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Stacks, Queues and Tracks: Layouts of Graph Subdivisions †

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A k-*stack layout* (respectively, k-*queue layout*) of a graph consists of a total order of the vertices, and a partition of the edges into k sets of non-crossing (non-nested) edges with respect to the vertex ordering. A k-*track layout* of a graph consists of a vertex k-colouring, and a total order of each vertex colour class, such that between each pair of colour classes no two edges cross. The *stack-number* (respectively, *queue-number*, *track-number*) of a graph G, denoted by $\mathsf{sn}(G)$ ($\mathsf{qn}(G)$, $\mathsf{tn}(G)$), is the minimum k such that G has a k-stack (k-queue, k-track) layout.

This paper studies stack, queue, and track layouts of graph subdivisions. It is known that every graph has a 3-stack subdivision. The best known upper bound on the number of division vertices per edge in a 3-stack subdivision of an n-vertex graph G is improved from $\mathcal{O}(\log n)$ to $\mathcal{O}(\log \min\{\mathsf{sn}(G), \mathsf{qn}(G)\})$. This result reduces the question of whether queue-number is bounded by stack-number to whether 3-stack graphs have bounded queue number.

It is proved that every graph has a 2-queue subdivision, a 4-track subdivision, and a mixed 1-stack 1-queue subdivision. All these values are optimal for every non-planar graph. In addition, we characterise those graphs with k-stack, k-queue, and k-track subdivisions, for all values of k. The number of division vertices per edge in the case of 2-queue and 4-track subdivisions, namely $\mathcal{O}(\log \mathsf{qn}(G))$, is optimal to within a constant factor, for every graph G.

Applications to 3D polyline grid drawings are presented. For example, it is proved that every graph G has a 3D polyline grid drawing with the vertices on a rectangular prism, and with $\mathcal{O}(\log \mathsf{qn}(G))$ bends per edge. Finally, we establish a tight relationship between queue layouts and so-called 2-track thickness of bipartite graphs.

Keywords: graph layout, graph drawing, track layout, stack layout, queue layout, book embedding, track-number, queue-number, stack-number, page-number, book-thickness, 2-track thickness, geometric thickness, subdivision, three-dimensional graph drawing

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1 Introduction

We consider undirected, finite, and simple graphs G with vertex set $V(G)$ and edge set $E(G)$. The number of vertices and edges of G are respectively denoted by $n = |V(G)|$ and $m = |E(G)|$. The subgraph of G induced by a set of vertices $A \subseteq V(G)$ is denoted by $G[A]$. For all $A, B \subseteq V(G)$ with $A \cap B = \emptyset$, we denote by $G[A, B]$ the bipartite subgraph of G with vertex set $A \cup B$ and edge set $\{vw \in E(G) : v \in A, w \in B\}$. The spanning subgraph of G induced by a set of edges $S \subseteq E(G)$ is denoted by $G[S]$.

A *subdivision* of a graph G is a graph obtained from G by replacing each edge $vw \in E(G)$ by a path with at least one edge whose endpoints are v and w. Internal vertices on this path are called *division* vertices, while v and w are called *original* vertices. Let G', G'' and G''' be the subdivisions of G with respectively one, two and three division vertices per edge. Throughout this paper, we implicitly use the fact that planarity and non-planarity is preserved by subdividing edges. A graph H is a *minor* of G if H is isomorphic to a graph obtained from a subgraph of G by contracting edges. A minor-closed class of graphs is *proper* if it is not the class of all graphs.

A *graph parameter* is a function α that assigns to every graph G a non-negative integer $\alpha(G)$. Let $\mathcal{G}$ be a class of graphs. By $\alpha(\mathcal{G})$ we denote the function $f : \mathbb{N} \to \mathbb{N}$, where $f(n)$ is the maximum of $\alpha(G)$, taken over all n-vertex graphs $G \in \mathcal{G}$. We say $\mathcal{G}$ has *bounded* α if $\alpha(\mathcal{G}) \in \mathcal{O}(1)$. A graph parameter α is *bounded by* a graph parameter β (for some class $\mathcal{G}$), if there exists a *binding* function g such that $\alpha(G) \leq g(\beta(G))$ for every graph G (in $\mathcal{G}$). If α is bounded by β (in $\mathcal{G}$) and β is bounded by α (in $\mathcal{G}$) then α and β are *tied* (in $\mathcal{G}$). Clearly, if α and β are tied then a graph family $\mathcal{G}$ has bounded α if and only if $\mathcal{G}$ has bounded β. These notions were introduced by Gyárfás [51] in relation to near-perfect graph families for which the chromatic number is bounded by the clique-number.

1.1 Stack and Queue Layouts

An *ordering* of a set S is a total order $<_\sigma$ on S. It will be convenient to interchange "σ" and "$<_\sigma$" when there is no ambiguity. For instance, we say S is *ordered by* σ. For some ordered set S, let $\overleftarrow{S}$ denote the same set with the reverse ordering. A *vertex ordering* of a graph G is an ordering σ of the vertex set $V(G)$. At times, it will be convenient to express σ by the list $(v_1, v_2, \ldots, v_n)$, where $v_i <_\sigma v_j$ if and only if $1 \leq i < j \leq n$. Suppose that $V_1, V_2, \ldots, V_k$ are disjoint sets of vertices, such that each V_i is ordered by $<_i$. Then $(V_1, V_2, \ldots, V_k)$ denotes the vertex ordering σ such that $v <_\sigma w$ whenever $v \in V_i$ and $w \in V_j$ with $i < j$, or $v \in V_i$, $w \in V_i$, and $v <_i w$. We write $V_1 <_\sigma V_2 <_\sigma \cdots <_\sigma V_k$.

