ ,  $p - m$ ,  $l$ , and  $q - l$ . We then have  $\ker_D(\cdot P) = D^{1 \times m} U_1$ ,  $\text{im}_D(\cdot P) = D^{1 \times (p-m)} U_2$ ,  $\ker_D(\cdot Q) = D^{1 \times l} V_1$  and  $\text{im}_D(\cdot Q) = D^{1 \times (q-l)} V_2$ , where  $U := (U_1^T \ U_2^T)^T$ ,  $U_1 \in D^{m \times p}$  and  $U_2 \in D^{(p-m) \times p}$ , and  $V = (V_1^T \ V_2^T)^T$ ,  $V_1 \in D^{l \times q}$  and  $V_2 \in D^{(q-l) \times q}$ .

*Proof.* Let us suppose that  $R$  is equivalent to the matrix  $\bar{R}$  defined by (27). We can check that the matrices  $\bar{P} \in D^{p \times p}$  and  $\bar{Q} \in D^{q \times q}$  defined by

$$\bar{P} := \begin{pmatrix} 0 & 0 \\ 0 & I_{p-m} \end{pmatrix}, \quad \bar{Q} := \begin{pmatrix} 0 & 0 \\ 0 & I_{q-l} \end{pmatrix}$$

satisfy  $\bar{P}^2 = \bar{P}$ ,  $\bar{Q}^2 = \bar{Q}$ , and  $\bar{R} \bar{P} = \bar{Q} \bar{R}$ . Now, if  $\lambda = (\lambda_1 \ \lambda_2) \in \ker_D(\cdot \bar{P})$ , where  $\lambda_1 \in D^{1 \times m}$ ,  $\lambda_2 \in D^{1 \times (p-m)}$ , then we get  $\lambda_2 = 0$ , i.e.,  $(\lambda_1 \ 0) \in \ker_D(\cdot \bar{P})$ , which proves that  $\ker_D(\cdot \bar{P}) = D^{1 \times m} (I_m \ 0)$  and, since  $(I_m \ 0)$  has full row rank,  $\ker_D(\cdot \bar{P})$  is a free left  $D$ -module of rank  $m$ . Similarly,  $\ker_D(\cdot \bar{Q})$  (resp.,  $\ker_D(\cdot (I_p - \bar{P}))$ ,  $\ker_D(\cdot (I_q - \bar{Q}))$ ) is a free left  $D$ -module of rank  $l$  (resp.,  $p - m$ ,  $q - l$ ). Now, if we set  $P := U^{-1} \bar{P} U$  and  $Q := V^{-1} \bar{Q} V$ , then we can easily check that  $R P = Q R$ ,  $P^2 = P$  and  $Q^2 = Q$ . Moreover, we have  $\ker_D(\cdot P) = \ker_D(\cdot \bar{P}) U$ ,  $\text{im}_D(\cdot P) = \text{im}_D(\cdot \bar{P}) U$ ,  $\ker_D(\cdot Q) = \ker_D(\cdot \bar{Q}) V$  and  $\text{im}_D(\cdot Q) = \text{im}_D(\cdot \bar{Q}) V$ , and since  $U \in \text{GL}_p(D)$  and  $V \in \text{GL}_q(D)$ , we obtain that  $\ker_D(\cdot P)$ ,  $\text{im}_D(\cdot P)$ ,  $\ker_D(\cdot Q)$  and  $\text{im}_D(\cdot Q)$  are free left  $D$ -modules of rank respectively  $m$ ,  $p - m$ ,  $l$ , and  $q - l$ . Finally, if we note  $U := (U_1^T \ U_2^T)^T$ , where  $U_1 \in D^{m \times p}$  and  $U_2 \in D^{(p-m) \times p}$ , and  $V := (V_1^T \ V_2^T)^T$ , where  $V_1 \in D^{l \times q}$  and  $V_2 \in D^{(q-l) \times q}$ , then we get  $\ker_D(\cdot P) = D^{1 \times m} (I_m \ 0) U = D^{1 \times m} U_1$ ,  $\text{im}_D(\cdot P) = D^{1 \times (p-m)} (0 \ I_{p-m}) U = D^{1 \times (p-m)} U_2$ ,  $\ker_D(\cdot Q) = D^{1 \times l} (I_l \ 0) V = D^{1 \times l} V_1$  and  $\text{im}_D(\cdot Q) = D^{1 \times (q-l)} (0 \ I_{q-l}) V = D^{1 \times (q-l)} V_2$ .  $\square$

Finally, we show that Theorems 5 and 6 are particular instances of the following more general result which proves that the diagram (26) is a particular instance of the diagram (28) below.

**Theorem 7.** *The following results are equivalent:*

1. *The following diagram, formed by horizontal split exact sequences and vertical exact sequences, commutes in both directions:*

$$\begin{array}{ccccccc}
0 & \longrightarrow & D^{1 \times l} & \xrightleftharpoons[\cdot X_1]{\cdot V_1} & D^{1 \times q} & \xrightleftharpoons[\cdot V_2]{\cdot X_2} & D^{1 \times (q-l)} & \longrightarrow & 0 \\
& & \cdot R' \downarrow & & \cdot R \downarrow & & \cdot R'' \downarrow & & \\
0 & \longrightarrow & D^{1 \times m} & \xrightleftharpoons[\cdot W_1]{\cdot U_1} & D^{1 \times p} & \xrightleftharpoons[\cdot U_2]{\cdot W_2} & D^{1 \times (p-m)} & \longrightarrow & 0 \\
& & \pi' \downarrow & & \pi \downarrow & & \pi'' \downarrow & & \\
0 & \longrightarrow & M' & \xrightleftharpoons[v]{f} & M & \xrightleftharpoons[u]{g} & M'' & \longrightarrow & 0 \\
& & \downarrow & & \downarrow & & \downarrow & & \\
& & 0 & & 0 & & 0 & & 
\end{array} \tag{28}$$

2. *There exist matrices  $V \in \mathrm{GL}_q(D)$  and  $W \in \mathrm{GL}_p(D)$  such that:*

$$V R W = \begin{pmatrix} R' & 0 \\ 0 & R'' \end{pmatrix}. \tag{29}$$

With the notations (28) and (29), the unimodular matrices  $V$  and  $W$  can be defined by

$$V := (V_1^T \ V_2^T)^T, \quad V^{-1} = X := (X_1 \ X_2), \quad W := (W_1 \ W_2), \quad W^{-1} = U := (U_1^T \ U_2^T)^T \tag{30}$$

and we have  $R' = V_1 R W_1$  and  $R'' = V_2 R W_2$ .

*Proof.* 1  $\Rightarrow$  2. Since the first and the second horizontal exact sequences of (28) split, using (23), the matrices defined by  $V := (V_1^T \ V_2^T)^T \in D^{q \times q}$ ,  $X := (X_1 \ X_2) \in D^{q \times q}$ ,  $U := (U_1^T \ U_2^T)^T \in D^{p \times p}$  and  $W := (W_1 \ W_2) \in D^{p \times p}$  satisfy  $V X = X V = I_q$  and  $U W = W U = I_p$ , which shows that  $V \in \mathrm{GL}_q(D)$ ,  $X = V^{-1}$ ,  $U \in \mathrm{GL}_p(D)$  and  $W = U^{-1}$ . Moreover, we have:

$$V R W = \begin{pmatrix} V_1 R W_1 & V_1 R W_2 \\ V_2 R W_1 & V_2 R W_2 \end{pmatrix}. \tag{31}$$

Using the commutativity of (28) in the both directions, we have the relations  $V_1 R = R' U_1$ ,  $V_2 R = R'' U_2$ ,  $X_1 R' = R W_1$  and  $X_2 R'' = R W_2$ . Using the identities  $U_1 W_1 = I_m$ ,  $U_2 W_2 = I_{p-m}$ ,  $U_1 W_2 = 0$  and  $U_2 W_1 = 0$ , we obtain

$$\begin{cases} V_1 R W_1 = R' U_1 W_1 = R', \\ V_2 R W_2 = R'' U_2 W_2 = R'', \\ V_1 R W_2 = R' U_1 W_2 = 0, \\ V_2 R W_1 = R'' U_2 W_1 = 0, \end{cases}$$

which finally proves 2.

2  $\Rightarrow$  1. Using (23) and the notations  $V = (V_1^T \ V_2^T)^T$ , where  $V_1 \in D^{l \times q}$ ,  $V_2 \in D^{(q-l) \times q}$ , and  $W = (W_1 \ W_2)$ , where  $W_1 \in D^{p \times m}$  and  $W_2 \in D^{p \times (p-m)}$ , the facts that  $V \in \mathrm{GL}_q(D)$  and  $W \in \mathrm{GL}_p(D)$  are equivalent to the first two horizontal splits exact sequences of (28), where  $X := V^{-1} = (X_1 \ X_2)$ ,  $U := W^{-1} = (U_1^T \ U_2^T)^T$ ,  $X_1 \in D^{q \times l}$ ,  $X_2 \in D^{q \times (q-l)}$ ,  $U_1 \in D^{m \times p}$  and  $U_2 \in D^{(p-m) \times p}$ . Using (29) and (31), we get  $R' = V_1 R W_1$ ,  $R'' = V_2 R W_2$ ,  $V_1 R W_2 = 0$  and  $V_2 R W_1 = 0$ . Using the identity  $W_1 U_1 + W_2 U_2 = I_p$ , we get  $R' U_1 = V_1 R (W_1 U_1) = V_1 R (I_p - W_2 U_2) = V_1 R$ . Similarly, we have  $R'' U_2 = V_2 R (W_2 U_2) = V_2 R (I_p - W_1 U_1) = V_2 R$ . Now,  $V_1 R W_2 = 0$  yields  $X_1 V_1 R W_2 = 0$  which, combines



with the identity  $X_1 V_1 + X_2 V_2 = I_q$ , gives  $R W_2 = X_2 (V_2 R W_2) = X_2 R''$ . Similarly,  $V_2 R W_1 = 0$  yields  $X_2 V_2 R W_1 = 0$  which, combines with the identity  $X_1 V_1 + X_2 V_2 = I_q$ , gives  $R W_1 = X_1 (V_1 R W_1) = X_1 R'$ , which shows that the following diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & D^{1 \times l} & \begin{array}{c} \xleftarrow{\cdot V_1} \\ \xrightarrow{\cdot X_1} \end{array} & D^{1 \times q} & \begin{array}{c} \xleftarrow{\cdot X_2} \\ \xrightarrow{\cdot V_2} \end{array} & D^{1 \times (q-l)} & \longrightarrow & 0 \\ & & \cdot R' \downarrow & & \cdot R \downarrow & & \cdot R'' \downarrow & & \\ 0 & \longrightarrow & D^{1 \times m} & \begin{array}{c} \xleftarrow{\cdot U_1} \\ \xrightarrow{\cdot W_1} \end{array} & D^{1 \times p} & \begin{array}{c} \xleftarrow{\cdot W_2} \\ \xrightarrow{\cdot U_2} \end{array} & D^{1 \times (p-m)} & \longrightarrow & 0 \end{array}$$

is formed by horizontal splits exact sequences and commutes in both direction.

Finally, let us define  $M' := D^{1 \times m} / (D^{1 \times l} R')$ ,  $M := D^{1 \times p} / (D^{1 \times q} R)$ ,  $M'' := D^{1 \times (p-m)} / (D^{1 \times (q-l)} R'')$ ,  $\pi' : D^{1 \times m} \longrightarrow M'$ ,  $\pi : D^{1 \times p} \longrightarrow M$  and  $\pi'' : D^{1 \times (p-m)} \longrightarrow M''$  the corresponding canonical projections, and the following well-defined homomorphisms:

$$\begin{array}{llllll} f : M' & \longrightarrow & M & & g : M & \longrightarrow & M'' & & u : M'' & \longrightarrow & M & & v : M & \longrightarrow & M' \\ \pi'(\lambda') & \longmapsto & \pi(\lambda' U_1), & & \pi(\lambda) & \longmapsto & \pi''(\lambda W_2), & & \pi''(\lambda'') & \longmapsto & \pi(\lambda'' U_2), & & \pi(\lambda) & \longmapsto & \pi'(\lambda W_1). \end{array}$$

Using the identities  $U_1 W_2 = 0$ ,  $U_2 W_1 = 0$ ,  $U_1 W_1 = I_m$ ,  $U_2 W_2 = I_{p-m}$  and  $W_1 U_1 + W_2 U_2 = I_p$ , we get

$$\left\{ \begin{array}{l} (g \circ f)(\pi'(\lambda')) = \pi''(\lambda' (U_1 W_2)) = 0, \\ (v \circ u)(\pi''(\lambda'')) = \pi'(\lambda'' (U_2 W_1)) = 0, \\ (v \circ f)(\pi'(\lambda')) = \pi'(\lambda' (U_1 W_1)) = \pi'(\lambda'), \\ (g \circ u)(\pi''(\lambda'')) = \pi''(\lambda'' (U_2 W_2)) = \pi''(\lambda''), \\ (\text{id}_M - f \circ v - u \circ g)(\pi(\lambda)) = \pi(\lambda (I_p - W_1 U_1 - W_2 U_2)) = 0, \end{array} \right.$$

i.e.,  $g \circ f = 0$ ,  $v \circ u = 0$ ,  $v \circ f = \text{id}_{M'}$ ,  $g \circ u = \text{id}_{M''}$  and  $f \circ v + u \circ g = \text{id}_M$ , which shows that  $f$  and  $u$  are injective,  $g$  and  $v$  are surjective,  $\text{im } f \subseteq \ker g$  and  $\text{im } u \subseteq \ker v$ . If  $m \in \ker g$  (resp.,  $m \in \ker v$ ), using the identity  $f \circ v + u \circ g = \text{id}_M$ , we then get  $m = f(v(m)) \in \text{im } f$  (resp.,  $m = u(g(m)) \in \text{im } u$ ), which shows that  $\ker g = \text{im } f$  and  $\ker v = \text{im } u$ , and thus that (24) is a split exact sequence, which proves 1.  $\square$

**Remark 3.** If  $D$  is a commutative ring and  $M$  a decomposable  $D$ -module, then so is the  $D$ -module  $\text{end}_D(M)$ . Indeed, if  $D$  is a commutative ring and  $M$  a decomposable  $D$ -module, i.e.,  $M = M_1 \oplus M_2$  where  $M_1$  and  $M_2$  are two non-trivial  $D$ -modules, i.e.,  $M_i \neq 0$  for  $i = 1, 2$ , then we get

$$\begin{aligned} \text{end}_D(M) &= \text{hom}_D(M_1 \oplus M_2, M_1 \oplus M_2), \\ &= \text{end}_D(M_1) \oplus \text{end}_D(M_2) \oplus \text{hom}_D(M_1, M_2) \oplus \text{hom}_D(M_2, M_1), \end{aligned}$$

by the additivity property of the  $\text{hom}_D(\cdot, \cdot)$  bifunctor for the category of  $D$ -modules (Rotman (2009)). Since the rings  $\text{end}_D(M_1)$  and  $\text{end}_D(M_2)$  respectively contain  $\text{id}_{M_1}$  and  $\text{id}_{M_2}$ , they are non-trivial, which shows that the  $D$ -module  $\text{end}_D(M)$  is decomposable. In other words, if  $\text{end}_D(M)$  is indecomposable as a  $D$ -module, then so is  $M$ . This result can sometimes be used to prove that a finitely generated module over a commutative ring  $D$  is indecomposable.

## 5.2 The decomposition problem with an identity diagonal block

We now consider the case where one of the diagonal blocks of (27) is the identity matrix, say, for instance,  $\bar{R}_1$ . In this case, the linear system  $\ker_{\mathcal{F}}(R)$  is then equivalent to the linear system  $\ker_{\mathcal{F}}(\bar{R}_2)$  defined by fewer unknowns and fewer equations. Such a reduction is called *Serre's reduction* of  $\ker_{\mathcal{F}}(R)$  (Boudellioua and Quadrat (2010)). This problem will also be studied in Section 6 by means of different techniques than the ones developed in this section and we shall compare them.

Given a matrix  $R \in D^{q \times p}$  and the left  $D$ -module  $M$  finitely presented by  $R$ , the endomorphism ring  $\text{end}_D(M)$  always contains the two trivial idempotents, namely:

1.  $f = \text{id}_M$  defined by  $P = I_p$  and  $Q = I_q$ ,

2.  $f = 0_M$  defined by  $P = 0_p$  and  $Q = 0_q$ .

Applying Lemma 5 to these trivial idempotents with  $Z = 0$  and  $Z' = 0$  and considering  $U = 0$ ,  $V = 0$  and  $\Delta_2 = 0$ , we obtain the following corollary.

**Corollary 1.** *We have the following results.*

1. If  $\Delta \in D^{p \times q}$  is a solution of  $\Delta R \Delta = -\Delta$ , then  $\bar{P} := I_p + \Delta R$  and  $\bar{Q} := I_q + R \Delta$  satisfy  $R \bar{P} = \bar{Q} R$ ,  $\bar{P}^2 = \bar{P}$ ,  $\bar{Q}^2 = \bar{Q}$ , and  $f(\pi(\lambda)) = \pi(\lambda \bar{P}) = \pi(\lambda)$  for all  $\lambda \in D^{1 \times p}$ , i.e.,  $f = \text{id}_M$ .
2. If  $\Delta \in D^{p \times q}$  is a solution of  $\Delta R \Delta = \Delta$ , then  $\bar{P} := \Delta R$  and  $\bar{Q} := R \Delta$  satisfy  $R \bar{P} = \bar{Q} R$ ,  $\bar{P}^2 = \bar{P}$ ,  $\bar{Q}^2 = \bar{Q}$ , and  $f(\pi(\lambda)) = 0$  for all  $\lambda \in D^{1 \times p}$ , i.e.,  $f = 0_M$ .

**Remark 4.** If  $\Delta \in D^{p \times q}$  satisfies  $\Delta R \Delta = \Delta$ , then  $\Theta := -\Delta$  satisfies  $\Theta R \Theta = -\Theta$  and conversely. Thus, the idempotent matrices  $\bar{P}_1 := \Delta R$  and  $\bar{Q}_1 := R \Delta$  define the idempotent endomorphism  $0_M$  if and only if the idempotent matrices  $\bar{P}_2 := I_p - \Delta R$  and  $\bar{Q}_2 := I_q - R \Delta$  define the idempotent  $\text{id}_M$ . Moreover, we have  $\bar{P}_1 + \bar{P}_2 = I_p$  and  $\bar{Q}_1 + \bar{Q}_2 = I_q$ .

The next two remarks will play an important role in what follows.

**Remark 5.** Let  $\Delta \in D^{p \times q}$  satisfy  $\Delta R \Delta = -\Delta$ , i.e.,  $\Delta(-R)\Delta = \Delta$  and let us consider the following short exact sequence

$$0 \longrightarrow \text{im}_D(\Delta) \xrightarrow{i} D^{1 \times q} \xrightarrow{\gamma} \text{coker}_D(\Delta) \longrightarrow 0, \quad (32)$$

where  $i$  is the canonical inclusion and  $\gamma$  the canonical projection. If  $v \in \text{hom}_D(D^{1 \times q}, \text{im}_D(\Delta))$  is defined by  $v(\mu) = -\mu R \Delta$  for all  $\mu \in D^{1 \times q}$ , then we get  $(v \circ i)(\nu \Delta) = -\nu \Delta R \Delta = \nu \Delta$ , i.e.,  $v \circ i = \text{id}_{\text{im}_D(\Delta)}$ , which shows that the short exact sequence (32) splits by 2 of Proposition 2. By 4 of Proposition 2, this yields  $D^{1 \times q} \cong \text{im}_D(\Delta) \oplus \text{coker}_D(\Delta)$ , which proves that  $\text{coker}_D(\Delta) = D^{1 \times q} / \text{im}_D(\Delta)$  and  $\text{im}_D(\Delta)$  are two finitely generated projective left  $D$ -modules by 3 of Definition 1.

By 3 of Proposition 2, there exists  $u \in \text{hom}_D(\text{coker}_D(\Delta), D^{1 \times q})$  such that  $\text{id}_{D^{1 \times q}} = i \circ v + u \circ \gamma$ , which yields  $(u \circ \gamma)(\mu) = (\text{id}_{D^{1 \times q}} - i \circ v)(\mu) = \mu(I_q + R \Delta) = \mu \bar{Q}$ , where  $\bar{Q} := I_q + R \Delta$ . Using the fact that  $\gamma \circ u = \text{id}_{\text{coker}_D(\Delta)}$  (see 1 of Proposition 2),  $e := u \circ \gamma$  satisfies  $e^2 = u \circ (\gamma \circ u) \circ \gamma = e$ , i.e.,  $e$  is an idempotent of  $\text{end}_D(D^{1 \times q}) \cong D^{q \times q}$ , i.e.,  $\bar{Q}^2 = \bar{Q}$ .

Applying Proposition 3 to the following canonical short exact sequence

$$0 \longrightarrow \ker_D(\Delta) \xrightarrow{j} D^{1 \times p} \xrightarrow{\Delta} \text{im}_D(\Delta) \longrightarrow 0, \quad (33)$$

where  $\text{im}_D(\Delta)$  is a projective left  $D$ -module, we get  $D^{1 \times p} \cong \ker_D(\Delta) \oplus \text{im}_D(\Delta)$ , which shows that  $\ker_D(\Delta)$  is also a finitely generated projective left  $D$ -module by 3 of Definition 1. Let us explicitly describe the splitting of the exact sequence (33). If  $\alpha \in \text{hom}_D(\text{im}_D(\Delta), D^{1 \times p})$  is defined by  $\alpha(\nu \Delta) = -(\nu \Delta) R$  for all  $\nu \in D^{1 \times p}$ , then we can check that  $((\Delta) \circ \alpha)(\nu \Delta) = -\nu \Delta R \Delta = \nu \Delta$ , i.e.,  $(\Delta) \circ \alpha = \text{id}_{\text{im}_D(\Delta)}$ . By 3 of Proposition 2, there exists  $\beta \in \text{hom}_D(D^{1 \times p}, \ker_D(\Delta))$  such that  $\text{id}_{D^{1 \times p}} = j \circ \beta + \alpha \circ (\Delta)$ , which yields  $(j \circ \beta)(\lambda) = (\text{id}_{D^{1 \times p}} - \alpha \circ (\Delta))(\lambda) = \lambda(I_p + \Delta R) = \lambda \bar{P}$ , where  $\bar{P} := I_p + \Delta R$ . Using the fact that  $\beta \circ j = \text{id}_{\ker_D(\Delta)}$  (see 2 of Proposition 2),  $e' := j \circ \beta$  satisfies  $(e')^2 = j \circ (\beta \circ j) \circ \beta = e'$ , i.e.,  $e'$  is an idempotent of  $\text{end}_D(D^{1 \times p}) \cong D^{p \times p}$ , i.e.,  $\bar{P}^2 = \bar{P}$ .

**Remark 6.** Let  $\Delta \in D^{p \times q}$  satisfy  $\Delta R \Delta = \Delta$ . Using the short exact sequences (32) and (33), if  $v \in \text{hom}_D(D^{1 \times q}, \text{im}_D(\Delta))$  and  $\alpha \in \text{hom}_D(\text{im}_D(\Delta), D^{1 \times p})$  are respectively defined by  $v(\mu) = \mu R \Delta$  for all  $\mu \in D^{1 \times q}$  and by  $\alpha(\nu \Delta) = \nu \Delta R$  for all  $\nu \in D^{1 \times p}$ , then we can check that  $v \circ i = \text{id}_{\text{im}_D(\Delta)}$  and  $((\Delta) \circ \alpha)(\nu \Delta) = \text{id}_{\text{im}_D(\Delta)}$ . Then, we get that the projectors  $\bar{P} := \Delta R$  and  $\bar{Q} := R \Delta$  are such that  $i \circ v = \bar{Q}$  and  $\alpha \circ (\Delta) = \bar{P}$ . Finally, the short exact sequences (32) and (33) split, which shows that  $\text{coker}_D(\Delta)$ ,  $\text{im}_D(\Delta)$  and  $\ker_D(\Delta)$  are three finitely generated projective left  $D$ -modules.

Now, let us assume that  $\bar{P}$  and  $\bar{Q}$  define the endomorphism  $\text{id}_M$  (resp.,  $0_M$ ) and the projective left  $D$ -modules  $\ker_D(\bar{P})$ ,  $\text{im}_D(\bar{P})$ ,  $\ker_D(\bar{Q})$  and  $\text{im}_D(\bar{Q})$  are free of rank respectively  $m$ ,  $p-m$ ,  $l$ , and  $q-l$  with  $1 \leq m \leq p$  and  $1 \leq l \leq q$ . Then, Theorem 5 holds with  $\ker f = 0$  (resp.,  $\text{im} f = 0$ ). Moreover, let us assume that  $R$  has full row rank. With the notations of Theorem 5, since  $R$  has full row rank, so are  $VRU^{-1}$ ,  $V_1RW_1$  and  $V_2RW_2$ , i.e.,  $\ker_D(\cdot(V_1RW_1)) = 0$  and  $\ker_D(\cdot(V_2RW_2)) = 0$ . Thus, the commutative split exact diagram (26) provides one of the following two results:

1. If  $f = \text{id}_M$ , then we have the short exact sequence

$$0 \longrightarrow D^{1 \times l} \xrightarrow{\cdot V_1 R W_1} D^{1 \times m} \longrightarrow 0,$$

which yields  $m = l$  and  $V_1 R W_1 \in \text{GL}_m(D)$ .

2. If  $f = 0_M$ , then we have the short exact sequence

$$0 \longrightarrow D^{1 \times (q-l)} \xrightarrow{\cdot V_2 R W_2} D^{1 \times (p-m)} \longrightarrow 0,$$

which yields  $p-m = q-l$  and  $V_2 R W_2 \in \text{GL}_{p-m}(D)$ .

We thus obtain the following corollary of Theorem 5.

**Corollary 2.** *Let  $R \in D^{q \times p}$  be a full row rank matrix.*

1. *Let  $\Delta \in D^{p \times q}$  satisfy  $\Delta R \Delta = -\Delta$  and let us note  $\bar{P} := I_p + \Delta R$  and  $\bar{Q} := I_q + R \Delta$ . If the projective left  $D$ -modules  $\ker_D(\bar{P})$ ,  $\text{im}_D(\bar{P})$ ,  $\ker_D(\bar{Q})$  and  $\text{im}_D(\bar{Q})$  are free of rank respectively  $m$ ,  $p-m$ ,  $l$  and  $q-l$ , with  $1 \leq m \leq p$  and  $1 \leq l \leq q$ , then we have  $m = l$  and there exist  $V \in \text{GL}_q(D)$ ,  $W \in \text{GL}_p(D)$  and  $\bar{R}_2 \in D^{(q-l) \times (p-l)}$  such that:*

$$V R W = \begin{pmatrix} I_l & 0 \\ 0 & \bar{R}_2 \end{pmatrix}.$$

2. *Let  $\Delta \in D^{p \times q}$  satisfy  $\Delta R \Delta = \Delta$  and let us note  $\bar{P} := \Delta R$  and  $\bar{Q} := R \Delta$ . If the projective left  $D$ -modules  $\ker_D(\bar{P})$ ,  $\text{im}_D(\bar{P})$ ,  $\ker_D(\bar{Q})$  and  $\text{im}_D(\bar{Q})$  are free of rank respectively  $m$ ,  $p-m$ ,  $l$  and  $q-l$ , with  $1 \leq m \leq p$  and  $1 \leq l \leq q$ , then we have  $p-m = q-l$  and there exist  $V \in \text{GL}_q(D)$ ,  $W \in \text{GL}_p(D)$  and  $\bar{R}_1 \in D^{l \times m}$  such that:*

$$V R W = \begin{pmatrix} \bar{R}_1 & 0 \\ 0 & I_{q-l} \end{pmatrix}.$$

Theorem 2 shows that Corollary 2 holds for the different rings  $D$  of functional operators interesting for mathematical systems theory and for modules satisfying the possible rank conditions of Theorem 2.

In order to refine Corollary 2, let us now study the links between the left  $D$ -modules defined by the left kernels and the left images of the matrices  $\Delta$ ,  $\bar{P}$  and  $\bar{Q}$ .

**Proposition 4.** *Let  $\Delta \in D^{p \times q}$  satisfy  $\Delta R \Delta = -\Delta$  and let us note  $\bar{P} := I_p + \Delta R$  and  $\bar{Q} := I_q + R \Delta$ . Then, we have:*

$$\begin{cases} \text{im}_D(\bar{P}) = \ker_D(\cdot \Delta), \\ \ker_D(\bar{Q}) = \text{im}_D(\cdot \Delta), \\ \ker_D(\bar{P}) = \text{im}_D(\cdot (\Delta R)) \cong \ker_D(\bar{Q}) = \text{im}_D(\cdot \Delta), \\ \text{im}_D(\bar{Q}) = \ker_D(\cdot (R \Delta)) \cong \text{coker}_D(\cdot \Delta). \end{cases}$$

Hence,  $\ker_D(\bar{P})$  (resp.,  $\text{im}_D(\bar{P})$ ,  $\ker_D(\bar{Q})$ ,  $\text{im}_D(\bar{Q})$ ) is a free left  $D$ -module if and only if so is  $\text{im}_D(\cdot \Delta)$  (resp.,  $\ker_D(\cdot \Delta)$ ,  $\text{im}_D(\cdot \Delta)$ ,  $\text{coker}_D(\cdot \Delta)$ ).

If  $\ker_D(\Delta)$  is a free left  $D$ -module of rank  $p-l$ , i.e., if there exists a full row rank matrix  $U_2 \in D^{(p-l) \times p}$  such that  $\ker_D(\Delta) = \text{im}_D(U_2)$ , then we have  $\text{im}_D(\overline{P}) = \ker_D(\Delta) = \text{im}_D(U_2)$ . In particular, there exists a unique matrix  $Z \in D^{p \times (p-l)}$  such that:

$$\overline{P} = Z U_2. \quad (34)$$

If  $\text{im}_D(\Delta)$  is a free left  $D$ -module of rank  $l$ , i.e., if there exists a full row rank matrix  $V_1 \in D^{l \times q}$  such that  $\text{im}_D(\Delta) = \text{im}_D(V_1)$ , then there exists a unique matrix  $Y \in D^{p \times l}$  such that

$$\Delta = Y V_1, \quad (35)$$

and  $V_1 R \in D^{l \times p}$  defines a basis of  $\ker_D(\overline{P})$ , i.e.,  $\ker_D(\overline{P}) = \text{im}_D((V_1 R))$ .

If  $\text{coker}_D(\Delta)$  is a free left  $D$ -module of rank  $q-l$  and  $\Phi \in D^{(q-l) \times q}$  is a full row rank matrix such that  $\{\gamma(\Phi_{i\bullet})\}_{i=1, \dots, q-l}$  is a basis of  $\text{coker}_D(\Delta)$ , then  $\text{im}_D(\overline{Q}) = \text{im}_D((\Phi \overline{Q}))$ , i.e.,  $\Phi \overline{Q} \in D^{(q-l) \times q}$  defines a basis of  $\text{im}_D(\overline{Q})$ . Finally, there exists  $\Psi \in D^{q \times (q-l)}$  such that

$$\overline{Q} = \Psi \Phi \overline{Q}, \quad (36)$$

and  $\Psi$  can be chosen to be an injective parametrization of  $\text{coker}_D(\Delta)$ , namely:

$$\ker_D(\Psi) = \text{im}_D(\Delta), \quad \Phi \Psi = I_{q-l}.$$

*Proof.* By Remark 5, we have  $\overline{P} = j \circ \beta$  and  $\overline{Q} = u \circ \gamma$ . Thus, we get  $\ker_D(\overline{Q}) = \ker(u \circ \gamma) = \ker \gamma = \text{im } i = \text{im}_D(\Delta)$  since  $u$  is injective and  $i$  is the canonical inclusion. We also have  $\ker_D(\overline{P}) = \ker(j \circ \beta) = \ker \beta = \text{im } \alpha = \text{im}_D((\Delta R))$  since  $j$  is injective. Using the fact that  $\alpha$  is injective, we have  $\text{im } \alpha \cong \text{im}_D(\Delta) = \ker_D(\overline{Q})$ , which shows that  $\ker_D(\overline{P}) \cong \ker_D(\overline{Q})$ , where the isomorphism  $\phi : \ker_D(\overline{Q}) \rightarrow \ker_D(\overline{P})$  is defined by  $\phi(\theta) = -\theta R$  and  $\phi^{-1} : \ker_D(\overline{P}) \rightarrow \ker_D(\overline{Q})$  is defined by  $\phi^{-1}(\lambda) = \lambda \Delta$ . Moreover, we have  $\text{im}_D(\overline{P}) = \text{im}(j \circ \beta) = \text{im } j = \ker_D(\Delta)$  since  $\beta$  is surjective and  $j$  is the canonical inclusion. We also have  $\text{im}_D(\overline{Q}) = \text{im}(u \circ \gamma) = \text{im } u = \ker v = \ker_D((R \Delta))$  since  $\gamma$  is surjective. Using the fact that  $u$  is injective, we get  $\text{im } u \cong \text{coker}_D(\Delta)$ , and thus we obtain  $\text{im}_D(\overline{Q}) \cong \text{coker}_D(\Delta)$ , where the isomorphism  $u : \text{coker}_D(\Delta) \rightarrow \text{im}_D(\overline{Q})$  is defined by  $u(\gamma(\mu)) = \mu \overline{Q}$  for all  $\mu \in D^{1 \times q}$  (which is well-defined since  $\gamma(\mu) = \gamma(\mu')$  yields  $\mu = \mu' + \lambda \Delta$  for a certain  $\lambda \in D^{1 \times p}$  and thus  $\mu \overline{Q} = \mu' \overline{Q} + \lambda \Delta \overline{Q} = \mu' \overline{Q}$  since  $\Delta \overline{Q} = 0$ ) and  $u^{-1} : \text{im}_D(\overline{Q}) \rightarrow \text{coker}_D(\Delta)$  is defined by  $u^{-1}(\mu \overline{Q}) = \gamma(\mu)$  for all  $\mu \in D^{1 \times q}$ .

The second point is just a straightforward consequence of the above results.

