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A Typed Store-Passing Translation for General References

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Abstract
We present a store-passing translation of System $F$ with general references into an extension of System $F_{ω}$ with certain well-behaved recursive kinds. This seems to be the first type-preserving store-passing translation for general references. It can be viewed as a purely syntactic account of a possible worlds model.

Categories and Subject Descriptors D.3.3 [Programming Languages]: Language Constructs and Features—Dynamic storage management; F.3.3 [Logics and Meanings of Programs]: Studies of Program Constructs—Type structure

General Terms Languages, Theory

1. Introduction

Motivation Building a semantic model of a programming language amounts to translating it into some mathematical meta-language. An important constraint in the design of such an interpretation is that it must explain (that is, translate away) computational effects such as non-termination and state, which do not exist in mathematics. Non-termination is often handled using the tools of domain theory, which was created for this purpose. State is usually dealt with in the style of Strachey [32] by making the store explicit and interpreting commands as functions that map stores to stores.

Another important consideration is that the model should exploit the type discipline of the programming language. This enables it to serve as a tool in establishing type soundness and in proving typed contextual equivalence laws.

As a result of these considerations, building a semantic model of a rich programming language can be a challenging task. It is common wisdom among compiler writers that when one is faced with a complex translation task, one should decompose it into a succession of independent phases, connected via suitably chosen intermediate languages.

In this paper, we study one instance where this wisdom might be applicable in the construction of a semantic model. We focus on a particular programming language, namely a version of System $F$ equipped with general references, and on a particular aspect of its semantics, namely the store-passing transformation, whose purpose is to explain (translate away) references. We isolate this transformation, just as if it were a phase in a compiler, and present it as a translation of our typed, imperative source language into a typed, purely functional intermediate language.

In so doing, we move the frontier between syntax and semantics. We suggest that a model of the imperative source language might be obtained through the composition of the store-passing transformation with a model of the purely functional intermediate language. This makes the construction of the model more modular, and may help explain it to researchers who are familiar with syntactic techniques. This also extends the family of known type-preserving transformations: to the best of our knowledge, until now, it was an open question how to define a type-preserving store-passing translation for general references.

Which intermediate language? In this endeavor, an important part of the difficulty is to find out what the typed intermediate language should be, and to keep it minimal. It would be nice if it could be a well-studied calculus, such as System $F$ or $F_{ω}$. However, these calculi are strongly normalizing, whereas our source language is not: general references allow recursion through the store. Thus, one more ingredient is needed. In order to find out what this ingredient should be, let us first review some of the semantic models of general references.

Possible worlds models A reference is a dynamically-allocated memory cell, whose value can be read and modified at any time. We consider general references, which means that a reference can hold a value of any type, including a function, or the address of some other reference. Furthermore, we are interested in weak references. That is, we are interested in a type discipline whereby updates are type-invariant, de-allocation is forbidden, and aliasing is permitted.

The presence of references precludes a naïve interpretation of types as sets of values. A sentence such as: “the address 100 is an integer reference” does not make sense on its own. It implicitly relies on the assumption that the address 100 is currently allocated, that some integer value is currently stored there, and on the knowledge that these facts will continue to hold in the future.

To reflect this, several semantic models of weak references in the literature follow the “possible worlds” approach [2, 10, 16, 34]. There, the interpretation of a type is parameterized over a world, which represents the current state of the store. Worlds are equipped with a partial ordering: $w_1 ≤ w_2$ means that $w_2$ is a possible future world of $w_1$, that is, every address that is allocated in $w_1$ is also allocated in $w_2$, with the same type. Bounded universal quantification over worlds is used to express the idea that a value that is valid now is also valid in every possible future world. Bounded existential quantification is used to express the idea that a command has the effect of transforming the current world into some possible future world.

At the heart of these possible worlds models of general references is a circularity. As stated above, semantic types are open-ended: they are parameterized over a world. However, worlds too must be open-ended: they must describe the store now and in every possible future. One way of achieving this is to define a world as a map of memory addresses to semantic types. This makes the definitions of worlds and semantic types mutually recursive,
A calculus with recursive kinds  In this paper, we are not interested in solving or approximating recursive domain equations. Our purpose is to argue that a certain syntactic program transformation (namely, a store-passing translation) produces well-typed terms. Drawing inspiration from the possible worlds models, we wish to parameterize and to quantify types over worlds. Thus, we need worlds to be types (of a particular kind). That is, world should be a kind. Because we need world \( \equiv \text{world} \rightarrow \ldots \) , we should look for an intermediate calculus that supports recursive kinds. This is the key ingredient that was alluded to above.

Should we propose an extension of \( F \) with arbitrary recursive kinds? Such a system would have Turing-complete computation at the type level. That sounds rather wild. Do we need non-terminating computation at the type level? Yes. We do wish to allow certain forms of non-terminating computation at the type level, because we find it natural to view a recursive type as a \( \lambda \)-term whose infinite reduction produces, in the limit, an infinite tree. This is a form of non-terminating but productive computation.

Is there a way of ensuring that every type-level computation is productive in such a sense? Yes. Nakano's system [21, 22], in fact, presents a type system that controls recursion so as to guarantee productive computation. This system has recursive kinds but requires every cycle in the type structure to cross a “later” modality, written \( \bullet \). We re-use this system off-the-shelf, at the kind level; therefore, Nakano's terms and types become our types and kinds.

By adopting Nakano's system, we rule out certain recursive kinds. For instance, the recursive equation \( \text{world} = \text{world} \rightarrow \ldots \) is in fact invalid, because it does not involve the modality. Fortunately, the modified equation \( \text{world} = \bullet \text{world} \rightarrow \ldots \) is permitted, and still fits our purposes. This equation states that a world is a contractive function: it is able to produce some output independently of its argument. This intuitively seems to correspond to the fact that if one attempts to describe the shape of the store, one will be able to provide a non-empty prefix of a description before one hits a self-reference to the shape of the store. For instance, one might say: “the store currently contains one cell, which contains one function, whose argument must be a store that is a possible future of the current store…”

The ultra-metric-space techniques of Birkedal et al. [9, 10] and of Schwinghammer et al. [30] served as inspiration for the present work, so it is no surprise that there is a close analogy between these works and ours. Their \( \frac{1}{2} \) scaling factor becomes the \( \bullet \) modality. Their construction of world composition as the fixed point of a contractive map [30, Lemma 13] becomes a recursive definition that happens to be permitted in Nakano's system. The fact that world composition is associative [30, Lemma 14] becomes an assertion about the equality of two Böhm trees and can be automatically checked (\S 4.4).

