

## Bursting suppression in propofol-induced general anesthesia as bi-stability in a non-linear neural mass model

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## SUMMARY

- Bursting activity suppression, a phenomenon characterized by sequences of alternating quiescent ('down') and bursting ('up') states, is ubiquitously present during deep sedation in general anesthesia and can be observed in LFPs and in EEG recordings as well [1, 2]. However, the dynamical principles underlying such phenomena are unclear.
- Prevailing theoretical approaches to this problem suggest that such bi-stable dynamics could be explained by the existence of notable non-linearities in the neuronal membrane potential as a function of the anesthetic agent, with frequent noise-induced transitions between two stable (attracting) branches.
- Nevertheless, the mathematical tractability of those models is rather limited due to the dimensionality (2D) of the phase-space. • It has been shown for a neural-mass version of the model proposed in [3], that the 2D-trajectory likely escapes to the other attractor through a limited section of the saddle-line separating the attractions
- basins [4]. This prediction is here supported by numerical simulations, permitting the simplification of the dynamics to a simpler two-state trace.
- Relevant statistics can then be extracted, including histograms of the duration of the visit in each state that may support future analytical calculations of the EEG power spectrum following renewal theory [5].

## Hutt & Longtin (2009) model with no delay nor spatial dimension: a neural mass model

Differential form, using the scaled (dimensionless) time  $\tau = \sqrt{\alpha_1 \alpha_2} t$ :

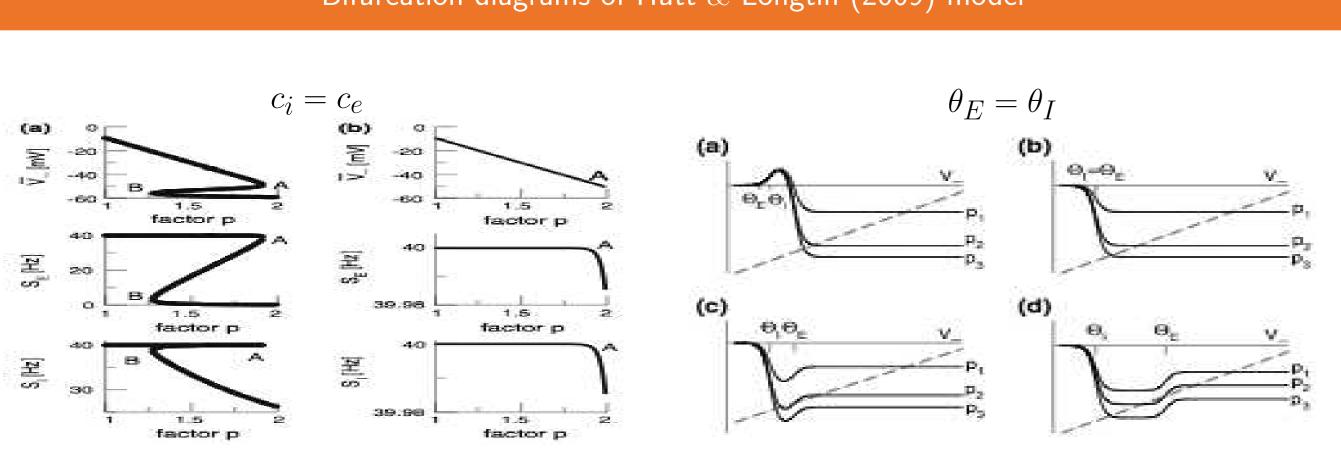
 $\left(\frac{\partial^2}{\partial \tau^2} + \gamma_i \frac{\partial}{\partial \tau} + \omega_i^2\right) (V_i - V_e^r) = a_i f(p) \omega_i^2 S_i (V_e - V_i - \theta_i) + \sqrt{2}\sigma \dot{W}_i$  $\left(\frac{\partial^2}{\partial \tau^2} + \gamma_e \partial / \partial \tau + 1\right) (V_e - V_e^r) = a_e S_e (V_e - V_i - \theta_e) + \sqrt{2}\sigma \dot{W}_e$ 