If  $\ker_D(\Delta)$  is a free left  $D$ -module of rank  $p-l$ , i.e., if there exists a full row rank matrix  $U_2 \in D^{(p-l) \times p}$  such that  $\ker_D(\Delta) = \text{im}_D(U_2)$ , then we have  $\text{im}_D(\overline{P}) = \ker_D(\Delta) = \text{im}_D(U_2)$ , which shows that there exists a matrix  $Z \in D^{p \times (p-l)}$  such that (34). The matrix  $Z$  is unique since  $U_2$  has full row rank.

If  $\text{im}_D(\Delta)$  is a free left  $D$ -module of rank  $l$ , i.e., if there exists a full row rank matrix  $V_1 \in D^{l \times q}$  such that  $\text{im}_D(\Delta) = \text{im}_D(V_1)$ , then there exists a matrix  $Y \in D^{p \times l}$  such that (35). The matrix  $Y$  is unique since  $V_1$  has full row rank. Moreover, using  $\phi$ , we obtain that  $V_1 R \in D^{l \times p}$  is a basis of  $\ker_D(\overline{P})$ .

If  $\text{coker}_D(\Delta)$  is a free left  $D$ -module of rank  $q-l$ , then there exist  $\Psi \in D^{q \times (q-l)}$  and  $\Phi \in D^{(q-l) \times q}$  such that  $\ker_D(\Psi) = \text{im}_D(\Delta)$  and  $\Phi \Psi = I_{q-l}$  (see Example 5), i.e., such that we have the following commutative exact sequence

$$\begin{array}{ccccccc} D^{1 \times p} & \xrightarrow{\Delta} & D^{1 \times q} & \xrightarrow{\gamma} & \text{coker}_D(\Delta) & \longrightarrow & 0 \\ \parallel & & \parallel & & \downarrow \iota & & \\ D^{1 \times p} & \xrightarrow{\Delta} & D^{1 \times q} & \xrightarrow[\Phi]{\Psi} & D^{1 \times (q-l)} & \longrightarrow & 0, \end{array} \quad (37)$$

where the isomorphism  $\iota \in \text{hom}_D(\text{coker}_D(\Delta), D^{1 \times (q-l)})$  is defined by  $\iota(\gamma(\mu)) = \mu \overline{Q}$  for all  $\mu \in D^{1 \times q}$  and  $\iota^{-1}(\theta) = \gamma(\theta \Phi)$  for all  $\theta \in D^{1 \times (q-l)}$ . The isomorphism  $u \circ \iota^{-1} : D^{1 \times (q-l)} \rightarrow \text{im}_D(\overline{Q})$  is then defined by  $(u \circ \iota^{-1})(\theta) = \theta (\Phi \overline{Q})$ , which yields  $\text{im}_D(\overline{Q}) = \text{im}_D((\Phi \overline{Q}))$ , i.e.,  $\Phi \overline{Q}$  defines a basis of  $\text{im}_D(\overline{Q})$ . Finally, using  $\Phi \Psi = I_{q-l}$  (see (37)), we have  $(I_q - \Psi \Phi) \Psi = 0$ , which yields  $\text{im}_D((I_q - \Psi \Phi)) \subseteq \ker_D(\Psi) = \text{im}_D(\Delta)$ , and thus there exists  $\Omega \in D^{q \times p}$  such that  $I_q - \Psi \Phi = \Omega \Delta$ , i.e.,  $\Psi \Phi + \Omega \Delta = I_q$ . Finally, combining this identity with the fact that  $\Delta \overline{Q} = \Delta + \Delta R \Delta = 0$ , we get  $\overline{Q} = (\Psi \Phi + \Omega \Delta) \overline{Q} = \Psi \Phi \overline{Q}$ .  $\square$

Similarly, we have the following results.

**Proposition 5.** *Let  $\Delta \in D^{p \times q}$  satisfy  $\Delta R \Delta = \Delta$  and let us note  $\bar{P} := \Delta R$  and  $\bar{Q} := R \Delta$ . Then, we have:*

$$\begin{cases} \ker_D(\bar{P}) = \ker_D(\Delta), \\ \text{im}_D(\bar{Q}) = \text{im}_D(\Delta), \\ \text{im}_D(\bar{P}) = \text{im}_D(\Delta R) \cong \text{im}_D(\bar{Q}) = \text{im}_D(\Delta), \\ \ker_D(\bar{Q}) = \ker_D(R \Delta) \cong \text{coker}_D(\Delta). \end{cases}$$

Hence,  $\ker_D(\bar{P})$  (resp.,  $\text{im}_D(\bar{P})$ ,  $\ker_D(\bar{Q})$ ,  $\text{im}_D(\bar{Q})$ ) is a free left  $D$ -module if and only if so is  $\ker_D(\Delta)$  (resp.,  $\text{im}_D(\Delta)$ ,  $\text{coker}_D(\Delta)$ ,  $\text{im}_D(\Delta)$ ).

If  $\ker_D(\Delta)$  is a free left  $D$ -module of rank  $m = p - q + l$ , i.e., if there exists a full row rank matrix  $U_1 \in D^{m \times p}$  such that  $\ker_D(\Delta) = \text{im}_D(U_1)$ , there exists a unique matrix  $Z \in D^{p \times m}$  such that:

$$I_p - \bar{P} = Z U_1.$$

If  $\text{im}_D(\Delta)$  is a free left  $D$ -module of rank  $q - l$ , i.e., if there exists a full row rank matrix  $V_2 \in D^{(q-l) \times q}$  such that  $\text{im}_D(\Delta) = \text{im}_D(V_2)$ , then there exists a unique matrix  $Y \in D$  such that

$$\Delta = Y V_2,$$

and  $V_2 R \in D^{(q-l) \times p}$  defines a basis of  $\text{im}_D(\bar{P})$ , i.e.,  $\text{im}_D(\bar{P}) = \text{im}_D(V_2 R)$ .

If  $\text{coker}_D(\Delta)$  is a free left  $D$ -module of rank  $l$  and  $\Phi \in D^{l \times q}$  is a full row rank matrix such that  $\{\gamma(\Phi_i \bullet)\}_{i=1, \dots, l}$  is a basis of  $\text{coker}_D(\Delta)$ , then  $\ker_D(\bar{Q}) = \text{im}_D(\Phi(I_q - \bar{Q}))$ , i.e.,  $\Phi(I_q - \bar{Q})$  defines a basis of  $\ker_D(\bar{Q})$ . Finally, there exists  $\Psi \in D^{q \times l}$  such that

$$I_q - \bar{Q} = \Psi \Phi(I_q - \bar{Q}),$$

and  $\Psi$  can be chosen to be an injective parametrization of  $\text{coker}_D(\Delta)$ , namely:

$$\ker_D(\Psi) = \text{im}_D(\Delta), \quad \Phi \Psi = I_l.$$

*Proof.* By Remark 6, we have  $\bar{P} = \alpha \circ \Delta$  and  $\bar{Q} = i \circ v$ . Thus, we get  $\ker_D(\bar{P}) = \ker(\alpha \circ \Delta) = \ker_D(\Delta)$  since  $\alpha$  is injective and  $v$  is surjective. Moreover, we have  $\text{im}_D(\bar{Q}) = \text{im}(i \circ v) = \text{im } v = \text{im}_D(\Delta)$  since  $i$  is an inclusion. We also have  $\text{im}_D(\bar{P}) = \text{im}(\alpha \circ \Delta) = \text{im } \alpha = \text{im}_D(\Delta R)$  since  $\Delta$  is surjective. Using the fact that  $\alpha$  is injective, we get  $\text{im } \alpha \cong \text{im}_D(\Delta) = \text{im}_D(\bar{Q})$ , which shows that  $\text{im}_D(\bar{P}) \cong \text{im}_D(\bar{Q})$ , where the isomorphism  $\alpha : \text{im}_D(\bar{Q}) \rightarrow \text{im}_D(\bar{P})$  is defined by  $\alpha(\mu R \Delta) = (\mu R \Delta) R = (\mu R) \bar{P}$  and  $\alpha^{-1} : \text{im}_D(\bar{P}) \rightarrow \text{im}_D(\bar{Q})$  is defined by  $\alpha^{-1}(\lambda \Delta R) = (\lambda \Delta R) \Delta = (\lambda \Delta) \bar{Q}$ . We also have  $\ker_D(\bar{Q}) = \ker(i \circ v) = \ker v = \ker_D(R \Delta)$ . Using  $\ker v = \text{im } u \cong \text{coker}_D(\Delta)$  since  $u$  is injective, we get that  $\ker_D(\bar{Q}) \cong \text{coker}_D(\Delta)$ , where the isomorphism  $u : \text{coker}_D(\Delta) \rightarrow \ker_D(\bar{Q})$  is defined by  $u(\gamma(\mu)) = \mu(I_q - \bar{Q})$  for all  $\mu \in D^{1 \times q}$ , and  $u^{-1} : \ker_D(\bar{Q}) \rightarrow \text{coker}_D(\Delta)$  is defined by  $u^{-1}(\mu) = \gamma(\mu)$  for all  $\mu \in D^{1 \times q}$ .

The second point is a straightforward consequence of the above results. Finally, the last points can be proved as in Proposition 4 where the matrix  $\bar{P}$  (resp.,  $\bar{Q}$ ) is replaced by  $I_p - \bar{P}$  (resp.,  $I_q - \bar{Q}$ ).  $\square$

**Remark 7.** One interesting application of the results stated in Propositions 4 and 5 is the following. If we want to compute a presentation matrix of the left  $D$ -module  $M = D^{1 \times p} / (D^{1 \times q} R)$  of minimal size, using the equality  $m = l$  (resp.,  $p - m = q - l$ ) of 1 (resp., 2) of Corollary 2, we then have to seek for the solutions  $\Delta \in D^{p \times q}$  of the equation  $\Delta R \Delta = -\Delta$  (resp.,  $\Delta R \Delta = \Delta$ ) which are such that the projective left  $D$ -modules  $\text{im}_D(\Delta)$  (resp.,  $\ker_D(\Delta)$ ) are free with maximal (resp., minimal) rank.

Using Proposition 4, we then obtain the following theorem which is a refinement of 1 of Corollary 2.

**Theorem 8.** *Let  $R \in D^{q \times p}$  have full row rank. If there exists a matrix  $\Delta \in D^{p \times q}$  such that:*

1.  $\Delta R \Delta = -\Delta$ .

2. The projective left  $D$ -modules  $\ker_D(\Delta)$ ,  $\operatorname{im}_D(\Delta)$  and  $\operatorname{coker}_D(\Delta)$  are free of rank respectively  $p-l$ ,  $l$  and  $q-l$ .

Then, there exist  $V \in \operatorname{GL}_q(D)$ ,  $W \in \operatorname{GL}_p(D)$  and  $\overline{R}_2 \in D^{(q-l) \times (p-l)}$  such that:

$$V R W = \begin{pmatrix} I_l & 0 \\ 0 & \overline{R}_2 \end{pmatrix}. \quad (38)$$

The matrices  $V := (V_1^T \ V_2^T)^T$ ,  $V_1 \in D^{l \times q}$ ,  $V_2 \in D^{(q-l) \times q}$ , and  $W^{-1} = U := (U_1^T \ U_2^T)^T$ ,  $U_1 \in D^{l \times p}$ ,  $U_2 \in D^{(p-l) \times p}$ , can be chosen such as

$$\begin{cases} \ker_D(\Delta) = \operatorname{im}_D(U_2), \\ \operatorname{im}_D(\Delta) = \operatorname{im}_D(V_1), \\ U_1 := V_1 R, \\ V_2 := \Phi(I_q + R \Delta), \end{cases} \quad (39)$$

where the full row rank matrices  $U_2$ ,  $V_1$  and  $\Phi$  define a basis of  $\ker_D(\Delta)$ ,  $\operatorname{im}_D(\Delta)$  and  $\operatorname{coker}_D(\Delta)$ .

Moreover, if  $Y \in D^{p \times l}$  and  $Z \in D^{p \times (p-l)}$  are the unique matrices defined by (35) and (34), and  $\Psi \in D^{p \times (p-l)}$  is an injective parametrization of  $\operatorname{coker}_D(\Delta)$ , i.e., we have  $\ker_D(\Psi) = \operatorname{im}_D(\Delta)$  and  $\Phi \Psi = I_{p-l}$  (see (37)), then the matrices  $X := V^{-1} = (X_1 \ X_2)$ ,  $X_1 \in D^{q \times l}$ ,  $X_2 \in D^{q \times (q-l)}$ , and  $W := (W_1 \ W_2)$ ,  $W_1 \in D^{p \times l}$  and  $W_2 \in D^{p \times (p-l)}$ , are defined by:

$$\begin{cases} X_1 := -R Y, \\ X_2 := \Psi, \\ W_1 := -Y, \\ W_2 := Z. \end{cases} \quad (40)$$

Finally, we have  $\overline{R}_2 = \Phi(I_q + R \Delta) R Z = \Phi R Z$ .

*Proof.* (38) is a direct consequence of 1 of Corollary 2 and of Proposition 4.

Now, using (39), let us consider the matrices  $U := (U_1^T \ U_2^T)^T$  and  $V := (V_1^T \ V_2^T)^T$ . If we note  $\overline{P} := I_p + \Delta R$  and  $\overline{Q} := I_q + R \Delta$ , then using the identities  $\overline{Q} R = R \overline{P}$  and  $\overline{P} = Z U_2$  (see Proposition 4), we obtain:

$$V R = \begin{pmatrix} V_1 \\ \Phi \overline{Q} \end{pmatrix} R = \begin{pmatrix} V_1 R \\ \Phi \overline{Q} R \end{pmatrix} = \begin{pmatrix} V_1 R \\ \Phi R Z U_2 \end{pmatrix} = \begin{pmatrix} I_l & 0 \\ 0 & \Phi R Z \end{pmatrix} \begin{pmatrix} V_1 R \\ U_2 \end{pmatrix} = \begin{pmatrix} I_l & 0 \\ 0 & \Phi R Z \end{pmatrix} U.$$

By Theorem 5, we have  $U \in \operatorname{GL}_p(D)$  and  $V \in \operatorname{GL}_q(D)$ , which proves the second result.

Finally, let us now compute  $V^{-1}$  and  $W^{-1}$ . Combining the short exact sequence

$$0 \longrightarrow D^{1 \times q} \xrightarrow{\cdot R} D^{1 \times p} \xrightarrow{-\pi} M \longrightarrow 0$$

with (33) and (32), and using the notations of Remark 5, we get the following commutative exact diagram

$$\begin{array}{ccccccc}
& & & 0 & & & (41) \\
& & & \downarrow & & & \\
0 & \longrightarrow & \text{im}_D(\cdot\Delta) & \xrightleftharpoons[v]{i} & D^{1 \times q} & \xrightleftharpoons[u]{\gamma} & \text{coker}_D(\cdot\Delta) \longrightarrow 0 \\
& & \parallel & & \downarrow \cdot R & & \downarrow \delta \\
0 & \longrightarrow & \text{im}_D(\cdot\Delta) & \xrightleftharpoons[\cdot\Delta]{\alpha} & D^{1 \times p} & \xrightleftharpoons[j]{\beta} & \text{ker}_D(\cdot\Delta) \longrightarrow 0, \\
& & & & \downarrow \pi & & \\
& & & & M & & \\
& & & & \downarrow & & \\
& & & & 0 & & 
\end{array}$$

where  $\delta \in \text{hom}_D(\text{coker}_D(\cdot\Delta), \text{ker}_D(\cdot\Delta))$  is defined by  $\delta(\gamma(\mu)) = \beta(-\mu R) = -\mu R \bar{P}$  for all  $\mu \in D^{1 \times q}$ , since  $\beta \in \text{hom}_D(D^{1 \times p}, \text{ker}_D(\cdot\Delta))$  is defined by  $\beta(\lambda) = \lambda \bar{P}$ . By (35), we have  $\Delta = Y V_1$  for a unique  $Y \in D^{p \times l}$ . Combining these results with (37) and using the notations of the proof of Proposition 4, we get the following exact diagram:

$$\begin{array}{ccccccc}
& & & 0 & & & \\
& & & \uparrow & & & \\
0 & \longrightarrow & \text{im}_D(\cdot\Delta) & \xrightleftharpoons[v]{i} & D^{1 \times q} & \xrightleftharpoons[u]{\gamma} & \text{coker}_D(\cdot\Delta) \longrightarrow 0. \\
& & \uparrow \cdot V_1 & & & & \uparrow \iota^{-1} \\
& & D^{1 \times l} & & & & D^{1 \times (q-l)} \\
& & \uparrow & & & & \uparrow \\
& & 0 & & & & 0
\end{array}$$

The left  $D$ -homomorphism  $i \circ (\cdot V_1) : D^{1 \times l} \rightarrow D^{1 \times q}$  is defined by  $i \circ (\cdot V_1)(\theta) = \theta V_1$  for all  $\theta \in D^{1 \times l}$  and  $\iota \circ \gamma : D^{1 \times q} \rightarrow D^{1 \times (q-l)}$  is defined by  $(\iota \circ \gamma)(\mu) = \mu \Psi$  for all  $\mu \in D^{1 \times q}$ . Moreover, using  $\Delta = Y V_1$ , we have  $v(\mu) = -\mu R \Delta = (-\mu R Y) V_1$  for all  $\mu \in D^{1 \times q}$ , which shows that the left  $D$ -homomorphism  $\rho : D^{1 \times q} \rightarrow D^{1 \times l}$  defined by  $\rho(\mu) = -\mu R Y$  for all  $\mu \in D^{1 \times q}$  is such that  $v = \cdot V_1 \circ \rho$ . Now the left  $D$ -homomorphism  $u \circ \iota^{-1} : D^{1 \times (q-l)} \rightarrow D^{1 \times q}$  is defined by  $(u \circ \iota^{-1})(\xi) = \xi \Phi \bar{Q}$  for all  $\xi \in D^{1 \times (q-l)}$ . Hence, we obtain the following diagram which commutes in both directions and is formed by horizontal split exact sequences:

$$\begin{array}{ccccccc}
& & & 0 & & 0 & & 0 & & & & (42) \\
& & & \uparrow & & \uparrow & & \uparrow & & & & \\
0 & \longrightarrow & \text{im}_D(\cdot\Delta) & \xrightleftharpoons[v]{i} & D^{1 \times q} & \xrightleftharpoons[u]{\gamma} & \text{coker}_D(\cdot\Delta) & \longrightarrow & 0 \\
& & \uparrow \cdot V_1 & & \uparrow \cdot I_q & & \uparrow \iota^{-1} & & \\
0 & \longrightarrow & D^{1 \times l} & \xrightleftharpoons[\cdot R Y]{\cdot V_1} & D^{1 \times q} & \xrightleftharpoons[\cdot \Phi \bar{Q}]{\cdot \Psi} & D^{1 \times (q-l)} & \longrightarrow & 0. \\
& & \uparrow & & \uparrow & & \uparrow & & \\
& & 0 & & 0 & & 0 & & 
\end{array}$$

Similarly, using Remark 5,  $\text{im}_D(\cdot\Delta) = \text{im}_D(\cdot V_1)$  and  $\text{ker}_D(\cdot\Delta) = \text{im}_D(\cdot U_2)$ , where  $V_1$  and  $U_2$  are two

full row rank matrices, we get the following exact diagram:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \uparrow & & \uparrow & & \\
 0 & \longrightarrow & \text{im}_D(.\Delta) & \xrightleftharpoons[\Delta]{\alpha} & D^{1 \times p} & \xrightleftharpoons[j]{\beta} & \ker_D(.\Delta) \longrightarrow 0 \\
 & & \uparrow \cdot V_1 & & \uparrow \cdot U_2 & & \\
 & & D^{1 \times l} & & D^{1 \times (p-l)} & & \\
 & & \uparrow & & \uparrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

The left  $D$ -homomorphism  $\alpha \circ V_1 : D^{1 \times l} \longrightarrow D^{1 \times p}$  is defined by  $(\alpha \circ V_1)(\theta) = -\theta V_1 R$  for all  $\theta \in D^{1 \times l}$ , and the identity  $\Delta = Y V_1$  implies that the left  $D$ -homomorphism  $Y : D^{1 \times p} \longrightarrow D^{1 \times l}$  is such that  $.\Delta = .V_1 \circ .Y$ . By (34), we have  $\bar{P} = Z U_2$  for a unique  $Z \in D^{p \times (p-l)}$ . The left  $D$ -homomorphism  $.Z : D^{1 \times p} \longrightarrow D^{1 \times (p-l)}$  is such that  $\beta = \bar{P} = .U_2 \circ .Z$ . Hence, we obtain the following diagram which commutes in both directions and is formed by horizontal split exact sequences:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 & & (43) \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 0 & \longrightarrow & \text{im}_D(.\Delta) & \xrightleftharpoons[\Delta]{\alpha} & D^{1 \times p} & \xrightleftharpoons[j]{\beta} & \ker_D(.\Delta) & \longrightarrow & 0 \\
 & & \uparrow \cdot V_1 & & \uparrow \cdot I_p & & \uparrow \cdot U_2 & & \\
 0 & \longrightarrow & D^{1 \times l} & \xrightleftharpoons[\cdot Y]{\cdot -V_1 R} & D^{1 \times p} & \xrightleftharpoons[\cdot U_2]{\cdot Z} & D^{1 \times (p-l)} & \longrightarrow & 0 \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 & & 0 & & 0 & & 0 & & 
 \end{array}$$

Let  $\bar{R}_2 := \Phi \bar{Q} R Z \in D^{(q-l) \times (p-l)}$ . The identities  $\bar{Q} R = R \bar{P}$ ,  $\bar{P} = Z U_2$  and  $U_2 Z = I_{p-l}$  yield:

$$\bar{R}_2 = \Phi (\bar{Q} R) Z = \Phi (R \bar{P}) Z = \Phi R Z (U_2 Z) = \Phi R Z.$$

Then, combining this identity with  $\Phi \Psi = I_{q-l}$ , we get:

$$\Psi \bar{R}_2 = (\Psi \Phi) R Z = R Z. \tag{44}$$

Moreover, the identities  $\bar{P} = Z U_2$ ,  $R \bar{P} = \bar{Q} R$  and  $\bar{Q}^2 = \bar{Q}$  yield:

$$\bar{R}_2 U_2 = \Phi \bar{Q} R Z U_2 = \Phi \bar{Q} (R \bar{P}) = \Phi \bar{Q}^2 R = \Phi \bar{Q} R. \tag{45}$$

Hence, combining (41), (42), (43), (44) and (45), we obtain the following diagram which commutes in both directions and is formed by horizontal split exact sequences:



$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & D^{1 \times l} & \begin{array}{c} \xrightarrow{\cdot V_1} \\ \xleftarrow{\cdot RY} \end{array} & D^{1 \times q} & \begin{array}{c} \xrightarrow{\cdot \Psi} \\ \xleftarrow{\cdot \Phi \bar{Q}} \end{array} & D^{1 \times (q-l)} & \longrightarrow & 0 \\
& & \downarrow \cdot I_l & & \downarrow \cdot R & & \downarrow \cdot \bar{R}_2 & & \\
0 & \longrightarrow & D^{1 \times l} & \begin{array}{c} \xrightarrow{\cdot V_1 R} \\ \xleftarrow{\cdot Y} \end{array} & D^{1 \times p} & \begin{array}{c} \xrightarrow{\cdot Z} \\ \xleftarrow{\cdot U_2} \end{array} & D^{1 \times (p-l)} & \longrightarrow & 0 \\
& & \downarrow & & \downarrow \pi & & \downarrow \kappa & & \\
0 & \longrightarrow & 0 & \longrightarrow & M & \xrightarrow{\varphi} & L & \longrightarrow & 0, \\
& & & & \downarrow & & \downarrow & & \\
& & & & 0 & & 0 & & 
\end{array}$$

where  $L := D^{1 \times (p-l)} / (D^{1 \times (q-l)} \bar{R}_2)$  is the left  $D$ -module finitely presented by  $\bar{R}_2$  and the left  $D$ -isomorphism  $\varphi \in \text{hom}_D(M, L)$  is defined by  $\varphi(\pi(\lambda)) = \kappa(\lambda Z)$  for all  $\lambda \in D^{1 \times p}$ . Now, changing signs in the above diagram, we get the following one

$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 & & (46) \\
& & \downarrow & & \downarrow & & \downarrow & & \\
0 & \longrightarrow & D^{1 \times l} & \begin{array}{c} \xrightarrow{\cdot V_1} \\ \xleftarrow{\cdot RY} \end{array} & D^{1 \times q} & \begin{array}{c} \xrightarrow{\cdot \Psi} \\ \xleftarrow{\cdot \Phi \bar{Q}} \end{array} & D^{1 \times (q-l)} & \longrightarrow & 0 \\
& & \downarrow \cdot I_l & & \downarrow \cdot R & & \downarrow \cdot \bar{R}_2 & & \\
0 & \longrightarrow & D^{1 \times l} & \begin{array}{c} \xrightarrow{\cdot V_1 R} \\ \xleftarrow{\cdot Y} \end{array} & D^{1 \times p} & \begin{array}{c} \xrightarrow{\cdot Z} \\ \xleftarrow{\cdot U_2} \end{array} & D^{1 \times (p-l)} & \longrightarrow & 0 \\
& & \downarrow & & \downarrow \pi & & \downarrow \kappa & & \\
0 & \longrightarrow & 0 & \longrightarrow & M & \xrightarrow{\varphi} & L & \longrightarrow & 0, \\
& & & & \downarrow & & \downarrow & & \\
& & & & 0 & & 0 & & 
\end{array}$$

which finally proves the result (see also Theorem 7).  $\square$

**Remark 8.** Using matrix computations, let us check again (46).

Using  $\Phi \Psi = I_{q-l}$  (see (37)), we have  $(I_q - \Psi \Phi) \Psi = 0$ , which yields  $\text{im}_D((I_q - \Psi \Phi)) \subseteq \ker_D(\Psi) = \text{im}_D(\Delta)$ , and thus there exists  $\Omega \in D^{q \times p}$  such that  $I_q - \Psi \Phi = \Omega \Delta$ , i.e.,  $\Psi \Phi + \Omega \Delta = I_q$ . Now, using  $\Delta = Y V_1$ , we get  $I_q - \bar{Q} = -R \Delta = -R Y V_1$ , which combined with (36) yields:

$$\Psi(\Phi \bar{Q}) + (-R Y) V_1 = I_q. \quad (47)$$

Now, multiplying (47) by  $V_1$  and using the fact that  $V_1 \Psi = 0$  since  $\ker_D(\Psi) = \text{im}_D(\Delta) = \text{im}_D(\cdot V_1)$ , we get  $(I_l + V_1 R Y) V_1 = 0$ , which yields  $-V_1 R Y = I_l$  since  $V_1$  has full row rank. In particular, we get that the projector  $I_q - \bar{Q}$  of  $D^{q \times q}$  is such that:

$$I_q - \bar{Q} = (-R Y) V_1, \quad V_1 (-R Y) = I_l.$$

Now, using  $\Delta \Psi = 0$  and  $\Phi \Psi = I_{q-l}$ , we obtain  $\Phi \bar{Q} \Psi = \Phi (I_q + R \Delta) \Psi = \Phi \Psi = I_{q-l}$ . Multiplying (47) by  $\Phi \bar{Q}$  and using  $\Phi \bar{Q} \Psi = I_{q-l}$ , we get  $\Phi \bar{Q} R Y V_1 = 0$ , and thus we obtain  $\Phi \bar{Q} R Y = 0$  since  $V_1$  has full row rank, which shows that  $\text{im}_D(\Phi \bar{Q}) \subseteq \ker_D(\cdot (R Y))$ . Finally, if  $\mu \in \ker_D(\cdot (R Y))$ , using (47), we have  $\mu = (\mu \Psi)(\Phi \bar{Q}) \in \text{im}_D(\Phi \bar{Q})$ , which shows that  $\ker_D(\cdot (R Y)) = \text{im}_D(\Phi \bar{Q})$  and finally proves that the first horizontal sequence of (46) is a split short exact sequence.

Now, using  $\bar{P} = I_p + \Delta R = Z U_2$  and  $\Delta = Y V_1$ , we first get the identity:

$$Z U_2 + (-Y)(V_1 R) = I_p. \quad (48)$$

Using  $\text{im}_D(\bar{P}) = \text{im}_D(U_2)$ , there exists  $Z' \in D^{(p-l) \times p}$  such that  $U_2 = Z' \bar{P}$ . Combining this identity with  $\bar{P} = Z U_2$ , we obtain  $(I_{p-l} - Z' Z) U_2 = 0$ , which yields  $Z' Z = I_{p-l}$  since the matrix  $U_2$  has full row rank. In particular, the left  $D$ -homomorphism  $.Z$  is surjective. Using  $\bar{P} = Z U_2$  and  $\bar{P}^2 = \bar{P}$ , we get  $(Z U_2 Z - Z) U_2 = 0$ , which yields  $Z U_2 Z = Z$  since  $U_2$  has full row rank. Thus, we obtain  $Z(I_{p-l} - U_2 Z) = 0$ , which yields  $U_2 Z = I_{p-l}$  since  $.Z$  is surjective. The projector  $\bar{P}$  of  $D^{p \times p}$  satisfies:

$$\bar{P} = Z U_2, \quad U_2 Z = I_{p-l}.$$

Multiplying (48) by  $Z$  and using  $Z U_2 Z = Z$ , we get  $Y V_1 R Z = 0$ , and thus  $V_1 R Z = 0$  since  $.Y$  is surjective. Thus, we have  $\text{im}_D(.-V_1 R) \subseteq \ker_D(.Z)$ . If  $\lambda \in \ker_D(.Z)$ , then using (48), we obtain  $\lambda = (\lambda Y)(-V_1 R) \in \text{im}_D(.-V_1 R)$ , which shows that  $\ker_D(.Z) = \text{im}_D(.-V_1 R)$  and proves the exactness of the second horizontal sequence of (46). Multiplying (48) by  $U_2$  and using  $U_2 Z = I_{p-l}$ , we get  $(U_2 Y)(-V_1 R) = 0$ , which yields  $U_2 Y = 0$  since  $-V_1 R$  has full row rank due to the identity  $-V_1 R Y = I_l$ , which shows that  $\text{im}_D(U_2) \subseteq \ker_D(.Y)$ . If  $\lambda \in \ker_D(.Y)$ , then (48) yields  $\lambda = (\lambda Z) U_2 \in \text{im}_D(U_2)$ , which shows that  $\ker_D(.Y) = \text{im}_D(U_2)$  and proves that the second horizontal short exact sequence splits.

Finally, the commutativity of (46) in both directions is proved in (44) and (45).

Similarly, using Proposition 5, we have the following refinement of 2 of Corollary 2.

**Theorem 9.** *Let  $R \in D^{q \times p}$  have full row rank. If there exists a matrix  $\Delta \in D^{p \times q}$  such that:*

1.  $\Delta R \Delta = \Delta$ .
2. *The projective left  $D$ -modules  $\ker_D(\Delta)$ ,  $\text{im}_D(\Delta)$  and  $\text{coker}_D(\Delta)$  are free of rank respectively  $m = p - q + l$ ,  $q - l$  and  $l$ .*

*Then, there exist  $V \in \text{GL}_q(D)$ ,  $W \in \text{GL}_p(D)$  and  $\bar{R}_1 \in D^{l \times m}$  such that:*

$$V R W = \begin{pmatrix} \bar{R}_1 & 0 \\ 0 & I_{q-l} \end{pmatrix}.$$

*The matrices  $V := (V_1^T \ V_2^T)^T \in \text{GL}_q(D)$ ,  $V_1 \in D^{l \times q}$ ,  $V_2 \in D^{(q-l) \times q}$ , and  $W^{-1} = U := (U_1^T \ U_2^T)^T$ ,  $U_1 \in D^{m \times p}$ ,  $U_2 \in D^{(q-l) \times p}$ , can be chosen such as*

$$\begin{cases} \ker_D(\Delta) = \text{im}_D(U_1), \\ \text{im}_D(\Delta) = \text{im}_D(V_2), \\ U_2 := V_2 R, \\ V_1 := \Phi(I_q - R \Delta), \end{cases}$$

*where the full row rank matrices  $U_1$ ,  $V_2$  and  $\Phi$  define a basis of  $\ker_D(\Delta)$ ,  $\text{im}_D(\Delta)$  and  $\text{coker}_D(\Delta)$ .*

*Moreover, if  $Y \in D^{p \times (p-l)}$  and  $Z \in D^{p \times m}$  are the unique matrices defined by*

$$\begin{cases} \Delta = Y V_2, \\ I_p - \bar{P} = Z U_1, \end{cases}$$

*and  $\Psi \in D^{q \times l}$  is an injective parametrization of  $\text{coker}_D(\Delta)$ , i.e., we have  $\ker_D(\Psi) = \text{im}_D(\Delta)$  and  $\Phi \Psi = I_l$  (see (37)), then the matrices  $X := V^{-1} = (X_1 \ X_2)$ ,  $X_1 \in D^{q \times l}$ ,  $X_2 \in D^{(q-l) \times q}$ , and  $W := (W_1 \ W_2)$ ,  $W_1 \in D^{p \times m}$  and  $W_2 \in D^{p \times (p-l)}$ , are defined by:*

$$\begin{cases} X_1 := \Psi, \\ X_2 := R Y, \\ W_1 := Z, \\ W_2 := Y. \end{cases} \tag{49}$$

Finally, we have  $\bar{R}_1 = \Phi(I_q - R\Delta)RZ = \Phi RZ$  and the following diagram which commutes in both directions and is formed by horizontal split exact sequences:

$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & D^{1 \times l} & \xrightleftharpoons[\cdot \Psi]{\cdot \Phi(I_q - \bar{Q})} & D^{1 \times q} & \xrightleftharpoons[\cdot V_2]{\cdot RY} & D^{1 \times (q-l)} \longrightarrow 0 \\
& & \cdot \bar{R}_1 \downarrow & & \cdot R \downarrow & & \cdot I_{q-l} \downarrow \\
0 & \longrightarrow & D^{1 \times (p-q+l)} & \xrightleftharpoons[\cdot Z]{\cdot U_1} & D^{1 \times p} & \xrightleftharpoons[\cdot V_2 R]{\cdot Y} & D^{1 \times (q-l)} \longrightarrow 0 \\
& & \downarrow \kappa & & \downarrow \pi & & \downarrow \\
0 & \longrightarrow & L & \xrightarrow{\varphi^{-1}} & M & \longrightarrow & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & & 0 & & 0
\end{array}$$

In Section 6.2, we shall prove that the converse of Theorem 8 holds, i.e., if there exist  $V \in \text{GL}_q(D)$ ,  $W \in \text{GL}_p(D)$  and  $\bar{R}_2 \in D^{(q-l) \times (p-l)}$  satisfying (38), then there exists  $\Delta \in D^{p \times q}$  satisfying the conditions 1 and 2 of Theorem 8. A similar result holds for Theorem 9.