In recent work, spurred in part by an earlier version of the present paper, Birkedal et al. [8] construct a metric model of a version of Nakano's system. This gives firm footing to the correspondence between \( \bullet \) and \( \frac{1}{2} \). Birkedal et al. note that their work provides the basis for a semantic model of the typed calculus that is presented here. Whether and how this model can be exploited in useful ways remains to be determined.

Contributions  The contributions of this paper are: (i) the design of \( \text{FORK} \), an extension of system \( F \) Omega with well-behaved Recursive Kinds; (ii) a type-preserving encoding of general references into this calculus.

Paper outline  We first recall Nakano's system (\S 2), and build upon it in the definition of \( \text{FORK} \) (\S 3). Then, we explain how a version of System \( F \) equipped with general references can be encoded into \( \text{FORK} \). We prove that the encoding is type-preserving and semantics-preserving (\S 4). A prototype implementation of a \( \text{FORK} \) type-checker, the complete source code for the encoding of references, as well as the machine-checked proof of semantic preservation, are available online [28]. Some proofs are omitted and can be found in the extended version of this paper [29].

2. Nakano's system  We now recall the definition and properties of Nakano's system [21, 22]. Our version of the system is close to Nakano's S-\( \lambda \)-\( \mu \). It is slightly simplified in that it is restricted to finite types (without distinction between positively and negatively finite types) and (as a result) it does not have a \( \top \) type. Despite this difference, we refer to it as “Nakano's system”.

There are two levels in Nakano's system, which are usually referred to as “types” and “terms”. Nakano's “types” and “terms” are the kinds and types of \( \text{FORK} \), respectively, so this is how we refer to them.

Kinds  The kinds are co-inductively defined as follows:

\[
\kappa := \bullet \mid \kappa \rightarrow \kappa \mid \bullet \kappa
\]

A kind \( \kappa \) is a possibly infinite tree. In the prototype implementation [28], kinds are finitely represented via a set of mutually recursive defining equations. (Thus, only regular kinds can be defined.) A kind \( \kappa \) is well-formed iff every infinite path through \( \kappa \) crosses a \( \bullet \) constructor infinitely often. A kind is finite iff every infinite path through \( \kappa \) enters the domain of an arrow infinitely often. We restrict our attention to kinds that are well-formed and finite. (The finiteness condition is used in the proof of Lemma 2.4, where it serves to rule out types that produce an infinite stream of \( \lambda \)s, and therefore do not have a head normal form.) In the implementation, this requirement is enforced by checking that every occurrence of a kind name in the right-hand side of its defining equation(s) lies under a \( \bullet \) constructor and in the domain of an arrow.

\footnote{More precisely, we prove that the encoding preserves convergence, and sketch how one might prove that it also preserves divergence.}
Subkinding  Kinds come with a subkind relation \( \leq \). We omit its definition, which is somewhat technical (the reader is referred to [27]) and is irrelevant as long as the following properties hold. The subkind relation is reflexive, transitive, and satisfies the laws in Figure 1, where \( \subseteq \) means that the relation holds in both directions. The subkind relation satisfies the following inversion lemma:

Lemma 2.1  If \( k_1 \rightarrow k_2 \leq k_1' \rightarrow k_2' \) holds, then, for some \( n \in \mathbb{N} \), both \( k_1' \leq k_1 \) and \( k_2' \leq k_2 \) hold.

(We write \( ^*k \) for \( n \) applications of \( \bullet \) to \( k \).) When kinds are finitely represented as a set of mutually recursive defining equations, it is decidable whether two kinds \( k_1 \) and \( k_2 \) are in the subkind relation. In fact, it is possible to decide whether there exists \( n \in \mathbb{N} \) such that \( k_1' \leq k_1 \) and to compute the least such \( n \) when one exists [27]. The prototype implementation [28] takes advantage of this fact to perform bottom-up kind synthesis.

Types  Types are pure \( \lambda \)-terms:

\[
\tau ::= \alpha \mid \lambda \alpha \cdot \tau \mid \tau \tau
\]

We write \( \tau_1 \rightarrow \tau_2 \) when \( \tau_1 \) \( \beta \)-reduces to \( \tau_2 \). Reduction is permitted under arbitrary contexts.

A kind environment \( K \) is a sequence of bindings of the form \( \alpha : \kappa \). The judgement \( K \vdash \tau : \kappa \) means that, within such an environment \( K \), the type \( \tau \) has kind \( \kappa \). The rules that define it (Figure 2) are standard.

Recursion  The judgement \( \vdash Y : (\bullet k \rightarrow k) \rightarrow k \), where \( Y \) is Curry’s fixed point combinator \( \lambda f.((\lambda x.f(x x)))(\lambda x.f(x x)) \), is derivable. (The variable \( x \) receives the recursive kind \( k' = k(k' \rightarrow k) \).) We introduce the notation \( \mu \alpha \cdot \tau \) as syntactic sugar for \( Y(\lambda \alpha \cdot \tau) \). This gives rise to the derived reduction rule:

\[
\mu \alpha \cdot \tau \rightarrow [\alpha \rightarrow \mu \alpha \cdot \tau] \tau
\]

and to the derived kind assignment rule:

\[
K; \alpha : \bullet k \vdash \tau : \kappa \\
K \vdash \mu \alpha \cdot \tau : \kappa
\]

The prototype implementation [28] has built-in support for recursive type definitions, based on the above rules. In fact, it supports mutually recursive type definitions.

Properties  The system enjoys the following degradation and subject reduction properties.

Lemma 2.2  \( K \vdash \tau : \kappa \) implies \( \bullet K \vdash \bullet \kappa \).

Lemma 2.3  \( K \vdash \tau_1 : \kappa \) and \( \tau_1 \rightarrow \tau_2 \) imply \( K \vdash \tau_2 : \kappa \).

A type of the form \( \lambda \alpha_1 \ldots \alpha_n \cdot \alpha \cdot \tau_1 \ldots \tau_n \) is a head normal form. Types are solvable: they admit head normal forms [21, 22, 27].

Lemma 2.4  If \( K \vdash \tau : \kappa \) then \( \tau \) has a head normal form.

It is worth noting that this holds under any environment \( K \), that is, in the presence of type variables of arbitrary kind. Together, Lemmas 2.3 and 2.4 imply that every type admits a maximal Böhm tree, that is, one that does not contain any occurrence of \( \perp \) (the undefined Böhm tree). These two lemmas have been checked by the author using the Coq proof assistant [27].