with  $\gamma_i = (\beta_1 + \beta_2)/\sqrt{\alpha_1 \alpha_2}$ ,  $\gamma_e = \sqrt{\alpha_1/\alpha_2} + \sqrt{\alpha_2/\alpha_1}$ ,  $\omega_i = \sqrt{\beta_1 \beta_2/(\alpha_1 \alpha_2)}$ .  $V_i, V_e$  are the PSPs at excitatory neurons,  $V_e^r$  the resting membrane potential,  $\theta_i < \theta_e$  the threshold potentials of the pre-synaptic cells,  $S_e$  and  $S_i$  are sigmoids functions  $S_k(x) = \frac{S_{max}}{1 + e^{-c_k(x - \theta_k)}}$ ,  $k \in \{i, e\}$  and  $a_k$  stand for the synaptic efficacies.  $W_k$  represent Wiener processes.  $\alpha_1, \beta_1, \alpha_2, \beta_2$  define the mean synaptic response functions  $h_k$ :

$$h_i(t) = a_i f(p) \frac{\beta_1 \beta_2}{\beta_2 - \beta_1} (e^{-\beta_1 t} - e^{-\beta_2 t})$$
$$h_e(t) = a_e \frac{\alpha_1 \alpha_2}{\alpha_2 - \alpha_1} (e^{-\alpha_1 t} - e^{-\alpha_2 t})$$

Finally,  $f(p) = r^{-r/(r-1)}(rp)^{rp/(rp-1)}$  mimics the inhibitory action of the propofol p level, with  $r = \beta_2/\beta_1$ ,  $\beta_1 = \beta_0/p$ 

## Bifurcation diagrams of Hutt & Longtin (2009) model



(a) A triple solution case:  $\theta_E > \theta_I$  (b) A single solution case:  $\theta_E = \theta_I$ 

(a)  $c_e < c_i$  (b)  $c_e = c_i$  (c)  $c_e > c_i$  (d)  $c_e >> c_i$ 

Adapted from Hutt A. and Longtin A., Cognitive Neurodyn. 4(1): 37-59, 2009.

## Complexity reduction: a first-order system and a Heaviside rate function

 $\alpha_1 \ll \alpha_2$  and  $\beta_1 \ll \beta_2 \rightarrow r \gg 1 \rightarrow f(p) \approx p$ , leads to 1st-order Langevin (SDE) equations. Besides, replacing  $S_k$  by a Heaviside function  $S_k = \Theta(V_e - V_i - \theta_k)$ , the system's equations read:  $\dot{V}_i = -\beta(V_i - V_e^r) + \beta a_i p S_{max} \Theta(V_- - \theta_i) + \sqrt{2\sigma} \dot{W}_i$ 

 $\dot{V}_e = -\alpha (V_e - V_e^r) + \alpha a_e S_{max} \Theta (V_- - \theta_e) + \sqrt{2} \sigma \dot{W}_e$ 

where  $\beta = \beta_1$  and  $\alpha = \alpha_1$ . The equation can also be split in three domains with a linear dynamics: - Domain I:  $V_e < V_i + \theta_i$ 

$$\dot{V}_i = -\beta(V_i - V_e^r) + \sqrt{2}\sigma \dot{W}_i$$
  
$$\dot{V}_e = -\alpha(V_e - V_e^r) + \sqrt{2}\sigma \dot{W}_e$$

$$\dot{V}_i = -\beta(V_i - V_e^r) + \beta_0 a_i S_{max} + \sqrt{2}\sigma \dot{W}_e$$
  
$$V_e = -\alpha(V_e - V_e^r) + \alpha a_e S_{max} + \sqrt{2}\sigma \dot{W}_e$$

$$\dot{V}_i = -\beta(V_i - V_e^r) + \beta_0 a_i S_{max} + \sqrt{2}\sigma \dot{W}_i$$
  
$$\dot{V}_e = -\alpha(V_e - V_e^r) + \sqrt{2}\sigma \dot{W}_e$$

Phase portrait in *Heaviside* functions case:  $\theta_e = \theta_i = \theta$ 

- Domain III ( $V_e < V_i + \theta_e$  and  $V_e > V_i + \theta_i$ )