**Example 6.** Let us consider the wind tunnel model studied in Manitius (1984) described by a linear OD time-delay system defined by the following matrix of functional operators

$$R := \begin{pmatrix} d+a & ka\delta & 0 & 0 \\ 0 & d & -1 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 \end{pmatrix},$$

where  $dy(t) = \dot{y}(t)$  is the OD operator,  $\delta y(t) = y(t-1)$  is the time-delay operator and  $\zeta, k, \omega$  and  $a$  are constant parameters of the system. If  $D = \mathbb{Q}(\zeta, k, \omega, a)[d, \delta]$  is the commutative polynomial ring of OD time-delay operators with coefficients in the field  $\mathbb{Q}(\zeta, k, \omega, a)$ , using Algorithm 4.1 of Cluzeau and Quadrat (2008), we obtain that the following matrix

$$\Delta := \begin{pmatrix} 0 & -1 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ \omega^{-2} & 2\zeta\omega^{-1} - a\omega^{-2} & \omega^{-2} \end{pmatrix} \in D^{4 \times 3} \quad (50)$$

satisfies the algebraic Riccati equation  $\Delta R \Delta = -\Delta$ . Then,  $\bar{P} := I_4 + \Delta R$  and  $\bar{Q} := I_3 + R \Delta$  defined by

$$\bar{P} := \begin{pmatrix} 1 & -d & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & d & 0 & 0 \\ \omega^{-2}(d+a) & \omega^{-2}((2\zeta\omega - a)d + ka\delta) + 1 & \omega^{-2}(d+a) & 0 \end{pmatrix}, \\
\bar{Q} := \begin{pmatrix} 1 & -d-a & 0 \\ 0 & 0 & 0 \\ -1 & d+a & 0 \end{pmatrix},$$

satisfy  $R\bar{P} = \bar{Q}R$ ,  $\bar{P}^2 = \bar{P}$  and  $\bar{Q}^2 = \bar{Q}$ , i.e., they define  $f = \text{id}_M$ , where  $M = D^{1 \times 4} / (D^{1 \times 3} R)$ . Since the entries of  $\Delta$  belong to the field  $\mathbb{Q}(\zeta, k, \omega, a)$ , the  $D$ -modules  $\ker_D(\Delta)$ ,  $\text{im}_D(\Delta)$  and  $\text{coker}_D(\Delta)$  are free. Hence, by Theorem 8, the matrix  $R$  is equivalent to a block diagonal matrix with a first identity block. Let us compute the unimodular matrices  $V, W, U$  and  $X$ . We have  $\ker_D(\Delta) = \text{im}_D(U_2)$ , where

$$U_2 = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix},$$

and  $\text{im}_D(\Delta) = \text{im}_D(V_1)$ , where  $V_1 = T_2 \Delta$  and  $T_2$  is a left inverse of a minimal parametrization  $Q_2$  of  $\text{coker}_D(U_2) \cong \text{im}_D(\Delta)$  (see Chyzak et al. (2005)), i.e.:

$$Q_2 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad T_2 = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad V_1 = \begin{pmatrix} 0 & -1 & 0 \\ \omega^{-2} & \omega^{-2}(2\zeta\omega - a) & \omega^{-2} \end{pmatrix}.$$

Computing a minimal parametrization of  $\text{coker}_D(\Delta) = \text{coker}_D(V_1)$ , we obtain that  $\ker_D(\Psi) = \text{im}_D(\Delta)$  and  $\Phi \in D^{1 \times 3}$  such that  $\Phi \Psi = 1$ , where:

$$\Psi = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}, \quad \Phi = (0 \quad 0 \quad -1).$$

Then, we obtain:

$$U_1 := V_1 R = \begin{pmatrix} 0 & -d & 1 & 0 \\ \omega^{-2}(d+a) & \omega^{-2}((2\zeta\omega - a)d + ka\delta + \omega^2) & \omega^{-2}(d+a) & -1 \end{pmatrix},$$

$$V_2 := \Phi \bar{Q} = (1 \quad -d - a \quad 0).$$

Let  $Y \in D^{4 \times 2}$  and  $Z \in D^{4 \times 2}$  be the unique matrices defined by  $\Delta = Y V_1$  and  $\bar{P} = Z U_2$ , i.e.,

$$Y = \begin{pmatrix} 1 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad Z = \begin{pmatrix} 1 & -d \\ 0 & 1 \\ 0 & d \\ \omega^{-2}(d+a) & \omega^{-2}((2\zeta\omega - a)d + ka\delta + \omega^2) \end{pmatrix},$$

and let us define the matrices  $X_2 = \Psi$ ,  $W_1 = -Y$ ,  $W_2 = Z$  and:

$$X_1 := -R Y = \begin{pmatrix} -d - a & 0 \\ -1 & 0 \\ d + 2\zeta\omega & \omega^2 \end{pmatrix}.$$

Then, we have  $V := (V_1^T \quad V_2^T)^T \in \text{GL}_3(D)$ ,  $U := (U_1^T \quad U_2^T)^T \in \text{GL}_4(D)$ ,  $U^{-1} := (W_1 \quad W_2)$  and  $V^{-1} := (X_1 \quad X_2)$ . Finally, we obtain  $\bar{R} := V R U^{-1} = \text{diag}(I_2, \bar{R}_2)$ , where:

$$\bar{R}_2 := \Phi R Z = (d + a \quad -(d^2 + ad - ka\delta)).$$

Similarly, if we consider the following first-order solution of the Riccati equation  $\Delta R \Delta = -\Delta$

$$\Delta = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \omega^{-2}(d + 2\zeta\omega) & \omega^{-2} \end{pmatrix}, \quad (51)$$

then we obtain

$$\begin{aligned} \bar{P} &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & \omega^{-2}(d^2 + 2\zeta\omega d + \omega^2) & 0 & 0 \end{pmatrix}, \quad \bar{Q} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \\ U_2 &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad Q_2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad T_2 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \\ V_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 0 & \omega^{-2}(d + 2\zeta\omega) & \omega^{-2} \end{pmatrix}, \quad U_1 = V_1 R = \begin{pmatrix} 0 & d & -1 & 0 \\ 0 & \omega^{-2}(d^2 + 2\zeta\omega d + \omega^2) & 0 & -1 \end{pmatrix}, \\ \Psi = X_2 &= (1 \ 0 \ 0)^T, \quad \Phi = (1 \ 0 \ 0), \quad V_2 = \Phi \bar{Q} = (1 \ 0 \ 0), \\ X_1 = -RY &= \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ -d - 2\zeta\omega & \omega^2 \end{pmatrix}, \quad W_1 = -Y = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & -1 \end{pmatrix}, \\ Z = W_2 &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & d \\ 0 & \omega^{-2}(d^2 + 2\zeta\omega d + \omega^2) \end{pmatrix}, \end{aligned}$$

which shows that  $V := (V_1^T \ V_2^T)^T \in \text{GL}_3(D)$ ,  $W := (W_1 \ W_2) \in \text{GL}_4(D)$  and  $V R W = \text{diag}(I_2, \bar{R}_2)$ , where  $\bar{R}_2 = \Phi R Z = (d + a \quad k a \delta)$ .

## 6 Serre's reduction problem as a particular decomposition problem

We now study *Serre's reduction* of linear functional systems, i.e., the possibility to define an equivalent system by fewer equations and fewer unknowns. This problem can be seen as a particular decomposition problem where one of the two diagonal blocs of the matrix  $\bar{R}$  defined by (27) is the identity matrix.

### 6.1 Serre's reduction

Let us first state again the main theorems of Boudellioua and Quadrat (2010) concerning Serre's reduction of linear functional systems.

**Theorem 10** (Boudellioua and Quadrat (2010)). *Let  $M = D^{1 \times p} / (D^{1 \times q} R)$  be the left  $D$ -module finitely presented by a full row rank matrix  $R \in D^{q \times p}$ . For  $0 \leq r \leq q - 1$ , let  $\Lambda \in D^{q \times (q-r)}$ ,  $P = (R \quad -\Lambda) \in D^{q \times (p+q-r)}$  and  $E = D^{1 \times (p+q-r)} / (D^{1 \times q} P)$  be the left  $D$ -module finitely presented by  $P$ . Then, the following results are equivalent:*

1. The left  $D$ -module  $E$  is stably free of rank  $p - r$ .
2. The matrix  $P$  admits a right inverse, i.e., there exists  $S \in D^{(p+q-r) \times q}$  such that  $P S = I_q$ .
3.  $\text{ext}_D^1(E, D) := D^q / (P D^{(p+q-r)}) = 0$ .
4.  $\{\tau(\Lambda_{\bullet i})\}_{i=1, \dots, q-r}$  generates the right  $D$ -module  $\text{ext}_D^1(M, D) := D^q / (R D^p)$ , where the right  $D$ -homomorphism  $\tau : D^q \rightarrow D^q / (R D^p)$  is the canonical projection onto  $\text{ext}_D^1(M, D)$  and  $\Lambda_{\bullet i}$  denotes the  $i^{\text{th}}$  column of the matrix  $\Lambda$ .

The above results depend only on the residue class  $\rho(\Lambda)$  of  $\Lambda \in D^{q \times (q-r)}$  in the right  $D$ -module

$$\text{ext}_D^1 \left( M, D^{1 \times (q-r)} \right) := D^{q \times (q-r)} / \left( R D^{p \times (q-r)} \right), \quad (52)$$

i.e., they depend only on  $(\tau(\Lambda_{\bullet 1}) \dots \tau(\Lambda_{\bullet (q-r)})) \in \text{ext}_D^1(M, D)^{1 \times (q-r)}$ .

If the conditions of Theorem 10 are satisfied, then the existence of a Serre's reduction for  $M$ , i.e., the possibility to define  $M$  by fewer than  $p$  generators and fewer than  $q$  relations, relies on the fact that the stably free left  $D$ -module  $E$  is free. Using Theorem 3, we obtain the following result.

**Theorem 11** (Boudellioua and Quadrat (2010)). *Let  $R \in D^{q \times p}$  be a full row rank matrix,  $0 \leq r \leq q-1$  and  $\Lambda \in D^{q \times (q-r)}$  such that there exists  $Z \in \text{GL}_{p+q-r}(D)$  satisfying*

$$(R \quad -\Lambda)Z = (I_q \quad 0), \quad (53)$$

i.e., such that the left  $D$ -module  $E = D^{1 \times (p+q-r)} / (D^{1 \times q} (R \quad -\Lambda))$  is free of rank  $p-r$ . If we note

$$Z := \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix}, \quad (54)$$

where  $S_1 \in D^{p \times q}$ ,  $S_2 \in D^{(q-r) \times q}$ ,  $Q_1 \in D^{p \times (p-r)}$  and  $Q_2 \in D^{(q-r) \times (p-r)}$ , then we have:

$$M = D^{1 \times p} / (D^{1 \times q} R) \cong L := D^{1 \times (p-r)} / (D^{1 \times (q-r)} Q_2). \quad (55)$$

Conversely, if  $M$  is isomorphic to the finitely presented left  $D$ -module  $L := D^{1 \times (p-r)} / (D^{1 \times (q-r)} Q_2)$ , then there exist  $\Lambda \in D^{q \times (q-r)}$  and  $Z \in \text{GL}_{p+q-r}(D)$  such that (53) holds, i.e., such that the left  $D$ -module  $E = D^{1 \times (p+q-r)} / (D^{1 \times q} (R \quad -\Lambda))$  is free of rank  $p-r$ .

**Corollary 3** (Boudellioua and Quadrat (2010)). *With the notations of Theorem 11, the isomorphism (55) given in Theorem 11 is defined by*

$$\begin{array}{ccc} M = D^{1 \times p} / (D^{1 \times q} R) & \xrightarrow{\varphi} & L = D^{1 \times (p-r)} / (D^{1 \times (q-r)} Q_2) \\ \pi(\lambda) & \longmapsto & \kappa(\lambda Q_1). \end{array}$$

and its inverse  $\varphi^{-1} : L \rightarrow M$  is defined by  $\varphi^{-1}(\kappa(\mu)) = \pi(\mu T_1)$ , where  $T_1$  is defined by:

$$Z^{-1} = \begin{pmatrix} R & -\Lambda \\ T_1 & -T_2 \end{pmatrix} \in \text{GL}_{p+q-r}(D), \quad T_1 \in D^{(p-r) \times p}, \quad T_2 \in D^{(p-r) \times (q-r)}. \quad (56)$$

The above results depend only on the residue class  $\rho(\Lambda)$  of  $\Lambda \in D^{q \times (q-r)}$  in the right  $D$ -module  $\text{ext}_D^1(M, D^{1 \times (q-r)})$  defined by (52).

The next theorem gives a necessary and sufficient condition for Serre's reduction problem to be equivalent to a decomposition problem where one of the diagonal blocks is equal to an identity matrix. It is a slight reformulation of a result obtained in Boudellioua and Quadrat (2010) which is given in Cluzeau and Quadrat (2013).

**Theorem 12** (Cluzeau and Quadrat (2013)). *If  $R \in D^{q \times p}$  has full row rank and  $0 \leq r \leq q-1$ , then the following assertions are equivalent:*

1. There exist  $V \in \text{GL}_q(D)$ ,  $W \in \text{GL}_p(D)$  and  $Q_2 \in D^{(q-r) \times (p-r)}$  such that:

$$\bar{R} := V R W = \begin{pmatrix} I_r & 0 \\ 0 & Q_2 \end{pmatrix}. \quad (57)$$

2. There exists a matrix  $\Lambda \in D^{q \times (q-r)}$  such that:

- (a) A matrix  $\Gamma \in D^{(q-r) \times q}$  exists and satisfies  $\Gamma \Lambda = I_{q-r}$ .  
 (b) The stably free left  $D$ -module  $\ker_D(\cdot \Lambda)$  is free of rank  $r$ .  
 (c) The left  $D$ -module  $E = D^{1 \times (p+q-r)} / (D^{1 \times q} (R \quad - \Lambda))$  is free of rank  $p - r$ .

*Proof.* In Corollaries 4.10 and 4.14 of Boudellioua and Quadrat (2010), it is proved that 1 is equivalent to 2.a, 2.c and to the condition 2.b' defined by the fact that the stably free left  $D$ -module  $\ker_D(\cdot Q_1)$  is free of rank  $r$ , where  $Q_1 \in D^{p \times (p-r)}$  is a matrix defined by (54) and  $Z \in \text{GL}_{p+q-r}(D)$  satisfies the condition (53) (which is equivalent to 2.c (see 3 of Theorem 3)). Let us now show that 2.a, 2.b and 2.c are equivalent to 2.a, 2.b' and 2.c. To do that, we show that  $\ker_D(\cdot \Lambda) \cong \ker_D(\cdot Q_1)$ . Using 2.c and 3 of Theorem 3, there exists  $Z \in \text{GL}_{p+q-r}(D)$  which satisfies (53) so that Theorem 11 and Corollary 3 hold. Using (54), we get the relation  $R Q_1 = \Lambda Q_2$  which yields the following commutative exact diagram:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 & & \ker_D(\cdot \Lambda) & & \ker_D(\cdot Q_1) & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & D^{1 \times q} & \xrightarrow{\cdot R} & D^{1 \times p} & \xrightarrow{\pi} & M \longrightarrow 0 \\
 & & \downarrow \cdot \Lambda & & \downarrow \cdot Q_1 & & \downarrow \varphi \\
 0 & \longrightarrow & D^{1 \times (q-r)} & \xrightarrow{\cdot Q_2} & D^{1 \times (p-r)} & \xrightarrow{\kappa} & L \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & \text{coker}_D(\cdot \Lambda) & & \text{coker}_D(\cdot Q_1) & & 0 \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array} \tag{58}$$

Since  $\varphi$  is an isomorphism, the standard *snake lemma* in homological algebra (see, e.g., Rotman (2009)) then shows that  $\ker_D(\cdot \Lambda) \cong \ker_D(\cdot Q_1)$  (and  $\text{coker}_D(\cdot \Lambda) \cong \text{coker}_D(\cdot Q_1)$ ), which proves the result.  $\square$

**Remark 9.** Let  $\Lambda \in D^{q \times (q-r)}$  be such that  $\Gamma \Lambda = I_{q-r}$  for a certain  $\Gamma \in D^{(q-r) \times q}$ . If  $D$  is the ring defined in 1 or 2 of Theorem 2 or in 3 and 4 of Theorem 2 and  $r \geq 2$ , then the stably free left  $D$ -module  $\ker_D(\cdot \Lambda)$  of rank  $r$  is free.

In Theorem 12, the conditions 2.a and 2.b on  $\Lambda$  mean that we are searching for a particular splitting  $D^{1 \times q} \cong D^{1 \times r} \oplus D^{1 \times (q-r)}$  of  $D^{1 \times q}$ , i.e., for a splitting short exact sequence of the form:

$$0 \longrightarrow D^{1 \times r} \xrightleftharpoons[\Xi]{\Theta} D^{1 \times q} \xrightleftharpoons[\Gamma]{\Lambda} D^{1 \times (q-r)} \longrightarrow 0. \tag{59}$$

In other words, the conditions 2.a and 2.b are equivalent to the existence of  $V := (\Theta^T \quad \Gamma^T)^T \in \text{GL}_q(D)$  with  $X := V^{-1} = (\Xi \quad \Lambda) \in \text{GL}_q(D)$  (see (23)). Condition 2.c asserts that we have (55) and thus (58) holds.

**Remark 10.** We note that the condition 2.c is also equivalent to the fact that the *pushout* of the two left  $D$ -homomorphisms  $\cdot \Lambda : D^{1 \times q} \longrightarrow D^{1 \times (q-r)}$  and  $\cdot R : D^{1 \times q} \longrightarrow D^{1 \times p}$  (see, e.g., Rotman (2009)), i.e., the finitely presented left  $D$ -module  $E = D^{1 \times (p+q-r)} / (D^{1 \times q} (R \quad - \Lambda))$  is free of rank  $p - r$ . A property of the pushout (see, e.g., Rotman (2009)) shows that we have the following commutative exact diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & D^{1 \times q} & \xrightarrow{\cdot R} & D^{1 \times p} & \xrightarrow{\pi} & M \longrightarrow 0 \\
 & & \downarrow \cdot \Lambda & & \downarrow \delta \circ i_1 & & \downarrow \text{id}_M \\
 0 & \longrightarrow & D^{1 \times (q-r)} & \xrightarrow{\delta \circ i_2} & E & \xrightarrow{\beta} & M \longrightarrow 0,
 \end{array}$$

where  $\delta : D^{1 \times (p+q-r)} \longrightarrow E$  denotes the canonical projection onto  $E$  and  $i_1 : D^{1 \times p} \longrightarrow D^{1 \times (p+q-r)}$  and  $i_2 : D^{1 \times (q-r)} \longrightarrow D^{1 \times (p+q-r)}$  are the two canonical injections. Hence, if  $E \cong D^{1 \times (p-r)}$ , then we can easily show that the above commutative exact diagram becomes (58) (Boudellioua and Quadrat (2010)).

Let us now develop the links between Theorem 12 and Theorem 7. The exact sequence (59) shows that  $\ker_D(\Lambda) = \text{im}_D(\Theta)$  and  $\text{coker}_D(\Lambda) = 0$  since  $\Lambda$  is a surjective left  $D$ -homomorphism. Then, (58) implies that  $\ker_D(Q_1) = \ker_D(\Lambda)R = \text{im}_D(\Theta)R = \text{im}_D(\Theta R)$  and  $\text{coker}_D(Q_1) \cong \text{coker}_D(\Lambda) = 0$ . Since both  $R$  and  $\Theta$  have full row rank so has  $U_1 := \Theta R \in D^{r \times p}$ . Thus, (58) yields the following commutative exact diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & D^{1 \times r} & \xrightarrow{\cdot\Theta} & D^{1 \times q} & \xrightarrow{\cdot\Lambda} & D^{1 \times (q-r)} & \longrightarrow & 0 \\ & & \parallel & & \downarrow \cdot R & & \downarrow \cdot Q_2 & & \\ 0 & \longrightarrow & D^{1 \times r} & \xrightarrow{\cdot U_1} & D^{1 \times p} & \xrightarrow{\cdot Q_1} & D^{1 \times (p-r)} & \longrightarrow & 0. \end{array} \quad (60)$$

Hence, the second horizontal exact sequence of (60) splits (see Proposition 3), i.e.

$$0 \longrightarrow D^{1 \times r} \xrightleftharpoons[\cdot W_1]{\cdot U_1} D^{1 \times p} \xrightleftharpoons[\cdot U_2]{\cdot Q_1} D^{1 \times (p-r)} \longrightarrow 0, \quad (61)$$

which yields  $D^{1 \times p} = \text{im}_D(\cdot U_1) \oplus \text{im}_D(\cdot U_2) \cong D^{1 \times r} \oplus D^{1 \times (p-r)}$ . Equivalently, using (23), we obtain  $U := (U_1^T \ U_2^T)^T \in \text{GL}_p(D)$  and  $W := U^{-1} = (W_1 \ Q_1) \in \text{GL}_p(D)$ . We point out that  $\Gamma R$  is not necessarily equal to  $Q_2 U_2$ , and thus we cannot yet conclude that 2 of Theorem 7 does necessarily hold.

From the identity  $UW = I_p$ , we get  $U_1(W_1 \ Q_1) = (I_r \ 0)$  which combined with  $U_1 = \Theta R$  yields

$$\begin{pmatrix} \Theta \\ \Gamma \end{pmatrix} R(W_1 \ Q_1) = \begin{pmatrix} \Theta R \\ \Gamma R \end{pmatrix} (W_1 \ Q_1) = \begin{pmatrix} I_r & 0 \\ \Gamma R W_1 & \Gamma R Q_1 \end{pmatrix},$$

and thus we get:

$$\begin{pmatrix} I_r & 0 \\ -\Gamma R W_1 & I_{q-r} \end{pmatrix} \begin{pmatrix} \Theta \\ \Gamma \end{pmatrix} R(W_1 \ Q_1) = \begin{pmatrix} I_r & 0 \\ 0 & \Gamma R Q_1 \end{pmatrix}.$$

Hence, if we consider  $V' := (\Theta^T \ (\Gamma(I_q - RW_1\Theta))^T)^T \in \text{GL}_q(D)$ , whose inverse is defined by

$$\begin{aligned} V'^{-1} &:= (\Xi \ \Lambda) \begin{pmatrix} I_r & 0 \\ \Gamma R W_1 & I_{q-r} \end{pmatrix} = (\Xi + \Lambda \Gamma R W_1 \ \Lambda) \\ &= (\Xi + (I_q - \Xi \Theta) R W_1 \ \Lambda) = (\Xi (I_r - \Theta R W_1) + R W_1 \ \Lambda) = (R W_1 \ \Lambda), \end{aligned}$$

since  $U_1 W_1 = \Theta R W_1 = I_r$ , then we get:

$$V' R W = \text{diag}(I_r, \Gamma R Q_1).$$

Finally, pre-multiplying  $RQ_1 = \Lambda Q_2$  by  $\Gamma$  and using  $\Gamma \Lambda = I_{q-r}$ , we obtain  $Q_2 = \Gamma R Q_1$ , which shows that  $V' R W = \text{diag}(I_r, Q_2)$ , where  $V' \in \text{GL}_q(D)$  and  $W \in \text{GL}_p(D)$ .

Let us note  $\Gamma' := \Gamma(I_q - RW_1\Theta)$  and  $\Xi' := RW_1$ . Using the identities  $W_1 U_1 + Q_1 U_2 = I_p$ ,  $U_1 = \Theta R$  and  $U_1 W_1 = I_r$ , we get  $\Gamma' R = \Gamma(I_q - RW_1\Theta)R = \Gamma R(I_p - W_1 U_1) = (\Gamma R Q_1)U_2 = Q_2 U_2$ , which shows that following diagram is formed by horizontal split exact sequences and commutes in both directions:

$$\begin{array}{ccccccc} 0 & \longrightarrow & D^{1 \times r} & \xrightleftharpoons[\cdot \Xi']{\cdot \Theta} & D^{1 \times q} & \xrightleftharpoons[\cdot \Gamma']{\cdot \Lambda} & D^{1 \times (q-r)} & \longrightarrow & 0 \\ & & \parallel & & \downarrow \cdot R & & \downarrow \cdot Q_2 & & \\ 0 & \longrightarrow & D^{1 \times r} & \xrightleftharpoons[\cdot W_1]{\cdot U_1} & D^{1 \times p} & \xrightleftharpoons[\cdot U_2]{\cdot Q_1} & D^{1 \times (p-r)} & \longrightarrow & 0. \end{array}$$

Note that if  $\Gamma R = Q_2 U_2$ , then we get  $\Gamma R W_1 \Theta = Q_2 U_2 W_1 \Theta = 0$  since  $U_2 W_1 = 0$ , which then yields  $\Gamma' := \Gamma(I_q - RW_1\Theta) = \Gamma$ .



In particular, Theorem 7 holds with the following matrices

$$\begin{cases} V := (\Theta^T \quad (\Gamma(I_q - RW_1\Theta))^T)^T \in \text{GL}_q(D), \\ X := V^{-1} = (RW_1 \quad \Lambda), \\ U := ((\Theta R)^T \quad U_2^T)^T \in \text{GL}_p(D), \\ W := U^{-1} = (W_1 \quad Q_1), \\ R' = I_r, \\ R'' = Q_2 = \Gamma R Q_1, \end{cases} \quad (62)$$

where the matrices  $\Theta$  and  $\Gamma$  are defined by the split exact sequence (59) and the matrices  $W_1$  and  $U_2$  are defined by the split exact sequence (61). We have the following commutative exact diagram:

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & D^{1 \times r} & \xrightleftharpoons[\cdot \Xi']{\cdot \Theta} & D^{1 \times q} & \xrightleftharpoons[\cdot \Gamma']{\cdot \Lambda} & D^{1 \times (q-r)} \longrightarrow 0 \\ & & \cdot I_r \downarrow & & \cdot R \downarrow & & \cdot Q_2 \downarrow \\ 0 & \longrightarrow & D^{1 \times r} & \xrightleftharpoons[\cdot W_1]{\cdot U_1} & D^{1 \times p} & \xrightleftharpoons[\cdot U_2]{\cdot Q_1} & D^{1 \times (p-r)} \longrightarrow 0 \\ & & \downarrow & & \pi \downarrow & & \downarrow \kappa \\ & & 0 & \longrightarrow & M & \xrightarrow{\varphi} & L \longrightarrow 0 \\ & & & & \downarrow & & \downarrow \\ & & & & 0 & & 0 \end{array} \quad (63)$$

To compute the matrices defined in (62), we first compute a basis of the free left  $D$ -module  $\ker_D(\cdot \Lambda)$  to obtain a full row rank matrix  $\Theta \in D^{r \times q}$  such that  $\ker_D(\cdot \Lambda) = \text{im}_D(\cdot \Theta)$ . Then, we can define the matrix  $U_1 := \Theta R$  and compute a right inverse  $W_1 \in D^{p \times r}$  of  $U_1$ . Finally, we can define the matrices  $X := (RW_1 \quad \Lambda)$  and  $W := (W_1 \quad Q_1)$  and finally compute  $V := X^{-1}$  (and  $U = W^{-1}$ ).

Let us write (62) in a different form. The identities  $U_1 = \Theta R$ ,  $RS_1 - \Lambda S_2 = I_q$  and  $\Theta \Lambda = 0$  yield:

$$U_1 S_1 = \Theta R S_1 = \Theta (I_q + \Lambda S_2) = \Theta.$$

Using this identity,  $W_1 U_1 + Q_1 U_2 = I_p$ ,  $RS_1 - \Lambda S_2 = I_q$ ,  $Q_2 = \Gamma R Q_1$  and  $\Gamma \Lambda = I_{q-r}$ , we get:

$$\begin{aligned} \Gamma' &= \Gamma(I_q - RW_1\Theta) = \Gamma(I_q - RW_1 U_1 S_1) = \Gamma(I_q - R(I_p - Q_1 U_2) S_1) \\ &= \Gamma(I_q - RS_1 + R Q_1 U_2 S_1) = -\Gamma \Lambda S_2 + (\Gamma R Q_1) U_2 S_1 = -S_2 + Q_2 U_2 S_1. \end{aligned}$$

Hence, we can rewrite (62) in a form where  $\Theta$  is replaced by  $U_1$ :

$$\begin{cases} V := ((U_1 S_1)^T \quad (-S_2 + Q_2 U_2 S_1)^T)^T \in \text{GL}_q(D), \\ X := V^{-1} = (RW_1 \quad \Lambda), \\ U := (U_1 \quad U_2^T)^T \in \text{GL}_p(D), \\ W := U^{-1} = (W_1 \quad Q_1), \\ R' = I_r, \\ R'' = Q_2 = \Gamma R Q_1. \end{cases} \quad (64)$$

The above expressions are the ones obtained in Boudelloua and Quadrat (2010) in which the condition that  $\ker_D(\cdot Q_1)$  is a free left  $D$ -module of rank  $r$  (i.e.,  $\ker_D(\cdot Q_1) = \text{im}_D(\cdot U_1)$  for a full row rank matrix  $U_1 \in D^{r \times p}$ ) is used instead of 2.b of Theorem 12 (i.e.,  $\ker_D(\cdot \Lambda) = \text{im}_D(\cdot \Theta)$  for a full row rank  $\Theta \in D^{r \times q}$ ).

Let check again the result. Using  $VX = I_q$ , where  $X = (\Xi' \ \Lambda) = (RW_1 \ \Lambda)$ , we get  $V(RW_1) = (I_r^T \ 0^T)^T$ . Using this identity,  $RQ_1 = \Lambda Q_2$ ,  $\Theta \Lambda = 0$ ,  $\Gamma' := \Gamma(I_q - RW_1 \Theta)$  and  $Q_2 = \Gamma RQ_1$ , we get:

$$\begin{aligned} VRW &= \begin{pmatrix} \Theta \\ \Gamma' \end{pmatrix} R(W_1 \ Q_1) = \begin{pmatrix} \Theta \\ \Gamma' \end{pmatrix} (RW_1 \ RQ_1) = \begin{pmatrix} I_r & \Theta RQ_1 \\ 0 & \Gamma' RQ_1 \end{pmatrix} \\ &= \begin{pmatrix} I_r & \Theta \Lambda Q_2 \\ 0 & \Gamma RQ_1 - \Gamma RW_1 \Theta \Lambda Q_2 \end{pmatrix} = \begin{pmatrix} I_r & 0 \\ 0 & \Gamma RQ_1 \end{pmatrix} = \begin{pmatrix} I_r & 0 \\ 0 & Q_2 \end{pmatrix}. \end{aligned}$$

Finally, the matrices  $V$  and  $W$  can be obtained as follows. We first compute a basis of the free left  $D$ -module  $\ker_D(.Q_1)$  to get a full row rank matrix  $U_1 \in D^{r \times p}$  such that  $\ker_D(.Q_1) = \text{im}_D(.U_1)$ . Then, we compute a right inverse  $W_1 \in D^{p \times r}$  of  $U_1$  and define the matrices  $W := (W_1 \ Q_1)$  and  $X := (RW_1 \ \Lambda)$  and finally compute  $V = X^{-1}$  (and  $U = W^{-1}$ ). See Boudelloua and Quadrat (2010).

We summarize the above results in the following corollary.

**Corollary 4.** *We have the following results:*

1. *If 2 of Theorem 12 holds, then with the notations (54) and (56), the matrices*

$$\begin{cases} V = (V_1^T \ V_2^T)^T, & V_1 \in D^{r \times q}, \quad V_2 \in D^{(q-r) \times q}, \\ V^{-1} = (X_1 \ X_2), & X_1 \in D^{q \times r}, \quad X_2 \in D^{q \times (q-r)}, \\ W = (W_1 \ W_2), & W_1 \in D^{p \times r}, \quad W_2 \in D^{p \times (p-r)}, \\ W^{-1} = (U_1^T \ U_2^T)^T, & U_1 \in D^{r \times p}, \quad U_2 \in D^{(p-r) \times p}, \end{cases} \quad (65)$$

defined in 1 of Theorem 12 can be chosen as follows

$$\begin{cases} V_1 = \Theta, \\ V_2 = \Gamma(I_q - RW_1 \Theta), \\ W_2 = Q_1, \end{cases} \quad \begin{cases} X_1 = RW_1, \\ X_2 = \Lambda, \\ U_1 = \Theta R, \end{cases} \quad (66)$$

where  $\Theta \in D^{r \times q}$  is a full row rank matrix such that  $\ker_D(. \Lambda) = \text{im}_D(. \Theta)$ ,  $W_1 \in D^{p \times r}$  is a right inverse of  $U_1 = \Theta R$ , i.e.,  $U_1 W_1 = I_r$ ,  $U_2 \in D^{(p-r) \times p}$  satisfies

$$I_p - W_1 U_1 = Q_1 U_2, \quad (67)$$

and  $Q_2 = \Gamma RQ_1$ , where  $\Gamma \in D^{(q-r) \times q}$  is a left inverse of  $\Lambda$ , i.e.,  $\Gamma \Lambda = I_{q-r}$ .

Equivalently, the matrices defined in 1 of Theorem 12 can be defined by

$$\begin{cases} V_1 = U_1 S_1, \\ V_2 = -S_2 + Q_2 U_2 S_1, \\ W_2 = Q_1, \end{cases} \quad \begin{cases} X_1 = RW_1, \\ X_2 = \Lambda, \end{cases} \quad (68)$$

where  $U_1 \in D^{r \times p}$  is a full row rank matrix such that  $\ker_D(.Q_1) = \text{im}_D(.U_1)$ ,  $W_1 \in D^{p \times r}$  is a right inverse of  $U_1$ , i.e.,  $U_1 W_1 = I_r$ ,  $U_2 \in D^{(p-r) \times p}$  satisfies (67) and  $Q_2 = \Gamma RQ_1$ , where  $\Gamma \in D^{(q-r) \times q}$  is a left inverse of  $\Lambda$ , i.e.,  $\Gamma \Lambda = I_{q-r}$ .