Type equality  We take type equality, a relation between types, to be Böhm tree equivalence up to \( \eta \) [7, §10.2.25] [15]. Several alternative characterizations of this relation are known. It is the greatest consistent \( \lambda \)-theory. It coincides with the equational theory of Scott’s \( D_{\text{eq}} \) model. It is the greatest compatible semi-sensible relation, that is, it coincides with the observational congruence obtained by observing solvability.

We write \( \tau_1 \equiv \tau_2 \) when \( \tau_1 \) and \( \tau_2 \) are in the type equality relation. In this paper, this relation is used only when both \( K \vdash \tau_1 \equiv \kappa \) and \( K \vdash \tau_2 : \kappa \) hold for some environment \( K \) and kind \( \kappa \). This has the following beneficial consequence:

Lemma 2.5  The relation \( \equiv \) restricted to well-kinded types, is semi-decidable.

Proof.  We outline a simple semi-algorithm. This semi-algorithm maintains a conjunction \( G \) of goals, where a goal is an equation \( \tau_1 \equiv \tau_2 \) between two head normal forms. The free variables of a goal are implicitly viewed as universally quantified.

A goal \( g \) can be decomposed into a conjunction of sub-goals, written \( [g] \), as per the following equations. A case applies only if no prior case applies. We write \( \tau \downarrow \) for the principal head normal form of \( \tau \).

\[
\begin{align*}
\lambda \alpha_1 \cdot \tau_1 & \equiv \lambda \alpha_2 \cdot \tau_2 \\
\lambda \alpha \cdot \tau_1 & \equiv \lambda \alpha \cdot \tau_2 \\
\alpha & \equiv \alpha \\
\tau_1 & \equiv \tau_2 \\
\tau_1 & \equiv \tau_2^n \\
\tau_1 & \equiv \tau_2^\perp
\end{align*}
\]

We write \( (G) \) for the conjunction of sub-goals obtained by applying \( \downarrow \) to every goal in \( G \).

Consider the problem of deciding whether \( \tau_1 \equiv \tau_2 \) holds. Enumerate the potentially infinite sequence defined by \( G_0 = (\tau_1 \equiv \tau_2) \) and \( G_{k+1} = (G_k) \). If some \( G_k \) is found to be empty, report “yes”. If some \( G_k \) is found to be false, report “no”.

If \( \tau_1 \neq \tau_2 \) holds, then this semi-algorithm reports “no”. If \( \tau_1 \equiv \tau_2 \) holds and \( \tau_1 \) and \( \tau_2 \) have a finite Böhm tree, then it reports “yes”. If \( \tau_1 \equiv \tau_2 \) holds and \( \tau_1 \) and \( \tau_2 \) have an infinite Böhm tree, then the semi-algorithm diverges.

In the prototype implementation [28], this semi-algorithm is improved in two ways.

First, \( G_{k+1} \) is defined as \( G_k \setminus \bigcup_{\tau_j \leq \tau_k} G_j \). That is, any goal that has already appeared during an earlier step is considered invalid.

Second, an equation of the form \( \tau_1 \equiv \tau_2 \) is not a head normal form, is heuristically decomposed into \( \tau_1 \equiv \tau_2 \land \tau_1 \equiv \tau_2^n \). (This is done in addition to the default behavior, which is to reduce both sides to head normal form before decomposing them.) This can have the effect of replacing a difficult goal, which would lead the semi-algorithm into a sequence of ever-growing goals, with a conjunction of simpler goals that the semi-algorithm is able to prove.

The semi-algorithm thus improved remains sound. Indeed, when it succeeds, the set of goals that have been examined forms an \( \text{hnf bisimulation up to } \eta \) and up to context, as studied by Lassen [15], which implies that these goals are valid. The semi-algorithm remains incomplete, but is strong enough to prove all of the equations required by the encoding presented in §4.

Why do we require types to be well-kind? If we were to remove this condition, every type would be permitted. Still, the fact that \( \equiv \) is a consistent \( \lambda \)-theory would be sufficient to prove that \( \text{FORK} \) is type-safe (§3). However, type equality would then become undecidable, and (as a result) type-checking would become undecidable as well. This would make it pragmatically more difficult to build well-typed \( \text{FORK} \) terms, as we do in §4. If we do insist that types are well-kind, on the other hand, then every type is soluble and (as a result) type equality and type-checking are semi-decidable, a pragmatically valuable property.

Another potential reason why kinds should be well-formed and types should be well-kind is that these conditions make it possible to interpret kinds in a category of ultrametric spaces and to interpret types as inhabitants of kinds, in the style of Birkedal et al. [8].
Kinds and types

Kinds and types (Figure 3) are as presented previously ([2]), except a number of type constants are introduced, as is standard in F
. These constants are assigned kinds by the axioms in Figure 4.

Type assignment

The syntax of terms t and type environments Γ is standard (Figure 3). A type environment Γ can play the role of a kind environment K
. The type assignment judgement Γ ⊢ t : τ is defined in Figure 5. The typing rules are identical to their F
. counterparts up to a few details, which we now discuss.

The type conversion rule (CONVERSION) relies on the notion of type equality that was defined earlier ([2]).

The types that classify values have kind O
. This is reflected in the following definition and lemma:

Definition 3.1 The empty type environment is well-formed. The type environment Γ : α : κ is well-formed if κ is well-formed and α # Γ. The type environment Γ : x : τ is well-formed if Γ is well-formed and Γ ⊢ τ : O
.

Lemma 3.2 If Γ is well-formed, then Γ ⊢ t : τ implies Γ ⊢ τ : O
.

In the following, we restrict our attention to well-formed type environments. The type application rule (⇒-ELIM) states that a universally quantified variable of kind κ can be instantiated with a type of kind O
. The rule ≥-INTRO is similarly relaxed. This makes sense thanks to the following type substitution lemma, which is later exploited in the proof of subject reduction:
\[\begin{align*}
C & ::= \lambda x. e \mid [e] \mid [e] \mid [\lambda x. e] \mid [\pi_1] \mid [\pi_2] \\
S & ::= [\pi_1] \mid [S \text{bind } [\pi_1]]
\end{align*}\]

\[\begin{align*}
(\lambda x. e_1) e_2 & \rightarrow [x \mapsto e_2] e_1 \\
\pi_1 (e_1, e_2) & \rightarrow e_1 \\
C[e] & \rightarrow C[e'] \\
\text{if } e \rightarrow e' \\
(s, S, e_1) & \rightarrow (s, S, e_2) \\
\text{if } e_1 \rightarrow e_2 \\
(s, S, \text{bind } (e_1, e_2)) & \rightarrow (s, S[\text{bind } ([\pi_1], [\pi_2]), e_1]) \\
(s, S, \text{new } e_1) & \rightarrow (s, S, e_2) \\
\text{if } e_1 \rightarrow e_2 \\
(s, S, \text{return } e) & \rightarrow (s, \ell \mapsto e), S, \text{return } \ell \\
\text{where } \ell = |S| \\
(s, S, \text{read } \ell) & \rightarrow (s, S, \ell(s)) \\
\text{if } \ell < |S| \\
(s, S, \text{write } (\ell, e)) & \rightarrow (s, \ell \mapsto e), S, \text{return } () \\
\text{if } \ell < |S|
\end{align*}\]