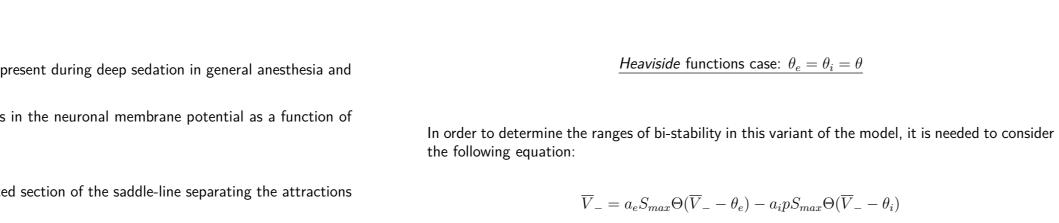
- Domain II:  $V_e > V_i + \theta_e$ 

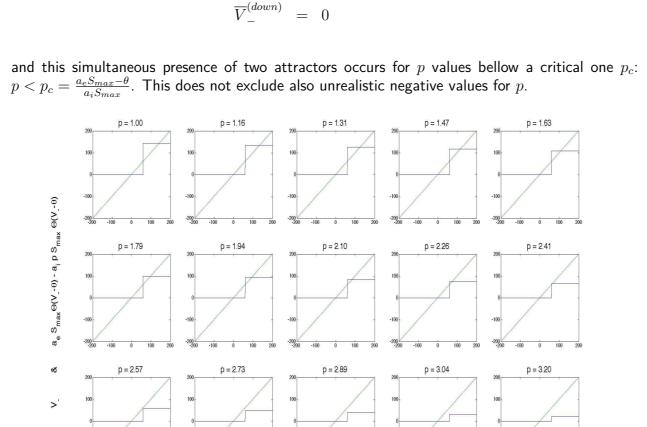
Phase portrait in *Heaviside* functions case:  $\theta_e > \theta_i$ 

# Bursting suppression in propofol-induced general anesthesia: bi-stability in a non-linear neural mass model

# Pedro García-Rodríguez - Axel Hutt







A first graphical analysis reveals that multiple interceptions of the l.h.s. term, the straight line

 $f(\overline{V}_{-}) = \overline{V}_{-}$ , with the difference of the two scaled Heaviside functions on the r.h.s., are only

 $\overline{V}_{-}^{(up)} = (a_e - a_i p) S_{max}$ 

 $\overline{V}_{-}^{(saddle)} = \theta$ 

possible in case of positive p values for (unrealistic) values of  $\theta > 0$ . In such a case the  $\overline{V}_{-}$ 

coordinates of the fixed points are

*Heaviside* functions case:  $\theta_e > \theta_i$ 

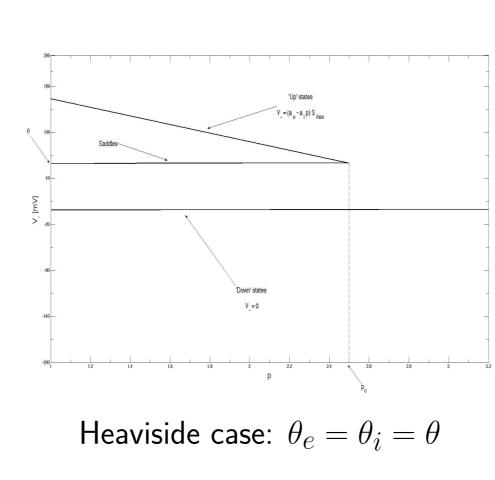
-100, -100,

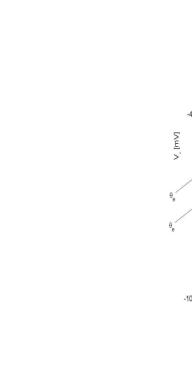
In this case, , for low values of p, the r.h.s. and the l.h.s. of the fixed points equation presented

above always encounter in, at least, one ('up') attractor  $\overline{V}_{-} > \theta_{e}$ , located at the upper branch of the difference between the two scaled Heaviside functions (the r.h.s.):