2. *If 1 of Theorem 12 holds, then, with the notations of (65), the matrices of 2 of Theorem 12 can be chosen as follows:*

$$\Lambda = X_2, \quad \Gamma = V_2, \quad \Theta = V_1, \quad Z = \begin{pmatrix} W_1 V_1 & W_2 \\ -V_2 & Q_2 \end{pmatrix}, \quad Z^{-1} = \begin{pmatrix} R & -\Lambda \\ U_2 & 0 \end{pmatrix}.$$

*Proof.* 1 has been proved above and 2 is proved in Corollary 4.14 of Boudellioua and Quadrat (2010) by using the isomorphism  $\ker_D(\Lambda) \cong \ker_D(Q_1)$  given by (58).

Let us give a proof of 2 based on the results obtained above. By Theorem 7, 2 is equivalent to (28) with  $R' = I_r$ ,  $R'' = Q_2$  and  $l = m = r$ . Comparing (28) with (63), and considering  $\Lambda := X_2$ ,  $\Theta := V_1$ ,  $\Gamma' := V_2$ ,  $\Xi' := X_1$  and  $Q_1 := W_2$ , the relations  $X_1 V_1 + X_2 V_2 = I_q$  and  $X_1 = R W_1$  yield  $R(W_1 V_1) - X_2(-\Lambda) = I_q$ . Using the relations  $R W_2 - X_2 Q_2 = 0$ ,  $U_2 W_2 = I_{q-r}$  and  $U_2 W_1 = 0$ , we get:

$$\begin{pmatrix} R & -\Lambda \\ U_2 & 0 \end{pmatrix} \begin{pmatrix} W_1 V_1 & W_2 \\ -V_2 & Q_2 \end{pmatrix} = I_{p+q-r}.$$

The relations  $W_1 U_1 + W_2 U_2 = I_p$ ,  $U_1 = V_1 R$ ,  $V_2 R = Q_2 U_2$ ,  $V_1 X_2 = 0$  and  $V_2 X_2 = I_{q-r}$  yield

$$\begin{pmatrix} W_1 V_1 & W_2 \\ -V_2 & Q_2 \end{pmatrix} \begin{pmatrix} R & -\Lambda \\ U_2 & 0 \end{pmatrix} = I_{p+q-r},$$

which proves 2.c of Theorem 12. Finally,  $V_2 X_2 = I_{q-r}$  yields 2.b of Theorem 12 and the fact that  $\ker_D(X_2) = \text{im}_D(V_1) \cong D^{1 \times r}$  since  $V_1$  has full row rank gives 2.a of Theorem 12.  $\square$

**Example 7.** Let us consider again the wind tunnel model studied in Example 6. Let  $\text{ext}_D^1(M, D) := D^3/(RD^4)$  be the  $D = \mathbb{Q}(\zeta, k, \omega, a)[d, \delta]$ -module defined by (52) with  $r = q - 1 = 2$ . Computing a Gröbner basis of  $\text{ext}_D^1(M, D)$ , we obtain that this  $D$ -module is a finite-dimensional  $\mathbb{Q}(\zeta, k, \omega, a)$ -vector space of dimension 1 defined by the basis  $\tau(\Lambda)$ , where  $\Lambda := (1 \ 0 \ 0)^T$  and  $\tau : D^3 \rightarrow \text{ext}_D^1(M, D)$  is the canonical projection. We can check that the matrix  $P := (R \ -\Lambda)$  admits the right inverse  $S = (S_1^T \ S_2^T)^T$ , where

$$S_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & -\omega^{-2}(d + 2\zeta\omega) & -\omega^{-2} \end{pmatrix}, \quad S_2 = (-1 \ 0 \ 0),$$

which shows that the  $D$ -module  $E := \text{coker}_D(P)$  is stably free (see Theorem 10), and thus free of rank 2 by the Quillen-Suslin theorem (see 2 of Theorem 2 or Remark 9). Computing a basis of the free  $D$ -module  $E$  (see Fabiańska and Quadrat (2007)), we obtain that the following matrices

$$Q_1 = \begin{pmatrix} 1 & 0 \\ 0 & \omega^2 \\ 0 & \omega^2 d \\ 0 & d^2 + 2\zeta\omega d + \omega^2 \end{pmatrix}, \quad Q_2 = (d + a \quad k a \omega^2 \delta),$$

$$T_1 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \omega^{-2} & 0 & 0 \end{pmatrix}, \quad T_2 = \begin{pmatrix} 0 \\ 0 \end{pmatrix},$$

are such that the matrix  $Z$  defined by (54) satisfies  $Z \in \text{GL}_5(D)$  and  $PZ = (I_3 \ 0)$ . By Theorem 11, we obtain that  $M = D^{1 \times 4}/(D^{1 \times 3} R) \cong L := D^{1 \times 2}/(D Q_2)$ , i.e., the wind tunnel model can be defined by a single OD time-delay equation in two unknown functions, i.e.,  $\dot{z}(t) + a z(t) + k a \omega^2 v(t-1) = 0$ .

We note that  $\Gamma := (1 \ 0 \ 0)$  is a left inverse of  $\Lambda$ . Hence, by 2 of Theorem 12,  $R$  is equivalent to the diagonal matrix  $\text{diag}(I_2, Q_2)$ . Using 1 of Corollary 4, let us compute the matrices  $V \in \text{GL}_3(D)$  and  $W \in \text{GL}_4(D)$  such that  $VRW = \text{diag}(I_2, Q_2)$  as well as  $X = V^{-1}$  and  $U = W^{-1}$ . Computing first a basis of  $\ker_D(\Lambda)$ , we obtain that  $\ker_D(\Lambda) = \text{im}_D(V_1)$ , where the full row rank matrix  $V_1$  is defined by:

$$V_1 = \Theta := \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Using (66), we obtain that the following matrices

$$\begin{aligned}
 U_1 := \Theta R &= \begin{pmatrix} 0 & d & -1 & 0 \\ 0 & \omega^2 & d + 2\zeta\omega & -\omega^2 \end{pmatrix}, & W_1 &= \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ -1 & 0 \\ -\omega^{-2}(d + 2\zeta\omega) & -\omega^{-2} \end{pmatrix}, \\
 U_2 &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \omega^{-2} & 0 & 0 \end{pmatrix}, & X_1 = RW_1 &= \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}, \\
 V_2 = \Gamma(I_3 - RW_1\Theta) &= (1 \ 0 \ 0), & X_2 = \Lambda &= (1 \ 0 \ 0)^T, \\
 W_2 = Q_1 &= \begin{pmatrix} 1 & 0 \\ 0 & \omega^2 \\ 0 & \omega^2 d \\ 0 & d^2 + 2\zeta\omega d + \omega^2 \end{pmatrix}, & Q_2 = \Gamma R Q_1 &= (d + a \quad ka\omega^2 \delta),
 \end{aligned}$$

where  $W_1$  is a right inverse of  $U_1$ , are such that  $V := (V_1^T \ V_2^T)^T \in \text{GL}_3(D)$ ,  $W = (W_1 \ W_2) \in \text{GL}_4(D)$ ,  $X = V^{-1}$ ,  $U = W^{-1}$  and  $V R W = \text{diag}(I_2, Q_2)$ . Equivalently, these matrices can also be obtained by (68), where the matrix  $U_1 \in D^{2 \times 4}$ , which defines a basis of  $\ker_D(\cdot Q_1)$ , can be taken as above.

In the forthcoming Example 8, we shall illustrate Serre's reduction techniques with an interesting class of examples. To do that, we first need to introduce the concept of the *Fitting ideals* of a finitely presented module over a commutative ring and state a few standard results. For more details, see, e.g., Eisenbud (1995).

**Definition 4** (Eisenbud (1995)). Let  $D$  be a commutative ring,  $R \in D^{q \times p}$  and  $M = D^{1 \times p}/(D^{1 \times q} R)$ . Then, the  $i^{\text{th}}$  *Fitting ideal*  $\text{Fitt}_i(M)$  of  $M$  is the ideal of  $D$  generated by the  $(p - i) \times (p - i)$  minors of  $R$ , with the conventions that  $\text{Fitt}_i(M) = 0$  if  $p - i > q$ , i.e., if  $i < p - q$ , and  $\text{Fitt}_i(M) = D$  for  $i \geq p$ .

**Theorem 13** (Eisenbud (1995)). Let  $D$  be a commutative ring,  $R \in D^{q \times p}$  and  $M = D^{1 \times p}/(D^{1 \times q} R)$ . The *Fitting ideals*  $\text{Fitt}_i(M)$ 's depend only on  $M$  and not on the presentation matrix  $R$  of  $M$ .

If the  $D$ -module  $M = D^{1 \times p}/(D^{1 \times q} R)$  can be generated by  $r$  elements with  $r \leq p$ , i.e.,  $M$  admits a finite presentation of the form  $D^{1 \times s} \xrightarrow{\cdot Q} D^{1 \times r} \xrightarrow{\sigma} M \longrightarrow 0$ , then using Theorem 13 and Definition 4, we obtain that  $\text{Fitt}_r(M) = D$ . Hence, we have the following corollary.

**Corollary 5** (Eisenbud (1995)). Let  $D$  be a commutative ring,  $R \in D^{q \times p}$  and  $M = D^{1 \times p}/(D^{1 \times q} R)$ . If  $M$  can be generated by  $r$  elements, then we have  $\text{Fitt}_r(M) = D$ .

The above corollary will be used in Section 7. Let us now state two results that will be used below.

**Proposition 6** (Eisenbud (1995)). Let  $D$  be a commutative ring,  $R \in D^{q \times p}$  and  $M = D^{1 \times p}/(D^{1 \times q} R)$ . Then,  $M$  is a projective  $D$ -module of rank  $r$  if and only if  $\text{Fitt}_i(M) = 0$  for  $i = 0, \dots, r - 1$ , and  $\text{Fitt}_r(M) = D$ .

**Proposition 7** (Eisenbud (1995)). Let  $D$  be a commutative ring,  $R \in D^{q \times p}$ ,  $M = D^{1 \times p}/(D^{1 \times q} R)$  and  $E$  a commutative ring which is a  $D$ -module. Then, we have  $\text{Fitt}_i(E \otimes_D M) \cong E \otimes_D \text{Fitt}_i(M)$  for  $i \geq 0$ .

**Example 8.** If  $D = k[x_1, \dots, x_n]$  is a commutative polynomial ring over a field  $k$ , in Lin et al. (2006), it is shown that every matrix  $R \in D^{p \times p}$  whose determinant  $\det(R)$  is of the form  $x_1 - f(x_2, \dots, x_n)$ , where  $f \in k[x_2, \dots, x_n]$ , admits Serre's reduction. Let us prove this using the above results. We first characterize  $\text{Fitt}_0(M)$  and  $\text{Fitt}_1(M)$ . We clearly have  $\text{Fitt}_0(M) = (\det(R))$  and

$$1 = \frac{\partial \det(R)}{\partial x_1} = \sum_{i=1}^p \det \left( R_{\bullet 1} \dots \frac{\partial R_{\bullet i}}{\partial x_1} \dots R_{\bullet p} \right),$$

where  $R_{\bullet i}$  denotes the  $i^{\text{th}}$  column of  $R$ . If we develop each determinant in the last above sum with respect to the column which is differentiated, then we get that the corresponding determinant is a polynomial combination of  $(p-1) \times (p-1)$  minors of  $R$ , i.e., is an element of  $\text{Fitt}_1(M)$ . This proves that  $\text{Fitt}_1(M) = D$ .

Now, if  $E := D/\text{Fitt}_0(M) \cong A := k[x_2, \dots, x_n]$ , then applying the *covariant right exact functor*  $E \otimes_D \cdot$  (see, e.g., Rotman (2009)) to the finite presentation of the  $D$ -module  $N := D^p/(R D^p)$ , i.e., to the following short exact sequence of  $D$ -modules

$$0 \longleftarrow N \xleftarrow{\kappa} D^p \xleftarrow{R} D^p \longleftarrow 0,$$

we get the following exact sequence of  $E$ -modules

$$0 \longleftarrow E \otimes_D N \longleftarrow E^p \xleftarrow{\bar{R}} E^p \longleftarrow \text{tor}_1^D(N, E) \longleftarrow 0, \quad (69)$$

where  $\bar{R} := R(f(x_2, \dots, x_n), x_2, \dots, x_n)$  and  $\text{tor}_1^D(N, E) \cong \ker_E(\bar{R})$ . For more details, see, e.g., Rotman (2009). Similarly as before with  $R^T$  instead of  $R$ , we get  $\text{Fitt}_0(E \otimes_D N) = 0$  and  $\text{Fitt}_1(E \otimes_D N) = E$ , which shows that  $E \otimes_D N$  is a projective  $E$ -module by Proposition 6, and thus a free  $E$ -module of rank 1 by the Quillen-Suslin theorem (see 2 of Theorem 2). Thus, the above long exact sequence splits by Proposition 3, which shows that  $\text{im}_E(\bar{R})$  (resp.,  $\ker_E(\bar{R})$ ) is a stably free, i.e., a free  $E$ -module of rank  $p-1$  (resp., 1) by the Quillen-Suslin theorem. Computing a basis of  $\ker_E(\bar{R})$ , we get  $\bar{R}_2 \in E^p$  such that  $\ker_E(\bar{R}) = \bar{R}_2 E$  and  $\bar{S}_2 \bar{R}_2 = 1$  for a certain  $\bar{S}_2 \in E^{1 \times p}$ . See Fabiańska and Quadrat (2007) for a way to compute these two matrices based only on the fact that  $E \otimes_D N$  is free of rank 1 over a commutative ring  $E$ . Now, computing a basis of the free  $E$ -module  $\text{coker}_E(\bar{R}_2) \cong \text{im}_E(\bar{R})$  of rank  $p-1$ , we obtain two matrices  $\bar{Q}_2 \in E^{(p-1) \times p}$  and  $\bar{T}_2 \in E^{p \times (p-1)}$  such that

$$(\bar{T}_2 \quad \bar{R}_2) \begin{pmatrix} \bar{Q}_2 \\ \bar{S}_2 \end{pmatrix} = I_p, \quad \begin{pmatrix} \bar{Q}_2 \\ \bar{S}_2 \end{pmatrix} (\bar{T}_2 \quad \bar{R}_2) = I_p, \quad (70)$$

i.e.,  $W := (\bar{T}_2 \quad \bar{R}_2) \in \text{GL}_p(E)$ . For more details, see Fabiańska and Quadrat (2007); Quadrat and Robertz (2007a). Since the entries of the matrices  $\bar{T}_2$  and  $\bar{R}_2$  can be chosen in  $A$ , we have  $W \in \text{GL}_p(A)$ . Then, the identity  $\bar{R} \bar{R}_2 = 0$  yields  $R \bar{R}_2 = \Lambda \det(R)$  for a certain  $\Lambda \in D^p$ , and thus we have:

$$R W = R (\bar{T}_2 \quad \bar{R}_2) = (R \bar{T}_2 \quad \Lambda \det(R)) = (R \bar{T}_2 \quad \Lambda) \begin{pmatrix} I_{p-1} & 0 \\ 0 & \det(R) \end{pmatrix}.$$

Since  $\det(W)$  can be chosen to be 1, the above identity yields  $\det(R \bar{T}_2 \quad \Lambda) = 1$ , i.e.,  $X := (R \bar{T}_2 \quad \Lambda) \in \text{GL}_p(D)$ , and we obtain that  $V R W = \text{diag}(I_{p-1}, \det(R))$ , where  $V := X^{-1} \in \text{GL}_p(D)$ .

Finally, let us add a few more comments. Since  $E \otimes_D N$  is a free  $E$ -module of rank 1, (69) yields the following split long exact sequence

$$0 \longleftarrow E \xleftarrow[\bar{R}_0]{\bar{S}_0} E^p \xleftarrow[\bar{R}_1]{\bar{S}_1} E^p \xleftarrow[\bar{R}_2]{\bar{S}_2} E \longleftarrow 0,$$

with the notation  $\bar{R}_1 = \bar{R}$ , which can be rewriting as follows:

$$\begin{array}{ccc} E^p & \xleftarrow[\bar{R}_2]{\bar{S}_2} & E \longleftarrow 0 \\ \bar{S}_1 \uparrow & & \downarrow 0 \\ E^p & \xleftarrow[\bar{R}_0]{\bar{S}_0} & E \longrightarrow 0 \end{array}$$

Now, (70) is equivalent to the following split short exact sequence:

$$0 \longrightarrow E^{p-1} \xleftarrow[\bar{Q}_2]{\bar{T}_2} E^p \xleftarrow[\bar{R}_2]{\bar{S}_2} E \longleftarrow 0.$$

Using the fact that  $\ker_E(\overline{R}_0) = \text{im}_E(\overline{R}_1)$ , we get  $\text{im}_E((\overline{R}_1 \overline{T}_2)) \subseteq \ker_E(\overline{R}_0)$ . If  $\lambda \in \ker_E(\overline{R}_0)$ , there exists  $\mu \in E^p$  such that  $\lambda = \overline{R}_1 \mu$ . Now, using  $\overline{T}_2 \overline{Q}_2 + \overline{R}_2 \overline{S}_2 = I_p$  and  $\ker_E(\overline{R}_1) = \text{im}_E(\overline{R}_2)$ , we obtain

$$\lambda = (\overline{R}_1 \overline{T}_2) (\overline{Q}_2 \mu) + (\overline{R}_1 \overline{R}_2) (\overline{S}_2 \mu) = (\overline{R}_1 \overline{T}_2) (\overline{Q}_2 \mu) \in \text{im}_E((\overline{R}_1 \overline{T}_2))$$

which shows that  $\ker_E(\overline{R}_0) = \text{im}_E((\overline{R}_1 \overline{T}_2))$ . Let us now compute  $\ker_E((\overline{R}_1 \overline{T}_2))$ . If  $\nu \in \ker_E((\overline{R}_1 \overline{T}_2))$ , then there exists  $\theta \in E$  such that  $\overline{T}_2 \nu = \overline{R}_2 \theta$ , i.e.,  $\nu = \overline{Q}_2 \overline{R}_2 \theta = 0$  since  $\overline{Q}_2 \overline{T}_2 = I_{p-1}$  and  $\overline{Q}_2 \overline{R}_2 = 0$ . Hence, the matrix  $\overline{R}_1 \overline{T}_2$  has full column rank, and thus it defines a basis of  $\ker_E(\overline{R}_0)$ , i.e.,  $\ker_E(\overline{R}_0) = \text{im}_E(\overline{Q}_0)$ , with the notation  $\overline{Q}_0 = \overline{R}_1 \overline{T}_2$ . In particular, there exists a matrix  $\overline{T}_0 \in E^{(p-1) \times p}$  such that  $\overline{T}_0 \overline{Q}_0 = I_{p-1}$ , i.e.,  $\overline{T}_0 \overline{R}_1 \overline{T}_2 = I_{p-1}$ .

If we note  $\overline{Q}'_2 = \overline{T}_0 \overline{R}_1$ , then we have  $\overline{Q}'_2 \overline{T}_2 = \overline{T}_0 \overline{R}_1 \overline{T}_2 = I_{p-1}$ . If  $\overline{R}'_2 \in E^p$  is a matrix such that  $I_p - \overline{T}_2 \overline{Q}'_2 = \overline{R}'_2 \overline{S}'_2$  and  $\overline{S}'_0 \in E^p$  is such that  $I_p - \overline{Q}_0 \overline{T}_0 = \overline{S}'_0 \overline{R}_0$ , then we obtain the following diagram which is formed by horizontal split short exact sequences and commutes in both directions:

$$\begin{array}{ccccccc} 0 & \longrightarrow & E^{p-1} & \xrightleftharpoons[\overline{Q}'_2]{\overline{T}_2} & E^p & \xrightleftharpoons[\overline{R}'_2]{\overline{S}_2} & E \longleftarrow 0 \\ & & \parallel & & \downarrow \overline{R}_1 & & \downarrow 0 \\ 0 & \longrightarrow & E^{p-1} & \xrightleftharpoons[\overline{T}_0]{\overline{Q}_0} & E^p & \xrightleftharpoons[\overline{S}'_0]{\overline{R}_0} & E \longrightarrow 0 \end{array}$$

Then, Theorem 7 shows that  $\overline{R}_1$  is equivalent to  $\text{diag}(I_{p-1}, 0)$ , which proves again that  $\text{coker}_E(\overline{R}_1) \cong E$ . More precisely, if  $Y = (\overline{T}_2 \quad \overline{R}'_2) \in \text{GL}_p(E)$  and  $Z = \begin{pmatrix} \overline{T}_0^T & \overline{R}_0^T \end{pmatrix}^T \in \text{GL}_p(E)$ , then we have

$$Z \overline{R}_1 Y = \begin{pmatrix} I_{p-1} & 0 \\ 0 & 0 \end{pmatrix},$$

where  $Y^{-1} = \begin{pmatrix} \overline{Q}_2^T & \overline{S}_2^T \end{pmatrix}^T$  and  $Z^{-1} = (\overline{Q}_0 \quad \overline{S}'_0)$ .

## 6.2 From Serre's reduction problem to the decomposition problem and vice versa

The purpose of this section is to show how to go from Theorem 12 (which deals with Serre's reduction problem) to Theorem 8 or Corollary 2 (which deals with the decomposition problem for the trivial idempotents  $\text{id}_M$  and  $0_M$ ) and vice versa.

We have the following first result.

**Theorem 14.** *Let  $R \in D^{q \times p}$  be a full row rank matrix and  $\Lambda \in D^{q \times (q-r)}$  satisfy the conditions 2 of Theorem 12. With the notations (54), (56) and (66) or (68), we have:*

1.  $\Delta := -W_1 V_1 \in D^{p \times q}$  satisfies  $\Delta R \Delta = -\Delta$ .
2. The matrices  $\overline{P} := Q_1 U_2 = I_p + \Delta R \in D^{p \times p}$  and  $\overline{Q} := X_2 V_2 = I_q + R \Delta \in D^{q \times q}$  are two idempotents, i.e.,  $\overline{P}^2 = \overline{P}$  and  $\overline{Q}^2 = \overline{Q}$ , and they satisfy  $R \overline{P} = \overline{Q} R$ .
3. The left  $D$ -modules  $\ker_D(\overline{P})$ ,  $\text{im}_D(\overline{P})$ ,  $\ker_D(\overline{Q})$  and  $\text{im}_D(\overline{Q})$  are defined by  $\ker_D(\overline{P}) = \text{im}_D(U_1)$ ,  $\text{im}_D(\overline{P}) = \text{im}_D(U_2)$ ,  $\ker_D(\overline{Q}) = \text{im}_D(V_1)$  and  $\text{im}_D(\overline{Q}) = \text{im}_D(V_2)$  i.e., they are free of rank respectively  $r$ ,  $p-r$ ,  $r$  and  $q-r$ .

Hence, the hypotheses of 1 of Corollary 2 are satisfied, i.e., 1 of Corollary 2 holds.

*Proof.* 1. Using  $U_1 = V_1 R$  and  $U_1 W_1 = I_r$ , we get  $\Delta R \Delta = W_1 V_1 R W_1 V_1 = W_1 U_1 W_1 V_1 = W_1 V_1 = -\Delta$ .

2. Since  $W_1 U_1 + Q_1 U_2 = I_p$  and  $U_1 = V_1 R$ , we get  $\overline{P} := Q_1 U_2 = I_p - W_1 U_1 = I_p - W_1 V_1 R$ , i.e.,  $\overline{P} = I_p + \Delta R$ . Using (66), we have  $X_1 V_1 + X_2 V_2 = I_q$ , where  $X_1 = R W_1$ . Thus, we get

$\bar{Q} := X_2 V_2 = I_q - X_1 V_1 = I_q - R W_1 V_1 = I_q + R \Delta$ . Finally, we have  $\bar{P}^2 = \bar{P}$ ,  $\bar{Q}^2 = \bar{Q}$  and  $R \bar{P} = \bar{Q} R$  since  $\Delta R \Delta = -\Delta$ .

3.  $U W = I_p$  yields  $\ker_D(.Q_1) = \text{im}_D(.U_1)$ , where  $U_1 = V_1 R$ ,  $U_1 W_1 = I_r$  and  $U_2 Q_1 = I_{p-r}$ . The identity  $U_1 W_1 = I_r$  shows that  $.U_1$  is injective, i.e., that  $U_1$  has full row rank. The identity  $U_2 Q_1 = I_{p-r}$  shows that  $.Q_1$  is surjective and  $.U_2$  is injective, i.e., that  $U_2$  has full row rank. Thus, we obtain

$$\begin{cases} \ker_D(. \bar{P}) = \ker_D(. (Q_1 U_2)) = \ker_D(. Q_1) = \text{im}_D(. U_1) \cong D^{1 \times r}, \\ \text{im}_D(. \bar{P}) = \text{im}_D(. (Q_1 U_2)) = \text{im}_D(. U_2) \cong D^{1 \times (p-r)}, \end{cases}$$

which proves that  $\ker_D(. \bar{P})$  and  $\text{im}_D(. \bar{P})$  are free left  $D$ -modules of rank respectively  $r$  and  $p - r$ .

The identity  $V X = I_q$  yields  $\ker_D(.X_2) = \text{im}_D(.V_1)$ ,  $V_1 X_1 = I_r$  and  $V_2 X_2 = I_{q-r}$ . The identity  $V_1 X_1 = I_r$  shows that  $.V_1$  is injective, i.e., that  $V_1$  has full row rank. The identity  $V_2 X_2 = I_{q-r}$  shows that  $.X_2$  is surjective and  $.V_2$  is injective, i.e.,  $V_2$  has full row rank. Using  $\bar{Q} = X_2 V_2$ , we then obtain

$$\begin{cases} \ker_D(. \bar{Q}) = \ker_D(. (X_2 V_2)) = \ker_D(. X_2) = \text{im}_D(. V_1) \cong D^{1 \times r}, \\ \text{im}_D(. \bar{Q}) = \text{im}_D(. (X_2 V_2)) = \text{im}_D(. V_2) \cong D^{1 \times (q-r)}, \end{cases}$$

which proves that  $\ker_D(. \bar{Q})$  and  $\text{im}_D(. \bar{Q})$  are free left  $D$ -modules of rank respectively  $r$  and  $q - r$ .  $\square$

**Example 9.** We consider again Example 7. According to 1 of Theorem 14, we can check again that

$$\Delta := -W_1 V_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \omega^{-2}(d + 2\zeta\omega) & \omega^{-2} \end{pmatrix}$$

satisfies the equation  $\Delta R \Delta = -\Delta$ . With the notations of Example 7, we can check that

$$\begin{aligned} \bar{P} := Q_1 U_2 = I_p + \Delta R &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & \omega^{-2}(d^2 + 2\zeta\omega d + \omega^2) & 0 & 0 \end{pmatrix}, \\ \bar{Q} := X_2 V_2 = I_q + R \Delta &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

are two idempotents, i.e.,  $\bar{P}^2 = \bar{P}$  and  $\bar{Q}^2 = \bar{Q}$ , satisfying  $R \bar{P} = \bar{Q} R$ . Finally, we can check that  $\ker_D(. \bar{P}) = \text{im}_D(.U_1)$  and  $\text{im}_D(. \bar{P}) = \ker_D(. (I_4 - \bar{P})) = \text{im}_D(.U_2)$ ,  $\ker_D(. \bar{Q}) = \text{im}_D(.V_1)$  and  $\text{im}_D(. \bar{Q}) = \ker_D(. (I_3 - \bar{Q})) = \text{im}_D(.V_2)$ , where the full row rank matrices  $U_1$ ,  $U_2$ ,  $V_1$  and  $V_2$  are defined in Example 7, i.e.,  $\ker_D(. \bar{P})$  (resp.,  $\text{im}_D(. \bar{P})$ ,  $\ker_D(. \bar{Q})$ ,  $\text{im}_D(. \bar{Q})$ ) is a free  $D$ -module of rank 2 (resp., 2, 2, 1), which shows that the hypotheses of 1 of Corollary 2 are satisfied.

Conversely, we have the following result.

**Theorem 15.** Let  $R \in D^{q \times p}$  be a full row rank matrix,  $\Delta \in D^{p \times q}$  a matrix satisfying  $\Delta R \Delta = -\Delta$  and which is such that the projective left  $D$ -modules  $\ker_D(. \Delta)$ ,  $\text{im}_D(. \Delta)$  and  $\text{coker}_D(. \Delta)$  are free of rank respectively  $p - l$ ,  $l$  and  $q - l$ . Let the full row rank matrix  $U_2 \in D^{(p-l) \times p}$  (resp.,  $V_1 \in D^{l \times q}$  and  $\Phi \in D^{(q-l) \times q}$ ) define a basis of  $\ker_D(. \Delta)$  (resp.,  $\text{im}_D(. \Delta)$  and  $\text{coker}_D(. \Delta)$ ), i.e.,

$$\begin{cases} \ker_D(. \Delta) = \text{im}_D(.U_2), \\ \text{im}_D(. \Delta) = \text{im}_D(.V_1), \end{cases}$$

and  $\{\gamma(\Phi_{i\bullet})\}_{i=1,\dots,q-l}$  is a basis of  $\text{coker}_D(\Delta)$ , where  $\gamma : D^{1 \times q} \longrightarrow \text{coker}_D(\Delta) = D^{1 \times q}/\text{im}_D(\Delta)$  is the canonical projection. Then, the following full row rank matrices

$$U_1 := V_1 R \in D^{l \times p}, \quad V_2 := \Phi(I_q + R \Delta) \in D^{(q-l) \times q}$$

are such that  $U := (U_1^T \quad U_2^T)^T \in \text{GL}_p(D)$  and  $V := (V_1^T \quad V_2^T)^T \in \text{GL}_q(D)$ .

Moreover, with the notations (40), we have

$$\begin{pmatrix} R & -X_2 \\ U_2 & 0 \end{pmatrix} \begin{pmatrix} W_1 V_1 & W_2 \\ -V_2 & V_2 R W_2 \end{pmatrix} = I_{q+p-l}, \quad (71)$$

which shows that Theorem 12 holds with the matrix  $\Lambda := X_2 \in D^{q \times (q-l)}$  which admits the left inverse  $V_2 \in D^{(q-l) \times q}$  and  $\ker_D(\cdot X_2) = \text{im}_D(\cdot V_1) \cong D^{1 \times l}$  is a free left  $D$ -module of rank  $l$ .

*Proof.* The fact that  $U := (U_1^T \quad U_2^T)^T \in \text{GL}_p(D)$  and  $V := (V_1^T \quad V_2^T)^T \in \text{GL}_q(D)$  is proved in Theorem 8 (see also Remark 8 or Proposition 4.3 of Cluzeau and Quadrat (2008)). Theorem 8 yields

$$V R W = \begin{pmatrix} I_l & 0 \\ 0 & V_2 R W_2 \end{pmatrix} \iff R W = X \begin{pmatrix} I_l & 0 \\ 0 & V_2 R W_2 \end{pmatrix},$$

which is equivalent to:

$$\begin{cases} R W_1 = X_1, \\ R W_2 = X_2 (V_2 R W_2). \end{cases} \quad (72)$$

Combining  $X_1 = R W_1$  with  $X_1 V_1 + X_2 V_2 = I_q$ , we obtain:

$$R(W_1 V_1) - X_2(-V_2) = I_q. \quad (73)$$

Moreover, the identity  $U W = I_p$  yields:

$$U_2 W_1 = 0, \quad U_2 W_2 = I_{p-l}. \quad (74)$$

Combining (73), the second identity of (72) and (74), we obtain (71). Since  $D$  is a noetherian domain, it is *stably finite*, namely, for any  $r \in \mathbb{Z}_{\geq 0}$  and for all  $A, B \in D^{r \times r}$  satisfying  $AB = I_r$ , we have  $BA = I_r$ , i.e.,  $A \in \text{GL}_r(D)$  and  $B = A^{-1}$  (see, e.g., Lam (1999)). Hence, both square matrices in the left-hand side of (71) belong to  $\text{GL}_{q+p-l}(D)$ . Let us check again this result by direct computation. Using the identities  $V_1 R W_2 = 0$ ,  $W_1 U_1 + W_2 U_2 = I_p$  and  $V_1 R W_1 = I_l$ , we first get  $V_1 R = V_1 R(W_1 U_1 + W_2 U_2) = U_1$ . Combining this identity with  $V_1 X_2 = 0$ ,  $V_2 X_2 = I_{q-l}$ ,  $W_1 U_1 + W_2 U_2 = I_p$  and  $V_2 R W_1 = 0$ , we obtain:

$$\begin{pmatrix} W_1 V_1 & W_2 \\ -V_2 & V_2 R W_2 \end{pmatrix} \begin{pmatrix} R & -X_2 \\ U_2 & 0 \end{pmatrix} = \begin{pmatrix} W_1(V_1 R) + W_2 U_2 & -W_1(V_1 X_2) \\ V_2 R(W_2 U_2 - I_p) & V_2 X_2 \end{pmatrix} = I_{p+q-l}.$$

Using  $V X = I_q$ , we get  $V_2 X_2 = V_2 \Lambda = I_{q-l}$ . Moreover, we have  $\ker_D(\cdot X_2) = \text{im}_D(\cdot V_1) \cong D^{1 \times l}$  since the matrix  $V_1$  has full row rank. Hence, Theorem 12 holds.  $\square$

**Remark 11.** We note that the matrix  $\Lambda := X_2$  of Theorem 15 is an injective parametrization of the free left  $D$ -module  $\text{coker}_D(\Delta)$  and the residue classes of the rows of  $V_2$  defines a basis of  $\text{coker}_D(\Delta)$ .