\[\begin{align*}
F \text{-VAR} & \quad E \vdash e : T; E_2 \vdash x : T \\
& \quad E \vdash \lambda x. e : T_1 \rightarrow T_2 \\
F \text{-APP} & \quad E \vdash e_1 : T_1 \rightarrow T_2 \quad E \vdash e_2 : T_1 \\
& \quad E \vdash e_1 \ e_2 : T_2 \\
F \text{-ABS} & \quad E \vdash \lambda x. e : T_1 \rightarrow T_2 \\
& \quad E \vdash : \alpha. x, e : \forall \alpha. T \\
F \text{-INTRO} & \quad E \vdash e : \forall \alpha. T_1 \\
& \quad E \vdash e \ e_2 : \forall \alpha. T_2[T_1] \\
F \text{-ELIM} & \quad E \vdash : \forall \alpha. \forall \beta. (M \alpha, \alpha \rightarrow M \beta) \rightarrow M \beta \\
& \quad E \vdash e : (M \alpha) \\
F \text{-NEW} & \quad E \vdash \text{new } : \forall \alpha. \forall \beta. (M \alpha, \alpha \rightarrow M \beta) \rightarrow M \beta \\
& \quad E \vdash \text{new } : \forall \alpha. \beta. (M \alpha, \alpha \rightarrow M \beta) \rightarrow M \beta \\
F \text{-WRAP} & \quad E \vdash e : \forall \alpha. \beta. (M \alpha, \alpha \rightarrow M \beta) \rightarrow M \beta \\
& \quad E \vdash e : \forall \alpha. \beta. (M \alpha, \alpha \rightarrow M \beta) \rightarrow M \beta
\end{align*}\]

\[\begin{align*}
\text{Lemma 3.3} \quad & \text{Let } \Gamma_1 ; \alpha \rightarrow \tau_1; \Gamma_2 \text{ is well-formed, then } \Gamma_1; \alpha \rightarrow \tau_1 \Gamma_2 \text{ is well-formed. Furthermore, } \Gamma_1; \alpha \rightarrow \tau_1; \Gamma_2 \vdash \tau_2 \text{ implies } \Gamma_1; \alpha \rightarrow \tau_1 \rightarrow \tau_2; \Gamma_2. \\
\text{Type soundness} \quad & \text{Fork is equipped with a standard reduction semantics (Figure 6). Reduction is permitted under an arbitrary context. Values are defined as follows:} \\
\quad & v ::= \lambda x. t \mid () \mid (v, v) \mid \Lambda \alpha. v \mid \text{pack } \tau, v \text{ as } \tau
\end{align*}\]

\[\begin{align*}
\text{Lemma 3.4 (Subject reduction)} & \quad \Gamma \vdash t_1 : \tau \text{ and } t_1 \rightarrow t_2 \text{ imply } \Gamma \vdash t_2 : \tau. \\
\text{Definition 3.5} & \quad \text{A term } t \text{ is well-typed iff } \Gamma \vdash t : \tau \text{ holds, where } \Gamma \text{ binds only type variables (no term variables).} \\
\text{Lemma 3.6 (Progress)} & \quad \text{A well-typed term either reduces or is a value.} \\
\text{Theorem 3.7 (Type soundness)} & \quad \text{A well-typed term either diverges or reduces (in zero or more steps) to a value.}
\end{align*}\]

\section{Encoding general references into Fork}
\subsection{The source calculus}

The source language of the encoding is a monadic presentation of System F with general references. It is due to Peyton Jones and Waldner [25, §5.3].

The terms are the standard terms of System F, extended with the monadic constants return and bind; with the constants new, read, and write for allocating, reading and writing references; and with memory locations \(\ell\), which we take to be natural numbers.

\[\begin{align*}
e & ::= x \mid \lambda x. e \mid e \ e \mid \Lambda \alpha. e \mid e \ T \mid () \mid (e, e) \mid \pi_1, e \mid \text{return} \mid \text{bind} \mid \text{new} \mid \text{read} \mid \text{write} \mid \ell
\end{align*}\]

\section{System F: untyped reduction semantics}

\section{System F: typing rules}

The types are:

\[\begin{align*}
T & ::= \alpha \mid () \mid T \rightarrow T \mid (T, T) \mid \forall \alpha. T \mid M T \mid \text{ref } T
\end{align*}\]

where \(M\) is the monad. The definition of the typing judgement \(E \vdash e : T\) appears in Figure 8. This definition concerns programs whose execution has not begun and (hence) that do not contain memory locations. A definition of the encoding of locations is needed only as part of the semantics preservation argument (§4.10).

The operational semantics is defined for type-erased terms, that is, terms where the type abstraction and type application constructs have been erased. The semantics, which appears in Figure 7, is organized in two layers, following Moggi and Sabry [19]. First, simplification of terms is defined: it is the compatible closure of \(\beta\)-reduction. Then, reduction of configurations is defined, where a configuration is a tuple of a store \(s\), an evaluation stack \(S\), and a term \(e\), which represents a computation (that is, it presumably has a type of the form \(M T\)). Because memory locations are natural numbers, a store is just a list of terms. We write \(\text{nil}\) for the empty store. We write \(|S|\) for the length of the store \(s\). We write \(s(\ell \mapsto e)\) for the term found in the store \(s\) at location \(\ell\). We write \(s(\ell \mapsto e)\) for the extension or update of the store \(s\) at location \(\ell\) with the term \(e\) as value at that location.

An evaluation stack is just a list of suspended applications of \(\text{bind}\).

In the following, we present an encoding of this calculus into Fork. We begin with a series of definitions of Fork kinds, types, and terms (§4.2–§4.6), whose well-formedness has been machine-checked by the prototype implementation of Fork [28]. Then, we define the encoding (§4.7 and §4.8), a type-directed transformation of the source calculus into Fork. Finally, we prove that the encoding is type-preserving (§4.9) and semantics-preserving (§4.10).

\subsection{Fragments}

In order to type-check the store, which is basically a sequence of values, we need sequences of base types, where a base type is a type of kind \(*\). Because the store grows with time, we often use
such a sequence to describe only part of the store, and concatenate multiple such sequences to obtain a description of the complete store. For this reason, we refer to such a sequence as a fragment.