 $\overline{V}_{-}^{(up)} = (a_e - a_i p) S_{max}$ 

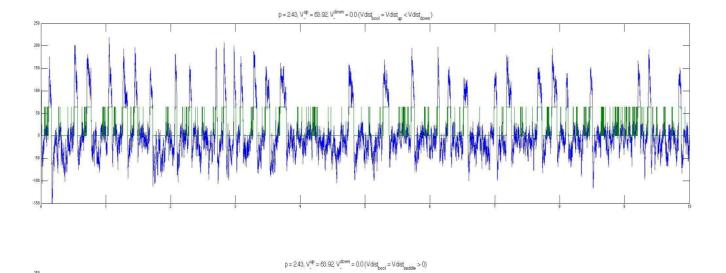
## Heavise case: bifurcation diagrams

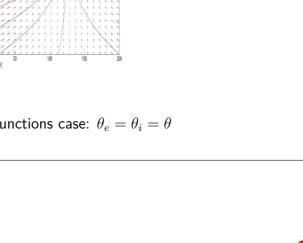




 $\theta_e - \theta_i$ .

Computational simulations (I)











Only this attractor is present till a new interception appears when

 $-a_i p_c^{(1)} S_{max} = \theta_e \Longrightarrow p_c^{(1)} = \frac{-\theta_e}{a_i S_{max}}$ 

that is, for  $p \le p_c^{(1)}$  a mono-stable (excitable) regime is observed. More interceptions will appear with increasing values of p. A second critical value  $p_c^{(2)}$  fixes the moment that the straight line  $f(\overline{V}_{-}) = \overline{V}_{-}$  reaches the 'sliding' lower branch of the Heaviside functions difference:

 $a_e S_{max} - a_i p_c^{(2)} S_{max} = \theta_e \Longrightarrow p_c^{(2)} = \frac{-\theta_e + a_e S_{max}}{a_i S_{max}} = p_c^{(1)} + \frac{a_e}{a_i}$ 

A bi-stable regime then exists for  $p_c^{(1)} with two new fixed points:$ 

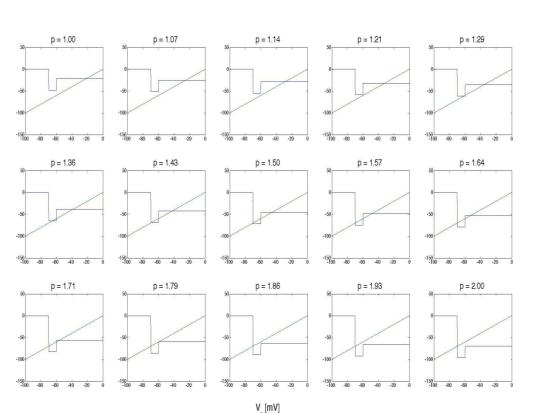
 $\overline{V}_{-}^{(up)} = (a_e - a_i p) S_{max}$  $\overline{V}_{-}^{(saddle)} = \theta_{e}$  $\overline{V}_{-}^{(down)} = a_i p_c^{(2)} S_{max}$ 

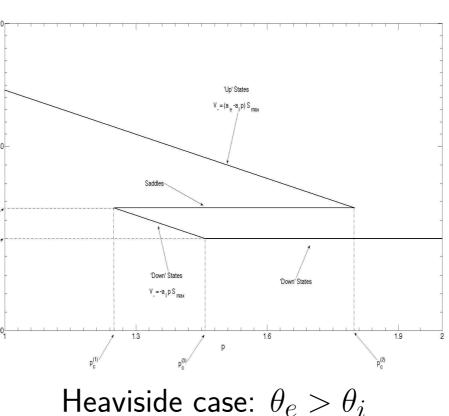
The situation changes again when the moving difference reach the oblique line  $f(\overline{V}_{-}) = \overline{V}_{-}$  at the lower neuronal threshold  $\overline{V}_{-} = \theta_i$ :

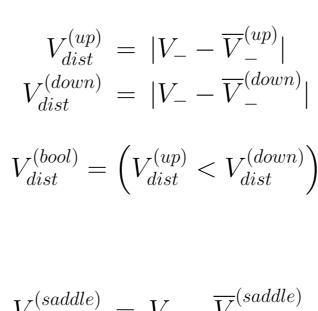
 $-a_i p_c^{(3)} S_{max} = \theta_i \Longrightarrow p_c^{(3)} = \frac{-\theta_i}{a_i S_{max}}$ 

In this case the dynamics returns to a mono-stable regime with just one quiescent state  $\overline{V}_{-}^{(down)} = \theta_i$  for every  $p \ge p_c^{(3)}$ .

