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Generalized connected domination in graphs

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As a generalization of connected domination in a graph G we consider domination by sets having at most k components. The order $\gamma_c^k(G)$ of such a smallest set we relate to $\gamma_c(G)$, the order of a smallest connected dominating set. For a tree T we give bounds on $\gamma_c^k(T)$ in terms of minimum valency and diameter. For trees the inequality $\gamma_c^k(T) \le n-k-1$ is known to hold, we determine the class of trees, for which equality holds.

Keywords: connected domination, domination, tree **Mathematics Subject Classification:** 05C69

1 Introduction

We consider simple non-oriented graphs. The largest valency in G is denoted by $\Delta(G) = \Delta$, the smallest by $\delta(G) = \delta$. By P_n we denote a path on n vertices and C_n denotes a circuit on n vertices. In a graph a **leaf** or **pendant vertex** is a vertex of valency one and a **stem** is a vertex adjacent to at least one leaf. In K_2 each vertex is both a leaf and a stem. The set of leaves in a graph G is denoted by $\Omega(G)$. The set of neighbours to a vertex x is denoted N(x). By $K_{1,k}$ we denote a star with one central vertex joined to k other vertices. A **subdivided star** is a star with a subdivision vertex on each edge. By the **corona graph** on H we understand the graph $G = H \circ K_1$ obtained from the graph H by adding for each vertex x in H one new vertex x' and one new edge xx'. In a corona graph each vertex is either a leaf or a stem adjacent to exactly one leaf. In particular, if H is a tree, we obtain a **corona tree** $T = H \circ K_1$.

The eccentricity e(x) of a vertex x is defined by $e(x) = \max\{d(x, y)|y \in V(G)\}$. The diameter of G is diam $(G)=\max\{e(x)|x \in V(G)\}$. Let $D \subseteq V(G)$, then N(D) is the set of vertices which have a neighbour in D and N[D] is the set of vertices which are in D or have a neighbour in D, $N[D] = D \cup N(D)$. A set $D \subseteq V(G)$ dominates G if $V(G) \subseteq N[D]$, i.e. each vertex not in D is adjacent to a vertex in D. The domination number $\gamma(G)$ is the cardinality of a smallest dominating set in G.

For a given graph G it is NP-hard to determine its domination number $\gamma(G)$, but we can search for for upper bounds as O. Ore started doing about fifty years ago. Also it may be more tractable to restrict the

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minimum dominating set problem to consider only such dominating sets which induce a connected subset of G, this problem is called **the minimum connected dominating problem** and it is still NP-complete; In network design theory it is called the **maximum leaf spanning tree problem** [4], the name will be clear from Section 2 below. We shall study a concept intermediate to the classical and the connected domination, namely by demanding the dominating set to induce at most a given number k of components, we aim at presenting upper bounds for its order γ_c^k . Quite likely there is a corresponding problem in network design theory, although we are aware of no reference.

A comprehensive introduction to domination theory is given in [7, 14] and variations are discussed in [5, 13, 15].

Ore [10] proved the inequality below while C. Payan and N. H. Xuong [11], Fink, Jacobsen, Kinch and Roberts [3] determined its extremal graphs.

Proposition 1 Let G be a connected graph with n vertices, $n \ge 2$. Then $\gamma(G) \le \frac{n}{2}$ and equality holds if and only if G is either a corona graph or a 4-circuit.

If a tree T has $\gamma(T) = \frac{n}{2}$, then n is even and Proposition 1 implies that T is a corona tree. **Definition** For a positive integer k and a graph G with at most k components we define

 $\gamma_c^k(G) = \min \{ |D| | D \subseteq V(G), D \text{ has at most } k \text{ components and } D \text{ dominates } G \}.$

A set D attaining the minimum above is called a γ_c^k -set for G. **Example**

$$\gamma_c^k(P_n) = \gamma_c^k(C_n) = \begin{cases} n-2k & \text{for } n \ge 3k \\ \lceil \frac{n}{3} \rceil & \text{for } 1 \le n \le 3k \end{cases}$$

For k = 1 we have that γ_c^1 is the usual connected domination number, $\gamma_c^1(G) = \gamma_c(G)$. There exists for every graph G a k such that $\gamma_c^k(G) = \gamma(G)$, e.g. k = |G|. For G connected and $k \ge 1$, obviously, $\gamma(G) \le \gamma_c^k(G) \le \gamma_c(G)$.