Because we intend to parameterize types over fragments, to quantify over fragments, etc., fragments must be types (of a suitable kind), and the basic operations over fragments must be type operators.

This is done as follows (Figure 9). The kind fragment is $\star \rightarrow \star$. The empty fragment nil is the identity function. Fragment concatenation $\emptyset$ is function composition. It is easy to check, with respect to type equality $\equiv$, that nil is a left unit and right unit for $\emptyset$, and that $\emptyset$ is associative. The extension of a fragment $f$ with a base type data, written $\text{snoc } f \text{ data}$ or more pleasantly $f \text{ 'snoc' data}$, is $\lambda t . f \text{ tail } f \text{ data}$. The type (data, tail) is the application of the “product” type constructor $\times$ (Figure 4) to the base types data and tail.

4.3 Arrays

We wish to represent the store as an array. Thus, FORK must support arrays. We need heterogeneous arrays: it must be permitted for different array elements to have different types. We need safe arrays: out-of-bound array accesses must be statically forbidden. (As Levy puts it, it “is unnatural [...] to specify what happens when we read a non-existent cell, something that can never occur in reality” [16].) We need extensible arrays: there must be a way of creating a new array by appending a new cell at the end of an existing array, and any valid index into the earlier array must remain a valid index into the new array.

The signature in Figure 10 fulfills these requirements. It introduces a couple of abstract type constructors for arrays and indices, as well as a number of operations over arrays.

The type $\text{array } f$ describes a heterogeneous array whose elements are described by the fragment $f$. The type $\text{index } f \text{ data}$ represents the address of a cell of base type data within an array of type array $f$. The type operators $\text{array}$ and $\text{index}$ are contractive: as far as the user of the array abstraction is concerned, they can be thought of as type constructors.
universal quantification over future worlds. This operator is known
hereditary in every future world. This is expressed by defining a

\[ \forall x. \forall y. a (x \cdot 'o' y) \]

In this encoding, the associativity of world composition expresses
the transitivity of the world ordering. A hereditary value is valid in
every future world, hence is hereditary in every future world. This
is expressed by defining a coercion forward of type \( \forall a. x \cdot \forall y. a (x \cdot 'o' y) \).

The encoding of unit, pairs, and quantifiers is straightforward:
the world parameter \( x \) is just passed down. The encoding of arrows
states that functions require a hereditary argument and produce a
hereditary result. This is expressed by the type \( \text{box} \; a \; x \rightarrow \; \text{box} \; b \; x \).

**Remark** The reader might have expected instead the type \( \text{box} \; (\lambda x. \; a \rightarrow \; b) \; x \), which guarantees that the function itself is hereditary.

This type is more general than \( \text{box} \; a \; x \rightarrow \; \text{box} \; b \; x \).

However, this choice would cause a difficulty in the construction of
certain functions, such as \text{new} (Figure 15). There, we must be
able to argue that the argument \( v \) is hereditary, because this value
will be written into the store, and the store holds hereditary values.
If \( v \) has type \( \text{box} \; a \; x \), the argument is trivial, whereas if it has type
\( a \; x \), it is not clear that \( v \) is hereditary.

Our current choice, on the other hand, does not seem to cause
any difficulty. It leads to a style where every variable in the type en-
vironment has a boxed type (see Definition 4.2) and every expres-
sion has a boxed type (see the statement of Theorem 4.3). In short,
the fact that the encoding \( [T] \) of a type \( T \) is not always hereditary
does not hurt us: we use explicit boxes where needed.

Another route would be to set things up so that the encoding \( [T] \)
of every type \( T \) is a hereditary semantic type. One would then build
this information into the translation via coercions: wherever one
abstracts over a semantic type variable \( a \), one would also abstract
over a coercion of type \( \forall a. a \; x \rightarrow \; \text{box} \; a \; x \). It seems plausible that
this would succeed; it could be investigated as part of future work.

The encoding of the monad is standard [16]. A computation
requires a store in world \( x \), and produces a pair of (hereditary)
result and a new store in some future world \( x \cdot 'o' \; y \). We use the
abbreviation \( \text{outcome} \; a \; x \) for the type of such a pair.

A store in world \( w \) contains values that are valid in world \( w \)
and in future worlds. That is, a store is an array of hereditary values.
This is expressed by defining \( \text{store} \; w \) as \( \forall x. \; \text{array} \; (w \; x) \). In other
words, a store is of course of fixed width, but is polymorphic in
depth.

A reference of type \( \text{ref} \; a \) in world \( x \) is, roughly speaking, an
index that allows reading or writing data of type \( a \; x \) within an
array of type \( \text{store} \; x \). More precisely, universal quantification over
a world extension \( y \) is again used to guarantee that references are
hereditary. By direct appeal to \text{index_monotonic}, it is possible to
define a coercion \( \text{box_ref} \) of type \( \forall a. \; \text{ref} \; a \; x \rightarrow \; \text{box} \; (\text{ref} \; a) \; x \).

We have reviewed the encoding of every type constructor of
the source language. Thus, any source-level type \( T \) is translated
to a semantic type \( [T] \). (The inductive definition of this translation
cannot be expressed within FORK.)

**Definition 4.1** The encoding \( [T] \) of a type \( T \) is defined as follows:

\[
\begin{align*}
[\alpha] & = \alpha \\
[\land] & = \text{unit} \\
[T_1 \rightarrow T_2] & = \text{arrow} \; [T_1] \; [T_2] \\
[\text{pair} (T_1, T_2)] & = \text{pair} \; [T_1] \; [T_2] \\
[\forall a. T] & = \text{univ} \; (\lambda a. \; [T]) \\
M \; T & = \text{monad} \; (\lambda x. \; [T]) \\
[\text{ref} \; T] & = \text{ref} \; [T]
\end{align*}
\]

where the combinators that appear in the right-hand sides of these
equations are defined in Figure 12.

4.6 Memory allocation

When a new reference is allocated, the width of the array that
represents the store is increased by one. If the allocation takes place
in world \( x \), and if the newly created reference is initialized with a
value \( v \) of type \( \text{box} \; a \; x \), what is the new world after allocation?