*Note*: For the analysis above, we have assumed that  $p_c^{(2)} < p_c^{(3)}$  or, equivalently, that  $a_e S_{max} < c_{max}$ 

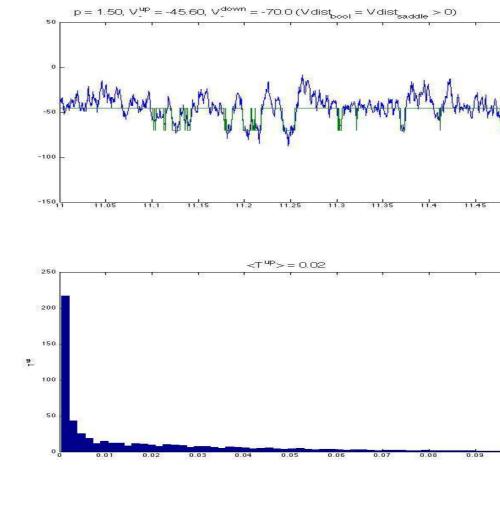


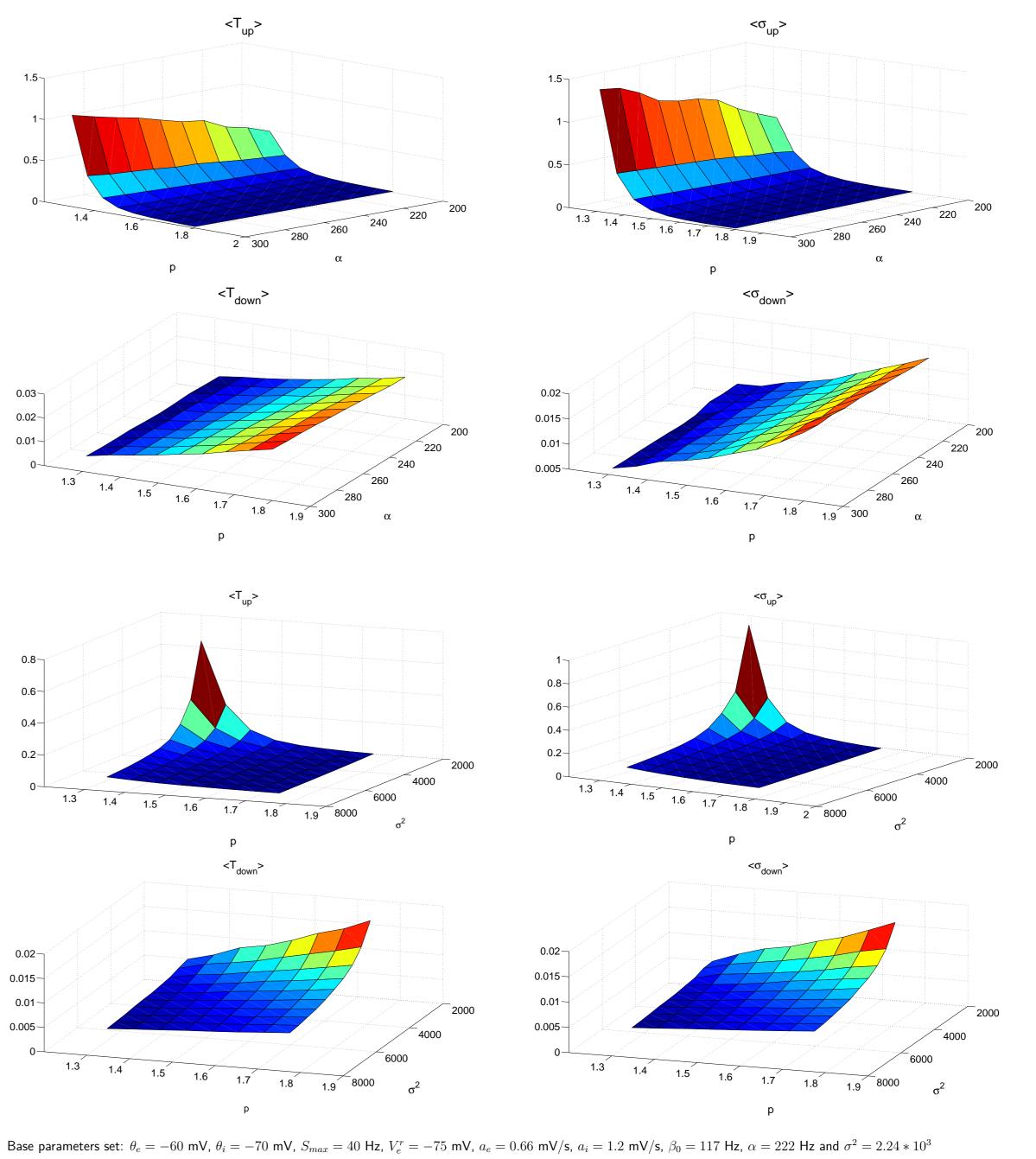


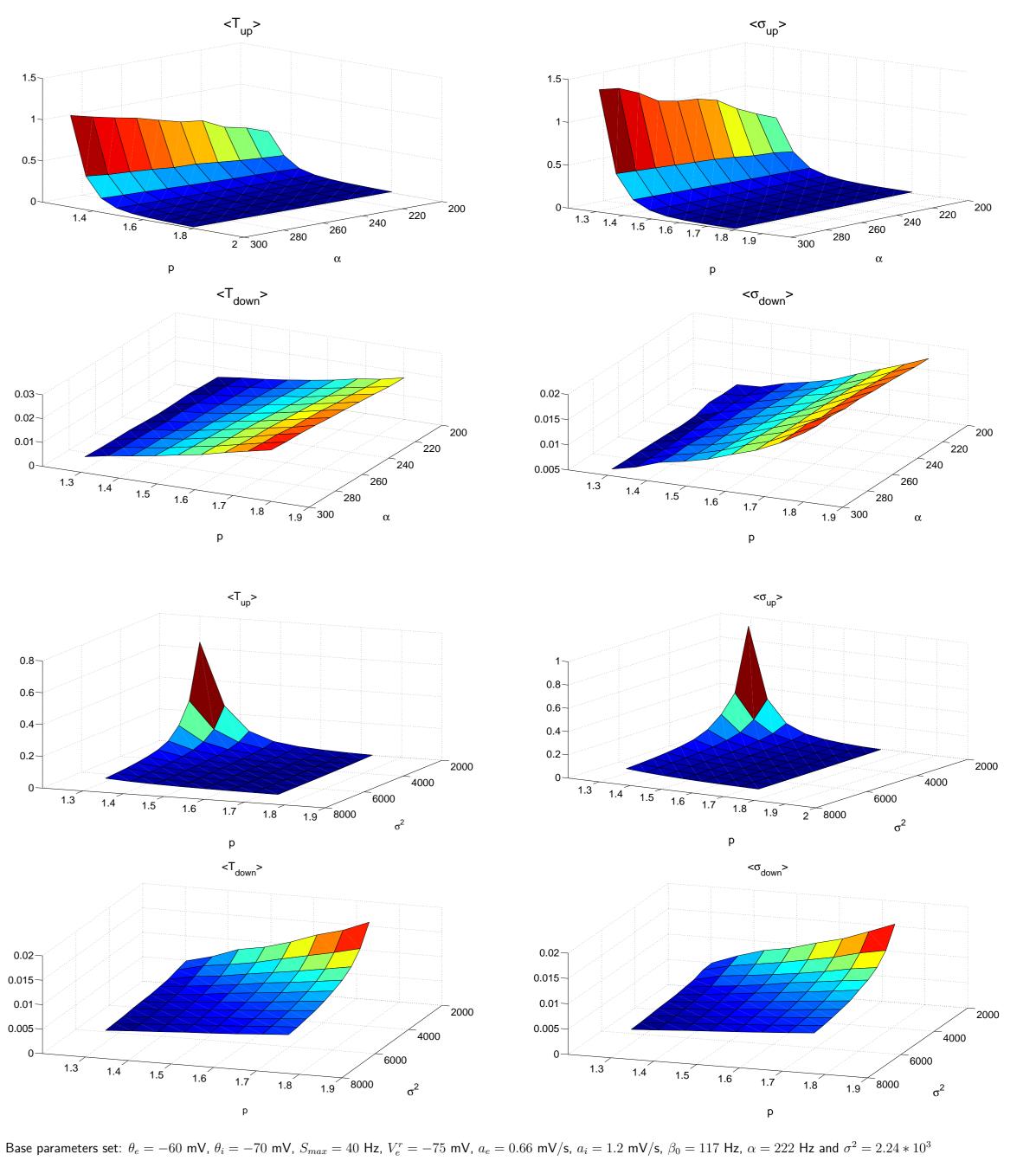


 $V_{dist}^{(saddle}$ 

 $e^{e} = V_{-} - \overline{V}_{-}^{(saa)}$  $V_{dist}^{(bool)} = \left( V_{dist}^{(saddle)} > 0 \right)$ 







- The coefficient of variation  $C_v = \frac{\sigma_T}{\langle T \rangle} \approx 1$  across the planes  $(p, \alpha)$  and  $(p, \sigma)$ , what might point to an intermediate-variance distribution, *i.e.*, an exponential-like function.