2 General graphs

Let G be a connected graph with n vertices and k a positive integer. Let $\epsilon_F(G)$ be the maximum number of leaves among all spanning forests of G, and $\epsilon_T(G)$ be the maximum number of leaves among all spanning trees of G. With this notation Niemen [9] proved statement (i) below about γ and Hedetniemi and Laskar [8] generalized it to statement (ii) about γ_c .

(i)
$$\gamma(G) = n - \epsilon_F(G)$$
,

(ii)
$$\gamma_c(G) = n - \epsilon_T(G)$$
.

In the next two theorems we extend these results to γ_c^k .

Theorem 1 Let G be a connected graph with n vertices and k a positive integer. Let $\epsilon_{F_k}(G)$ be the maximum number of leaves among all spanning forests of G with at most k trees. Then $\gamma_c^k(G) = n - \epsilon_{F_k}(G)$.

Proof: In any spanning forest F with at most k trees the leaves will be dominated by their stems, so $\gamma_c^k(G) \le n - |\Omega(F)|$ and hence $\gamma_c^k(G) \le n - \epsilon_{F_k}(G)$.

Conversely, let $D = D_1 \cup D_2 \cup \cdots \cup D_t$, $1 \le t \le k$, be a γ_c^k -set for G. Choose for each D_i a spanning tree $T_i, 1 \le i \le t$. For each vertex in $V(G) \setminus D$ choose one edge which is incident with a vertex in D. We have constructed a spanning forest F with t components and at least $n - |D| = n - \gamma_c^k(G)$ leaves. Therefore $\epsilon_{F_k}(G) \ge n - \gamma_c^k(G)$ and Theorem 1 is proved.

Theorem 2 Let k be a positive integer and G a connected graph. Then

 $\gamma_c^k(G) = \min \left\{ \gamma_c^k(F_k) | F_k \text{ is a spanning forest of } G \text{ with at most } k \text{ trees } \right\}$ $= \min \left\{ \gamma_c^k(T) | T \text{ is a spanning tree of } G \right\}$

Proof: Let F_k be a spanning forest of G with at most k trees. Certainly $\gamma_c^k(G) \leq \gamma_c^k(F_k)$ since a set which dominates F_k also dominates G. Conversely, we can in G find a spanning forest F_k with at most k components such that $\gamma_c^k(G) = \gamma_c^k(F_k)$: As was originally also done in the proofs for (i) and (ii) above we construct F_k from a γ_c^k -set $D = D_1 \cup D_2 \cup \cdots \cup D_t$, $1 \leq t \leq k$, by choosing a spanning tree T_i in each connected subgraph D_i and joining each vertex in $V(G) \setminus D$ to precisely one vertex in D. Obviously, $\gamma_c^k(F_k) \leq |D| = \gamma_c^k(G)$. This proves the first equality. For the second equality we observe that the first minimum is chosen among a larger set, so that $\min \gamma_c^k(F_k) \leq \min \gamma_c^k(T)$, and also that any F_k by addition of edges can produce a tree T with $\gamma_c^k(T) \leq \gamma_c^k(F_k)$.

Hartnell and Vestergaard [6] proved the following result.

Proposition 2 For $k \ge 1$ and G connected

$$\gamma_c(G) - 2(k-1) \le \gamma_c^k(G) \le \gamma_c(G).$$

From Proposition 2 we can easily derive the following corollary which is a classical result proven by Duchet and Meyniel. [2]

Corollary 3 For any connected graph G, $\gamma_c(G) \leq 3\gamma(G) - 2$.