This new world must be the composition of \( x \) and of a world of
width one, which we refer to as a \text{cell}. That is, the new world must
be \( x \cdot 'o' \; \text{cell} \; a \; x \), for an appropriate definition of \text{cell}, an operator
that maps \( a \) and \( x \) to a world.
type cell : stype → · world → world =
\( \lambda x y. tail. (a (x 'o' cell a x 'o' y), tail) \)

term store_extend:
\( \forall x a. store x \rightarrow box a x \rightarrow store (x 'o' cell a x) \)

term store_end_index:
\( \forall x a. store x \rightarrow ref a (x 'o' cell a x) \)

Figure 13. Memory allocation

The definition of cell appears in Figure 13. As above, the parameter \( x \) represents the world before allocation, or a past world. The parameter \( y \) represents a depth extension, or a future world, while tail represents a width extension. The type cell a x y tail, a type of kind \( * \), is a product of the types a (\( \ldots \) ) and tail. (This is consistent with our definition of fragment extension, snoc, in Figure 9.) The semantic type \( a \) is applied to the composite world \( x 'o' (cell a x) \) 'o' \( y \), reflecting the manner in which the final world is obtained: starting in world \( x \), first a memory cell is allocated, then the world is extended with \( y \). Thus, the definition of cell is recursive.

It is worth noting that a value \( v \) of type box a x also has every type of the form \( a (\ldots) \) cell a x y', simply because the latter is a polymorphic instance of the former. Thus, the value that is used to initialize the cell is indeed a suitable value for the cell in every future world.

How do we ascertain that this definition of cell is right? The proof is in the fact that the terms store_extend and store_end_index, which respectively construct the new store and the address of the new reference, have the types shown in Figure 13. The definitions of these terms [28] are a couple lines each. Up to a number of suitable type abstractions and applications, store_extend is just array_extend, while store_end_index is just array_end_index.

4.7 Encoding the monadic constants

All of the infrastructure is now in place. We are in a position to encode the constants return, bind, new, read, and write. To do so, we must define five terms that admit the types shown in Figure 14 and that adequately implement the store-passing machinery.

We present and explain only the definitions of the term new (Figure 15). The definitions of return, bind, read, and write are omitted and can be found online [28]. We believe that the definition of new is representative, so that the reader who has studied it could (if he or she so wished!) reconstruct the other definitions as an exercise.

The structure of the definition of new is in large part imposed by its type. By definition of box, univ, arrow, and monad (Figure 12), the desired type for new:

\[ box (univ (\lambda a. a 'arrow' monad a)) nil \]

is equal to:

\[ \forall x. \forall a. box a x \rightarrow \forall y. store (x 'o' y) \rightarrow outcome (ref a) (x 'o' y) \]

Thus, the definition of new begins with abstractions over a world \( x \), a semantic type \( a \), a value \( v \) of type box a x, a world \( y \), and a store \( s \) of type store xy. The type xy is defined on the fly as a local abbreviation for \( x 'o' y \).

It might seem strange that we must abstract over two successive world extensions, \( x \) and \( y \). This is required by the monadic structure of the source language: the world \( x \) corresponds to the point in time where ref is applied to a value \( v \), while the world \( x 'o' y \) corresponds to the point in time where the computation ref v is run.

After accepting these parameters, new must produce a result of type outcome (ref a) xy. By definition of outcome (Figure 12), this is equal to:

\[ \exists z. (box (ref a) (xy 'o' z), store (xy 'o' z)) \]

This type is existentially quantified over a world extension \( z \), which represents the new storage allocated by the computation. Here, this new storage is a single cell, which holds a value of semantic type \( a \).

How do we ascertain that this definition of new is right? The proof is in the fact that the terms store_extend and store_end_index, which respectively construct the new store and the address of the new reference, have the types shown in Figure 13. The definitions of these terms [28] are a couple lines each. Up to a number of suitable type abstractions and applications, store_extend is just array_extend, while store_end_index is just array_end_index.

Figure 14. Encoding the monadic constants: declarations

\begin{align*}
\text{term new :} & \quad box (univ (\lambda a. a 'arrow' monad a)) nil \\
\text{term bind :} & \quad box (univ (\lambda a. univ (xb, (monad a 'pair' (a 'arrow' monad b)) 'arrow' monad b))) nil \\
\text{term new :} & \quad box (univ (\lambda a. a 'arrow' monad (ref a))) nil \\
\text{term read :} & \quad box (univ (\lambda a. ref a 'arrow' monad a)) nil \\
\text{term write :} & \quad box (univ (\lambda a. (ref a 'pair' a) 'arrow' monad unit))) nil \\
\end{align*}

Figure 15. Encoding the monadic constants: definition of new

This type is existentially quantified over a world extension \( z \), which represents the new storage allocated by the computation. Here, this new storage is a single cell, which holds a value of semantic type \( a \).

So, according to our earlier discussion (§4.6), the concrete witness for \( z \) should be cell a xy. Thus, c is defined as a local abbreviation for cell a xy, and the construct pack c, ... as outcome (ref a) xy is used in order to build an existential package.

Inside this package should be a pair of a newly allocated memory location, at type box (ref a) (xy 'o' c), and an extended store, at type store (xy 'o' c).

The next available memory location is obtained by applying store_end_index (Figure 13) to suitable type arguments and to the current store s. This application has type ref a (xy 'o' c). This is what was required, except a leading box is missing; we must justify that this memory location is valid not only now, but also in the future. This is achieved via an application of the coercion box_ref, which was mentioned earlier (§4.5).

It seems that the new store should be obtained by applying store_extend (Figure 13) to the current store s and to the initial value \( v \) of the newly allocated cell. However, there is a slight difficulty: \( s \) and \( v \) do not inhabit the same world. Indeed, \( s \) has type store (x 'o' y), while \( v \) has type box a x. Thus, before store_extend can be applied, the value \( v \) must be moved from the world \( x \) into the world \( x 'o' y \). Fortunately, \( v \) is hereditary, so this is easily achieved by applying the coercion forward (§4.5).

The definition of new is somewhat complex due to the many type annotations. However, by erasing all type abstractions, applications, and abbreviations, as well as all coercion applications, one finds that new is just \( \lambda v. \lambda s. (store_end_index s, store_extend s v) \).

Thus, it is easy to informally convince oneself that the untyped translation that underlies our encoding is indeed a standard store-passing translation. A formal argument of semantics preservation appears further on (§4.10).
The encoding $[E]$ of a type environment $E$ is given by:

$[\emptyset] = \emptyset$

$[E;\alpha : \text{styp}] = [E];\alpha : \text{styp}$

$[E; x : T] = [E]; w_E : \text{world}; x : \text{box} [T] w_E$.

Every abstraction $\lambda x$ in the source program gives rise to a sequence of two abstractions, of the form $\Lambda w_E \cdot \lambda x$, in the translated program. This accounts for the fact that the world at the time a function is defined and the world at the time this function is applied are distinct: the latter is in general an extension of the former. The parameter $w_E$ represents this extension.