In a vertex ordering σ of a graph G, let $L(e)$ and $R(e)$ denote the endpoints of each edge $e \in E(G)$ such that $L(e) <_\sigma R(e)$. Consider two edges $e, f \in E(G)$ with no common endpoint such that $L(e) <_\sigma L(f)$. If $L(e) <_\sigma L(f) <_\sigma R(e) <_\sigma R(f)$ then e and f *cross*, and if $L(e) <_\sigma L(f) <_\sigma R(f) <_\sigma R(e)$ then e and f *nest*, and f is *nested inside* e. A *stack* (respectively, *queue*) is a set of edges $E' \subseteq E(G)$ such that no two edges in E' cross (nest). Observe that when traversing the vertex ordering, edges in a stack (queue) appear in LIFO (FIFO) order—hence the names. A queue E' has a total order $\preceq$, called the *queue order*, such that

$$\forall e, f \in E', \;\; e \preceq f \iff L(e) \leq_\sigma L(f) \text{ and } R(e) \leq_\sigma R(f) \;\; . \tag{1}$$

A k-*stack* (*queue*) *layout* of G consists of a vertex ordering σ of G and a partition $\{E_\ell : 1 \leq \ell \leq k\}$ of $E(G)$, such that each E_ℓ is a *stack* (*queue*) in σ. At times we write $\mathsf{stack}(e) = \ell$ (or $\mathsf{queue}(e) = \ell$) if $e \in E_\ell$. Examples of 3-stack and 3-queue layouts of K_6 are illustrated in Figure 1.

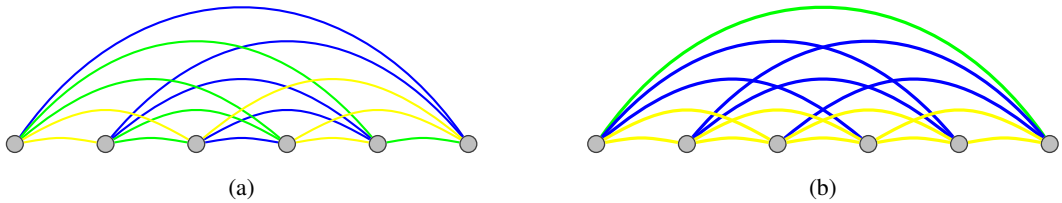

Fig. 1: Layouts of K_6: (a) 3-stack, (b) 3-queue.

A graph admitting a k-stack (queue) layout is called a *k-stack* (*queue*) *graph*. The *stack-number* of a graph G, denoted by $\mathsf{sn}(G)$, is the minimum k such that G is a k-stack graph. The *queue-number* of a graph G, denoted by $\mathsf{qn}(G)$, is the minimum k such that G is a k-queue graph. By interpreting a queue layout as a partition of the edges into sets that satisfy (1), the queue-number of a graph is a natural measure of its 'linearity'.

For a summary of applications and results regarding stack and queue layouts see our companion paper [29]. Despite a wealth of research on stack and queue layouts, the following fundamental questions of Heath *et al.* [56] remain unanswered.

Open Problem 1. [56] *Is stack-number bounded by queue-number?*

Open Problem 2. [56] *Is queue-number bounded by stack-number?*

Suppose that stack-number is bounded by queue-number, but queue-number is not bounded by stack-number. This would happen, for example, if there exists a constant s such that for every q there exists an s-stack graph with no q-queue layout. Then we would consider stacks to be more 'powerful' than queues, and vice versa.

Heath *et al.* [56], in their study of the relationship between stack- and queue-number, restricted themselves to linear binding functions. For example, for stack-number to be bounded by queue-number meant that $\mathsf{sn}(G) \in \mathcal{O}(\mathsf{qn}(G))$ for every graph G. Thus Heath *et al.* [56] considered Open Problem 1 to be solved in the negative by displaying an infinite class of graphs $\mathcal{G}$, such that $\mathsf{sn}(\mathcal{G}) \in \Omega(3^{\mathsf{qn}(\mathcal{G})})$. In our more liberal definition of a binding function, this result merely provides a lower bound on a potential binding function.

Depth-first search and breadth-first search can be thought of as the same algorithm, where depth-first search operates with a stack and breadth-first search operates with a queue. Thus stack and queue layouts of graphs are a means for measuring the relative power of depth-first search and breadth-first search. It is no coincidence that many algorithms for computing stack layouts use depth-first search [16, 47], while breadth-first search is often used for computing queue layouts [27, 56, 86]. These ideas are made particularly concrete in the case of trees (see Lemmata 15 and 16).

1.2 Stack and Queue Layouts of Subdivisions

Stack and queue layouts of graph subdivisions are a central topic of this paper. The following fundamental result has been observed by many authors [7, 39, 70, 73]. The well known proof, which we include for completeness, can be traced to the seminal result by Atneosen [3] that every graph has an embedding in a 3-page book. Kainen and Overbay [64] state that, according to Jozef Przytycki, this result was also discovered by Holtz, a student of Reidemeister.