Proof: Let G be a connected graph with domination number $\gamma(G)$. Choose $k = \gamma(G)$, then $\gamma_c^k(G) = \gamma(G)$. Substituting into Proposition 2 above we obtain $\gamma_c(G) - 2(k-1) \leq \gamma(G)$ and that proves the corollary.

2.1 Other bounds on γ_c^k

Theorem 4 For a positive integer k and a connected graph G with maximum valency Δ we have

(A) $\gamma_c(G) \leq n - \Delta$ and for trees T equality holds if and only if T has at most one vertex of valency ≥ 3 .

(B)
$$\gamma_c^k(G) \le n - \frac{(r-1)(\delta-2)}{3} - 2k$$
 if G has diameter $r \ge 3k - 1$ and the minimum valency $\delta = \delta(G)$ is at least 3.

(C) If G is a connected graph with two vertices of valency Δ at distance d apart, $d \geq 3$, then

$$\gamma_c^k(G) \le n - 2(\Delta - 1) - 2\min\{k - 1, \frac{d - 2}{3}\}.$$
(1)

(D) Let $x \in V(G)$ have valency d(x) and eccentricity e(x). Then

$$\gamma_c^k(G) \le n - d(x) - 2\min\{k - 1, \frac{e(x) - 2}{3}\}.$$
(2)

Proof:

(A) Let T be a spanning tree of G with $\Delta(T) = \Delta(G) = \Delta$, then T has at least Δ leaves, and hence $\gamma_c(G) \leq \gamma_c(T) \leq n - \Delta$.

If T has two vertices of valency ≥ 3 , the number of leaves in T will be larger than Δ , and we get strict inequality in (A). Clearly, a tree T with exactly one vertex of valency $\Delta \geq 3$ has equality in (A) and for $\Delta = 2$, we obtain a path P_n with $\gamma_c(P_n) = n - 2$.

- (B) Let $P = v_1 v_2 v_3 \dots v_{3t+u}$, $k \leq t, 0 \leq u \leq 2$, be a diametrical path in G. The diameter of T equals the length of P, which is r = 3t + u 1. For $i = 1, \dots, t$ let v_{3i-1} have neighbours v_{3i-2}, v_{3i} on P and a_{ij} off $P, j = 1, \dots, s_i$ $s_i \geq \delta 2 \geq 1$. In $G \{v_{3i}v_{3i+1} | 1 \leq i \leq k-1\}$ consider the k 1 disjoint stars with center v_{3i-1} and neighbours $N(v_{3i-1}), 1 \leq i \leq k 1$, and the remaining tree to the right consisting of the path $v_{3k-2}v_{3k-1}v_{3k}\dots v_{3t+u}$ and leaves $v_{3i-1}a_{3i-1}, j = 1, \dots, s_i, s_i \geq \delta 2 \geq 1$ adjacent to vertices $v_{3i-1}, k \leq i \leq t$.
 - Extend this forest of k trees to a spanning forest F with k trees in $G \{v_{3i}v_{3i+1} | 1 \le i \le k-1\}$. The number of leaves in F is at least $t(\delta - 2) + 2k$ and hence $\gamma_c^k(G) \le n - t(\delta - 2) - 2k$. From $t = \frac{r+1-u}{3} \ge \frac{r-1}{3}$ we obtain the desired result $\gamma_c^k(G) \le n - \frac{(r-1)(\delta - 2)}{3} - 2k$.
- C Let v_1, v_s be two vertices in G with maximum valency, $d(v_1) = d(v_s) = \Delta$, and let $P = v_1 v_2 \dots v_s$ be a shortest $v_1 v_s$ -path, $s = 3t + 1 + u, t \ge 1, 0 \le u \le 2$.
 - **Case 1,** $t \ge k 1$: In $G \{v_{3i-1}v_{3i} | 1 \le i \le k 2\}$ we extend the k trees listed below to a spanning forest F of G,
 - 1. The star consisting of v_1 joined to all its neighbours,
 - 2. the k 2 paths of length two $v_{3i}v_{3i+1}v_{3i+2}, 1 \le i \le k 2$,
 - 3. the path $v_{3k-3}v_{3k-2} \dots v_s$ together with all $\Delta 1$ neighbours of v_s outside of P. F will have at least $2(\Delta - 1) + 2(k - 1)$ leaves.