**Theorem 4.3 (Type preservation)** $E \vdash e \rightsquigarrow t : T$ implies $[E] \vdash t : \text{box} [T] w_E$.

**Proof.** In this proof, we do not consider the cases of the constants return, bind, new, read, and write, which have been machine-checked [28]. There remains one case for each of the rules in Figure 16.

- **Case encode-VAR.** By hypothesis, under the environment $[E_1; x : T; E_2]$, $x$ has type:

  $\text{box} [T] w_{E_1}; x : T$.

  By definition of $\text{box}$, this is:

  $\forall y. ([T] (w_{E_2} \cdot \alpha \cdot y))$.

  Thus, the type application $x (w_{E_2} \cdot \alpha \cdot y)$ has type:

  $[T] (w_{E_1}; x : T; w_{E_2} \cdot \alpha \cdot y)$.

  That is, $[T] (w_{E_1}; x : T; E_2) \cdot \alpha \cdot y$.

  Thus, the term $\Lambda y. x (w_{E_2} \cdot \alpha \cdot y)$ has type:

  $\forall y. ([T] (w_{E_1}; x : T; E_2)) \cdot \alpha \cdot y$.

  That is,

  $\text{box} [T] w_{E_1}; x : T; E_2$.

- **Case encode-ABS.** By the induction hypothesis, under the environment $[E_1; x : T_1]$, the term $t$ has type $\text{box} [T] w_{E_1}; x : T_1$.

  That is, under the type environment:

  $[E]; w_E : \text{world}; x : \text{box} [T_1] w_{E_1} (w_E \cdot \alpha \cdot w_x)$.

  The term $t$ has type:

  $\text{box} [T_2] w_{E_1} (w_E \cdot \alpha \cdot w_x)$.

  There follows that the term $\Lambda w_E \cdot \lambda x. t$ has type:

  $\forall x. ([T_1] (w_E \cdot \alpha \cdot w_x) \rightarrow \text{box} [T_2] (w_E \cdot \alpha \cdot w_x))$.

  By definition of the encoding and by definition of $\text{box}$, this type is:

  $\text{box} [T_1] w_{E_1} \rightarrow \text{box} [T_2] w_{E_1}$.

- **Case encode-APP.** By the induction hypothesis, under the type environment $[E]$, the term $t_1$ has type $\text{box} [T_1 \rightarrow T_2] w_E$ and the term $t_2$ has type $\text{box} [T_1] w_E$. By definition of $\text{box}$ and by definition of the encoding, the former of these types is:

  $\forall x. ([T_1] (w_E \cdot \alpha \cdot w_x) \rightarrow \text{box} [T_2] (w_E \cdot \alpha \cdot w_x))$.

  As a result, the application $t_1 \; \text{nil} \; t_2$ has type:

  $\text{box} [T_1] w_E \rightarrow \text{box} [T_2] w_E$.

- **Case encode-\(\forall\)-INTRO.** By the induction hypothesis, under the type environment $[E]; \alpha : \text{styp}$, the term $t$ has type...
box $[T] w_E$. Thus, the term:

$$\Lambda y. \lambda (a : s \text{type}). (t y)$$

has type

$$\forall y. \forall (a : s \text{type}). ([T] (w_E \ 'o' y)).$$

By definition of $\text{univ}$, this type is:

$$\forall y. (\text{univ} (\lambda a. [T]) (w_E 'o' y)),$$

that is, by definition of box:

$$\text{box} (\text{univ} (\lambda a. [T])) w_E,$$

that is, by definition of the encoding,

$$\text{box} \left[\forall a. T\right] w_E.$$

- **Case** $\text{ENCOD}\left[\forall a. T\right]$. By the induction hypothesis, under the type environment $[E]$, the term $t$ has type:

$$\text{box} \left[\forall a. T\right] w_E.$$

As we saw in the previous case, this type is:

$$\forall y. \forall (a : s \text{type}). ([T] (w_E 'o' y)).$$

Thus, the term $\Lambda y. (t y [T])$ has type:

$$\forall y. (\Lambda y. (t y [T]) [T]) (w_E 'o' y).$$

Because the encoding of types is compositional (i.e., commutes with type substitution), this type is:

$$\forall y. ([\alpha \rightarrow [T]] [T]) (w_E 'o' y),$$

that is, by definition of box:

$$\text{box} (\alpha \rightarrow [T] [T]) w_E.$$

- **Cases** $\text{ENCOD}-\left\{\text{UNIT}, \text{INTRO}, \text{UNIT}, \text{INTRO}, \text{ELIM}\right\}$. Left to the reader. □

### 4.10 Semantics preservation

The encoding is semantics-preserving. This is true even in the absence of a well-typedness hypothesis. This is not surprising: one might be tempted to say that the encoding is “obviously” a store-passing translation, and that a store-passing translation is “obviously” semantics-preserving. Nevertheless, it is worth checking this fact. The results presented in this section have been machine-checked using Coq; the development can be found online [28].

Because the rules $\text{ENCOD}^{-}\left\{\text{INTRO}, \text{UNIT}\right\}$ and $\text{ENCOD}^{-}\left\{\text{UNIT}, \text{INTRO}, \text{ELIM}\right\}$ in Figure 16 encode type abstractions and type applications in terms of type abstractions and type applications, it is possible to define an untyped version of the encoding, which transforms untyped terms into untyped terms. This untyped encoding is a function. In the following, we write $e$ for an untyped term of the source calculus, and we write $\bar{e}$ for its untyped encoding.

It is easy to check, by examination of Figure 16, that the untyped encoding function is the identity over the pure fragment of System $F$. As an immediate corollary, every simplification step in the target calculus is simulated by one reduction step in the source calculus.

**Lemma 4.4** $e_1 \rightarrow e_2$ implies $\bar{e}_1 \rightarrow \bar{e}_2$. □

We now wish to prove an analogous simulation diagram about the reduction of configurations. This requires defining the encoding of a memory location $[l]$, the encoding of a store, the encoding of an evaluation stack, and the encoding of a configuration. These somewhat technical definitions are omitted, but can be found online [28].

It is then a matter of routine to check that one step of reduction in the source calculus is simulated by one or more steps of reduction in the target calculus. This is stated as follows.