Theorem 1. [7, 39, 70, 73] *Every graph has a* 3*-stack subdivision.*

Proof: Let σ be an arbitrary vertex ordering of a given graph G. Consider the graph G'' with each edge of G subdivided twice. For each vertex $v \in V(G)$, insert into σ the vertices $\{x : vx \in E(G'')\}$ immediately to the right of v, and assign the edges $E^* = \{vx : v \in V(G), vx \in E(G'')\}$ to the first stack. Clearly no two edges in E^* cross in σ. It remains to assign a subdivision of the matching $E(G'') \setminus E^*$ to the remaining two stacks. This amounts to drawing a matching in the plane with no edge crossings such that the vertices are fixed to a line. Clearly this can be accomplished. An edge of $E(G'') \setminus E^*$ is subdivided every time it crosses the line. Thus every graph has a 3-stack subdivision. □

Note that 3-stack layouts are important in complexity theory [45, 46, 65], and 3-stack layouts of knots and links, so called *Dynnikov diagrams*, have also recently been considered [18, 33, 34, 35, 36, 67, 76, 91].

The proof of Theorem 1 provides no bound on the number of division vertices. It is interesting to determine the minimum number of division vertices in a 3-stack subdivision of a given graph. The previously best known bounds are due to Enomoto and Miyauchi [39], who proved that every graph has a 3-stack subdivision with $\mathcal{O}(\log n)$ division vertices per edge. Moreover, a trade-off between the number of stacks and the number of division vertices per edge was observed. In particular, Enomoto and Miyauchi [71, 73] proved that for all $s \geq 3$, every graph has an s-stack subdivision with $\mathcal{O}(\log_{s-1} n)$ division vertices per edge, and Enomoto *et al.* [40] proved that this bound is tight up to a constant factor for K_n (and some slightly more general families). Thus Enomoto *et al.* [40] claimed that the $\mathcal{O}(\log n)$ upper bound is 'essentially best possible'. Note that Miyauchi [72] recently improved the upper bound to $\mathcal{O}(\log_{s-1} n)$ for bipartite graphs with n vertices in the smaller bipartition.

We prove a refinement of the upper bound of Enomoto and Miyauchi [39], in which the number of division vertices per edge depends on the stack-number or queue-number of the given graph. In particular, every graph G has a 3-stack subdivision with $\mathcal{O}(\log \min\{\mathsf{sn}(G), \mathsf{qn}(G)\})$ division vertices per edge. Since $\mathsf{sn}(G)$ and $\mathsf{qn}(G)$ are both no more than n, our bound is at most the $\mathcal{O}(\log n)$ bound of Enomoto and Miyauchi [39] (ignoring constant factors). This result has a significant implication for Open Problem 2. Namely that queue-number is bounded by stack-number if and only if 3-stack graphs have bounded queue-number (Theorem 8). For this corollary to hold, it is essential that the number of division vertices per edge is some function of $\mathsf{sn}(G)$, thus emphasising the significance of our bound in comparison with previous results. As described in Table 1, our result for 3-stack subdivisions generalises to s-stack subdivisions in a similar fashion to the result of Miyauchi [73].

We prove an analogous result for queue layouts. In particular, every graph G has a 2-queue subdivision with $\mathcal{O}(\log \mathsf{qn}(G))$ division vertices per edge. Thus, at least for the representation of graph subdivisions, two queues suffice rather than three stacks. In this sense, queues are more powerful than stacks. Moreover, our bound on the number of division vertices per edge is optimal up to a constant factor for all graphs. Unfortunately, no such universal lower bound is known for stack layouts of subdivisions.

Stack and queue layouts are generalised through the notion of a *mixed* layout. Here each edge of a graph is assigned to a stack or to a queue, defined with respect to a common vertex ordering. We speak of an *s-stack q-queue mixed layout* and an *s-stack q-queue graph*. Part of the motivation for studying mixed stack and queue layouts is that they model the double-ended queue (dequeue) data structure, since a dequeue may be simulated by two stacks and one queue. Observe that the proof of Theorem 1 implies that every graph has a 2-stack 1-queue subdivision, since the first stack is also a queue, whereas we prove that every graph has a 1-stack 1-queue subdivision.

Tab. 1: Layouts of a subdivision of a graph G.

graph	type of layout		# division vertices per edge	reference
arbitrary	s-stack	($s \geq 3$)	$\mathcal{O}(\log_{s-1} \mathsf{sn}(G))$	Theorem 7
arbitrary	s-stack	($s \geq 3$)	$\mathcal{O}(\log_{s-1} \mathsf{qn}(G))$	Theorem 9
planar	2-stack		1	[49, 66]; Lemma 31
arbitrary	q-queue	($q \geq 2$)	$\Theta(\log_q \mathsf{qn}(G))$	Theorems 4 and 5
planar	1-queue		$n-2$	Theorem 20
arbitrary	s-stack q-queue	($s \geq 1$, $q \geq 1$)	$\mathcal{O}(\log_{(s+q)q} \mathsf{sn}(G))$	Theorem 11
arbitrary	s-stack q-queue	($s \geq 1$, $q \geq 1$)	$\mathcal{O}(\log_{(s+q)q} \mathsf{qn}(G))$	Theorem 12
planar	1-stack 1-queue		4	Lemma 34
arbitrary	$(d+1,2)$-track	($d \geq 2$)	$\Theta(\log_d \mathsf{qn}(G))$	Theorems 14 and 17
arbitrary	$(d,3)$-track	($d \geq 2$)	$\Theta(\log_d \mathsf{qn}(G))$	Theorems 15 and 17
arbitrary	$(d+2)$-track	($d \geq 2$)	$\Theta(\log_d \mathsf{qn}(G))$	Theorems 16 and 17
planar	3-track		$n-2$	Theorem 21