Case 2, $t \le k-2$: $s = 3t + 1 + u, d = d(v_1, v_s) = s - 1 = 3t + u, t - 1 = \frac{d-u}{3} - 1 \ge d - 2$

 $\frac{d-2}{3} - 1.$ As before, we can find a spanning forest F of G whose number of leaves is at least $2\Delta + 2(t-1) \ge 2(\Delta - 1) + 2\frac{d-2}{3}$ and consequently $\gamma_c^k(G) \le n - 2(\Delta - 1) - 2\frac{d-2}{3}$.

The proof of D is similar.

3 Trees

For trees Hartnell and Vestergaard [6] found

Proposition 3 Let k be a positive integer and T a tree with $|V(T)| = n, n \ge 2k + 1$. Then $\gamma_c^k(T) \le n - k - 1$.

This inequality is best possible. For k = 1 the extremal trees are paths P_n and for $k \ge 2$ extremal trees will be described in the following Theorem 5.

A tree T is of type A if it contains a vertex x_0 such that $T - x_0$ is a forest of trees $T_1, T_2, \ldots, T_\alpha, \alpha \ge 1$, such that each tree T_i is a corona tree and x_0 is joined to a stem in each of the trees $T_i, 1 \le i \le \alpha$. We note that a subdivision of a star is a tree of type A.

A tree T is of type B if it contains a path uvw such that $T - \{u, v, w\}$ is a forest of corona trees $T_1, T_2, \ldots, T_s, T_{s+1}, \ldots, T_{\alpha}, \alpha \geq 2, 1 \leq s < \alpha$ and u is joined to a stem in each of the trees T_1, T_2, \ldots, T_s , while w is joined to a stem in each of the trees $T_{s+1}, \ldots, T_{\alpha}$.

Proposition 4 below was proven by Randerath and Volkmann [12], Baogen, Cockayne, Haynes, Hedetniemi and Shangchao [1].

Proposition 4 If T is a tree with n vertices, n odd, and $\gamma(T) = \lfloor \frac{n}{2} \rfloor$ then T is a tree of type A or B.

We shall now determine the trees extremal for Proposition 3.

Theorem 5 Let $k \ge 2$ be a positive integer and T a tree with n vertices, $n \ge 2k + 1$. Then $\gamma_c^k(T) = n - k - 1$ if and only if one of cases (i)-(iii) below occur.

(i)
$$k = \frac{n-1}{2}$$
, $\gamma_c^k(T) = \gamma(T) = \frac{n-1}{2}$ and T is of type A or B.

(ii)
$$k = \frac{n-2}{2}$$
, $\gamma_c^k(T) = \gamma(T) = \frac{n}{2}$ and T is a corona tree.

(iii) $k = \frac{n-3}{2}$, $\gamma_c^k(T) = \frac{n+1}{2}$, $\gamma(T) = \frac{n-1}{2}$ and T is a star $K_{1,k+1}$ with a subdision vertex on each edge.

Proof: First, let $k \ge 2$ and a tree T of order n be given such that $n \ge 2k + 1$ and $\gamma_c^k(T) = n - k - 1$. We shall prove that T is as described in one of the three cases (i)-(iii).

We note in passing that

Remark 1 $\gamma(T) \leq k$ implies $\gamma_c^k(T) = \gamma(T)$, and that likewise $\gamma_c^k(T) \leq k$ implies $\gamma_c^k(T) = \gamma(T)$.