**Example 10.** We consider again Example 6. First considering the matrix  $\Delta$  defined by (50), we can



check again that (71) holds, i.e.:

$$\begin{pmatrix} d+a & ka\delta & 0 & 0 & -1 \\ 0 & d & -1 & 0 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 & 1 & -d \\ 0 & 0 & 0 & 0 & 1 \\ 0 & -1 & 0 & 0 & d \\ -\omega^{-2} & -\omega^{-2}(2\zeta\omega - a) & -\omega^{-2} & \omega^{-2}(d+a) & \omega^{-2}((2\zeta\omega - a)d + ka\delta + \omega^2) \\ -1 & d+a & 0 & d+a & -d^2 - ad + ka\delta \end{pmatrix} = I_5.$$

Moreover, we have  $\ker_D(.X_2) = \text{im}_D(.V_1)$ , where  $V_1$  is the full row rank matrix defined in Example 6, i.e.,  $\ker_D(.X_2)$  is a free  $D$ -module of rank 2. Finally,  $X_2$  admits the left inverse  $V_2$ , where  $V_2$  is defined in Example 6, which shows that Theorem 12 holds with  $\Lambda = X_2 = (1 \ 0 \ -1)^T$ .

Now, if we consider the matrix  $\Delta$  defined by (51), we can check again that (71) holds, i.e.:

$$\begin{pmatrix} d+a & ka\delta & 0 & 0 & -1 \\ 0 & d & -1 & 0 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & -1 & 0 & 0 & d \\ 0 & -\omega^{-2}(d+2\zeta\omega) & -\omega^{-2} & 0 & \omega^{-2}(d^2 + 2\zeta\omega d + \omega^2) \\ -1 & 0 & 0 & d+a & ka\delta \end{pmatrix} = I_5.$$

Moreover, we have  $\ker_D(.X_2) = \text{im}_D(.V_1)$ , where  $V_1$  is the full row rank matrix defined at the end of Example 6, i.e.,  $\ker_D(.X_2)$  is a free  $D$ -module of rank 2. Finally,  $X_2$  admits the left inverse  $V_2$ , where  $V_2$  is defined at the end of Example 6, which shows that Theorem 12 holds with  $\Lambda = X_2 = (1 \ 0 \ 0)^T$ .

Similarly, we have the following two results.

**Theorem 16.** *Let  $R \in D^{q \times p}$  be a full row rank matrix and  $\Lambda \in D^{q \times (q-r)}$  satisfy the conditions 2 of Theorem 12. With the notations (54), (56) and (66), we have:*

1.  $\Delta := W_1 V_1 \in D^{p \times q}$  satisfies  $\Delta R \Delta = \Delta$ .
2. The matrices  $\bar{P} := W_1 U_1 = \Delta R \in D^{p \times p}$  and  $\bar{Q} := X_1 V_1 = R \Delta \in D^{q \times q}$  are two idempotents, i.e.,  $\bar{P}^2 = \bar{P}$  and  $\bar{Q}^2 = \bar{Q}$ , and they satisfy  $R \bar{P} = \bar{Q} R$ .
3. The left  $D$ -modules  $\ker_D(. \bar{P})$ ,  $\text{im}_D(. \bar{P})$ ,  $\ker_D(. \bar{Q})$  and  $\text{im}_D(. \bar{Q})$  are defined by  $\ker_D(. \bar{P}) = \text{im}_D(. U_2)$ ,  $\text{im}_D(. \bar{P}) = \text{im}_D(. U_1)$ ,  $\ker_D(. \bar{Q}) = \text{im}_D(. V_2)$  and  $\text{im}_D(. \bar{Q}) = \text{im}_D(. V_1)$  i.e., they are free of rank respectively  $p-r$ ,  $r$ ,  $q-r$  and  $r$ .

Hence, the hypotheses of 1 of Corollary 2 are satisfied, i.e., 2 of Corollary 2 holds.

**Theorem 17.** *Let  $R \in D^{q \times p}$  be a full row rank matrix,  $\Delta \in D^{p \times q}$  a matrix satisfying  $\Delta R \Delta = \Delta$  and which is such that the projective left  $D$ -modules  $\ker_D(. \Delta)$ ,  $\text{im}_D(. \Delta)$  and  $\text{coker}_D(. \Delta)$  are free of rank*

respectively  $p - q + l$ ,  $q - l$  and  $l$ . Let the full row rank matrix  $U_1 \in D^{(p-q+l) \times p}$  (resp.,  $V_2 \in D^{(q-l) \times q}$  and  $\Phi \in D^{l \times q}$ ) define a basis of  $\ker_D(\Delta)$  (resp.,  $\text{im}_D(\Delta)$  and  $\text{coker}_D(\Delta)$ ), i.e.,

$$\begin{cases} \ker_D(\Delta) = \text{im}_D(U_1), \\ \text{im}_D(\Delta) = \text{im}_D(V_2), \end{cases}$$

and  $\{\gamma(\Phi_{i\bullet})\}_{i=1,\dots,l}$  is a basis of  $\text{coker}_D(\Delta)$ , where  $\gamma : D^{1 \times q} \rightarrow \text{coker}_D(\Delta) = D^{1 \times q} / \text{im}_D(\Delta)$  is the canonical projection. Then, the following full row rank matrices

$$U_2 := V_2 R \in D^{(q-l) \times p}, \quad V_1 := \Phi(I_q - R\Delta) \in D^{l \times q}$$

are such that  $U := (U_1^T \ U_2^T)^T \in \text{GL}_p(D)$  and  $V := (V_1^T \ V_2^T)^T \in \text{GL}_q(D)$ .

Moreover, with the notations (49), we have

$$\begin{pmatrix} R & -X_1 \\ U_1 & 0 \end{pmatrix} \begin{pmatrix} W_2 V_2 & W_1 \\ -V_1 & V_1 R W_1 \end{pmatrix} = I_{q+p-l},$$

which shows that Theorem 12 holds with the matrix  $\Lambda := X_1 \in D^{q \times l}$  which admits the left inverse  $V_1 \in D^{l \times q}$  and  $\ker_D(X_1) = \text{im}_D(V_2) \cong D^{1 \times (q-l)}$  is a free left  $D$ -module of rank  $q - l$ .

## 7 Applications to linear PD systems studied in hydrodynamics

In this last section, we illustrate how Serre's reduction techniques can be applied to study the decomposability of standard linear PD systems.

### 7.1 Oseen equations

Let us consider the *Oseen equations* in  $\mathbb{R}^2$  defined by

$$\begin{cases} d_t \vec{u} - \nu \Delta \vec{u} + (\vec{b} \cdot \vec{\nabla}) \vec{u} + \vec{\nabla} p = 0, \\ \vec{\nabla} \cdot \vec{u} = 0, \end{cases} \quad (75)$$

where  $\vec{u}$  is the velocity,  $p$  the pressure,  $\nu$  the viscosity,  $\vec{b} = (b_1 \ b_2)^T$  a steady velocity,  $\vec{\nabla} = (d_x \ d_y)^T$  the gradient operator in  $\mathbb{R}^2$  and  $\Delta = d_x^2 + d_y^2$  the Laplacian operator in  $\mathbb{R}^2$ . The Oseen equations describe the flow of a viscous and incompressible fluid at small Reynolds numbers (linearization of the incompressible Navier-Stokes equations at a steady state). See, e.g., Dolean et al. (2005). Let  $D = \mathbb{Q}(\nu, b_1, b_2)[d_t, d_x, d_y]$  be the commutative polynomial ring of PD operators with coefficients in the field  $\mathbb{Q}(\nu, b_1, b_2)$ ,

$$R = \begin{pmatrix} d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta & 0 & d_x \\ 0 & d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta & d_y \\ d_x & d_y & 0 \end{pmatrix} \in D^{3 \times 3}, \quad (76)$$

$M = D^{1 \times 3} / (D^{1 \times 3} R)$  the  $D$ -module finitely presented by  $R$  and  $\pi : D^{1 \times 3} \rightarrow M$  the canonical projection. Using Algorithm 2.1 of Cluzeau and Quadrat (2008) and its implementation in the OREMORPHISMS package (Cluzeau and Quadrat (2009)), we find that the endomorphism ring  $\text{end}_D(M)$  of  $M$  is defined by the family of generators  $\{f_i\}_{i=1,\dots,5}$ , where  $f_i(\pi(\lambda)) = \pi(\lambda P_i)$  for all  $\lambda \in D^{1 \times 3}$ , and:

$$P_1 = I_3, \quad P_2 = \begin{pmatrix} 0 & -d_y & 0 \\ 0 & d_x & 0 \\ 0 & 0 & d_x \end{pmatrix}, \quad P_3 = \begin{pmatrix} 0 & 0 & d_x \\ 0 & 0 & d_y \\ 0 & 0 & -(d_t + b_1 d_x + b_2 d_y) \end{pmatrix},$$

$$P_4 = \begin{pmatrix} 0 & \nu d_x d_y & 0 \\ 0 & -(d_t + b_1 d_x + b_2 d_y - \nu d_y^2) & -d_y \\ 0 & 0 & \nu d_y^2 \end{pmatrix}, \quad P_5 = \begin{pmatrix} 0 & d_y (d_t + b_2 d_y - \nu d_y^2) & d_y^2 \\ 0 & -d_x (d_t + b_2 d_y - \nu d_y^2) & -d_x d_y \\ 0 & 0 & d_y^2 (\nu d_x - b_1) \end{pmatrix}.$$

For more details, see Section 8.3. If  $(u_1 \ u_2 \ p)^T$  is a solution of  $R(u_1 \ u_2 \ p)^T = 0$  then so is  $P_i(u_1 \ u_2 \ p)^T$  for  $i = 1, \dots, 5$ , i.e., the  $P_i$ 's send a solution of  $R(u_1 \ u_2 \ p)^T = 0$  to another solution of the same system. The generators  $f_i$ 's of the  $D$ -module  $\text{end}_D(M)$  satisfy  $D$ -linear relations. Using the results explained at the end of Section 3, we obtain that a generating set of  $D$ -linear relations among the generators  $f_i$ 's of  $\text{end}_D(M)$  is defined by  $L(f_1 \ \dots \ f_5)^T = 0$  where:

$$L = \begin{pmatrix} d_x & -1 & 0 & 0 & 0 \\ -d_t - b_2 d_y + \nu d_y^2 & -b_1 & -1 & -1 & 0 \\ 0 & -\nu d_x & 0 & -1 & 0 \\ 0 & -\nu(d_t + b_1 d_x + b_2 d_y - \nu d_y^2) & -\nu d_x & -b_1 & -\nu \\ 0 & -\nu^2 d_x d_y^2 & -\nu d_y^2 & -(d_t + b_2 d_y) & \nu d_x \\ 0 & 0 & 0 & \nu d_x - b_1 & -\nu \end{pmatrix} \in D^{6 \times 5}.$$

For more details, see Section 8.3.

Using Serre's reduction, let us state a first result.

**Proposition 8.** *The  $D$ -module  $\text{end}_D(M) = D^{1 \times 5} / (D^{1 \times 6} L)$ , finitely presented by the matrix  $L$  defined above, is cyclic and is generated by  $\text{id}_M$ .*

*Proof.* If  $\Lambda = (1 \ 0 \ 0 \ 0 \ 0) \in D^{1 \times 5}$  and  $P = (L^T \ \Lambda^T)^T \in D^{7 \times 5}$ , then we can check that  $P$  admits a left inverse  $X = (X_1 \ X_2) \in D^{5 \times 7}$  where:

$$X_1 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 \\ -b_1 \nu d_x & -1 & 1 & 0 & 0 & 0 \\ \nu d_x & 0 & -1 & 0 & 0 & 0 \\ -d_x(b_1 - \nu d_x) & 0 & \nu^{-1}(b_1 - \nu d_x) & 0 & 0 & -\nu^{-1} \end{pmatrix},$$

$$X_2 = (1 \ d_x \ -(d_t + b_1 d_x + b_2 d_y - \nu \Delta) \ -\nu d_x^2 \ -d_x^2(\nu d_x - b_1))^T.$$

We get  $D^{1 \times 5} = D^{1 \times 7} P$ , which yields  $\text{end}_D(M) = D^{1 \times 5} / (D^{1 \times 6} L) = (D^{1 \times 7} P) / (D^{1 \times 6} L)$  and shows that the  $D$ -module  $\text{end}_D(M) = D^{1 \times 5} / (D^{1 \times 6} L)$  is cyclic and is generated by the residue class of  $\Lambda$  in  $\text{end}_D(M)$ . Moreover, we have  $X_1 L + X_2 \Lambda = I_5$ ,  $L f = 0$ , where  $f = (f_1 \ \dots \ f_5)^T$ , and  $\Lambda f = f_1 = \text{id}_M$ , which yields:

$$f = X_1(L f) + X_2(\Lambda f) = X_2 f_1 \Leftrightarrow \begin{cases} f_1 = f_1, \\ f_2 = d_x f_1, \\ f_3 = -(d_t + b_1 d_x + b_2 d_y - \nu \Delta) f_1, \\ f_4 = -\nu d_x^2 f_1, \\ f_5 = -d_x^2(\nu d_x - b_1) f_1. \end{cases}$$

Hence, for every  $f \in \text{end}_D(M)$ , there exist  $d_1, \dots, d_5 \in D$  such that  $f = \sum_{i=1}^5 d_i f_i = \left( \sum_{i=1}^5 d_i X_{2i} \right) f_1$ , where  $X_{2i}$  is the  $i^{\text{th}}$  entry of the column vector  $X_2$ , which shows that  $\text{end}_D(M)$  is generated by  $f_1 = \text{id}_M$  as a  $D$ -module, i.e.,  $\text{end}_D(M) = D f_1$  is a cyclic  $D$ -module (see Definition 1).  $\square$

**Theorem 18.** *The  $D$ -module  $M$  finitely presented by the matrix  $R$  defined by (76), i.e., defined by the Oseen equations (75), is indecomposable.*

*Proof.* Let us determine the annihilator of  $f_1 = \text{id}_M \in \text{end}_D(M)$ , i.e.,  $\text{ann}_D(f_1) := \{d \in D \mid d f_1 = 0\}$ . Using Gröbner basis techniques, we can compute  $\ker_D(.P)$ , where  $P$  is the matrix given in the proof of Proposition 8, and we obtain  $\ker_D(.P) = D^{1 \times 2} (T_1 \ T_2)$ , where  $T_1 \in D^{2 \times 6}$  is a certain matrix and  $T_2 = \left( 0 \ -\nu^2 \Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) \right)^T \in D^2$ . Moreover, we have  $L = (I_6 \ 0) P$ . Using Lemma 3.1 of Cluzeau and Quadrat (2008), we then obtain

$$\begin{aligned} \text{end}_D(M) &= D^{1 \times 5} / (D^{1 \times 6} L) = (D^{1 \times 7} P) / (D^{1 \times 6} L) \cong D^{1 \times 7} / \left( D^{1 \times 8} \begin{pmatrix} T_1 & T_2 \\ I_6 & 0 \end{pmatrix} \right) \\ &\cong D / (D^{1 \times 2} T_2) = D / (D(\Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta))), \end{aligned}$$

i.e.,  $\text{end}_D(M) = D f_1 \cong D/\text{ann}_D(f_1)$  and  $\text{ann}_D(f_1) = D(\Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta))$ . We recall that the decomposability of  $M$  is equivalent to the existence of non-trivial idempotent in  $\text{end}_D(M)$ . Hence, to study whether or not the  $D$ -module  $M$  is decomposable, let us search for non-trivial idempotents of  $\text{end}_D(M) = D \text{id}_M$ . If  $\alpha \in D$ , then  $e = \alpha \text{id}_M$  is an idempotent of  $\text{end}_D(M)$  if and only if  $e^2 - e = (\alpha^2 - \alpha) \text{id}_M = 0$ , i.e., if and only if there exists  $\beta \in D$  such that:

$$\alpha(\alpha - 1) = \beta \Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta). \quad (77)$$

We first show that the two simple solutions of (77) lead to the trivial idempotents 0 and  $\text{id}_M$  of  $\text{end}_D(M)$ . If  $\Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta)$  divides  $\alpha$ , i.e., if there exists  $\gamma \in D$  such that  $\alpha = \gamma \Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta)$ , then  $e = \alpha \text{id}_M = 0$ . If  $\Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta)$  divides  $\alpha - 1$ , i.e.,  $\alpha = 1 + \gamma \Delta(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta)$  for a certain  $\gamma \in D$ , then  $e = \alpha \text{id}_M = \text{id}_M$ .

We can check that  $\Delta$  and  $d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta$  are two irreducible polynomials over the field  $\mathbb{Q}(\nu, b_1, b_2)$ , their greatest common divisor is 1 and  $\alpha$  and  $\alpha - 1$  are coprime. Hence, the only two remaining possibilities for (77) to hold are:

1.  $\Delta$  divides  $\alpha$  and  $d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta$  divides  $\alpha - 1$ , i.e.,  $\alpha = \gamma \Delta$  and  $\alpha = 1 + \gamma' (d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta)$  for certain  $\gamma, \gamma' \in D$ . This then leads to  $\gamma \Delta - \gamma' (d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) = 1$  which is clearly impossible since  $1 \notin (\Delta, d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) = (\Delta, d_t + \vec{b} \cdot \vec{\nabla})$ .
2.  $\Delta$  divides  $\alpha - 1$  and  $d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta$  divides  $\alpha$ , i.e.,  $\alpha = 1 + \gamma \Delta$  and  $\alpha = \gamma' (d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta)$  for certain  $\gamma, \gamma' \in D$ . This then leads to  $\gamma' (d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) - \gamma \Delta = 1$  which is also impossible as shown above.

Thus,  $\text{end}_D(M)$  does not admit any non-trivial idempotent element so that  $M$  is an indecomposable  $D$ -module.  $\square$

Finally, from the above computations, the endomorphisms of  $M$  defined by  $g_1 = \Delta \text{id}_M$  and  $g_2 = (d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) \text{id}_M$  are not injective since we have  $(d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) g_1 = 0$  and  $\Delta g_2 = 0$  and thus  $g_1((d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta) m) = 0$  and  $g_2(\Delta m) = 0$  for all  $m \in M$ . Then,  $R$  admits the two strict factorizations  $R = L_1 S_1$  and  $R = L_2 S_2$  defined by:

$$L_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad S_1 = \begin{pmatrix} \nu d_x & \nu d_y & -1 \\ d_x & d_y & 0 \\ d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta & 0 & d_x \\ 0 & d_t + \vec{b} \cdot \vec{\nabla} - \nu \Delta & d_y \end{pmatrix},$$

$$L_2 = \begin{pmatrix} 0 & 0 & 0 & -1 \\ b_1 - \nu d_x & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \end{pmatrix}, \quad S_2 = \begin{pmatrix} -d_y & d_x & 0 \\ (\nu d_x - b_1) d_y & -b_2 d_y - d_t + \nu d_y^2 & -d_y \\ -d_x & -d_y & 0 \\ \nu \Delta - d_t - \vec{b} \cdot \vec{\nabla} & 0 & -d_x \end{pmatrix}.$$

Using the factorization  $R = L_i S_i$  for  $i = 1, 2$ , we get  $\ker_{\mathcal{F}}(S_i) \subseteq \ker_{\mathcal{F}}(R)$  for  $i = 1, 2$ , i.e., the solutions of the PD systems defined by  $S_1$  and  $S_2$  are particular solutions of (75). Computing a Gröbner basis of the  $D$ -module  $D^{1 \times 4} S_i$  for  $i = 1, 2$ , we obtain that:

$$S_1 \eta = 0 \iff \begin{cases} p = 0, \\ d_t \vec{u} - \nu \Delta \vec{u} + (\vec{b} \cdot \vec{\nabla}) \vec{u} = 0, \\ \vec{\nabla} \cdot \vec{u} = 0, \end{cases}$$

$$S_2 \eta = 0 \iff \begin{cases} d_x u_1 + d_y u_2 = 0, \\ d_y u_1 - d_x u_2 = 0, \\ (d_t + b_1 d_x) u_1 + b_2 d_x u_2 + d_x p = 0, \\ b_1 d_y u_1 + (d_t + b_2 d_y) u_2 + d_y p = 0. \end{cases}$$

We note that the last PD system yields  $\Delta u_i = 0$  for  $i = 1, 2$  and  $\Delta p = 0$ , i.e., the components of the velocity  $\vec{u}$  and the pressure  $p$  are harmonic functions.

## 7.2 Implicit scheme for the Oseen equations and Stokes equations

Within implicit schemes of the time dependent Oseen equations, the term  $d_t \vec{u}$  in (75) is replaced by  $c \vec{u}$ , where the constant  $c$  corresponds to the inverse of the time step. Let  $E = \mathbb{Q}(\nu, b_1, b_2, c)[d_x, d_y]$  and  $N$  be the  $E$ -module finitely presented by the matrix obtained by replacing  $d_t$  by  $c$  in (76). Then we can redo the computations of the previous section and prove that the endomorphism ring  $\text{end}_E(N)$  is a cyclic  $E$ -module generated by  $\text{id}_N$  and  $\text{end}_E(N) = E \text{id}_N \cong E/\text{ann}_E(\text{id}_N) \cong E/(\Delta(\nu \Delta - \vec{b} \cdot \vec{\nabla} - c))$ . In particular, this result also holds when  $b_1 = 0$  or  $b_2 = 0$ .

**Proposition 9.** *If  $\vec{b} \neq \vec{0}$ , then the  $E$ -module  $N$  is indecomposable.*

*Proof.* As in the proof of Theorem 18,  $e = \alpha \text{id}_N \in \text{end}_E(N)$ , where  $\alpha \in E$ , is an idempotent of  $\text{end}_E(N)$  if and only if there exists  $\beta \in D$  such that:

$$\alpha(\alpha - 1) = \beta \Delta(\nu \Delta - \vec{b} \cdot \vec{\nabla} - c). \quad (78)$$

The two trivial solutions  $\alpha = 0$  or  $\alpha = 1$  and  $\beta = 0$  of (78) lead to the trivial idempotents 0 and  $\text{id}_N$  of  $\text{end}_E(N)$ . Now, since  $\Delta$  and  $\nu \Delta - \vec{b} \cdot \vec{\nabla} - c$  are irreducible over  $\mathbb{Q}(\nu, b_1, b_2, c)$ , (78) can hold only if:

1.  $\Delta$  divides  $\alpha$  and  $\nu \Delta - \vec{b} \cdot \vec{\nabla} - c$  divides  $\alpha - 1$ , i.e.,  $\alpha = \gamma \Delta$  and  $\alpha = 1 + \gamma'(\nu \Delta - \vec{b} \cdot \vec{\nabla} - c)$  for certain  $\gamma, \gamma' \in E$ . We then get  $\gamma \Delta = 1 + \gamma'(\nu \Delta - \vec{b} \cdot \vec{\nabla} - c)$ . In particular, we must have  $\deg \gamma = \deg \gamma'$  and  $(\gamma - \nu \gamma') \Delta + \gamma' \vec{b} \cdot \vec{\nabla} + \gamma' c - 1 = 0$ . Moreover,  $\gamma'$  must be a constant as if  $\deg \gamma' > 0$ , then the constant 1 cannot be cancelled. Then, we obtain  $\gamma = \nu \gamma'$ ,  $\gamma' b_1 = 0$ ,  $\gamma' b_2 = 0$  and  $\gamma' c = 1$ , i.e.,  $\gamma' = 1/c$  which yields  $\gamma' b_i = b_i/c = 0$ , i.e.,  $b_i = 0$ , for  $i = 1, 2$ .
2.  $\Delta$  divides  $\alpha - 1$  and  $\nu \Delta - \vec{b} \cdot \vec{\nabla} - c$  divides  $\alpha$ , i.e.,  $\alpha = 1 + \gamma \Delta$  and  $\alpha = \gamma'(\nu \Delta - \vec{b} \cdot \vec{\nabla} - c)$  for certain  $\gamma, \gamma' \in E$ . We then get  $1 + \gamma \Delta = \gamma'(\nu \Delta - \vec{b} \cdot \vec{\nabla} - c)$ . In particular, we must have  $\deg \gamma = \deg \gamma'$  and  $(\gamma - \nu \gamma') \Delta + \gamma' \vec{b} \cdot \vec{\nabla} + \gamma' c + 1 = 0$ , and thus  $\deg \gamma' = 0$  and  $\gamma' c = -1$ ,  $\gamma = \nu \gamma'$ ,  $\gamma' b_1 = 0$  and  $\gamma' b_2 = 0$ , i.e.,  $\gamma' = -1/c$ , which yields  $\gamma' b_i = -b_i/c = 0$ , i.e.,  $b_1 = b_2 = 0$ .

□

Let us now consider the case  $\vec{b} = \vec{0}$  which corresponds to an implicit scheme of the time dependent Stokes equations, namely:

$$\begin{cases} cu - \nu \Delta \vec{u} + \vec{\nabla} p = 0, \\ \vec{\nabla} \cdot \vec{u} = 0. \end{cases} \quad (79)$$

The matrix of PD operators associated with (79) is then defined by:

$$R' = \begin{pmatrix} c - \nu \Delta & 0 & d_x \\ 0 & c - \nu \Delta & d_y \\ d_x & d_y & 0 \end{pmatrix} \in E^{3 \times 3}. \quad (80)$$

Let  $M' = E^{1 \times 3}/(E^{1 \times 3} R')$  be the  $E$ -module finitely presented by  $R'$ .

From the proof of Proposition 9, we obtain the following result.

**Corollary 6.** *The  $E$ -module  $M'$  finitely presented by  $R'$  is decomposable.*

*Proof.* From the proof of Proposition 9, in Case 1, we get that  $\alpha = (\nu/c) \Delta$  is a non-trivial solution of (78) and thus  $e = (\nu/c) \Delta \text{id}_M$  is a non-trivial idempotent of  $\text{end}_E(M')$ . Similarly, in Case 2,  $\alpha = 1 - (\nu/c) \Delta$  is a non-trivial solution of (78) and thus  $e = \alpha \text{id}_M$  is a non-trivial idempotent of  $\text{end}_E(M')$ . We have then found non-trivial idempotents of  $\text{end}_E(M')$  which proves that the  $E$ -module  $M'$  is decomposable. □

This result is used to compute a parametrization of the solutions of (79). From the latter proof, we know that the matrices  $P = Q = (1 - \frac{\nu}{c} \Delta) I_3 \in E^{3 \times 3}$  yield an endomorphism  $f \in \text{end}_E(M')$  defined

by  $f(\pi(\lambda)) = \pi(\lambda P)$  for all  $\lambda \in E^{1 \times 3}$ , where  $\pi : E^{1 \times 3} \rightarrow M'$  is the canonical projection. Then, using Lemma 2, we get  $\text{coim } f = E^{1 \times 3} / (E^{1 \times 4} S)$ , where

$$S = \begin{pmatrix} -c d_y & c d_x & 0 \\ \nu c d_x d_y & c(\nu d_y^2 - c) & -c d_y \\ -c d_x & -c d_y & 0 \\ c(\nu \Delta - c) & 0 & -c d_x \end{pmatrix} \in E^{4 \times 3},$$

and  $\ker f \cong E^{1 \times 4} / (E^{1 \times 4} (L^T \ S_2^T)^T)$ , where the matrix  $L$  is defined by

$$L = -\frac{1}{c} \begin{pmatrix} 0 & 0 & 0 & 1 \\ \nu d_x & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix} \in E^{3 \times 4},$$

and  $S_2 = (\nu d_y^2 - c \ -d_x \ 0 \ d_y) \in E^{1 \times 4}$ . Using a Gröbner basis computation, we obtain:

$$S \zeta = 0 \iff \begin{cases} \Delta \zeta_3 = 0, \\ c \zeta_2 + d_y \zeta_3 = 0, \\ c \zeta_1 + d_x \zeta_3 = 0, \end{cases} \iff \begin{cases} \zeta_1 = -\frac{1}{c} d_x \zeta_3, \\ \zeta_2 = -\frac{1}{c} d_y \zeta_3, \\ \zeta_3 = \zeta_3, \\ \Delta \zeta_3 = 0. \end{cases} \quad (81)$$

Moreover, we have:

$$\begin{pmatrix} L \\ S_2 \end{pmatrix} \tau = 0 \iff \begin{cases} \tau_2 = -\nu d_x \tau_1, \\ \tau_3 = 0, \\ \tau_4 = 0, \\ (\nu \Delta - c) \tau_1 = 0. \end{cases} \quad (82)$$

Using the factorization  $R' = L S$  of  $R'$  and the notation  $\eta := (u_1 \ u_2 \ p)^T$ , we then get:

$$R' \eta = 0 \iff \begin{cases} S \eta = \tau, \\ L \tau = 0, \\ S_2 \tau = 0. \end{cases} \quad (83)$$

Since  $f$  is an idempotent, we have  $M' \cong \ker f \oplus \text{coim } f$ , which shows that the short exact sequence  $0 \rightarrow \ker f \xrightarrow{i} M' \xrightarrow{\rho} \text{coim } f \rightarrow 0$  splits by 4 of Proposition 2. By Quadrat and Robertz (2007b), there exist matrices  $U_1 \in E^{4 \times 3}$ ,  $U_2 \in E^4$  and  $V \in E^{3 \times 4}$  such that  $U_1 L + U_2 S_2 + S V = I_4$ . We can take:

$$U_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ d_x & d_y & -c \\ 0 & 0 & 0 \end{pmatrix}, \quad U_2 = \frac{1}{c} \begin{pmatrix} -1 \\ 0 \\ 0 \\ \nu d_y \end{pmatrix}, \quad V = -\frac{1}{c^2} \begin{pmatrix} \nu d_y & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ \nu^2 d_x d_y & \nu d_y & 0 & \nu d_x \end{pmatrix}.$$

Using  $U_1 L + U_2 S_2 + S V = I_4$ , we get that  $\eta^* := V \tau$  is a particular solution of  $S \eta = \tau$ , where  $\tau$  satisfies (82). The general solution of  $S \eta = \tau$  is then of the form  $\eta = \eta^* + \zeta$ , where  $\zeta$  satisfies  $S \zeta = 0$ , i.e.,  $\zeta$  is defined by (81), and we obtain the following parametrization of the solutions of (79)

$$\begin{cases} u_1 = -\frac{1}{c} \left( d_x \zeta_3 + \frac{\nu}{c} d_y \tau_1 \right), \\ u_2 = \frac{1}{c} \left( -d_y \zeta_3 + \frac{\nu}{c} d_x \tau_1 \right), \\ p = \zeta_3, \end{cases}$$

where  $\zeta_3$  (resp.,  $\tau_1$ ) satisfies the PD equation  $\Delta \zeta_3 = 0$  (resp.,  $(\nu \Delta - c) \tau_1 = 0$ ).

Let us now compute a decomposition of the matrix  $R'$  defined by (80). The matrices  $P = Q = (1 - \frac{\nu}{c} \Delta) I_3$  considered above define an idempotent  $e \in \text{end}_E(M')$ . Moreover, we have  $P^2 = P + ZR$ , where  $Z \in E^{3 \times 3}$  is defined by:

$$Z = \frac{\nu}{c^2} \begin{pmatrix} -d_y^2 & d_x d_y & d_x (\nu \Delta - c) \\ d_x d_y & -d_x^2 & d_y (\nu \Delta - c) \\ d_x (\nu \Delta - c) & d_y (\nu \Delta - c) & (\nu \Delta - c)^2 \end{pmatrix}.$$

From Lemma 5, we can consider the algebraic Riccati equation  $\Lambda R' \Lambda + (P - I_3) \Lambda + \Lambda Q + Z = 0$ . For instance, we can check that we have the following solution

$$\Lambda = \frac{1}{c} \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ \nu d_x & \nu d_y & \nu (\nu \Delta - c) \end{pmatrix},$$

which yields the following two idempotent matrices

$$\begin{aligned} \bar{P} &:= P + \Lambda R' = -\frac{1}{c} \begin{pmatrix} 0 & 0 & d_x \\ 0 & 0 & d_y \\ 0 & 0 & -c \end{pmatrix}, \\ \bar{Q} &:= Q + R' \Lambda = \frac{\nu}{c} \begin{pmatrix} d_x^2 & d_x d_y & d_x (\nu \Delta - c) \\ d_x d_y & d_y^2 & d_y (\nu \Delta - c) \\ -d_x & -d_y & -\nu \Delta + c \end{pmatrix}, \end{aligned}$$

i.e.,  $\bar{P}^2 = \bar{P}$  and  $\bar{Q}^2 = \bar{Q}$ , which satisfy the relation  $R' \bar{P} = \bar{Q} R'$ . Thus, the idempotent  $e$  can be defined by means of the idempotent matrices  $\bar{P}$  and  $\bar{Q}$ . Since  $\bar{P}^2 = \bar{P}$  and  $\bar{Q}^2 = \bar{Q}$ ,  $\ker_E(\bar{P})$ ,  $\text{im}_E(\bar{P})$ ,  $\ker_E(\bar{Q})$  and  $\text{im}_E(\bar{Q})$  are then finitely generated projective  $E$ -modules (see (22)), and thus free by the Quillen-Suslin theorem (see 2 of Theorem 2). Syzygy module computations yield  $\ker_E(\bar{P}) = \text{im}_E(X)$  and  $\ker_E(\bar{Q}) = \text{im}_E(Y)$ , where the matrices  $X$  and  $Y$  are defined by:

$$X = \begin{pmatrix} c & 0 & d_x \\ -d_y & d_x & 0 \\ 0 & c & d_y \end{pmatrix}, \quad Y = \begin{pmatrix} 1 & 0 & \nu d_x \\ -d_y & d_x & 0 \\ 0 & 1 & \nu d_y \end{pmatrix}.$$

Moreover, we have  $\text{im}_E(\bar{P}) = \ker_E((I_3 - \bar{P})) = E(0 \ 0 \ 1)$  and  $\text{im}_E(\bar{Q}) = \ker_E((I_3 - \bar{Q})) = E(d_x \ d_y \ \nu \Delta - c)$ . The matrix  $X$  does not define a basis of  $\ker_E(\bar{P})$  since  $\text{rank}_E(\ker_E(\bar{P})) \leq 2$  and  $X$  has three rows. A similar comment holds for the matrix  $Y$  and  $\ker_E(\bar{Q})$ . Thus, the rows of  $X$  and  $Y$  are  $E$ -linearly dependent, i.e.:

$$\begin{cases} \ker_E(X) = E(-d_y \ -c \ d_x), \\ \ker_E(Y) = E(-d_y \ -1 \ d_x). \end{cases}$$

If  $X_{i\bullet}$  denotes the  $i^{\text{th}}$  row of  $X$ , then we have:

$$\begin{cases} c X_{2\bullet} = -d_y X_{1\bullet} + d_x X_{3\bullet}, \\ Y_{2\bullet} = -d_y Y_{1\bullet} + d_x Y_{3\bullet}. \end{cases}$$

Consequently, a basis of  $\ker_E(\bar{P})$  (resp.,  $\ker_E(\bar{Q})$ ) is defined by the first and third rows of  $X$  (resp.,  $Y$ ), i.e.,  $\ker_E(\bar{P}) = \text{im}_E(U_1)$  and  $\ker_E(\bar{Q}) = \text{im}_E(V_1)$ , where the matrices  $U_1$  and  $V_1$  are defined by:

$$U_1 = \begin{pmatrix} c & 0 & d_x \\ 0 & c & d_y \end{pmatrix}, \quad V_1 = \begin{pmatrix} 1 & 0 & \nu d_x \\ 0 & 1 & \nu d_y \end{pmatrix}.$$

These results can directly be obtained by means of a constructive version of the Quillen-Suslin theorem implemented in the `QUILLENUSLIN` package (Fabiańska and Quadrat (2007)).