- none of them shows a considerable dependence on the  $\alpha$  parameter.
- $(1/\alpha)$  direction.

## References

in  $\sigma$ .

[1] Ferron J.-F. et al., J. Neuroscience 29(31): 9850-9860, 2009.

[2] Wilson M. T. et al., J Biological Physics 36: 245-259, 2010.

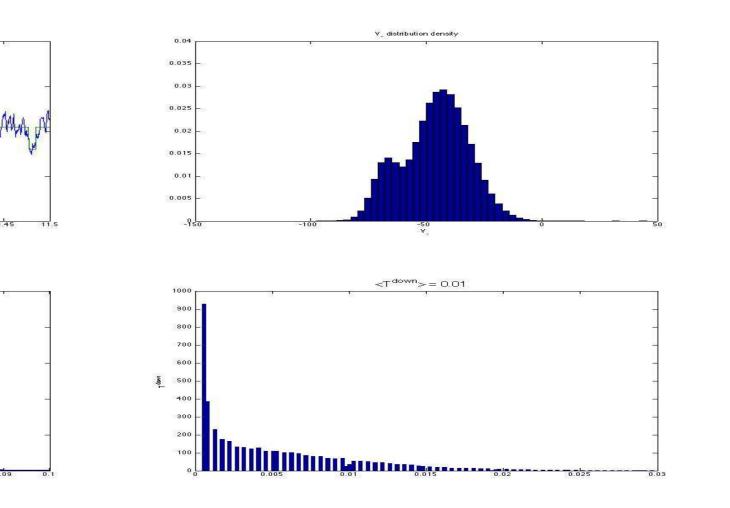
[3] Hutt A. and Longtin A., Cognitive Neurodynamics 4(1): 37-59, 2009.

[4] Rodriguez P. and Hutt A., Bernstein Conference, 2013. doi: 10.12751/nncn.bc2013.0113 [5] Stratonovich R. L., Topics in the theory of random noise: Volume I, Ch. 6, Gordon and Breach, 1962.









## Computational simulations (II)

CONCLUSIONS

• In simultaneous variations of p and  $\alpha$ , it is found a clear decreasing trend in the mean waiting time  $< T_{(up)} >$  for the 'up' state when p increases. The contrary holds for the competing state. Conversely, • The average waiting time in the 'up' states is a non-linear decreasing function of p, differently to the residence time in the 'down' state that behaves mostly linearly in both p and in the synaptic time-scale • In simultaneous variations of p and  $\sigma$ , the 'up' state is more sensitive to p changes than to  $\sigma$  variations, in comparison with the behaviour observed for the 'down' states, which 'feels' more the variations