If n = 2k + 1, or equivalently $k = \frac{n-1}{2}$, we have by assumption $\gamma_c^k(T) = n - k - 1 = k$ and, as just observed above, that implies that also $\gamma(T) = k$. Since $k = \lfloor \frac{n}{2} \rfloor$ we obtain from Proposition 4 that T is a tree of type A or B, so Case (i) occurs.

If n = 2k + 2, or equivalently $k = \frac{n-2}{2}$ we have by assumption $\gamma_c^k(T) = n - k - 1 = k + 1$. Certainly $\gamma(T) \leq \gamma_c^k(T)$, but if $\gamma(T) \leq k$ then we should have that $\gamma_c^k(T) = \gamma(T) \leq k$ in contradiction to $\gamma_c^k(T) = k + 1$, therefore $\gamma(T) = k + 1 = \frac{n}{2}$. From Proposition 1 we obtain that T is a corona tree, i.e. Case (ii) occurs.

We may now assume $n \ge 2k + 3$, and we shall prove that, in fact, n equals 2k + 3 and that Case (iii) occurs.

Let $v_1v_2...v_{\alpha}$ be a longest path in T. Since $\gamma_c^k(T) = n - k - 1 \ge k + 2 \ge 4$, T is neither a star nor a bistar and therefore $\alpha \ge 5$. We must have $d_T(v_2) = 2$, because otherwise $d_T(v_2) \ge 3$ and we could from T delete three leaves adjacent to v_2 , if $d_T(v_2) \ge 4$, and in case $d_T(v_2) = 3$ we could delete v_2 and its two adjacent leaves. In both cases we would obtain a tree T' of order $n - 3 \ge 2(k - 1) + 1$ which by Proposition 3 has $\gamma_c^{k-1}(T') \le (n-3) - (k-1) - 1 \le n - k - 3$. Adding v_2 to a $\gamma_c^{k-1}(T')$ -set we would obtain $\gamma_c^k(T) \le n - k - 2$, a contradiction. Therefore $d_T(v_2) = 2$.

The vertex v_3 cannot be adjacent to two leaves c and d, say, because, then the tree $T' = T - \{v_1, v_2, c, d\}$ would have order $n - 4 \ge 2(k - 1) + 1$. Thus Proposition 3 gives that $\gamma_c^{k-1}(T') \le (n - 4) - (k - 1) - 1$ $\le n - k - 4$ and adding v_2, v_3 to a $\gamma_c^{k-1}(T')$ -set we would obtain $\gamma_c^k(T) \le n - k - 2$, a contradiction. So v_3 can be adjacent to at most one leaf. The case $d_T(v_3) = 3$ and v_3 adjacent to one leaf c can similarly be seen to be impossible by considering $T' = T \setminus \{v_1, v_2, v_3, c\}$.

On the other hand $d_T(v_3) \ge 3$, for assume $d_T(v_3) = 2$, then $T' = T \setminus \{v_1, v_2, v_3\}$ has $\gamma_c^{k-1}(T') \le n-k-3$ and addition of v_2 to a $\gamma_c^{k-1}(T')$ -set would give $\gamma_c^k(T) \le n-k-2$, a contradiction.

Assume therefore that v_3 besides v_2 and v_4 is adjacent to precisely one leaf c and to at least one further vertex a, where a has valency two and is adjacent to the leaf b. Then $T' = T \setminus \{v_1, v_2, a, b\}$ has order $n-4 \ge 2(k-1)+1$ and Proposition 3 gives that (3) $\gamma_c^{k-1}(T') \le (n-4)-(k-1)-1 \le n-k-4$. In T' the vertex c is a leaf and as any γ_c^{k-1} -set for T' must contain one of $\{v_3, c\}$, we may assume it contains v_3 . Addition of $\{v_2, a\}$ to a $\gamma_c^{k-1}(T')$ -set now gives the contradiction $\gamma_c^k(T) \le n-k-2$.