1.3 Track Layouts

A *vertex t-colouring* of a graph G is a partition $\{V_i : 1 \leq i \leq t\}$ of $V(G)$ such that for every edge $vw \in E(G)$, if $v \in V_i$ and $w \in V_j$ then $i \neq j$. Suppose that each colour class V_i is ordered by $<_i$. Then the ordered set $(V_i, <_i)$ is called a *track*, and $\{(V_i, <_i) : 1 \leq i \leq t\}$ is a *t-track assignment* of G. We say $\mathsf{track}(v) = i$ when $v \in V_i$. To ease the notation we denote track assignments by $\{V_i : 1 \leq i \leq t\}$ when the ordering on each colour class is implicit.

The *span* of an edge vw in a track assignment $\{V_i : 1 \leq i \leq t\}$ is $|i-j|$ where $v \in V_i$ and $w \in V_j$. That there is a fixed ordering of the tracks in a track assignment is implicit in the definition of span.

An *X-crossing* in a track assignment consists of two edges vw and xy such that $v <_i x$ and $y <_j w$, for distinct colours i and j. An *edge k-colouring* of G is simply a partition $\{E_i : 1 \leq i \leq k\}$ of $E(G)$. An edge $vw \in E_i$ is said to be *coloured* i, written $\mathsf{col}(vw) = i$. A (k,t)*-track layout* of G consists of a t-track assignment of G and an edge k-colouring of G with no monochromatic X-crossing. A graph admitting a (k,t)-track layout is called a (k,t)*-track graph*. The minimum t such that a graph G is a (k,t)-track graph is denoted by $\mathsf{tn}_k(G)$.

$(1,t)$-track layouts (that is, with no X-crossing) are of particular interest due to applications in three-dimensional graph drawing (see Section 5). A $(1,t)$-track layout is called a *t-track layout*. A graph admitting a t-track layout is called a *t-track graph*. The *track-number* of G is $\mathsf{tn}_1(G)$, simply denoted by $\mathsf{tn}(G)$. For a summary of bounds on the track-number see our companion paper [28].

The following lemma highlights the fundamental relationship between track layouts, and queue and stack layouts. Its proof follows immediately from the definitions, and is illustrated in Figure 2 for $k = 1$.

Lemma 1. *Let $\{A, B\}$ be a track assignment of a bipartite graph G. Then the following are equivalent:*

(a) *$\{A, B\}$ admits a $(k,2)$-track layout of G,*

(b) *the vertex ordering (A, B) admits a k-queue layout of G, and*

(c) *the vertex ordering $(A, \overleftarrow{B})$ admits a k-stack layout of G.* □

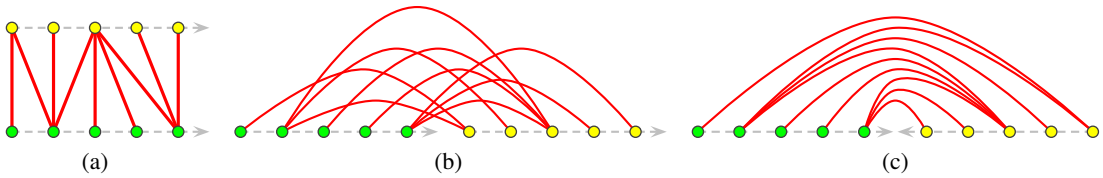

Fig. 2: Layouts of a caterpillar: (a) 2-track, (b) 1-queue, (c) 1-stack.

The relationship between queue and track layouts in Lemma 1 was extended by Dujmović *et al.* [28] who proved that queue-number and track-number are tied.

Our main result concerning track layouts highlights the trade-off between few tracks and few edge colours. We prove that every graph G has a subdivision D with $\mathcal{O}(\log \mathsf{qn}(G))$ division vertices per edge, such that (a) D has a $(1,4)$-track layout, (b) D has a $(2,3)$-track layout, and (c) D has a $(3,2)$-track layout. We shall see that all of these numeric values are best possible for any non-planar graph G. Moreover, the number of division vertices per edges is optimal, since any subdivision satisfying (a), (b) or (c) has an edge with $\Omega(\log \mathsf{qn}(G))$ division vertices. For all $d \geq 2$, our results generalise to $(1, d+2)$-, $(d,3)$-, and $(d+1,2)$-track layouts as summarised in Table 1.

1.4 Thickness and Topological Parameters

Let α be a graph parameter. Let sub-α be the graph parameter defined by sub-$\alpha(G) = \alpha(G')$ for every graph G. We say α is *topological* if α and sub-α are tied. For example, chromatic number is not topological since G' is bipartite. On the other hand treewidth is topological. In fact, it is well known that the treewidth of a graph G equals the treewidth of every subdivision of G [23, Exercise 13, p. 278].

The *thickness* of a graph G, denoted by $\theta(G)$, is the minimum number of subgraphs in a partition of $E(G)$ into planar subgraphs [63]. Thickness is not topological since $\theta(G') \leq 2$. Beineke [4] attributes this observation to Tutte. The proof is straightforward. Let $V(G) = \{v_1, v_2, \ldots, v_n\}$. Denote by $x_{i,j}$ the division vertex of each edge $v_i v_j$ with $i < j$. Then $\{v_i x_{i,j} : 1 \leq i < j \leq n\}$ and $\{v_i x_{j,i} : 1 \leq j < i \leq n\}$ is a partition of $E(G')$ in two (planar) forests.