Now, from Theorem 5, if we define the following unimodular matrices

$$U = \begin{pmatrix} c & 0 & d_x \\ 0 & c & d_y \\ 0 & 0 & 1 \end{pmatrix} \in \text{GL}_3(E), \quad V = \begin{pmatrix} 1 & 0 & \nu d_x \\ 0 & 1 & \nu d_y \\ d_x & d_y & \nu \Delta - c \end{pmatrix} \in \text{GL}_3(E),$$

then the matrix  $R'$  defined by (80) is equivalent to the following block diagonal matrix:

$$\bar{R} := V R' U^{-1} = \begin{pmatrix} -\frac{\nu}{c} d_y^2 + 1 & \frac{\nu}{c} d_x d_y & 0 \\ \frac{\nu}{c} d_x d_y & -\frac{\nu}{c} d_x^2 + 1 & 0 \\ 0 & 0 & \Delta \end{pmatrix}.$$

Let us finally prove that the  $E$ -module  $O := E^{1 \times 2} / (E^{1 \times 2} T)$  finitely presented by the first  $2 \times 2$  diagonal block  $T$  of  $\bar{R}$  is indecomposable. Applying Algorithm 2.1 of Cluzeau and Quadrat (2008), we obtain that  $\text{end}_E(O)$  is finitely generated by  $\{g_i\}_{i=1, \dots, 4}$ , where the  $g_i$ 's are defined by  $g_i(\kappa(\lambda)) = \kappa(\lambda P_i)$  for all  $\lambda \in E^{1 \times 2}$ , the matrices  $P_i$ 's are defined by

$$P_1 = \begin{pmatrix} 0 & \nu d_x d_y \\ 0 & \nu d_y^2 - c \end{pmatrix}, \quad P_2 = I_2, \quad P_3 = \begin{pmatrix} 0 & -\nu d_y^2 \\ 0 & \nu d_x d_y \end{pmatrix}, \quad P_4 = \begin{pmatrix} 0 & c d_y \\ 0 & -c d_x \end{pmatrix},$$

and  $\kappa : E^{1 \times 2} \rightarrow O$  is the canonical projection onto  $N$ . The  $g_i$ 's satisfy the following  $E$ -linear relations:

$$\begin{pmatrix} -1 & \nu d_y^2 - c & 0 & 0 \\ -c & 0 & 0 & \nu d_x \\ -d_x & 0 & d_y & 1 \\ 0 & c d_x & 0 & 1 \\ 0 & 0 & c & \nu d_y \end{pmatrix} \begin{pmatrix} g_1 \\ g_2 \\ g_3 \\ g_4 \end{pmatrix} = 0.$$

These  $E$ -linear relations yield  $g_1 = (\nu d_y^2 - c) g_2$ ,  $g_4 = -c d_x g_2$  and  $g_3 = -\frac{\nu}{c} g_4 = \nu d_x d_y g_2$ , where  $g_2$  satisfies  $(\nu \Delta - c) g_2 = 0$ , which shows that  $\text{end}_E(O)$  is a cyclic  $E$ -module generated by  $g_2 = \text{id}_O$  and:

$$\text{end}_E(O) = E g_2 \cong E / (\nu \Delta - c).$$

Now,  $e = \alpha g_2$  is an idempotent of  $\text{end}_E(O)$ , where  $\alpha \in E$ , if and only if  $e^2 = e$ , i.e., if and only if there exists  $\beta \in E$  such that  $\alpha(\alpha - 1) = \beta(\nu \Delta - c)$ . Since the polynomial  $\nu \Delta - c$  is irreducible over  $\mathbb{Q}(\nu, c)$ , then  $\nu \Delta - c$  either divides  $\alpha$  or  $\alpha - 1$ , i.e.,  $\alpha = \gamma(\nu \Delta - c)$  or  $\alpha = 1 + \gamma'(\nu \Delta - c)$  for certain  $\gamma, \gamma' \in E$ , which shows that we either have  $e = \gamma(\nu \Delta - c) g_2 = 0$  or  $e = (1 + \gamma'(\nu \Delta - c)) g_2 = g_2 = \text{id}_O$ . Therefore,  $\text{end}_E(O)$  admits only the trivial idempotents 0 and  $\text{id}_O$ , which proves that  $O$  is an indecomposable  $E$ -module. Consequently,  $T$  is not equivalent to a diagonal matrix over  $E$ .

### 7.3 Fluid dynamics

In Sections 7.1 and 7.2, Serre's reduction techniques were used to prove the (in)decomposability of finitely presented differential modules associated with 2-dimensional linear PD systems studied in hydrodynamics. The approach is based on the fact that  $\text{end}_D(M)$  can be proved to be a cyclic  $D$ -module. Unfortunately, for the 3-dimensional case, we are not able to prove that  $\text{Fitt}_1(\text{end}_D(M)) = D$  so that Corollary 5 cannot be used to conclude that the endomorphism ring of the corresponding linear PD systems is cyclic.

In this section, we develop a slightly different approach using Serre's reduction to prove the indecomposability of a 3-dimensional linear PD system also studied in fluid dynamics.



The movement of an incompressible fluid rotating with a small velocity around the axis lying along the  $x_3$  axis can be defined by

$$\begin{cases} \rho_0 \frac{\partial u_1}{\partial t} - 2 \rho_0 \Omega_0 u_2 + \frac{\partial p}{\partial x_1} = 0, \\ \rho_0 \frac{\partial u_2}{\partial t} + 2 \rho_0 \Omega_0 u_1 + \frac{\partial p}{\partial x_2} = 0, \\ \rho_0 \frac{\partial u_3}{\partial t} + \frac{\partial p}{\partial x_3} = 0, \\ \frac{\partial u_1}{\partial x_1} + \frac{\partial u_2}{\partial x_2} + \frac{\partial u_3}{\partial x_3} = 0, \end{cases} \quad (84)$$

where  $\vec{u} = (u_1 \ u_2 \ u_3)^T$  is the local rate of velocity,  $p$  the pressure,  $\rho_0$  the constant fluid density and  $\Omega_0$  the constant angle speed (Landau and Lifschitz (1989)). Let  $D = \mathbb{Q}(\rho_0, \Omega_0)[d_t, d_1, d_2, d_3]$  be the commutative polynomial ring of PD operators with coefficients in the field  $\mathbb{Q}(\rho_0, \Omega_0)$ ,

$$R = \begin{pmatrix} \rho_0 d_t & -2 \rho_0 \Omega_0 & 0 & d_1 \\ 2 \rho_0 \Omega_0 & \rho_0 d_t & 0 & d_2 \\ 0 & 0 & \rho_0 d_t & d_3 \\ d_1 & d_2 & d_3 & 0 \end{pmatrix} \in D^{4 \times 4}, \quad (85)$$

the presentation matrix of (84), and the  $D$ -module  $M = D^{1 \times 4}/(D^{1 \times 4} R)$  associated with (84). Let us study the decomposability of the  $D$ -module  $M$ . Using Algorithm 2.1 of Cluzeau and Quadrat (2008) and its implementation in the OREMORPHISMS package (Cluzeau and Quadrat (2009)), we find that  $\text{end}_D(M)$  is defined by the family of generators  $\{f_i\}_{i=1,2}$ , where  $f_i(\pi(\lambda)) = \pi(\lambda P_i)$  for all  $\lambda \in D^{1 \times 4}$ , and:

$$P_1 = I_4, \quad P_2 = \begin{pmatrix} 0 & d_3 & -d_2 & 0 \\ -d_3 & 0 & d_1 & 0 \\ d_2 & -d_1 & 0 & 0 \\ 0 & 0 & 2 \rho_0 \Omega_0 & 0 \end{pmatrix}.$$

For more details, see Section 8.5. Using the results explained at the end of Section 3, a generating set of  $D$ -linear relations among the generators  $f_i$ 's of  $\text{end}_D(M)$  is defined by  $L(f_1 \ f_2)^T = 0$ , where the matrix  $L$  is defined by:

$$L = \begin{pmatrix} 2 \rho_0 \Omega_0 d_3 & \rho_0 d_t \\ \rho_0 d_t \Delta & -2 \rho_0 \Omega_0 d_3 \end{pmatrix}, \quad \Delta := d_1^2 + d_2^2 + d_3^2.$$

The endomorphism ring  $\text{end}_D(M)$  of  $M$  is isomorphic to  $N = D^{1 \times 2}/(D^{1 \times 2} L)$ . The first Fitting ideal  $\text{Fitt}_1(\text{end}_D(M)) = (d_t, d_3, d_t \Delta) = (d_t, d_3)$  of  $\text{end}_D(M)$  formed by the entries of  $L$  is not equal to  $D$ , which shows that the  $D$ -module  $\text{end}_D(M)$  is not cyclic by Corollary 5. Using again Serre's reduction techniques, we can prove the following result.

**Proposition 10.** *If  $D_t = \mathbb{Q}(\rho_0, \Omega_0, d_t)[d_1, d_2, d_3]$  and  $M_t = D_t \otimes_D M$ , then the  $D_t$ -module  $\text{end}_{D_t}(M_t) \cong D_t^{1 \times 2}/(D_t^{1 \times 2} L)$  is cyclic and indecomposable, and thus the  $D_t$ -module  $M_t$  is indecomposable.*

*Proof.* If  $\Lambda = (1 \ 0) \in D_t^{1 \times 2}$  and  $P = (\Lambda^T \ L^T)^T \in D_t^{3 \times 2}$ , then  $P$  admits the following left inverse:

$$S = \begin{pmatrix} 1 & 0 & 0 \\ -2 \frac{\Omega_0 d_3}{d_t} & -\frac{1}{\rho_0 d_t} & 0 \end{pmatrix} \in D_t^{2 \times 3}.$$

If we note  $S = (S_1 \ S_2)$ , where  $S_1 \in D_t^2$  and  $S_2 \in D_t^{2 \times 2}$ , then  $S_1 \Lambda + S_2 L = I_2$ . Using  $L f = 0$ , where  $f = (f_1 \ f_2)^T$ , and  $\Lambda f = f_1$ , we then obtain  $f = S_1 f_1$ , i.e.,  $f_1 = f_1$  and  $f_2 = (-2 \Omega_0 d_3 / d_t) f_1$ . Thus, the  $D_t$ -module  $\text{end}_{D_t}(M_t)$  is a cyclic  $D_t$ -module generated by  $f_1 = \text{id}_{M_t}$ . Using Lemma 3.1 of Cluzeau and Quadrat (2008), we get

$$\text{end}_{D_t}(M_t) = D_t f_1 = (D_t^{1 \times 3} P)/(D_t^{1 \times 2} L) \cong D_t^{1 \times 3}/D_t^{1 \times 3} ((F^T \ P_2^T)^T) \cong D_t/(\rho_0 (d_t^2 \Delta + 4 \Omega_0^2 d_3^2)),$$

where  $F = \begin{pmatrix} 0 & I_2 \end{pmatrix} \in D_t^{2 \times 3}$  is such that  $L = FP$  and  $P_2 = (\rho_0(d_t^2 \Delta + 4\Omega_0^2 d_3^2) \quad -2\Omega_0 d_3 \quad -d_t)$  is such that  $\ker_{D_t}(\cdot P) = D_t P_2$ . We note that  $\rho_0(d_t^2 \Delta + 4\Omega_0^2 d_3^2) = \det(R)$ . An idempotent  $e$  of  $\text{end}_{D_t}(M_t) \cong D_t/(\det(R))$  is then of the form  $e = \alpha \text{id}_{M_t}$ , where  $\alpha \in D_t$  satisfies  $\alpha(\alpha - 1) = \gamma \det(R)$  for a certain  $\gamma \in D_t$ . Since  $\det(R)$  is irreducible over  $D_t$ , the only idempotents of  $\text{end}_{D_t}(M_t)$  are then 0 and  $\text{id}_{M_t}$ , which shows that  $M_t$  is an indecomposable  $D_t$ -module.  $\square$

To deduce the indecomposability of the  $D$ -module  $M$ , we shall need the next lemma.

**Lemma 6.** *Let  $D$  be a commutative polynomial ring over a field  $k$ ,  $R \in D^{p \times p}$  a square full row rank matrix, i.e.,  $\det(R) \neq 0$ ,  $M = D^{1 \times p}/(D^{1 \times p} R)$  the  $D$ -module finitely presented by  $R$  and  $\pi : D^{1 \times p} \rightarrow M$  the canonical projection onto  $M$ . Then, we have:*

1.  $\det(R) \in \text{ann}_D(M) := \{d \in D \mid \forall m \in M : dm = 0\}$ .
2. If  $\det(R)$  is irreducible over  $k$ , then every element  $d \in D$  satisfying  $dm = 0$  for some  $m \in M \setminus \{0\}$  is a multiple of  $\det(R)$ .

*Proof.* 1. Let us consider  $m = \pi(\lambda) \in M$ , where  $\lambda \in D^{1 \times p}$ . We have  $\det(R)m = \pi(\lambda \det(R))$ . If  $\text{Adj}(R)$  denotes the *adjugate matrix* of  $R$ , namely the transpose of the matrix of *cofactors* of  $R$ , then using the identity  $\det(R)I_p = \text{Adj}(R)R = R\text{Adj}(R)$ , we get  $\det(R)m = \pi(\lambda \text{Adj}(R)R) = \pi((\lambda \text{Adj}(R))R) = 0$ , which finally proves that  $\det(R) \in \text{ann}_D(M)$ .

2. Let  $m = \pi(\lambda) \in M \setminus \{0\}$ , where  $\lambda \in D^{1 \times p}$ , and  $d \in D$  satisfy  $dm = 0$ , i.e.,  $\pi(d\lambda) = 0$ . Thus, there exists  $\mu \in D^{1 \times p}$  such that  $d\lambda = \mu R$ . Post-multiplying the latter equality by  $\text{Adj}(R)$ , we obtain  $d\lambda \text{Adj}(R) = \mu R \text{Adj}(R) = \mu \det(R)$ . Setting  $\nu := \lambda \text{Adj}(R) \in D^{1 \times p}$ , we get  $d\nu = \mu \det(R)$ . In particular,  $\det(R)$  divides  $d\nu$ . Now, if  $\det(R)$  is irreducible, then either  $\det(R)$  divides each entry of  $\nu$  or  $d$  is a multiple of  $\det(R)$ . In the first case, we get  $\nu = \alpha \det(R)$  for a certain  $\alpha \in D^{1 \times p}$ , which yields  $\lambda \text{Adj}(R) = \alpha \det(R) = \alpha R \text{Adj}(R)$ . This implies that  $\lambda = \alpha R$  since  $\text{Adj}(R)$  has full row rank, and proves that  $m = \pi(\lambda) = 0$ , which contradicts the fact that  $m \neq 0$ .  $\square$

We can now to state the main result.

**Theorem 19.** *Let  $D = \mathbb{Q}(\rho_0, \Omega_0)[d_t, d_1, d_2, d_3]$ ,  $R \in D^{4 \times 4}$  be defined by (85) and  $M = D^{1 \times 4}/(D^{1 \times 4} R)$  the  $D$ -module finitely presented by  $R$  and associated with (84). Then,  $M$  is an indecomposable  $D$ -module.*

*Proof.* If  $M = M_1 \oplus M_2$  for two submodules  $M_1$  and  $M_2$  of  $M$ , then we get

$$D_t \otimes_D M = (D_t \otimes_D M_1) \oplus (D_t \otimes_D M_2)$$

(see, e.g., Rotman (2009)). Now, we proved above that  $D_t \otimes_D M$  is an indecomposable  $D_t$ -module so that either  $D_t \otimes_D M_1 = 0$  or  $D_t \otimes_D M_2 = 0$ . Without loss of generality, let us suppose  $D_t \otimes_D M_1 = 0$ . If  $M_1 \neq 0$ , then  $\text{ann}_D(M_1)$  must contain a non-zero polynomial  $d \in \mathbb{Q}(\rho_0, \Omega_0)[d_t]$ . Since  $M_1$  is a  $D$ -submodule of  $M$  and  $d_t^2 \Delta + 4\Omega_0^2 d_3^2$  is irreducible over  $\mathbb{Q}(\rho_0, \Omega_0)$ , by Lemma 6, we get  $d = \beta \det(R) = \beta(\rho_0^2(d_t^2 \Delta + 4\Omega_0^2 d_3^2))$  for some  $\beta \in D$ , which contradicts the fact that  $d \in \mathbb{Q}(\rho_0, \Omega_0)[d_t]$ . Consequently, we have  $M_1 = 0$ , and thus  $M = M_2$ , which proves that the  $D$ -module  $M$  is indecomposable.  $\square$

## 8 Appendix

In this appendix, we first give the explicit computations for the wind tunnel model studied in Examples 6, 7, 9 and 10 of Sections 5 and 6, and then for standard linear PD systems encountered in hydrodynamics studied in Section 7.

The computations are obtained by means of the OREMORPHISMS package (Cluzeau and Quadrat (2009)). The OREMORPHISMS package is based on the OREMODULES package (Chyzak et al. (2007)). To handle linear algebra operations, we use the Maple package `linalg`.

```
> with(OreModules):
> with(OreMorphisms):
> with(linalg):
```

Since the symbol  $D$  is protected in Maple, in what follows, we shall use  $A$  instead of  $D$  as a name for (the data representing) an Ore algebra.

## 8.1 Wind tunnel model: decomposition

Let us consider the ring  $A$  of OD time-delay operators with coefficients in the field  $\mathbb{Q}(a, k, \omega, \zeta)$ , i.e.,

```
> A := DefineOreAlgebra(diff=[d,t],dual_shift=[delta,s],polynom=[t,s],
> comm=[a,k,omega,zeta]);
```

and the matrix  $R \in A^{3 \times 4}$  defined in Example 6, i.e.,

```
> R := evalm([[d+a,k*a*delta,0,0],[0,d,-1,0],[0,omega^2,d+2*zeta*omega,-omega^2]]);
```

$$R := \begin{bmatrix} d+a & k a \delta & 0 & 0 \\ 0 & d & -1 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 \end{bmatrix}$$

which finitely presents the  $A$ -module  $M := A^{1 \times 4} / (A^{1 \times 3} R)$ .

We can easily check that the following matrix  $\Delta \in D^{4 \times 3}$

```
> Delta := evalm([[0,-1,0],[0,0,0],[0,1,0],[1/omega^2,2*zeta/omega-a/omega^2,
> 1/omega^2]]);
```

$$\Delta := \begin{bmatrix} 0 & -1 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{1}{\omega^2} & 2\frac{\zeta}{\omega} - \frac{a}{\omega^2} & \frac{1}{\omega^2} \end{bmatrix}$$

satisfies the algebraic Riccati equation  $\Delta R \Delta = -\Delta$ :

```
> simplify(evalm(Mult(Delta,R,Delta,A)+Delta));
```

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

We note that the matrix  $\Delta$  can be obtained by means of the `RiccatiConstCoeff` command of `ORE-MORPHISMS` (Cluzeau and Quadrat (2009)).

Hence, the matrix  $\bar{P} := I_4 + \Lambda R$  defined by

```
> P_bar := simplify(evalm(1+Mult(Delta,R,A)));
```

$$P\_bar := \begin{bmatrix} 1 & -d & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & d & 0 & 0 \\ \frac{d+a}{\omega^2} & -\frac{-\omega^2 - k a \delta - 2 d \zeta \omega + a d}{\omega^2} & \frac{d+a}{\omega^2} & 0 \end{bmatrix}$$

and the matrix  $\bar{Q} := I_4 + R \Delta$  defined by

```
> Q_bar := simplify(evalm(1+Mult(R,Delta,A)));
```

$$Q\_bar := \begin{bmatrix} 1 & -d-a & 0 \\ 0 & 0 & 0 \\ -1 & d+a & 0 \end{bmatrix}$$

satisfy the identities  $\overline{P}^2 = \overline{P}$ ,  $\overline{Q}^2 = \overline{Q}$  and  $R\overline{P} = \overline{Q}R$ :

> `simplify(evalm(Mult(P_bar,P_bar,A)-P_bar));`

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

> `simplify(evalm(Mult(Q_bar,Q_bar,A)-Q_bar));`

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

> `simplify(evalm(Mult(R,P_bar,A)-Mult(Q_bar,R,A)));`

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Since  $A$  is a commutative polynomial ring, we know that the matrix  $R$  is equivalent to a block diagonal matrix. Let us compute this block diagonal matrix. To do that, we first compute a basis of the free  $A$ -module  $\ker_A(\Delta)$ :

> `U2 := SyzygyModule(Delta,A);`

$$U2 := \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

We get  $\text{im}_A(\overline{P}) = \ker_A(\Delta) = \text{im}_A(U_2)$ , where  $U_2$  has full row rank since:

> `SyzygyModule(U2,A);`

*INJ* (2)

Hence, the rows of  $U_2$  form a basis of the free  $A$ -module  $\ker_A(\Delta)$  of rank 2. Now, let us compute a basis of the free  $A$ -module  $\text{im}_A(\Delta) \cong \text{coker}_A(U_2)$  of rank 2. We first compute a minimal parametrization  $Q_2 \in A^{4 \times 2}$  of  $\text{coker}_A(U_2)$

> `Q2 := MinimalParametrization(U2,A);`

$$Q2 := \begin{bmatrix} 1 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & 1 \end{bmatrix}$$

i.e., we have  $\ker_A(Q_2) = \text{im}_A(U_2)$  and  $Q_2$  admits a left inverse  $T_2 \in A^{2 \times 4}$  defined by:

> `T2 := LeftInverse(Q2,A);`

$$T2 := \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

If  $\kappa : A^{1 \times 4} \rightarrow \text{coker}_A(U_2)$  is the canonical projection, then the family  $\{\kappa((T_2)_{1\bullet}), \kappa((T_2)_{2\bullet})\}$  formed by the residue classes of the rows  $(T_2)_{1\bullet}$  and  $(T_2)_{2\bullet}$  of  $T_2$  defines a basis of  $\text{coker}_A(U_2)$ . Now, using the

isomorphism  $\phi : \text{coker}_A(U_2) \longrightarrow \text{im}_A(\Delta)$  defined by  $\phi(\kappa(\mu)) = \mu \Delta$  for all  $\mu \in A^{1 \times 4}$ , we obtain that  $V_1 := T_2 \Delta$ , i.e.,

> `V1 := Mult(T2,Delta,A);`

$$V_1 := \begin{bmatrix} 0 & -1 & 0 \\ \frac{1}{\omega^2} & -\frac{2\zeta\omega+a}{\omega^2} & \frac{1}{\omega^2} \end{bmatrix}$$

defines a basis of  $\text{im}_A(\Delta)$ , i.e.,  $\ker_A(\overline{Q}) = \text{im}_A(\Delta) = \text{im}_A(V_1)$ , where  $V_1$  has full row rank:

> `SyzygyModule(V1,A);`

*INJ* (2)

Now, we know that the matrix  $U_1 := V_1 R$  defined by

> `U1 := Mult(V1,R,A);`

$$U_1 := \begin{bmatrix} 0 & -d & 1 & 0 \\ \frac{d+a}{\omega^2} & -\frac{-\omega^2-k a \delta-2 d \zeta \omega+a d}{\omega^2} & \frac{d+a}{\omega^2} & -1 \end{bmatrix}$$

forms a basis of  $\ker_A(\overline{P})$ , i.e.,  $\ker_A(\overline{P}) = \text{im}_A(V_1 R)$ . Let us now compute a basis of the free  $A$ -module  $\text{coker}_A(\Delta)$  of rank 1. Computing a minimal parametrization of  $\text{coker}_A(\Delta)$ , we obtain that  $\Psi \in A^3$  defined by

> `psi := MinimalParametrization(Delta,A);`

$$\Psi := \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$$

is such that  $\ker_A(\Psi) = \text{im}_A(\Delta)$  and  $\Psi$  admits a left inverse  $\Phi \in A^{1 \times 3}$  defined by:

> `Phi := LeftInverse(psi,A);`

$$\Phi := [ 0 \quad 0 \quad -1 ]$$

In particular, the residue class of  $\Phi$  in the  $A$ -module  $\text{coker}_A(\Delta)$  is a basis. Thus, the full row rank matrix  $V_2 := \Phi \overline{Q}$  defined by

> `V2 := Mult(Phi,Q_bar,A);`

$$V_2 := [ 1 \quad -d - a \quad 0 ]$$

defines a basis of  $\text{im}_A(\overline{Q})$ , i.e.,  $\text{im}_A(\overline{Q}) = \text{im}_A(V_2)$ . Now, we know that  $\Delta$  can be factorized by  $V_1$

> `Y := Factorize(Delta,V1,A);`

$$Y := \begin{bmatrix} 1 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & 1 \end{bmatrix}$$

i.e., we have  $\Delta = Y V_1$ . Similarly, the matrix  $\overline{P}$  can be factorized by  $U_2$

> `Z := Factorize(P_bar,U2,A);`

$$Z := \begin{bmatrix} 1 & -d \\ 0 & 1 \\ 0 & d \\ \frac{d}{\omega^2} + \frac{a}{\omega^2} & 1 + \frac{k a \delta}{\omega^2} + \frac{(2 \zeta \omega - a) d}{\omega^2} \end{bmatrix}$$

i.e., we have  $\bar{P} = ZU_2$ . Let us define the matrices  $X_1 = -RY$ ,  $X_2 = \Psi$ ,  $W_1 = -Y$  and  $W_2 = Z$ , i.e.:

> X1 := Mult(evalm(-R),Y,A);

$$X1 := \begin{bmatrix} -d-a & 0 \\ -1 & 0 \\ d+2\zeta\omega & \omega^2 \end{bmatrix}$$

> X2 := evalm(psi);

$$X2 := \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$$

> W1 := evalm(-Y);

$$W1 := \begin{bmatrix} -1 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & -1 \end{bmatrix}$$

> W2 := evalm(Z);

$$W2 := \begin{bmatrix} 1 & -d \\ 0 & 1 \\ 0 & d \\ \frac{d}{\omega^2} + \frac{a}{\omega^2} & 1 + \frac{k a \delta}{\omega^2} + \frac{(2\zeta\omega - a)d}{\omega^2} \end{bmatrix}$$

If we form the matrix  $U := (U_1^T \ U_2^T)^T$ , i.e.,

> U := stackmatrix(U1,U2);

$$U := \begin{bmatrix} 0 & -d & 1 & 0 \\ \frac{d+a}{\omega^2} & -\frac{-\omega^2 - k a \delta - 2 d \zeta \omega + a d}{\omega^2} & \frac{d+a}{\omega^2} & -1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

then the matrix  $U$  is unimodular, i.e.,  $U \in \text{GL}_4(A)$ , and its inverse  $U^{-1}$  is defined by:

> U\_inv := LeftInverse(U,A);

$$U\_inv := \begin{bmatrix} -1 & 0 & 1 & -d \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & d \\ 0 & -1 & \frac{d+a}{\omega^2} & -\frac{-\omega^2 - k a \delta - 2 d \zeta \omega + a d}{\omega^2} \end{bmatrix}$$

If we note  $W = (W_1 \ W_2)$ , i.e.,

> W := augment(W1,W2);

$$W := \begin{bmatrix} -1 & 0 & 1 & -d \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & d \\ 0 & -1 & \frac{d}{\omega^2} + \frac{a}{\omega^2} & 1 + \frac{k a \delta}{\omega^2} + \frac{(2\zeta\omega - a)d}{\omega^2} \end{bmatrix}$$

then we can check that  $W = U^{-1}$ :

```
> simplify(evalm(U_inv-W));
```

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Similarly, if we define the matrix  $V := (V_1^T \ V_2^T)^T$ , i.e.,

```
> V := stackmatrix(V1,V2);
```

$$V := \begin{bmatrix} 0 & -1 & 0 \\ \frac{1}{\omega^2} & -\frac{2\zeta\omega+a}{\omega^2} & \frac{1}{\omega^2} \\ 1 & -d-a & 0 \end{bmatrix}$$

then the matrix  $V$  is unimodular, i.e.,  $V \in \text{GL}_3(A)$ , and its inverse  $V^{-1}$  is defined by:

```
> V_inv := LeftInverse(V,A);
```

$$V\_inv := \begin{bmatrix} -d-a & 0 & 1 \\ -1 & 0 & 0 \\ d+2\zeta\omega & \omega^2 & -1 \end{bmatrix}$$

If we note  $X := (X_1 \ X_2)$ , i.e.,

```
> X := augment(X1,X2);
```

$$X := \begin{bmatrix} -d-a & 0 & 1 \\ -1 & 0 & 0 \\ d+2\zeta\omega & \omega^2 & -1 \end{bmatrix}$$

then we can check that  $X = V^{-1}$ :

```
> simplify(evalm(V_inv-X));
```

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

The matrix  $R$  is then equivalent to the block diagonal matrix  $\bar{R} := V R W$ , i.e.:

```
> R_bar := Mult(V,R,W,A);
```

$$R\_bar := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & d+a & -d^2 - ad + ka\delta \end{bmatrix}$$

We note that the second diagonal block of  $\bar{R}$  is equal to  $\Phi R Z$ :

```
> Mult(Phi,R,Z,A);
```

$$\begin{bmatrix} d+a & -d^2 - ad + ka\delta \end{bmatrix}$$

Finally, if we form the two matrices defined in (71), i.e.,

```
> J := stackmatrix(augment(R,-X2),augment(U2,evalm([[0]$2]]));
```

$$J := \begin{bmatrix} d+a & ka\delta & 0 & 0 & -1 \\ 0 & d & -1 & 0 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{bmatrix}$$

and

> `K := stackmatrix(augment(Mult(W1,V1,A),W2),augment(-V2,Mult(V2,R,W2,A)));`

$$K := \begin{bmatrix} 0 & 1 & 0 & 1 & -d \\ 0 & 0 & 0 & 0 & 1 \\ 0 & -1 & 0 & 0 & d \\ -\frac{1}{\omega^2} & \frac{-2\zeta\omega+a}{\omega^2} & -\frac{1}{\omega^2} & \frac{d}{\omega^2} + \frac{a}{\omega^2} & 1 + \frac{ka\delta}{\omega^2} + \frac{(2\zeta\omega-a)d}{\omega^2} \\ -1 & d+a & 0 & d+a & -d^2 - da + ka\delta \end{bmatrix}$$

then we can check that  $JK = I_5$ :

> `Mult(J,K,A);`

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Hence, it shows that we can take  $\Lambda = X_2$  for Serre's reduction. Moreover,  $\Lambda$  admits the left inverse  $V_2$ :

> `Mult(V2,X2,A);`

$$[ 1 ]$$

Now, let us consider another solution  $\Delta_2 \in A^{4 \times 3}$  of the Riccati equation  $\Delta R \Delta = -\Delta$  defined by:

> `Delta2 := evalm([[0,0,0],[0,0,0],[0,1,0],[0,(d+2*zeta*omega)/omega^2,1/omega^2]]);`

$$\Delta_2 := \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \frac{d+2\zeta\omega}{\omega^2} & \frac{1}{\omega^2} \end{bmatrix}$$

We note that  $\Delta_2$  is a first order matrix contrary to  $\Delta$  which is a zero order matrix, i.e.,  $\Delta \in \mathbb{Q}(a, k, \omega, \zeta)^{4 \times 3}$ . Let us redo the above computations with  $\Delta_2$  instead of  $\Delta$ . Let us check again that  $\Delta_2 R \Delta_2 = -\Delta_2$ :

> `simplify(evalm(Mult(Delta2,R,Delta2,A)+Delta2));`

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Now, let us define the matrix  $\bar{P}_2 := I_4 + \Delta_2 R$



```
> P_bar2 := simplify(evalm(1+Mult(Delta2,R,A)));
```

$$P\_bar2 := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & \frac{\omega^2+d^2+2d\zeta\omega}{\omega^2} & 0 & 0 \end{bmatrix}$$

and the matrix  $\overline{Q}_2 := I_4 + R \Delta_2$ :

```
> Q_bar2 := simplify(evalm(1+Mult(R,Delta2,A)));
```

$$Q\_bar2 := \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Then, we can check again the identities  $\overline{P}_2^2 = \overline{P}_2$ ,  $\overline{Q}_2^2 = \overline{Q}_2$  and  $R \overline{P}_2 = \overline{Q}_2 R$ :

```
> simplify(evalm(Mult(P_bar2,P_bar2,A)-P_bar2));
```

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

```
> simplify(evalm(Mult(Q_bar2,Q_bar2,A)-Q_bar2));
```

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

```
> simplify(evalm(Mult(R,P_bar2,A)-Mult(Q_bar2,R,A)));
```

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Let us now compute the decomposition of the matrix  $R$ . First, let us compute a basis of the free  $A$ -module  $\ker_A(\Delta_2)$ . We first have

```
> U22 := SyzygyModule(Delta2,A);
```

$$U22 := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

i.e., we have  $\ker_A(\Delta_2) = \text{im}_A(U_{22})$ , where the matrix  $U_{22}$  has full row rank:

```
> SyzygyModule(U2,A);
```

*INJ* (2)