Assume finally that v_3 has no leaf but besides v_2 and v_4 is adjacent to $a_1, a_2, \ldots, a_t, t \ge 1$, where each a_i has valency two and is adjacent to the leaf $b_i, 1 \le i \le t$.

We have $k - t \ge 1$ because $V(T) \setminus \{v_1, b_1, b_2, \dots, b_t, v_\alpha\}$ is a connected subgraph with n - t - 2 vertices which dominate T, so that $n - k - 1 = \gamma_c^k(T) \le n - t - 2$ giving $k - t \ge 1$. Consider the tree $T' = T \setminus \{v_1, v_2, a_1, a_2, \dots, b_1, b_2, \dots, b_t, v_3\}$ of order n - 2t - 3.

If $n - 2t - 3 \ge 2(k - t) + 1$ we obtain by Proposition 3 that $\gamma_c^{k-t}(T') \le (n - 2t - 3) - (k - t) - 1 \le n - k - t - 4$, and by addition of the t + 2 vertices $\{v_2, v_3, a_1, a_2, \dots, a_t\}$, (which span a connected subgraph of T), to a $\gamma_c^{k-t}(T')$ -set we obtain $\gamma_c^k(T) \le n - k - 2$, a contradiction. So we have $n - 2t - 3 \le 2(k - t)$ and now $|V(T')| = n - 2t - 3 \le 2(k - t)$ implies $\gamma(T') \le \frac{|V(T')|}{2} \le k - t$ which by remark 1 gives that $\gamma_c^{k-t}(T') = \gamma(T')$ and hence addition of the t + 2 vertices $\{v_2, v_3, a_1, a_2, \dots, a_t\}$ to a $\gamma_c^{k-t}(T')$ -set (having at most k - t vertices) gives $\gamma_c^{k-t+1}(T) \le k + 2$. We now have $n - k - 1 = \gamma_c^k(T) \le \gamma_c^{k-t+1}(T) \le k + 2$ giving $n \le 2k + 3$, so the assumption $n \ge 2k + 3$ implies n = 2k + 3. By hypothesis $\gamma_c^k(T) = k + 2$ and we have $\gamma(T) \le k + 1$ by Proposition 1. Thus $\gamma(T) = k + 1$, (because otherwise $\gamma_c^k(T) = \gamma(T) < k + 2$), and any $\gamma(T)$ -set must consist of k + 1 isolated vertices. As $\gamma(T) = \lfloor \frac{n}{2} \rfloor$ the tree T by Proposition 4 is of type A or B. But T cannot be of

Thus $\gamma(T) = k + 1$, (because otherwise $\gamma_c^{\kappa}(T) = \gamma(T) < k + 2$), and any $\gamma(T)$ -set must consist of k + 1 isolated vertices. As $\gamma(T) = \lfloor \frac{n}{2} \rfloor$ the tree T by Proposition 4 is of type A or B. But T cannot be of type B, for assume T is of type B. Then T consists of a 3-path, uvw, with each of its ends joined to stems of corona trees, and since we have just seen that $v_3, v_{\alpha-2}$ are neither stems nor leaves, they must play the role of u, w, so $\alpha = 7$ and T consists of two subdivided stars centered respectively at $u = v_3$ and $w = v_5$ and a vertex $v = v_4$ joined to u and w. Among its γ -sets this tree T has one with two adjacent vertices, namely v_2 and v_3 , a contradiction, so T is of type A.

Using, in analogy to v_2, v_3 , that $d_T(v_{\alpha-1}) = 2$ and that $v_{\alpha-2}$ is not a stem, we get that $\alpha = 5$ and T is a subdivided star so that (iii) occurs.

Conversely, it is easy to see that if (i), (ii) or (iii) holds then $\gamma_c^k(T) = \gamma(T) = n - k + 1$. This proves Theorem 5.

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