The *geometric thickness* of a graph G, denoted by $\overline{\theta}(G)$, is the minimum number of colours such that G can be drawn in the plane with edges as coloured straight-line segments, such that monochromatic edges do not cross [24, 63]. Every graph G has such a drawing in the plane with an arbitrary set of preassigned vertex locations, and with $\theta(G)$ edge colours [53, 81]. Thus, the key difference between geometric thickness and (graph-theoretic) thickness is that geometric thickness requires the edges to be drawn as straight line-segments, whereas thickness allows edges to bend arbitrarily. Eppstein [41] proved that $\overline{\theta}(G') \leq 2$ for every graph G. Thus geometric thickness is not topological.

Stack-number (or book-thickness) is equivalent to geometric thickness with the additional requirement that the vertices are in convex position [5]. Thus

$$\forall \text{ graph } G,\ \theta(G) \leq \overline{\theta}(G) \leq \mathsf{sn}(G) \ . \tag{2}$$

Blankenship and Oporowski [7], Enomoto and Miyauchi [39], and Eppstein [41] independently proved that $\mathsf{sn}(K_n)$ is bounded by $\mathsf{sn}(K'_n)$. The proofs by Blankenship and Oporowski [7] and Eppstein [41] use essentially the same Ramsey-theoretic argument. Since $\overline{\theta}(K'_n) = 2$, Eppstein [41] observed that stack-number is not bounded by geometric thickness. Using a more elaborate Ramsey-theoretic argument,

Eppstein [41] proved that geometric thickness is not bounded by thickness. In particular, for every t there exists a graph with thickness three and geometric thickness at least t. Blankenship and Oporowski [7] conjecture that their result for complete graphs extends to all graphs.

Conjecture 1. [7] *There exists a function f, such that for every graph G and every subdivision H of G with at most one division vertex per edge, we have* $\mathsf{sn}(G) \leq f(\mathsf{sn}(H))$.

In Lemma 13 we prove that sub-sn is bounded by sn. Thus the truth of Conjecture 1 would imply that stack-number is topological. Moreover, in Theorem 10 we prove that if Conjecture 1 is true then stack-number is bounded by queue-number, thus giving an affirmative solution to Open Problem 1. In Sections 2.1 and 2.2 we prove that both track-number and queue-number are topological.

We now relate queue-number to a new thickness parameter. Let the 2-*track thickness* of a bipartite graph G, denoted by $\theta_2(G)$, be the minimum k such that G has a $(k, 2)$-track layout. By (2) and Lemma 1(c),

$$\forall \text{ bipartite graphs } G,\ \theta(G) \leq \overline{\theta}(G) \leq \mathsf{sn}(G) \leq \theta_2(G) \ .$$

Let the 2-*track sub-thickness* of a graph G, denoted by $\mathsf{sub}\text{-}\theta_2(G)$, be the 2-track thickness of G'. This is well-defined since G' is bipartite. In Theorem 2 we prove that queue-number is tied to 2-track thickness for bipartite graphs, and queue-number is tied to 2-track sub-thickness (for all graphs). The immediate implication for Open Problem 1 is that stack-number is bounded by queue-number if and only if stack-number is bounded by 2-track sub-thickness. While it is an open problem whether stack number is bounded by track-number or by queue-number, in our companion paper [28] we prove the weaker result that geometric thickness is bounded by track-number, which implies that geometric thickness is bounded by queue-number.

1.5 Three-Dimensional Polyline Drawings

A *three-dimensional polyline grid drawing* of a graph, henceforth called a *3D polyline drawing*, represents the vertices by distinct points in $\mathbb{Z}^3$ (called *gridpoints*), and represents each edge as a polyline between its endpoints with bends (if any) also at gridpoints, such that distinct edges only intersect at common endpoints, and each edge only intersects a vertex that is an endpoint of that edge. A 3D polyline drawing with at most b bends per edge is called a *3D b-bend drawing*. A 3D 0-bend drawing is called a *3D straight-line drawing*. Of course, a 3D b-bend drawing of a graph G is precisely a 3D straight-line drawing of a subdivision of G with at most b division vertices per edge.

In contrast to the case in the plane, it is well known that every graph has a 3D straight-line drawing. We therefore are interested in optimising certain measures of the aesthetic quality of such drawings. The *bounding box* of a 3D polyline drawing is the minimum axis-aligned box containing the drawing. If the bounding box has side lengths $X - 1$, $Y - 1$ and $Z - 1$, then we speak of an $X \times Y \times Z$ polyline drawing with *volume* $X \cdot Y \cdot Z$. That is, the volume of a 3D polyline drawing is the number of gridpoints in the bounding box. This definition is formulated so that two-dimensional drawings have positive volume.