Hence, the rows of  $U_{22}$  define a basis of  $\ker_A(\Delta_2)$ . Now, let us compute a basis of the free  $A$ -module  $\text{im}_A(\Delta_2) \cong \text{coker}_A(U_{22})$  of rank 2. We first compute a minimal parametrization  $Q_{22} \in A^{4 \times 2}$  of  $\text{coker}_A(U_{22})$

```
> Q22 := MinimalParametrization(U22,A);
```

$$Q_{22} := \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

i.e., we have  $\ker_A(.Q_{22}) = \text{im}_A(.U_{22})$  and  $Q_{22}$  admits a left inverse  $T_{22} \in A^{2 \times 4}$  defined by:

> `T22 := LeftInverse(Q22,A);`

$$T_{22} := \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

If  $\kappa_2 : A^{1 \times 4} \rightarrow \text{coker}_A(.U_{22})$  is the canonical projection, then the family  $\{\kappa_2((T_{22})_{1\bullet}), \kappa_2((T_{22})_{2\bullet})\}$  formed by the residue classes of the rows  $(T_{22})_{1\bullet}$  and  $(T_{22})_{2\bullet}$  of  $T_{22}$  defines a basis of  $\text{coker}_A(.U_{22})$ . Now, using the isomorphism  $\phi_2 : \text{coker}_A(.U_{22}) \rightarrow \text{im}_A(.\Delta_2)$  defined by  $\phi_2(\kappa_2(\mu)) = \mu \Delta_2$  for all  $\mu \in A^{1 \times 4}$ , we get that  $V_{12} := T_{22} \Delta_2$

> `V12 := Mult(T22,Delta2,A);`

$$V_{12} := \begin{bmatrix} 0 & 1 & 0 \\ 0 & \frac{d+2\zeta\omega}{\omega^2} & \frac{1}{\omega^2} \end{bmatrix}$$

defines a basis of  $\text{im}_A(.V_{12})$ , i.e.,  $\ker_A(.Q_2) = \text{im}_A(.V_{12})$ , where  $V_{12}$  has full row rank:

> `SyzygyModule(V12,A);`

*INJ* (2)

Now, we know that the matrix  $U_{12} := V_{12} R$  defined by

> `U12 := Mult(V12,R,A);`

$$U_{12} := \begin{bmatrix} 0 & d & -1 & 0 \\ 0 & \frac{\omega^2 + d^2 + 2d\zeta\omega}{\omega^2} & 0 & -1 \end{bmatrix}$$

formed a basis of  $\ker_A(.P_2)$ , i.e.,  $\ker_A(.P_2) = \text{im}_A(.U_{12})$ . Let us now compute a basis of the free  $A$ -module  $\text{coker}_A(.U_{12})$  of rank 1. Computing a minimal parametrization of  $\text{coker}_A(.U_{12})$ , we obtain that  $\Psi_2 \in A^3$  defined by

> `psi2 := MinimalParametrization(U12,A);`

$$\Psi_2 := \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

is such that  $\ker_A(.P_2) = \text{im}_A(.U_{12})$  and  $\Psi_2$  admits a left inverse  $\Phi_2 \in A^{1 \times 3}$  defined by:

> `Phi2 := LeftInverse(psi2,A);`

$$\Phi_2 := [ 1 \ 0 \ 0 ]$$

In particular, the residue class of  $\Phi_2$  in the  $A$ -module  $\text{coker}_A(.U_{12})$  is a basis. Thus, the full row rank matrix  $V_{22} := \Phi_2 \bar{Q}_2$  defined by

> `V22 := Mult(Phi2,Q_bar2,A);`

$$V_{22} := [ 1 \ 0 \ 0 ]$$

defines a basis of  $\text{im}_A(.Q_2)$ , i.e.,  $\text{im}_A(.Q_2) = \text{im}_A(.V_{22})$ . Now, we know that  $\Delta_2$  can be factorized by  $V_{12}$

```
> Y2 := Factorize(Delta2,V12,A);
```

$$Y2 := \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

i.e., we have  $\Delta_2 = Y_2 V_{12}$ . Similarly, the matrix  $\bar{P}_2$  can be factorized by  $U_{22}$

```
> Z2 := Factorize(P_bar2,U22,A);
```

$$Z2 := \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 0 & d \\ 0 & \frac{d^2}{\omega^2} + 2\frac{\zeta d}{\omega} + 1 \end{bmatrix}$$

i.e., we have  $\bar{P}_2 = Z_2 U_{22}$ . Let us define the matrices  $X_{12} = -R Y_2$ ,  $X_{22} = \Psi_2$ ,  $W_{12} = -Y_2$  and  $W_{22} = Z_2$ , i.e.:

```
> X12 := Mult(evalm(-R),Y2,A);
```

$$X12 := \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ -2\zeta\omega - d & \omega^2 \end{bmatrix}$$

```
> X22 := evalm(psi2);
```

$$X22 := \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

```
> W12 := evalm(-Y2);
```

$$W12 := \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & -1 \end{bmatrix}$$

```
> W22 := evalm(Z2);
```

$$W22 := \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 0 & d \\ 0 & \frac{d^2}{\omega^2} + 2\frac{\zeta d}{\omega} + 1 \end{bmatrix}$$

If we form the matrix  $U_2 := (U_{12}^T \ U_{22}^T)^T$ , i.e.,

```
> U2 := stackmatrix(U12,U22);
```

$$U2 := \begin{bmatrix} 0 & d & -1 & 0 \\ 0 & \frac{\omega^2 + d^2 + 2d\zeta\omega}{\omega^2} & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

then the matrix  $U_2$  is unimodular, i.e.,  $U_2 \in \text{GL}_4(A)$ , and its inverse  $U_2^{-1}$  is defined by:

> `U2_inv := LeftInverse(U2,A);`

$$U2\_inv := \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & d \\ 0 & -1 & 0 & \frac{\omega^2 + d^2 + 2d\zeta\omega}{\omega^2} \end{bmatrix}$$

If we note  $W_2 = (W_{12} \ W_{22})$ , i.e.,

> `W2 := augment(W12,W22);`

$$W2 := \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & d \\ 0 & -1 & 0 & \frac{d^2}{\omega^2} + 2\frac{\zeta d}{\omega} + 1 \end{bmatrix}$$

then we can check that  $W_2 = U_2^{-1}$ :

> `simplify(evalm(U2_inv-W2));`

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Similarly, if we define the matrix  $V_2 := (V_{12}^T \ V_{22}^T)^T$ , i.e.,

> `V2 := stackmatrix(V12,V22);`

$$V2 := \begin{bmatrix} 0 & 1 & 0 \\ 0 & \frac{d+2\zeta\omega}{\omega^2} & \frac{1}{\omega^2} \\ 1 & 0 & 0 \end{bmatrix}$$

then the matrix  $V_2$  is unimodular, i.e.,  $V_2 \in \text{GL}_3(A)$ , and its inverse  $V_2^{-1}$  is defined by:

> `V2_inv := LeftInverse(V2,A);`

$$V2\_inv := \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ -2\zeta\omega - d & \omega^2 & 0 \end{bmatrix}$$

If we note  $X_2 := (X_{12} \ X_{22})$ , i.e.,

> `X2 := augment(X12,X22);`

$$X2 := \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ -2\zeta\omega - d & \omega^2 & 0 \end{bmatrix}$$

then we can check that  $X_2 = V_2^{-1}$ :

> `simplify(evalm(V2_inv-X2));`

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

The matrix  $R$  is then equivalent to the block diagonal matrix  $\bar{R}_2 := V_2 R W_2$ , i.e.:

>  $R2\_bar := \text{Mult}(V2, R, W2, A);$

$$R2\_bar := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & d+a & ka\delta \end{bmatrix}$$

We note that the second diagonal block of  $\bar{R}_2$  is equal to  $\Phi_2 R Z_2$ :

>  $\text{Mult}(\Phi2, R, Z2, A);$

$$\begin{bmatrix} d+a & ka\delta \end{bmatrix}$$

Finally, if we form the two matrices defined in (71), i.e.,

>  $J2 := \text{stackmatrix}(\text{augment}(R, -X22), \text{augment}(U22, \text{evalm}([ [0] \$2 ]))));$

$$J2 := \begin{bmatrix} d+a & ka\delta & 0 & 0 & -1 \\ 0 & d & -1 & 0 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{bmatrix}$$

and

>  $K2 := \text{stackmatrix}(\text{augment}(\text{Mult}(W12, V12, A), W22), \text{augment}(-V22, \text{Mult}(V22, R, W22, A)));$

$$K2 := \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & -1 & 0 & 0 & d \\ 0 & -\frac{d+2\zeta\omega}{\omega^2} & -\frac{1}{\omega^2} & 0 & \frac{d^2}{\omega^2} + 2\frac{\zeta d}{\omega} + 1 \\ -1 & 0 & 0 & d+a & ka\delta \end{bmatrix}$$

then we can check that  $J_2 K_2 = I_5$ :

>  $\text{Mult}(J2, K2, A);$

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Hence, it shows that we can take  $\Lambda = X_{22}$  for Serre's reduction. Moreover,  $\Lambda$  admits the left inverse  $V_{22}$ :

>  $\text{Mult}(V22, X22, A);$

$$\begin{bmatrix} 1 \end{bmatrix}$$

## 8.2 Wind tunnel model: Serre's reduction

Let us consider the ring  $A$  of OD time-delay operators with coefficients in the field  $\mathbb{Q}(a, k, \omega, \zeta)$ , i.e.,

```
> A := DefineOreAlgebra(diff=[d,t], dual_shift=[delta,s], polynom=[t,s],
> comm=[a,k,omega,zeta]);
```

and the matrix  $R \in A^{3 \times 4}$  defined in Example 6, i.e.,

```
> R := evalm([[d+a,k*a*delta,0,0],[0,d,-1,0],[0,omega^2,d+2*zeta*omega,-omega^2]]);
```

$$R := \begin{bmatrix} d+a & ka\delta & 0 & 0 \\ 0 & d & -1 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 \end{bmatrix}$$

which finitely presents the  $A$ -module  $M := A^{1 \times 4}/(A^{1 \times 3}R)$ . Let us introduce the matrix  $R^T$

```
> R_trans := transpose(R);
```

$$R\_trans := \begin{bmatrix} d+a & 0 & 0 \\ ka\delta & d & \omega^2 \\ 0 & -1 & d+2\zeta\omega \\ 0 & 0 & -\omega^2 \end{bmatrix}$$

and the  $A$ -module  $N := A^{1 \times 3}/(A^{1 \times 4}R^T)$  finitely presented by  $R^T$ . We can check that  $N$  is a finite-dimensional  $\mathbb{Q}(a, k, \omega, \zeta)$ -vector space:

```
> KBasis(R_trans,A);
```

$[\lambda_1]$

The above result means that the residue class of the vector  $(1 \ 0 \ 0)^T$  in  $N$  generates  $N$  as a  $\mathbb{Q}(a, k, \omega, \zeta)$ -vector space. Hence, let us consider the vector  $\Lambda$  defined by:

```
> Lambda := evalm([[1],[0],[0]]);
```

$$\Lambda := \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

Now, we can check that the matrix  $P := (R \ -\Lambda) \in A^{3 \times 5}$  defined by

```
> P := augment(R,-Lambda);
```

$$P := \begin{bmatrix} d+a & ka\delta & 0 & 0 & -1 \\ 0 & d & -1 & 0 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 & 0 \end{bmatrix}$$

admits a right inverse  $S \in A^{5 \times 3}$  defined by:

```
> S := RightInverse(P,A);
```

$$S := \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & -\frac{d+2\zeta\omega}{\omega^2} & -\frac{1}{\omega^2} \\ -1 & 0 & 0 \end{bmatrix}$$

Thus, the  $A$ -module  $E := A^{1 \times 5} / (A^{1 \times 3} P)$  is stably free, i.e., free by the Quillen-Suslin theorem (see 2 of Theorem 2). Let us compute a basis of  $E$ .

>  $Q := \text{MinimalParametrization}(P, A);$

$$Q := \begin{bmatrix} 1 & 0 \\ 0 & \omega^2 \\ 0 & d\omega^2 \\ 0 & \omega^2 + d^2 + 2\zeta d\omega \\ d+a & ka\delta\omega^2 \end{bmatrix}$$

We first have  $\ker_A(.Q) = \text{im}_A(.P)$ . Let us now check whether or not the matrix  $Q$  admits a left inverse:

>  $T := \text{LeftInverse}(Q, A);$

$$T := \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & \frac{1}{\omega^2} & 0 & 0 & 0 \end{bmatrix}$$

We have  $TQ = I_2$ , which proves that the residue classes of the two rows of  $T$  in  $E$  define a basis of  $E$ .

Let us write  $Q := (Q_1^T \ Q_2^T)^T$ , where  $Q_1 \in A^{4 \times 2}$  is defined by

>  $Q1 := \text{submatrix}(Q, 1..c\dim(R), 1..2);$

$$Q1 := \begin{bmatrix} 1 & 0 \\ 0 & \omega^2 \\ 0 & d\omega^2 \\ 0 & \omega^2 + d^2 + 2\zeta d\omega \end{bmatrix}$$

and  $Q_2 \in A^{1 \times 2}$  by:

>  $Q2 := \text{submatrix}(Q, c\dim(R)+1..c\dim(R)+1, 1..2);$

$$Q2 := [ \ d+a \quad ka\delta\omega^2 \ ]$$

By Theorem 11, we have  $M \cong A^{1 \times 2} / (AQ_2)$ , i.e.,  $M$  can be generated by 2 generators and 1 relation defined by  $Q_2$ , i.e., the wind tunnel model is equivalent to  $\dot{z}(t) + az(t) + ka\omega^2 v(t-1) = 0$ .

If we form the matrix  $Z = (S \ Q)$ , i.e.,

>  $Z := \text{augment}(S, Q);$

$$Z := \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & \omega^2 \\ 0 & -1 & 0 & 0 & d\omega^2 \\ 0 & -\frac{d+2\zeta\omega}{\omega^2} & -\frac{1}{\omega^2} & 0 & \omega^2 + d^2 + 2\zeta d\omega \\ -1 & 0 & 0 & d+a & ka\delta\omega^2 \end{bmatrix}$$

then we can check again that  $PZ = (I_3 \ 0)$

>  $\text{Mult}(P, Z, A);$

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

and that the matrix  $Z$  is unimodular, i.e.,  $Z \in \text{GL}_5(A)$ . In particular, its inverse  $Z^{-1}$  is defined by:

> `Z_inv := LeftInverse(Z,A);`

$$Z\_inv := \begin{bmatrix} d+a & ka\delta & 0 & 0 & -1 \\ 0 & d & -1 & 0 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & \frac{1}{\omega^2} & 0 & 0 & 0 \end{bmatrix}$$

We can check that the vector  $\Lambda$  admits a left inverse  $\Gamma$  defined by:

> `Gamma := LeftInverse(Lambda,A);`

$$\Gamma := \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$$

By Theorem 12 and Remark 9, the matrix  $R$  is equivalent to the matrix  $\text{diag}(I_2, Q_2)$ . Let us compute two unimodular matrices  $V \in \text{GL}_3(A)$  and  $W \in \text{GL}_4(A)$  such that  $VRW = \text{diag}(I_2, Q_2)$ . Since  $\Lambda$  admits a left inverse, we know that  $\ker_A(\Lambda)$  is a stably free  $A$ -module, i.e., free by the Quillen-Suslin theorem. Let us compute a basis of  $\ker_A(\Lambda)$ . Let us first compute  $\ker_A(\Lambda)$ .

> `Theta := SyzygyModule(Lambda,A);`

$$\Theta := \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

We get  $\ker_A(\Lambda) = \text{im}_A(\Theta)$ . Let us now check whether or not the rows of  $\Theta$  are  $A$ -linearly independent, i.e., if they define a basis of  $\ker_A(\Lambda)$ . We have:

> `SyzygyModule(Theta,A);`

*INJ (2)*

Thus, the matrix  $\Theta$  has full row rank, which shows that the rows of  $\Theta$  define a basis of  $\ker_A(\Lambda)$ .

Let us now note the matrix  $\Theta$  by  $V_1$ , i.e.:

> `V1 := evalm(Theta);`

$$V1 := \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Now, if we form the matrix  $U_1 := \Theta R$ , i.e.,

> `U1 := Mult(Theta,R,A);`

$$U1 := \begin{bmatrix} 0 & d & -1 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 \end{bmatrix}$$

then the matrix  $U_1$  defines a basis of  $\ker_A(Q_1)$ , i.e.,  $\ker_A(Q_1) = \text{im}_A(U_1)$  and  $U_1$  has full row rank:

> `SyzygyModule(U1,A);`

*INJ (2)*

In particular, the matrix  $U_1$  admits a right inverse  $W_1 \in A^{4 \times 2}$ :

> `W1 := RightInverse(U1,A);`

$$W1 := \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ -1 & 0 \\ -\frac{d+2\zeta\omega}{\omega^2} & -\frac{1}{\omega^2} \end{bmatrix}$$



Let us now compute the matrix  $U_2$  which is such that  $I_4 - W_1 U_1 = Q_1 U_2$ :

```
> U2 := Involution(Factorize(Involution(evalm(1-Mult(W1,U1,A))),A),
> Involution(Q1,A),A),A);
```

$$U_2 := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{1}{\omega^2} & 0 & 0 \end{bmatrix}$$

Now, let us define the matrix  $X_1 := R W_1$ , i.e.,

```
> X1 := Mult(R,W1,A);
```

$$X_1 := \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

and the matrix  $V_2 := \Gamma(I_3 - X_1 V_1)$ :

```
> V2 := Mult(Gamma,evalm(1-Mult(X1,V1,A)),A);
V2 := [ 1 0 0 ]
```

Let us note  $X_2 := \Lambda$

```
> X2 := evalm(Lambda);
```

$$X_2 := \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

and  $W_2 := Q_1$ :

```
> W2 := evalm(Q1);
```

$$W_2 := \begin{bmatrix} 1 & 0 \\ 0 & \omega^2 \\ 0 & d\omega^2 \\ 0 & \omega^2 + d^2 + 2\zeta d\omega \end{bmatrix}$$

Now, we can check again that we have  $Q_2 = \Gamma R Q_1$ :

```
> Q2 := Mult(Gamma,R,Q1,A);
Q2 := [ d+a ka\delta\omega^2 ]
```

If we note  $U := (U_1^T \ U_2^T)^T$ , i.e.,

```
> U := stackmatrix(U1,U2);
```

$$U := \begin{bmatrix} 0 & d & -1 & 0 \\ 0 & \omega^2 & d + 2\zeta\omega & -\omega^2 \\ 1 & 0 & 0 & 0 \\ 0 & \frac{1}{\omega^2} & 0 & 0 \end{bmatrix}$$

then we can check that  $U$  is unimodular, i.e.,  $U \in \text{GL}_4(A)$ . In particular, its inverse is defined by:

```
> U_inv := LeftInverse(U,A);
```

$$U\_inv := \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \omega^2 \\ -1 & 0 & 0 & d\omega^2 \\ -\frac{d+2\zeta\omega}{\omega^2} & -\frac{1}{\omega^2} & 0 & \omega^2 + d^2 + 2\zeta d\omega \end{bmatrix}$$

If we note  $W := (W_1 \ W_2)$ , i.e.:

> `W := augment(W1,W2);`

$$W := \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \omega^2 \\ -1 & 0 & 0 & d\omega^2 \\ -\frac{d+2\zeta\omega}{\omega^2} & -\frac{1}{\omega^2} & 0 & \omega^2 + d^2 + 2\zeta d\omega \end{bmatrix}$$

then we can check again that we have  $W = U^{-1}$ :

> `simplify(evalm(W-U_inv));`

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

In particular, it proves that  $W \in \text{GL}_4(A)$ . Now, let us define the matrix  $V := (V_1^T \ V_2^T)^T$ .

> `V := stackmatrix(V1,V2);`

$$V := \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

The matrix  $V$  is unimodular, i.e.,  $V \in \text{GL}_3(A)$ , and its inverse is defined by:

> `V_inv := LeftInverse(V,A);`

$$V\_inv := \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

Let us note  $X := (X_1 \ X_2)$ , i.e.:

> `X := augment(X1,X2);`

$$X := \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

We can check again that we have  $X = V^{-1}$ .

> `simplify(evalm(X-V_inv));`

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

We then have  $\bar{R} := V R W = \text{diag}(I_2, Q_2)$ :

> `R_bar := Mult(V,R,W,A);`

$$R\_bar := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & d+a & ka\delta\omega^2 \end{bmatrix}$$

Now, let us check again that the matrix  $\Delta := -W_1 V_1$ , i.e.,

> `Delta := Mult(-1,W1,V1,A);`

$$\Delta := \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \frac{d+2\zeta\omega}{\omega^2} & \frac{1}{\omega^2} \end{bmatrix}$$

satisfies the algebraic Riccati equation  $\Delta R \Delta = -\Delta$ :

> `simplify(evalm(Mult(Delta,R,Delta,A)+Delta));`

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Hence, if we define the matrix  $\bar{P} := Q_1 U_2$ , i.e.,

> `P_bar := Mult(Q1,U2,A);`

$$P\_bar := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & \frac{\omega^2+d^2+2\zeta d\omega}{\omega^2} & 0 & 0 \end{bmatrix}$$

then we can check again that  $\bar{P} = I_4 + \Delta R$

> `simplify(evalm(P_bar-evalm(1+Mult(Delta,R,A))));`

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

and that  $\bar{P}$  is an idempotent matrix, i.e.,  $\bar{P}^2 = \bar{P}$ :

> `simplify(evalm(Mult(P_bar,P_bar,A)-P_bar));`

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Similarly, if we note  $\bar{Q} := X_2 V_2$ , i.e.,

> Q\_bar := Mult(X2,V2,A);

$$Q\_bar := \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

then we can check again that we have  $\overline{Q} = I_3 + R\Delta$

> simplify(evalm(Q\_bar-evalm(1+Mult(R,Delta,A))));

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

and that the matrix  $\overline{Q}$  is an idempotent matrix:

> simplify(evalm(Mult(Q\_bar,Q\_bar,A)-Q\_bar));

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Moreover, we have  $R\overline{P} = \overline{Q}R$ :

> simplify(evalm(Mult(R,P\_bar,A)-Mult(Q\_bar,R,A)));

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Finally, let us check again that the  $A$ -modules  $\ker_A(\overline{P})$ ,  $\text{im}_A(\overline{P})$ ,  $\ker_A(\overline{Q})$  and  $\text{im}_A(\overline{Q})$  are free.

Let us first compute  $\ker_A(\overline{P})$ .

> SyzygyModule(P\_bar,A);

$$\begin{bmatrix} 0 & \omega^2 & d+2\zeta\omega & -\omega^2 \\ 0 & d & -1 & 0 \end{bmatrix}$$

We then have  $\ker_A(\overline{P}) = \text{im}_A(U_1)$ , where the full row rank matrix  $U_1$  is defined by:

> evalm(U1);

$$\begin{bmatrix} 0 & d & -1 & 0 \\ 0 & \omega^2 & d+2\zeta\omega & -\omega^2 \end{bmatrix}$$

Let us now compute  $\text{im}_A(\overline{P}) = \ker_A(\overline{I_4 - \overline{P}})$ .

> SyzygyModule(evalm(1-P\_bar),A);

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

We get  $\text{im}_A(\overline{P}) = \text{im}_A(U_2)$ , where the full row rank matrix  $U_2$  is defined by:

> evalm(U2);

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{1}{\omega^2} & 0 & 0 \end{bmatrix}$$

Similarly, let us compute  $\ker_A(\overline{Q})$ .

```
> SyzygyModule(Q_bar, A);
```

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

We get  $\ker_A(\overline{Q}) = \text{im}_A(V_1)$ , where the full row rank matrix  $V_1$  is defined by:

```
> evalm(V1);
```

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Finally, let us compute  $\text{im}_A(\overline{Q}) = \ker_A(I_3 - \overline{Q})$ .

```
> SyzygyModule(evalm(1-Q_bar), A);
```

$$[ 1 \ 0 \ 0 ]$$

We have  $\text{im}_A(\overline{Q}) = \text{im}_A(V_2)$ , where  $V_2$  is the full row rank matrix defined by:

```
> evalm(V2);
```

$$[ 1 \ 0 \ 0 ]$$

Hence, we obtain that the  $A$ -modules  $\ker_A(\overline{P})$ ,  $\text{im}_A(\overline{P})$ ,  $\ker_A(\overline{Q})$  and  $\text{im}_A(\overline{Q})$  are free and can respectively be generated by means of the full row rank matrices  $U_1$ ,  $U_2$ ,  $V_1$  and  $V_2$ .

### 8.3 Oseen equations

Let us consider the ring  $A$  of PD operators with coefficients in the field  $\mathbb{Q}(\nu, b_1, b_2)$

```
> A := DefineOreAlgebra(diff=[dt, t], diff=[dx, x], diff=[dy, y], polynom=[t, x, y],
> comm=[nu, b1, b2]);
```

the Laplacian operator  $\Delta$  defined by

```
> Delta := dx^2+dy^2;
```

$$\Delta := dx^2 + dy^2$$

and the matrix  $R \in A^{3 \times 3}$  defined by (76), i.e.,

```
> R := evalm([[dt+b1*dx+b2*dy-nu*Delta, 0, dx], [0, dt+b1*dx+b2*dy-nu*Delta, dy],
> [dx, dy, 0]]);
```

$$R := \begin{bmatrix} dt + b1 \, dx + b2 \, dy - \nu (dx^2 + dy^2) & 0 & dx \\ 0 & dt + b1 \, dx + b2 \, dy - \nu (dx^2 + dy^2) & dy \\ dx & dy & 0 \end{bmatrix}$$

which finitely presents the  $A$ -module  $M = A^{1 \times 3} / (A^{1 \times 3} R)$ . Let us compute a family of generators of the  $A$ -module  $\text{end}_A(M)$ :

```
> E := MorphismsConstCoeff(R, R, A);
> F := E[1];
```

$$F := \left[ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right], \left[ \begin{array}{ccc} 0 & dy & 0 \\ 0 & -dx & 0 \\ 0 & 0 & -dx \end{array} \right], \left[ \begin{array}{ccc} 0 & -dy & 0 \\ 0 & dx & 0 \\ 0 & 0 & dx \end{array} \right], \left[ \begin{array}{ccc} 0 & 0 & dx \\ 0 & 0 & dy \\ 0 & 0 & -dt - b1 dx - b2 dy \end{array} \right],$$

$$\left[ \begin{array}{ccc} 0 & dy \nu dx & 0 \\ 0 & \nu dy^2 - dt - b1 dx - b2 dy & -dy \\ 0 & 0 & \nu dy^2 \end{array} \right], \left[ \begin{array}{ccc} 0 & -\nu dy^3 + dy dt + dy^2 b2 & dy^2 \\ 0 & -b2 dx dy - dx dt + dy^2 dx \nu & -dy dx \\ 0 & 0 & -b1 dy^2 + dy^2 dx \nu \end{array} \right]$$

Then, the  $A$ -module  $\text{end}_A(M)$  is generated by the  $A$ -endomorphisms  $f_i$ 's defined by  $f_i(\pi(\lambda)) = \pi(\lambda F_i)$ , where  $\pi : A^{1 \times 3} \rightarrow M$  is the canonical projection and the  $F_i$ 's are the above matrices.

The relations between the generators  $\{f_i\}_{i=1,\dots,6}$  of  $\text{end}_A(M)$  are defined by:

> E[2];

$$E[2] := \left[ \begin{array}{cccccc} dx & 0 & -1 & 0 & 0 & 0 \\ \nu dy^2 - dt - b2 dy & 0 & -b1 & -1 & -1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & -\nu dx & 0 & -1 & 0 \\ 0 & 0 & \nu^2 dy^2 - b1 dx \nu - dt \nu - b2 dy \nu & -\nu dx & -b1 & -\nu \\ 0 & 0 & -dx \nu^2 dy^2 & -\nu dy^2 & -b2 dy - dt & \nu dx \\ 0 & 0 & 0 & 0 & -b1 + \nu dx & -\nu \end{array} \right]$$

We note that the second and third entries of  $F$  are the same up to a sign. Hence, we can remove the second entry of  $F$  to obtain the following family of generators:

> G := [F[1], F[3], F[4], F[5], F[6]];

$$G := \left[ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right], \left[ \begin{array}{ccc} 0 & -dy & 0 \\ 0 & dx & 0 \\ 0 & 0 & dx \end{array} \right], \left[ \begin{array}{ccc} 0 & 0 & dx \\ 0 & 0 & dy \\ 0 & 0 & -dt - b1 dx - b2 dy \end{array} \right],$$

$$\left[ \begin{array}{ccc} 0 & dy \nu dx & 0 \\ 0 & \nu dy^2 - dt - b1 dx - b2 dy & -dy \\ 0 & 0 & \nu dy^2 \end{array} \right], \left[ \begin{array}{ccc} 0 & -\nu dy^3 + dy dt + dy^2 b2 & dy^2 \\ 0 & -b2 dx dy - dx dt + dy^2 dx \nu & -dy dx \\ 0 & 0 & -b1 dy^2 + dy^2 dx \nu \end{array} \right]$$

Hence, if  $f_i \in \text{end}_A(M)$  is defined by  $f_i(\pi(\lambda)) = \pi(\lambda G_i)$  for  $i = 1, \dots, 5$ , then  $\{f_i\}_{i=1,\dots,5}$  is a family of generators of  $\text{end}_A(M)$ . Let us compute the  $A$ -linear relations among the new generators.

> L := RelationsMatrix(R,R,G,A);

$$L := \left[ \begin{array}{cccccc} dx & -1 & 0 & 0 & 0 \\ \nu dy^2 - dt - b2 dy & -b1 & -1 & -1 & 0 \\ 0 & -\nu dx & 0 & -1 & 0 \\ 0 & \nu^2 dy^2 - b1 dx \nu - dt \nu - b2 dy \nu & -\nu dx & -b1 & -\nu \\ 0 & -dx \nu^2 dy^2 & -\nu dy^2 & -b2 dy - dt & \nu dx \\ 0 & 0 & 0 & -b1 + \nu dx & -\nu \end{array} \right]$$

We obtain that  $\text{end}_A(M) \cong A^{1 \times 5} / (A^{1 \times 6} L)$ . Now, let us prove that  $\text{end}_A(M)$  is a cyclic  $A$ -module. To do that, let us introduce the following vector  $\Lambda \in A^{1 \times 5}$

```
> Lambda := evalm([[1,0,0,0,0]]);
      Lambda := [ 1  0  0  0  0 ]
```

and consider the matrix  $P := (L^T \ \Lambda^T)^T$  defined by:

```
> P := stackmatrix(L,Lambda);
      P := [
      dx          -1          0          0          0
      nu dy^2 - dt - b2 dy  -b1          -1          -1          0
      0            -nu dx          0          -1          0
      0            nu^2 dy^2 - b1 dx nu - dt nu - b2 dy nu  -nu dx  -b1  -nu
      0            -dx nu^2 dy^2  -nu dy^2  -b2 dy - dt  nu dx
      0            0            0          -b1 + nu dx  -nu
      1            0            0            0            0 ]
```

Now, we can check that the matrix  $P$  admits a left inverse  $X \in A^{5 \times 7}$  defined by:

```
> X := LeftInverse(P,A);
      X := [
      0          0          0          0          0          0          1
      -1         0          0          0          0          0          dx
      b1 - nu dx  -1          1          0          0          0  -dt - b1 dx - b2 dy + nu dx^2 + nu dy^2
      nu dx       0          -1         0          0          0          -nu dx^2
      -dx (b1 - nu dx)  0  (b1 - nu dx)/nu  0          0          -nu^-1  dx^2 (b1 - nu dx) ]
```

Let us note  $X = (X_1^T \ X_2^T)^T$ , where  $X_1 \in A^{5 \times 6}$  and  $X_2 \in A^5$ , i.e.:

```
> X1 := submatrix(X,1..rowdim(X),1..6);
      X1 := [
      0          0          0          0          0          0
      -1         0          0          0          0          0
      b1 - nu dx  -1          1          0          0          0
      nu dx       0          -1         0          0          0
      -dx (b1 - nu dx)  0  (b1 - nu dx)/nu  0          0          -nu^-1 ]
```

```
> X2 := submatrix(X,1..rowdim(X),7..7);
      X2 := [
      1
      dx
      -dt - b1 dx - b2 dy + nu dx^2 + nu dy^2
      -nu dx^2
      dx^2 (b1 - nu dx) ]
```

Hence, we obtain that  $\text{end}_A(M)$  is a cyclic  $A$ -module defined by the generator  $f_1 = \Lambda(f_1 \dots f_5)^T = \text{id}_M$ .

All these results can directly be obtained by using the command `ReducedModuleHom`.

```
> Pp := ReducedModuleHom(R,R,F,A);
      Pp := [
      1  0  0
      0  1  0
      0  0  1 ]
```

Let us now compute the annihilator  $\text{ann}_A(\text{id}_M) := \{a \in A \mid a \text{id}_M = 0\}$  of  $\text{id}_M$ :

```
> Lp := RelationsMatrix(R,R,[Pp],A);
Lp := [ 2ν dx² dy² - dy² b1 dx - dx² b2 dy - dy³ b2 + ν dy⁴ - dy² dt + ν dx⁴ - b1 dx³ - dx² dt ]
> d := simplify(Lp[1,1]/Delta)*Delta
d := (-dt - b1 dx - b2 dy + ν dx² + ν dy²) (dx² + dy²)
```

We have  $\text{ann}_A(\text{id}_M) = Ad$ , which shows that  $\text{end}_A(M) \cong A/(d)$ . From that, following the arguments developed in Section 7.1, we can prove that  $M$  is an indecomposable  $A$ -module.