In order to allow for some slack in the administrative reductions, the encoding of configurations is a relation, rather than a function. We write $(s, S, e) \rightsquigarrow t$ to indicate that the term $t$ is an encoding of the configuration $(s, S, e)$. Then, we have:

**Lemma 4.5** (Simulation) For a closed configuration $(s_1, S_1, e_1)$, the following diagram holds:

$$\begin{array}{c}
(s_1, S_1, e_1) \rightsquigarrow \rightsquigarrow \rightsquigarrow t_1 \\
| \\
\downarrow \\
(s_2, S_2, e_2) \rightsquigarrow \rightsquigarrow \rightsquigarrow t_2
\end{array}$$

It is worth noting that, in order to establish $t_1 \rightsquigarrow^+ t_2$, we exploit the fact that reduction of FORK terms is permitted under arbitrary contexts. We use this flexibility, in particular, to perform reduction inside the components of a pair.

As an immediate consequence, we find that convergence is preserved by the encoding.

**Lemma 4.6** (Convergence) Let $e_1$ be a closed term of the source calculus. If the start configuration $(\text{nil}, [...], e_1)$ reduces (in many steps) to some configuration of the form $(\text{nil}, [...], return e_2)$, then the term $[e_1] [\text{nil}]$ reduces (in many steps) to the pair $(\langle e_2 \rangle, \langle s \rangle)$. □

Proving that divergence is also preserved by the encoding is more difficult. Of course, by Lemma 4.5, the existence of an infinite reduction sequence out of the start configuration $(\text{nil}, [...], e_1)$ implies the existence of an infinite reduction sequence out of its encoding $[e_1] [\text{nil}]$. However, this is not the desired property. Because reduction of FORK terms is permitted under arbitrary contexts, the relation $\rightsquigarrow$ is non-deterministic, and the existence of an infinite reduction sequence is not an appropriate definition of divergence.

Instead, we would like to consider that the term $[e_1] [\text{nil}]$ diverges if and only if it does not have a head normal form. An analogous phenomenon arises in the source calculus, where simplification is permitted under arbitrary contexts.

Here is an informal sketch of how this problem might be solved. In each of the source and target calculi, define notions of standard reduction (that is, leftmost) and internal reduction. Prove that divergence (that is, the absence of a head normal form) is equivalent to the existence of an infinite standard reduction sequence. In the target calculus, prove that standard reduction and internal reduction commute.

Takahashi [33] proves these facts in the pure $\Lambda$-calculus.

Then, refine Lemma 4.5, by showing that one standard reduction step in the source calculus is simulated by a mixture of at least one standard reduction step and an arbitrary number of internal reduction steps in the target calculus. Use this fact, together with the property that standard reduction and internal reduction commute, to conclude that, if a source configuration admits an infinite standard reduction sequence, then so does its encoding. We have not yet attempted to machine-check this development.

### 5. Related work

Several store-passing translations have appeared in the literature. Moggi’s state monad [18] relies on a fixed store type; it does not support dynamic memory allocation. Parameterised monads [6] allow the type of the store to vary with time and can be used to model systems of strong references with memory allocation and de-allocation. Similarly, Hoare Type Theory [23] extends type theory with a monad that is indexed with pre- and post-conditions: $(P) x : A(Q)$ is the type of computations that expect a store in state $P$ and produce a value $x$ of type $A$ together with a new store in state $Q$. Hoare Type Theory is very expressive, yet does not support weak references. O’Hearn and Reynolds [24] translate two variations of Algol 60 into a purely functional calculus with
polymorphic and linear types, and compose this translation with a model of the target calculus to obtain models of the source languages. Charguéraud and Pottier [12] translate an expressive type-and-capability calculus, which supports strong references, into a purely functional calculus. Pottier [26] extends Charguéraud and Pottier’s work with an anti-frame rule that allows weak references to be defined in terms of strong references. However, it is not clear how Charguéraud and Pottier’s store-passing translation could be extended to support the anti-frame rule. To the best of our knowledge, no typed store-passing translation for weak references has appeared in the literature.

The syntactic approach to type soundness [13, 35] deals with weak references via store types, which map memory addresses to types. The store type grows with time (this is part of the statement of subject reduction) and simultaneously describes the current store as well as all future stores. This is probably the simplest approach to type soundness for general references. However, it does not suggest how to design a type-preserving store-passing translation.

FORK is an ad hoc extension of System $F_{\omega}$ that allows non-terminating yet productive computation at the type level and (as a result) is able to express rich recursive types, far beyond the view of recursive types as regular trees that is commonly encountered in simpler type systems [4, 11]. There exist other calculi that permit productive computation at the type level: $\Pi\Sigma$ [3] and MiniAgda [1] come to mind. Perhaps these calculi could serve as target languages for a type-preserving store-passing translation of general references.

6. Directions for future work

In this paper, we have equipped the source and target calculi with pairs. Is it possible to equip both calculi with sums and extend the definition of the encoding? We believe so, up to a technical difficulty. In the definition of the encoding, we have exploited the commutation of box with respect to pairs; that is, a polymorphic pair can be transformed into a pair whose components are polymorphic. The term that performs this transformation can be defined in System $F_{\omega}$, and, a fortiori, in FORK; up to type erasure, it is the identity. If the calculi were extended with sums, then, analogously, we would need a coercion that transforms a polymorphic sum into a sum whose summands are polymorphic. Unfortunately, such a coercion cannot be defined, it seems, in System $F$. Instead, it must be added as an axiom, and one must move to a version of FORK equipped with subtyping, in the style of System $F_{\omega}$ [17].

The typed store-passing translation that we have presented is not fully abstract: there are terms that inhabit the encoding of a source type, but do not encode any source term. In particular, there is “snapback”: it is permitted to duplicate or discard the store. Following O’Hearn and Reynolds [24], one could enrich FORK with linear types and refine the translation so as to encode the fact that the store is treated linearly. One might then hope to prove that the refined translation is fully abstract. Mogelberg and Staton [20] prove such a result for a store-passing translation that deals with local state. Their translation is simpler than the one considered here insofar as they fix the type of the store: all locations have the same type, and all locations are considered allocated (they initially hold a default value).

In this paper, only a limited meta-theoretic study of FORK has been carried out: we have established its type soundness with respect to an operational semantics. To go further, we suggest building semantic models of FORK, perhaps by following Birkedal et al. [8], and determining whether useful models of System $F$ with general references can in fact be obtained by composition with the store-passing translation presented in this paper.

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References


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5 In the absence of group regions in the source calculus, Charguéraud and Pottier’s translation is type-preserving in a strong sense. When the source calculus has group regions, however, their translation is type-preserving only in a weaker sense: it uses map lookup and map update operations whose success is guaranteed by the type system of the source calculus but not by the type system of the target calculus. The success of these operations depends on the fact that the population of a group region can only grow with time. Thus, achieving type preservation in a strong sense might require a possible worlds machinery, as in the present paper.