This paper initiates the study of upper bounds on the volume and number of bends per edge in arbitrary 3D polyline drawings. (Three-dimensional polyline graphs drawings with orthogonal edges have been previously studied; see [38, 100] for example.) The volume of 3D straight-line drawings has been widely studied [10, 13, 17, 20, 21, 22, 27, 30, 44, 55, 80, 84]. Three-dimensional graph drawings in which the vertices are allowed real coordinates have also been investigated [12, 14, 15, 19, 37, 48, 58, 59, 60, 61, 62, 74, 79]. Aesthetic criteria besides volume that have been considered include symmetry [58, 59, 60, 61, 62],

aspect ratio [15, 48], angular resolution [15, 48], edge-separation [15, 48], and convexity [14, 15, 37]. Three-dimensional graph drawing has applications in software visualisation [31, 82, 94, 95, 96, 97] and VLSI circuit layout [1, 2, 68, 68, 78, 85, 87, 90, 99] for example.

Table 2 summarises the best known upper bounds on the volume and bends per edge in 3D polyline drawings, including those established in this paper. In general, there is a tradeoff between few bends and small volume in such drawings, which is evident in Table 2. Our upper bound of $\mathcal{O}(m \log q)$ is within a factor of $\mathcal{O}(\log q)$ of being optimal for all q-queue graphs, since Bose *et al.* [10] proved that 3D polyline drawings have at least $\frac{1}{8}(n+m)$ volume.

Tab. 2: Volume of 3D polyline drawings of graphs with n vertices and $m \geq n$ edges.

graph family	bends per edge	volume	reference
arbitrary	0	$\mathcal{O}(n^3)$	Cohen *et al.* [17]
arbitrary	0	$\mathcal{O}(m^{4/3}n)$	Dujmović and Wood [30]
maximum degree Δ	0	$\mathcal{O}(\Delta mn)$	Dujmović and Wood [30]
bounded maximum degree	0	$\mathcal{O}(m^{1/2}n)$	Dujmović and Wood [30]
bounded chromatic number	0	$\mathcal{O}(n^2)$	Pach *et al.* [80]
bounded chromatic number	0	$\mathcal{O}(m^{2/3}n)$	Dujmović and Wood [30]
H-minor free (H fixed)	0	$\mathcal{O}(n^{3/2})$	Dujmović and Wood [30]
bounded treewidth	0	$\mathcal{O}(n)$	Dujmović *et al.* [27]
c-colourable q-queue	1	$\mathcal{O}(cqm)$	Theorem 24
arbitrary	1	$\mathcal{O}(nm)$	Theorem 25
q-queue	2	$\mathcal{O}(qn)$	Theorem 26
q-queue (constant $\epsilon > 0$)	$\mathcal{O}(1)$	$\mathcal{O}(mq^{\epsilon})$	Theorem 27
q-queue	$\mathcal{O}(\log q)$	$\mathcal{O}(m \log q)$	Theorem 28

1.6 Organisation

This paper is organised as follows. Section 2 presents results regarding queue, stack and track layouts of the subdivisions G' and G''. Section 3 presents most of our main results discussed above. In Section 3.2 we review known results concerning stack and queue layouts of trees, and prove a useful lemma about mixed stack and queue layouts of trees. Section 4 considers layouts of subdivisions of planar graphs. Finally, in Section 5 we present applications in three-dimensional polyline graph drawing.

2 Small Subdivisions

In this section we consider layouts of G' and G'', the subdivisions of a graph G with one and two division vertices per edge, respectively.

2.1 Track Layouts

Lemma 2. *For every q-queue graph G, the subdivision G' has a $(q+1, 2)$-track layout. That is, 2-track sub-thickness is bounded by queue-number. In particular,* $\mathsf{sub}\text{-}\theta_2(G) \leq \mathsf{qn}(G) + 1$.

Proof: Let σ be the vertex ordering in a q-queue layout of G with queues $\{E_\ell : 1 \leq \ell \leq q\}$. Recall that $L(e)$ and $R(e)$ denote the left and right endpoints in σ of each edge e. Let $X(e)$ denote the division vertex of e in G'. Let $\prec$ be the total order on $\{X(e) : e \in E(G)\}$ such that $X(e) \prec X(f)$ whenever $L(e) <_\sigma L(f)$, or $L(e) = L(f)$ and $R(e) <_\sigma R(f)$. Consider $(V(G), \sigma)$ and $(\{X(e) : e \in E(G)\}, \prec)$ to define a 2-track assignment of G'. Colour the edges of G' as follows. For all edges $e \in E_\ell$, let $\mathsf{col}(L(e)X(e)) = 0$ and $\mathsf{col}(X(e)R(e)) = \ell$. Since in $\prec$, division vertices are ordered primarily by the left endpoint of the corresponding edge, no two edges $L(e)X(e)$ and $L(f)X(f)$ form an X-crossing. Suppose $e' = X(e)R(e)$ and $f' = X(f)R(f)$ form an X-crossing. Without loss of generality $R(e) <_\sigma R(f)$ and $X(f) \prec X(e)$. By construction $L(f) <_\sigma L(e)$, and e is nested inside f in σ. Thus e and f are in distinct queues, and $\mathsf{col}(e') \neq \mathsf{col}(f')$. Hence there is no monochromatic X-crossing. The number of edge colours is $q + 1$. Therefore we have a $(q + 1, 2)$-track layout of G'. □

Lemma 2 is best possible in the following (weak) sense. Let G be a 2-queue subdivision of a non-planar graph, which exists by Theorem 4 below. If G' has a $(k, 2)$-track layout, then $k \geq 3$ since G' is non-planar, and by Theorem 22 below, only planar graphs have $(2, 2)$-track layouts. In Lemma 3 below we prove a complimentary result to Lemma 2.