Finally, let us give two strict factorizations of the matrix  $R$ . First, let us consider the endomorphism  $g_1 = \Delta \text{id}_M$  defined by the matrices  $P_1 = Q_1 = \Delta I_3$ , i.e.:

```
> P1 := diag(Delta$3);
P1 := [ dx² + dy²    0    0
        0    dx² + dy²    0
        0    0    dx² + dy² ]
```

The  $A$ -module  $\text{coim } g_1$  is then finitely presented by the following matrix  $S_1$

```
> S1 := CoimMorphism(R,R,P1,A);
S1 := [ ν dx    ν dy    -1
        dx    dy    0
        -dt - b1 dx - b2 dy + ν dx² + ν dy²    0    -dx
        0    -dt - b1 dx - b2 dy + ν dx² + ν dy²    -dy ]
```

i.e., we have  $\text{coim } g_1 = A^{1 \times 3} / (A^{1 \times 4} S_1)$ . Then, we have the factorization  $R = L_1 S_1$ , where the matrix  $L_1 \in A^{3 \times 4}$  is defined by:

```
> L1 := Factorize(R,S1,A);
L1 := [ 0  0  -1  0
        0  0  0  -1
        0  1  0  0 ]
```

We can check that this factorization  $R = L_1 S_1$  is strict, i.e.,  $D^{1 \times 3} R \subsetneq D^{1 \times 4} S_1$ :

```
> Factorize(S1,R,A);
[]
```

Now, if we consider the endomorphism  $g_2 = (d_t + b_1 d_x + b_2 d_y - \nu \Delta) \text{id}_M$  defined by the matrices  $P_2 = Q_2 = (d_t + b_1 d_x + b_2 d_y - \nu \Delta) I_3$ , i.e.

```
> P2 := diag(dt+b1*dx+b2*dy-nu*Delta$3);
P2 :=
[ dt + b1 dx + b2 dy - ν (dx² + dy²)    0    0
  0    dt + b1 dx + b2 dy - ν (dx² + dy²)    0
  0    0    dt + b1 dx + b2 dy - ν (dx² + dy²) ]
```

then the  $A$ -module  $\text{coim } g_2$  is finitely presented by the following matrix  $S_2$ :

```
> S2 := CoimMorphism(R,R,P2,A);
```



$$S_2 := \begin{bmatrix} & -dy & dx & 0 \\ dy \nu dx - b_1 dy & & \nu dy^2 - dt - b_2 dy & -dy \\ & -dx & -dy & 0 \\ -dt - b_1 dx - b_2 dy + \nu dx^2 + \nu dy^2 & & 0 & -dx \end{bmatrix}$$

i.e., we have  $\text{coim } g_2 = A^{1 \times 3} / (A^{1 \times 4} S_2)$ . Then, we have the factorization  $R = L_2 S_2$ , where the matrix  $L_2 \in A^{3 \times 4}$  is defined by:

> L2 := Factorize(R,S2,A);

$$L_2 := \begin{bmatrix} 0 & 0 & 0 & -1 \\ b_1 - \nu dx & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

Finally, we can check that the factorization  $R = L_2 S_2$  is strict, i.e.,  $D^{1 \times 3} R \subsetneq D^{1 \times 4} S_2$ :

> Factorize(S2,R,A);

[]

## 8.4 Implicit scheme for the Oseen equations

Let us consider the ring  $A$  of PD operators with coefficients in the field  $\mathbb{Q}(\nu, c)$

> A := DefineOreAlgebra(diff=[dx,x],diff=[dy,y],polynom=[x,y],comm=[nu,c]);

the Laplacian operator  $\Delta$  defined by

> Delta := dx^2+dy^2;

$$\Delta := dx^2 + dy^2$$

and the matrix  $R \in A^{3 \times 3}$  defined by (80), i.e.,

> R := evalm([[c-nu\*(dx^2+dy^2),0,dx],[0,c-nu\*(dx^2+dy^2),dy],[dx,dy,0]]);

$$R := \begin{bmatrix} c - \nu (dx^2 + dy^2) & 0 & dx \\ 0 & c - \nu (dx^2 + dy^2) & dy \\ dx & dy & 0 \end{bmatrix}$$

which finitely presents the  $A$ -module  $M = A^{1 \times 3} / (A^{1 \times 3} R)$ . Let us consider  $f \in \text{end}_A(M)$  defined by  $f(\pi(\lambda)) = \pi(\lambda P)$ , where  $\pi : A^{1 \times 3} \rightarrow M$  is the canonical projection and the matrix  $P = Q = (1 - \frac{\nu}{c} \Delta) I_3$ , i.e.:

> P := diag((1-nu\*Delta/c)\$3);

$$P := \begin{bmatrix} 1 - \frac{\nu(dx^2+dy^2)}{c} & 0 & 0 \\ 0 & 1 - \frac{\nu(dx^2+dy^2)}{c} & 0 \\ 0 & 0 & 1 - \frac{\nu(dx^2+dy^2)}{c} \end{bmatrix}$$

The  $A$ -module  $\text{coim } f$  is finitely presented by the following matrix

> S := CoimMorphism(R,R,P,A);

$$S := \begin{bmatrix} -dy c & dx c & 0 \\ \nu dx dy c & \nu dy^2 c - c^2 & -dy c \\ -dx c & -dy c & 0 \\ \nu dx^2 c - c^2 + \nu dy^2 c & 0 & -dx c \end{bmatrix}$$

i.e., we have  $\text{coim } f = A^{1 \times 3} / (A^{1 \times 4} S)$ . Then, we have the factorization  $R = L S$ , where the matrix  $L \in A^{3 \times 4}$  is defined by:

> L := Factorize(R,S,A);

$$L := \begin{bmatrix} 0 & 0 & 0 & -\frac{1}{c} \\ -\frac{\nu dx}{c} & -\frac{1}{c} & 0 & 0 \\ 0 & 0 & -\frac{1}{c} & 0 \end{bmatrix}$$

To compute a presentation of  $\ker f = (A^{1 \times 4} S) / (A^{1 \times 3} R)$ , let us first compute  $\ker_A(.S)$ :

> S2 := SyzygyModule(S,A);

$$S2 := [ -c + \nu dy^2 \quad -dx \quad 0 \quad dy ]$$

We obtain that  $\ker_A(.S) = \text{im}_A(.S_2)$ . Then, we have  $\ker f \cong A^{1 \times 4} / (A^{1 \times 4} (L^T \quad S_2^T)^T)$ , i.e., a presentation matrix of  $\ker f$  is defined by:

> Lp := stackmatrix(L,S2);

$$Lp := \begin{bmatrix} 0 & 0 & 0 & -\frac{1}{c} \\ -\frac{\nu dx}{c} & -\frac{1}{c} & 0 & 0 \\ 0 & 0 & -\frac{1}{c} & 0 \\ -c + \nu dy^2 & -dx & 0 & dy \end{bmatrix}$$

We recall that the short exact sequence  $0 \longrightarrow \ker f \xrightarrow{i} M \xrightarrow{\rho} \text{coim } f \longrightarrow 0$  splits if and only if there exist matrices  $U_1 \in A^{4 \times 3}$ ,  $U_2 \in A^4$  and  $V \in A^{3 \times 4}$  such that  $U_1 L + U_2 S_2 + S V = I_4$  (Quadrat and Robertz (2007b)). Let us check if this last identity holds.

> M1 := KroneckerProduct(diag(1\$4),Lp,A);

> M2 := KroneckerProduct(transpose(S),diag(1\$4),A);

> M := stackmatrix(M1,M2);

> K := transpose(convert(convert(diag(1\$4),vector),matrix));

> J := Factorize(K,M,A);

$$J := \begin{bmatrix} 0 & 0 & 0 & -\frac{1}{c} & 0 & 0 & 0 & 0 & dx & dy & -c & 0 & 0 & 0 \\ 0 & \frac{\nu dy}{c} & -\frac{\nu dy}{c^2} & 0 & 0 & -\frac{1}{c^2} & 0 & -\frac{1}{c^2} & 0 & 0 & -\frac{\nu^2 dx dy}{c^2} & -\frac{\nu dy}{c^2} & 0 & -\frac{\nu dx}{c^2} \end{bmatrix}$$

> u := convert(convert(submatrix(J,1..1,1..16),vector),list);

$$u := [0, 0, 0, -\frac{1}{c}, 0, 0, 0, 0, dx, dy, -c, 0, 0, 0, \frac{\nu dy}{c}]$$

> v := convert(convert(submatrix(J,1..1,17..coldim(J)),vector),list);

$$v := [-\frac{\nu dy}{c^2}, 0, 0, -\frac{1}{c^2}, 0, -\frac{1}{c^2}, 0, 0, -\frac{\nu^2 dx dy}{c^2}, -\frac{\nu dy}{c^2}, 0, -\frac{\nu dx}{c^2}]$$

Hence, if we define the following matrix  $U \in A^{4 \times 4}$  formed by the entries of the row vector  $u$

> U := matrix(4,4,u);

$$U := \begin{bmatrix} 0 & 0 & 0 & -\frac{1}{c} \\ 0 & 0 & 0 & 0 \\ dx & dy & -c & 0 \\ 0 & 0 & 0 & \frac{\nu dy}{c} \end{bmatrix}$$

and the matrix  $V \in A^{3 \times 4}$  formed by the entries of the row vector  $v$

> `V := matrix(3,4,v);`

$$V := \begin{bmatrix} -\frac{\nu dy}{c^2} & 0 & 0 & -\frac{1}{c^2} \\ 0 & -\frac{1}{c^2} & 0 & 0 \\ -\frac{\nu^2 dx dy}{c^2} & -\frac{\nu dy}{c^2} & 0 & -\frac{\nu dx}{c^2} \end{bmatrix}$$

then we have the identity  $U(L^T \ S_2^T)^T + SV = I_4$ , a fact which can be checked again:

> `simplify(evalm(Mult(U,Lp,A)+Mult(S,V,A)));`

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Thus, we have  $M \cong \ker f \oplus \text{coim } f$ . All these results can be directly obtained as follows.

> `C := ComplementConstCoeff(S,R,A);`

$$C := \left[ \begin{array}{ccc} -\frac{c-\nu dx^2}{c} + 1 & \frac{\nu dx dy}{c} & -\frac{dx}{c} \\ \frac{\nu dx dy}{c} & -\frac{c-\nu dy^2}{c} + 1 & -\frac{dy}{c} \\ -\frac{\nu dx (c-\nu dx^2-\nu dy^2)}{c} & -\frac{\nu dy (c-\nu dx^2-\nu dy^2)}{c} & 1 - \frac{\nu (dx^2+dy^2)}{c} \end{array} \right], \left[ \begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \\ dx & dy & -c \\ 0 & 0 & 0 \end{array} \right],$$

$$\left[ \begin{array}{ccc} -\frac{\nu dy}{c^2} & 0 & 0 & -\frac{1}{c^2} \\ 0 & -\frac{1}{c^2} & 0 & 0 \\ -\frac{\nu^2 dx dy}{c^2} & -\frac{\nu dy}{c^2} & 0 & -\frac{\nu dx}{c^2} \end{array} \right]$$

> `U1 := C[2];`

$$U1 := \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ dx & dy & -c \\ 0 & 0 & 0 \end{bmatrix}$$

> `V := C[3];`

$$V := \begin{bmatrix} -\frac{\nu dy}{c^2} & 0 & 0 & -\frac{1}{c^2} \\ 0 & -\frac{1}{c^2} & 0 & 0 \\ -\frac{\nu^2 dx dy}{c^2} & -\frac{\nu dy}{c^2} & 0 & -\frac{\nu dx}{c^2} \end{bmatrix}$$

> `U2 := Factorize(evalm(1-Mult(S,V,A)-Mult(U1,L,A)),S2,A);`

$$U2 := \begin{bmatrix} -\frac{1}{c} \\ 0 \\ 0 \\ \frac{\nu dy}{c} \end{bmatrix}$$

> U := augment(U1,U2);

$$U := \begin{bmatrix} 0 & 0 & 0 & -\frac{1}{c} \\ 0 & 0 & 0 & 0 \\ dx & dy & -c & 0 \\ 0 & 0 & 0 & \frac{\nu dy}{c} \end{bmatrix}$$

Now, we can check that we have  $P^2 = P + ZR$ , where  $Z \in A^{3 \times 3}$  is defined by:

> Z := map(factor,Factorize(evalm(Mult(P,P,A)-P),R,A));

$$Z := \begin{bmatrix} -\frac{\nu dy^2}{c^2} & \frac{\nu dx dy}{c^2} & -\frac{dx \nu (c - \nu dx^2 - \nu dy^2)}{c^2} \\ \frac{\nu dx dy}{c^2} & -\frac{\nu dx^2}{c^2} & -\frac{dy \nu (c - \nu dx^2 - \nu dy^2)}{c^2} \\ -\frac{dx \nu (c - \nu dx^2 - \nu dy^2)}{c^2} & -\frac{dy \nu (c - \nu dx^2 - \nu dy^2)}{c^2} & \frac{\nu (c - \nu dx^2 - \nu dy^2)^2}{c^2} \end{bmatrix}$$

Thus, the matrix  $P$  defines an idempotent  $A$ -endomorphism  $f$  of  $M$ .

We can check that the matrix  $\Lambda \in A^{3 \times 3}$  defined by

> Lambda := evalm(evalm([[ -1, 0, 0 ], [ 0, -1, 0 ], [ nu\*dx, nu\*dy, nu\*(nu\*Delta-c) ] ])/c);

$$\Lambda := \begin{bmatrix} -\frac{1}{c} & 0 & 0 \\ 0 & -\frac{1}{c} & 0 \\ \frac{\nu dx}{c} & \frac{\nu dy}{c} & \frac{\nu (\nu (dx^2 + dy^2) - c)}{c} \end{bmatrix}$$

satisfies the algebraic Riccati equation  $\Lambda R \Lambda + (P - I_3) \Lambda + \Lambda Q + Z = 0$ :

> simplify(evalm(Mult(Lambda,R,Lambda,A)+Mult(evalm(P-1),Lambda,A)  
> +Mult(Lambda,P,A)+Z));

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Hence, the idempotent endomorphism  $f$  of  $M$  can be defined by the idempotent matrix  $\bar{P} := P + \Lambda R$

> P\_bar := simplify(evalm(P+Mult(Lambda,R,A)));

$$P\_bar := \begin{bmatrix} 0 & 0 & -\frac{dx}{c} \\ 0 & 0 & -\frac{dy}{c} \\ 0 & 0 & 1 \end{bmatrix}$$

and the idempotent matrix  $\bar{Q} := Q + R\Lambda$  defined by:

> Q\_bar := simplify(evalm(P+Mult(R,Lambda,A)));

$$Q\_bar := \begin{bmatrix} \frac{\nu dx^2}{c} & \frac{\nu dx dy}{c} & -\frac{dx \nu (c - \nu dx^2 - \nu dy^2)}{c} \\ \frac{\nu dx dy}{c} & \frac{\nu dy^2}{c} & -\frac{dy \nu (c - \nu dx^2 - \nu dy^2)}{c} \\ -\frac{dx}{c} & -\frac{dy}{c} & \frac{c - \nu dx^2 - \nu dy^2}{c} \end{bmatrix}$$

Let us check that we have  $\overline{P}^2 = \overline{P}$ :

```
> simplify(evalm(Mult(P_bar,P_bar,A)-P_bar));
```

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Let us also check that we have  $\overline{Q}^2 = \overline{Q}$ :

```
> simplify(evalm(Mult(Q_bar,Q_bar,A)-Q_bar));
```

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Moreover, let us check that we have  $R\overline{P} = \overline{Q}R$ :

```
> simplify(evalm(Mult(R,P_bar,A)-Mult(Q_bar,R,A)));
```

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Hence, the matrix  $R$  is equivalent to a block diagonal matrix. Let us compute this block diagonal matrix.

Since  $\overline{P}$  and  $\overline{Q}$  are idempotent matrices of  $A^{3 \times 3}$ , we know that  $\ker_A(\overline{P})$  and  $\ker_A(\overline{Q})$  are projective, i.e., free  $A$ -modules by the Quillen-Suslin theorem (see 2 of Theorem 2). Let us compute a basis of  $\ker_A(\overline{P})$  and of  $\ker_A(\overline{Q})$ . To do that, let us first compute  $\ker_A(\overline{P})$  and of  $\ker_A(\overline{Q})$ .

```
> X := SyzygyModule(P_bar,A);
```

$$X := \begin{bmatrix} c & 0 & dx \\ -dy & dx & 0 \\ 0 & c & dy \end{bmatrix}$$

We obtain  $\ker_A(\overline{P}) = \text{im}_A(.X)$ . Now, let us compute  $\ker_A(\overline{Q})$ .

```
> Y := SyzygyModule(Q_bar,A);
```

$$Y := \begin{bmatrix} 1 & 0 & \nu dx \\ -dy & dx & 0 \\ 0 & 1 & \nu dy \end{bmatrix}$$

We obtain that  $\ker_A(\overline{Q}) = \text{im}_A(.Y)$ . Now, we can check that neither  $X$  nor  $Y$  has full row rank:

```
> SyzygyModule(X,A);
```

$$\begin{bmatrix} -dy & -c & dx \end{bmatrix}$$

```
> SyzygyModule(Y,A);
```

$$\begin{bmatrix} -dy & -1 & dx \end{bmatrix}$$

In particular,  $X$  (resp.,  $Y$ ) does not define a basis of  $\ker_A(\overline{P})$  (resp.,  $\ker_A(\overline{Q})$ ). But, from the last but one matrix, we obtain that the second row of  $X$  is a  $A$ -linear combination of the first and third rows of  $X$ . Thus, if we consider the matrix  $U_1 \in A^{2 \times 3}$  formed by the first and third rows of  $X$ , i.e.,

> `U1 := submatrix(X, [1,3], 1..3);`

$$U_1 := \begin{bmatrix} c & 0 & dx \\ 0 & c & dy \end{bmatrix}$$

then  $U_1$  defines a basis of  $\ker_A(\overline{P})$ , i.e.,  $\ker_A(\overline{P}) = \text{im}_A(U_1)$ . Similarly, we can check that the second row of  $Y$  is a  $A$ -linear combination of the first and third rows of  $Y$ . Thus, if we consider the matrix  $V_1 \in A^{2 \times 3}$  formed by the first and third rows of  $Y$ , i.e.,

> `V1 := submatrix(Y, [1,3], 1..3);`

$$V_1 := \begin{bmatrix} 1 & 0 & \nu dx \\ 0 & 1 & \nu dy \end{bmatrix}$$

then  $V_1$  defines a basis of  $\ker_A(\overline{Q})$ , i.e.,  $\ker_A(\overline{Q}) = \text{im}_A(V_1)$ . The matrices  $U_1$  and  $V_1$  can directly be computed by the `QUILLENUSUSLIN` package (Fabiańska and Quadrat (2007)).

Now, let us compute a basis of  $\text{im}_A(\overline{P}) = \ker_A(I_3 - \overline{P})$ .

> `U2 := SyzygyModule(evalm(1-P_bar), A);`

$$U_2 := \begin{bmatrix} 0 & 0 & 1 \end{bmatrix}$$

Thus, we have  $\text{im}_A(\overline{P}) = \text{im}_A(U_2)$ , where  $U_2$  has full row rank, i.e.,  $U_2$  defines a basis of  $\text{im}_A(\overline{P})$ . Similarly, let us compute a basis of  $\text{im}_A(\overline{Q}) = \ker_A(I_3 - \overline{Q})$ .

> `V2 := SyzygyModule(evalm(1-Q_bar), A);`

$$V_2 := \begin{bmatrix} dx & dy & -c + \nu dx^2 + \nu dy^2 \end{bmatrix}$$

Thus, we have  $\text{im}_A(\overline{Q}) = \text{im}_A(V_2)$ , where  $V_2$  has full row rank, i.e.,  $V_2$  defines a basis of  $\text{im}_A(\overline{Q})$ . Now, if we define the matrix  $U := (U_1^T \ U_2^T)^T$ , i.e.,

> `U := stackmatrix(U1,U2);`

$$U := \begin{bmatrix} c & 0 & dx \\ 0 & c & dy \\ 0 & 0 & 1 \end{bmatrix}$$

and the matrix  $V := (V_1^T \ V_2^T)^T$  defined by

> `V := stackmatrix(V1,V2);`

$$V := \begin{bmatrix} 1 & 0 & \nu dx \\ 0 & 1 & \nu dy \\ dx & dy & -c + \nu dx^2 + \nu dy^2 \end{bmatrix}$$

then we can check that these two matrices belong to  $\text{GL}_3(A)$ :

> `U_inv := LeftInverse(U, A);`

$$U\_inv := \begin{bmatrix} \frac{1}{c} & 0 & -\frac{dx}{c} \\ 0 & \frac{1}{c} & -\frac{dy}{c} \\ 0 & 0 & 1 \end{bmatrix}$$

> `V_inv := LeftInverse(V, A);`

$$V\_inv := \begin{bmatrix} \frac{-\nu dx^2+c}{c} & -\frac{\nu dx dy}{c} & \frac{\nu dx}{c} \\ -\frac{\nu dx dy}{c} & \frac{c-\nu dy^2}{c} & \frac{\nu dy}{c} \\ \frac{dx}{c} & \frac{dy}{c} & -\frac{1}{c} \end{bmatrix}$$

Finally, the matrix  $R$  is equivalent to the block diagonal matrix  $\bar{R} = V R U^{-1}$  defined by:

>  $R\_bar := \text{Mult}(V, R, U\_inv, A);$

$$R\_bar := \begin{bmatrix} \frac{c-\nu dy^2}{c} & \frac{\nu dx dy}{c} & 0 \\ \frac{\nu dx dy}{c} & \frac{-\nu dx^2+c}{c} & 0 \\ 0 & 0 & dx^2 + dy^2 \end{bmatrix}$$

Let us now study whether or not the first block diagonal matrix  $T \in A^{2 \times 2}$  of  $\bar{R}$  is equivalent to a block diagonal matrix.

>  $T := \text{submatrix}(R\_bar, 1..2, 1..2);$

$$T := \begin{bmatrix} \frac{c-\nu dy^2}{c} & \frac{\nu dx dy}{c} \\ \frac{\nu dx dy}{c} & \frac{-\nu dx^2+c}{c} \end{bmatrix}$$

Let us note  $O := A^{1 \times 2} / (A^{1 \times 2} T)$ . We first compute a presentation of the  $A$ -module  $\text{end}_A(O)$ .

>  $E := \text{MorphismsConstCoeff}(T, T, A);$

We obtain that a family of generators of  $\text{end}_A(O)$  is defined by  $\{g_i\}_{i=1, \dots, 4}$ , where  $g_i(\kappa(\nu)) = \kappa(\nu P_i)$ ,  $\kappa : A^{1 \times 2} \rightarrow O$  is the canonical projection and the matrices  $P_i$ 's are defined by:

>  $E[1];$

$$\left[ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & dy c \\ 0 & -dx c \end{bmatrix}, \begin{bmatrix} 0 & -\nu dy^2 \\ 0 & \nu dx dy \end{bmatrix}, \begin{bmatrix} 0 & \nu dx dy \\ 0 & -c + \nu dy^2 \end{bmatrix} \right]$$

The  $A$ -linear relations among the generators  $g_i$ 's of the  $A$ -module  $\text{end}_A(O)$  are defined by:

>  $E[2];$

$$\begin{bmatrix} dx c & 1 & 0 & 0 \\ -c + \nu dy^2 & 0 & 0 & -1 \\ 0 & \nu dy & c & 0 \\ 0 & \nu dx & 0 & -c \\ 0 & c & dy c & -dx c \end{bmatrix}$$

Hence, we get  $\text{end}_A(O) \cong A^{1 \times 4} / (A^{1 \times 5} E_2)$ , i.e.,  $E_2$  is a presentation matrix of  $\text{end}_A(O)$ . Let us check that  $\text{end}_A(O)$  is a cyclic  $A$ -module generated by  $g_1 = \text{id}_O$ . If we define the following vector

>  $lambda := \text{evalm}([[1, 0, 0, 0]]);$

$$\lambda := [ 1 \ 0 \ 0 \ 0 ]$$

corresponding to a representative of  $g_1 = \text{id}_O$  in the  $A$ -module  $\text{end}_A(O) \cong A^{1 \times 4} / (A^{1 \times 5} E_2)$ , then we can check that the matrix  $(\lambda^T \ E_2^T)^T$  admits the following left inverse

>  $\text{LeftInverse}(\text{stackmatrix}(lambda, E[2]), A);$

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ -dx c & 1 & 0 & 0 & 0 & 0 \\ \nu dx dy & -\frac{\nu dy}{c} & 0 & \frac{1}{c} & 0 & 0 \\ -c + \nu dy^2 & 0 & -1 & 0 & 0 & 0 \end{bmatrix}$$

which shows that  $g_1$  generates the  $A$ -module  $\text{end}_A(O)$ . This result can directly be obtained by using the command `ReducedModuleHom`:

```
> ReducedModuleHom(T,T,E[1],A);
```

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Let us now compute the  $A$ -linear relations of  $g_1$ , i.e., its annihilator:

```
> Rp := collect(RelationsMatrix(T,T,[E[1][1]],A),[nu,c]);
```

$$Rp := [ c \nu (dx^2 + dy^2) - c^2 ]$$

We obtain that  $\text{end}_A(O) \cong A/(A(c(\nu \Delta - c)))$ . From that, following the arguments developed in Section 7.2, we can easily check that  $\text{end}_A(O)$  does not admit non-trivial idempotents, which proves that  $O$  is an indecomposable  $A$ -module.

## 8.5 Rotating fluid

Let us consider the ring  $A$  of PD operators with coefficients in the field  $\mathbb{Q}(\rho_0, \Omega_0)$ , i.e.,

```
> A := DefineOreAlgebra(diff=[dt,t],diff=[d1,x1],diff=[d2,x2],diff=[d3,x3],
> polynom=[t,x1,x2,x3],comm=[rho0,Omega0]);
```

and the matrix  $R \in A^{4 \times 4}$  defined by (85), i.e.,

```
> R := evalm([[rho0*dt,-2*rho0*Omega0,0,d1],[2*rho0*Omega0,rho0*dt,0,d2],
> [0,0,rho0*dt,d3],[d1,d2,d3,0]]);
```

$$R := \begin{bmatrix} \rho_0 dt & -2\rho_0 \Omega_0 & 0 & d1 \\ 2\rho_0 \Omega_0 & \rho_0 dt & 0 & d2 \\ 0 & 0 & \rho_0 dt & d3 \\ d1 & d2 & d3 & 0 \end{bmatrix}$$

which finitely presents the  $A$ -module  $M = A^{1 \times 4}/(A^{1 \times 4} R)$ . Let us characterize the  $A$ -module  $\text{end}_A(M)$ .

```
> E := MorphismsConstCoeff(R,R,A);
```

We obtain that the  $A$ -module  $\text{end}_A(M)$  is generated by  $f_i(\pi(\lambda)) = \pi(\lambda P_i)$ , where  $\pi : A^{1 \times 4} \rightarrow M$  is the canonical projection and the matrices  $P_i$  are defined by:

```
> P := E[1];
```



$$P := \left[ \begin{array}{cccc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{array} \right], \left[ \begin{array}{cccc} 0 & d3 & -d2 & 0 \\ -d3 & 0 & d1 & 0 \\ d2 & -d1 & 0 & 0 \\ 0 & 0 & 2\rho0\Omega0 & 0 \end{array} \right], \left[ \begin{array}{cccc} 0 & d2 & d3 & 0 \\ 0 & -d1 & 0 & 0 \\ 0 & 0 & -d1 & 0 \\ 0 & 0 & 0 & -d1 \end{array} \right],$$

$$\left[ \begin{array}{cccc} 0 & 2\rho0\Omega0 & 0 & -d1 \\ -2\rho0\Omega0 & 0 & 0 & -d2 \\ 0 & 0 & 0 & -d3 \\ 0 & 0 & 0 & \rho0 dt \end{array} \right], \left[ \begin{array}{cccc} 0 & -d2 d1 & -d1 d3 & 0 \\ 0 & d1^2 & 0 & 0 \\ 0 & 0 & d1^2 & 0 \\ -2\rho0\Omega0 d2 & 2\Omega0 d1 \rho0 & 0 & -d2^2 - d3^2 \end{array} \right]$$

The  $A$ -linear relations among the generators  $f_1, \dots, f_5$  of  $\text{end}_A(M)$  are defined by:

> L := E[2];

$$L := \left[ \begin{array}{ccccc} d1 & 1 & 0 & 0 & 0 \\ 2\rho0\Omega0 d3 & 0 & \rho0 dt & 0 & 0 \\ \rho0 dt & 0 & 0 & -1 & 0 \\ 0 & -d1 & 0 & 0 & -1 \\ 0 & \rho0 dt & 0 & d1 & 0 \\ 0 & 0 & 2\rho0\Omega0 d3 & -d2^2 - d3^2 & -\rho0 dt \end{array} \right]$$

We obtain  $\text{end}_A(M) \cong A^{1 \times 5} / (A^{1 \times 6} L)$ . From the  $A$ -linear relations among the generators of  $\text{end}_A(M)$ , we obtain  $f_2 = -d_1 f_1$ ,  $f_4 = \rho_0 dt f_1$ ,  $f_5 = -d_1 f_2 = d_1^2 f_1$ . Hence, the  $A$ -module  $\text{end}_A(M)$  can only be generated by  $f_1 = \text{id}_M$  and  $f_3$ . Let us compute the  $A$ -linear relations among  $f_1$  and  $f_3$  to obtain a smaller presentation matrix for the  $A$ -module  $\text{end}_A(M)$ .

> Lp := collect(RelationsMatrix(R,R,[E[1][1],E[1][3]],A),[rho0,dt]);

$$Lp := \left[ \begin{array}{cc} 2\rho0\Omega0 d3 & \rho0 dt \\ (d3^2 + d2^2 + d1^2) dt \rho0 & -2\rho0\Omega0 d3 \end{array} \right]$$

We get  $\text{end}_A(M) \cong A^{1 \times 2} / (A^{1 \times 2} L')$ , where  $L'$  is the above matrix and the two generators of  $\text{end}_A(M)$  are  $f_1$  and  $f_3$ . Note that the above fact can be obtained directly using the `ReducedModuleHom` command:

> GenFam := ReducedModuleHom(R,R,E,A);

$$\left[ \begin{array}{cccc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{array} \right], \left[ \begin{array}{cccc} 0 & d3 & -d2 & 0 \\ -d3 & 0 & d1 & 0 \\ d2 & -d1 & 0 & 0 \\ 0 & 0 & 2\rho0\Omega0 & 0 \end{array} \right]$$

> collect(RelationsMatrix(R,R,GenFam,A),[rho0,dt]);

$$\left[ \begin{array}{cc} -2\Omega0 d3 \rho0 & -\rho0 dt \\ (d1^2 + d2^2 + d3^2) dt \rho0 & -2\Omega0 d3 \rho0 \end{array} \right]$$

Finally, if we note  $B = \mathbb{Q}(\rho_0, \Omega_0, d_t)[d_1, d_2, d_3]$ ,

> B := DefineOreAlgebra(diff=[d1,x1],diff=[d2,x2],diff=[d3,x3],polynom=[x1,x2,x3],  
> comm=[dt,rho0,Omega0]):

then let us prove that the  $B \otimes_A \text{end}_A(M) \cong B^{1 \times 2} / (B^{1 \times 2} L')$  is a cyclic  $B$ -module generated by  $\text{id}_{B \otimes_A M}$ . If we consider the following vector which is the representative of  $\text{id}_{B \otimes_A M}$  in  $B \otimes_A \text{end}_A(M)$ .

```
> Lambda := evalm([[1,0]]);
```

$$\Lambda := \begin{bmatrix} 1 & 0 \end{bmatrix}$$

and define the matrix  $P = (\Lambda^T \ L'^T)^T$ , i.e.,

```
> P := stackmatrix(Lambda,Lp);
```

$$P := \begin{bmatrix} 1 & 0 \\ 2\rho_0\Omega_0 d\beta & \rho_0 dt \\ (d\beta^2 + d\alpha^2 + d1^2) dt \rho_0 & -2\rho_0\Omega_0 d\beta \end{bmatrix}$$

then we can check that  $P$  admits a left inverse  $S \in B^{2 \times 3}$  defined by

```
> S := LeftInverse(P,B);
```

$$S := \begin{bmatrix} 1 & 0 & 0 \\ -2\frac{\Omega_0 d\beta}{dt} & \frac{1}{\rho_0 dt} & 0 \end{bmatrix}$$

which proves that  $B \otimes_A \text{end}_A(M) \cong B^{1 \times 2} / (B^{1 \times 2} L')$  is a cyclic  $B$ -module generated by  $\text{id}_{B \otimes_A M}$ . Let us now compute the annihilator  $\text{ann}_B(\text{id}_{B \otimes_A M}) = \{b \in B \mid b \text{id}_{B \otimes_A M} = 0\}$  of  $\text{id}_{B \otimes_A M}$ . To do that, let us first factorize  $L'$  by  $P$ .

```
> F := Factorize(Lp,P,B);
```

$$F := \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

We get  $L' = FP$ . Now, let us compute  $\ker_A(.P)$ .

```
> P2 := collect(SyzygyModule(P,B),[rho0,dt]);
```

$$P2 := \left[ \left( (d\beta^2 + d\alpha^2 + d1^2) dt^2 + 4\Omega_0^2 d\beta^2 \right) \rho_0 \quad -2\Omega_0 d\beta \quad -dt \right]$$

We obtain  $\ker_A(.P) = \text{im}_A(.P_2)$ . If we define the matrix  $Q = (F^T \ P_2^T)^T$ , i.e.,

```
> Q := stackmatrix(F,P2);
```

$$Q := \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ \left( (d\beta^2 + d\alpha^2 + d1^2) dt^2 + 4\Omega_0^2 d\beta^2 \right) \rho_0 & -2\Omega_0 d\beta & -dt \end{bmatrix}$$

then we have  $B \otimes_A \text{end}_A(M) \cong B^{1 \times 3} / (B^{1 \times 3} Q) \cong B / (Bb)$ , where  $b := Q_{31} = -\frac{1}{\rho_0} \det(R)$  since we have:

```
> collect(-det(R),[rho0,dt]);
```

$$\left( (d\beta^2 + d\alpha^2 + d1^2) dt^2 + 4\Omega_0^2 d\beta^2 \right) \rho_0^2$$

This result can directly be obtained as follows:

```
> collect(RelationsMatrix(R,R,[E[1][1]],A),[rho0,dt]);
```

$$\left[ \left( (d\beta^2 + d\alpha^2 + d1^2) dt^2 + 4\Omega_0^2 d\beta^2 \right) \rho_0 \right]$$

Finally, following the arguments developed in Section 7.3, we can prove that  $M$  is an indecomposable  $A$ -module.

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