Lemma 3. *Queue-number is bounded by 2-track sub-thickness. In particular, if* $\mathsf{sub}\text{-}\theta_2(G) \leq k$ *for some graph G, then* $\mathsf{qn}(G) \leq \frac{1}{2}k(k + 1)$.

Proof: Clearly we can assume that G is connected. Thus in the given $(k, 2)$-track layout of G', the vertices of G are on one track and the division vertices are on the other track. Let σ be the ordering of the original vertices of G on the first track, and let π be the ordering of the division vertices on the second track. Let $1 \leq \mathsf{col}(e) \leq k$ be the colour assigned to each edge e of G'. Consider $V(G)$ to be ordered by σ. Partition the edges of G into queues as follows. For each edge $vw \in E(G)$ subdivided by vertex x in G', let $\mathsf{queue}(vw) = \{\mathsf{col}(vx), \mathsf{col}(wx)\}$. We now prove that this defines a queue layout of G. Say vw is nested inside ab in σ. Without loss of generality $a <_\sigma v <_\sigma w <_\sigma b$. Let vw be divided by x in G', and let ab be divided by c in G'. First suppose that $x <_\pi c$ in the second track. Then each of xw and xv form an X-crossing with ac. Thus $\mathsf{col}(xw) \neq \mathsf{col}(ac)$ and $\mathsf{col}(xv) \neq \mathsf{col}(ac)$. Hence $\mathsf{queue}(vw) \neq \mathsf{queue}(ab)$. Now suppose $c <_\pi x$ in the second track. Then bc forms an X-crossing with each of xw and xv. Thus $\mathsf{col}(bc) \neq \mathsf{col}(xw)$ and $\mathsf{col}(bc) \neq \mathsf{col}(xv)$. Hence $\mathsf{queue}(vw) \neq \mathsf{queue}(ab)$. The number of queues in the queue layout of G is $\binom{k}{2} + k = \frac{1}{2}k(k + 1)$. □

The observant reader will notice parallels between the above proof and that of the Erdös-Szekeres Theorem [42] regarding increasing and decreasing subsequences. In fact, the Erdös-Szekeres Theorem in conjunction with Lemma 23 below can prove Lemma 3 with the slightly weaker bound of $\mathsf{qn}(G) \leq k^2$.

Theorem 2. *Queue-number is tied to 2-track thickness for bipartite graphs, and queue-number is tied to 2-track sub-thickness (for all graphs).*

Proof: The first claim is proved in our companion paper [28]. The second claim follows from Lemmata 2 and 3. □

Lemma 4. *Every c-colourable q-queue graph G satisfies:*

$$\text{(a) } \mathsf{tn}_2(G') \leq q + 1, \quad \text{(b) } \mathsf{tn}(G') \leq c(q + 1), \quad \textit{and} \quad \text{(c) } \mathsf{tn}(G'') \leq q + 2 \ .$$

Proof: Let σ be the vertex ordering in a q-queue layout of G with queues $\{E_\ell : 1 \leq \ell \leq q\}$. Let $X(e)$ denote the division vertex of e in G'. Let $X_\ell = \{X(e) : e \in E_\ell\}$ for each $1 \leq \ell \leq q$. Let $<_\ell$ denote the queue order of each E_ℓ. Consider $<_\ell$ to also order X_ℓ. That is, for all edges $e, f \in E_\ell$,

$$X(e) \leq_\ell X(f) \iff L(e) \leq_\sigma L(f) \text{ and } R(e) \leq_\sigma R(f) \ . \tag{3}$$

First we prove (a). The set $\{(X_\ell, <_\ell) : 1 \leq \ell \leq q\} \cup \{(V(G), \sigma)\}$ defines a $(q+1)$-track assignment of G'. Colour edges $L(e)X(e)$ of G' blue, and colour edges $R(e)X(e)$ of G' red. We claim that there is no monochromatic X-crossing. All edges of G' are between a vertex of G and a division vertex. Thus an X-crossing must involve two division vertices on the same track. Consider two edges e and f with $X(e) <_\ell X(f)$ for some $1 \leq \ell \leq q$. By (3), each of the pairs of edges $\{L(e)X(e), L(f)X(f)\}$ and $\{R(e)X(e), R(f)X(f)\}$ do not form an X-crossing. For each pair of edges $\{L(e)X(e), R(f)X(f)\}$ and $\{R(e)X(e), L(f)X(f)\}$ the edges are coloured differently. Thus there is no monochromatic X-crossing and we have a $(2, q+1)$-track layout of G'.

Now we prove (b). Let $\{V_i : 1 \leq i \leq c\}$ be a vertex c-colouring of G. Let $X_{i,\ell} = \{X(e) : e \in E_\ell, L(e) \in V_i\}$ for all $1 \leq \ell \leq q$ and $1 \leq i \leq c$. Thus $\{(X_{i,\ell}, <_\ell) : 1 \leq i \leq c, 1 \leq \ell \leq q\} \cup \{(V_i, <_\sigma) : 1 \leq i \leq c\}$ defines a $(qc + c)$-track assignment of G'. Consider division vertices $X(e), X(f) \in X_{i,\ell}$ such that $X(e) <_\ell X(f)$. By (3), $L(e) \leq L(f)$ in the ordering on V_i. Thus the pair of edges $\{L(e)X(e), L(f)X(f)\}$ do not form an X-crossing. Since both $R(e)$ and $R(f)$ are not in V_i, the pairs of edges $\{L(e)X(e), R(f)X(f)\}$ and $\{R(e)X(e), L(f)X(f)\}$ do not form an X-crossing. If both $R(e)$ and $R(f)$ are in the same colour class V_j, then $R(e) \leq_j R(f)$ by (3), and the pair of edges $\{R(e)X(e), R(f)X(f)\}$ do not form an X-crossing. Thus we have a $(qc + c)$-track layout of G'.

Finally we prove (c). Let $(L(e), X(e), Y(e), R(e))$ be the path replacing each edge e in G''. The first track consists of $\{(V(G), \sigma)\}$. The second track consists of $\{X(e) : e \in E(G)\}$, ordered so that $X(e) < X(f)$ whenever $L(e) <_\sigma L(f)$, or $L(e) = L(f)$ and $R(e) <_\sigma R(f)$. Edges between the first and second track are of the form $L(e)X(e)$. Since vertices $X(e)$ in the second track are primarily ordered by $L(e)$, there is no X-crossing between the first and second track. Now define and order Y_ℓ as with X_ℓ. Then $(Y_\ell, <_\ell) : 1 \leq \ell \leq q\}$ comprises the final q tracks. An X-crossing involving vertices on these tracks can only be between pairs of edges $\{X(e)Y(e), X(f)Y(f)\}$ or $\{Y(e)R(e), Y(f)R(f)\}$, where e and f are in the same queue. By (3), such pairs of edges do not form an X-crossing. Thus we have $(q+2)$-track layout of G''. □

We now describe how to produce a track layout of G' given a track layout of a graph G. We will need the following result from our companion paper [28].

Lemma 5. [28] *Queue-number is bounded by track-number. In particular, every (k, t)-track graph with maximum span s $(\leq t-1)$ has a ks-queue layout.*

Lemma 6. *Let G be a (k, t)-track graph with maximum span s $(\leq t-1)$. Then*

$$\text{(a) } \mathsf{tn}_{ks+1}(G') \leq 2, \quad \text{(b) } \mathsf{tn}_k(G') \leq 2t-1, \quad \textit{and} \quad \text{(c) } \mathsf{tn}(G') \leq k(t-1)+t \ .$$

Proof: Let $\{V_i : 1 \leq i \leq t\}$ be a (k, t)-track layout of G with span s. Let $\{E_\ell : 1 \leq \ell \leq k\}$ be the corresponding edge-colouring. By Lemma 5, G has a ks-queue layout. By Lemma 4(a), G' has a $(ks+1, 2)$-track layout. This proves part (a).

For each edge vw of G, let both edges in G' corresponding to vw be coloured by the colour assigned to vw. Now we prove part (b). For each $1 \leq i \leq t-1$, let $X_i \subseteq V(G') \setminus V(G)$ be the set consisting of

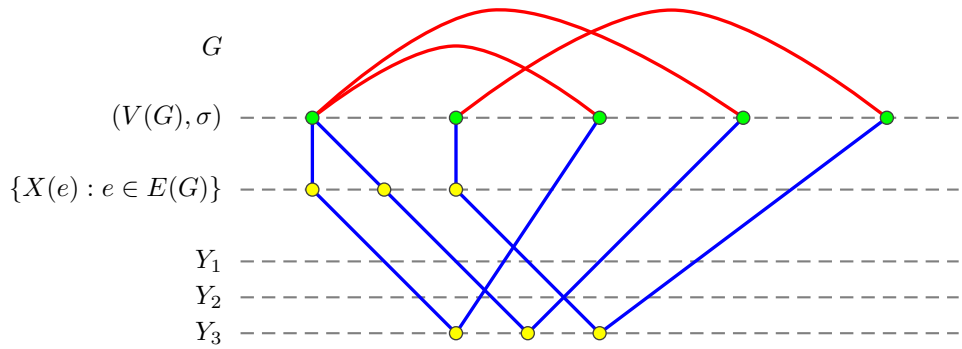

Fig. 3: Illustration for Lemma 4(c).

the division vertices of edges $vw \in E(G)$ such that $v \in V_i$, $w \in V_j$, and $i < j$. Order the vertices in X_i with respect to the order of the corresponding vertices in V_i, breaking ties by the order in some V_j where applicable. Clearly there is no monochromatic X-crossing, where vertices of $G \setminus G'$ remain in the given track layout. The number of tracks is $2t - 1$.

Finally we prove part (c). For each $1 \leq i \leq t-1$ and $1 \leq \ell \leq k$, let $X_{i,\ell} \subseteq X_i$ be the set consisting of the division vertices of edges $vw \in E_\ell$ such that $v \in V_i$, $w \in V_j$, and $i < j$. Order each $X_{i,\ell}$ as in X_i. All edges of G' incident to a vertex in $X_{i,\ell}$ are monochromatic. Thus there is no X-crossing regardless of the edge colours. The number of tracks is $t + k(t-1)$. □

We now describe how to produce a track layout of a graph G given a track layout of G'.

Lemma 7. *If a graph G is vertex c-colourable and G' has a (k,t)-track layout, then G has a (tk^2, ct)-track layout.*

Proof: Let $\{V_i : 1 \leq i \leq c\}$ be a vertex c-colouring of G, and for each vertex $v \in V(G)$, let $\mathsf{col}(v) = i$ where $v \in V_i$. Let $\{(W_j, <_j) : 1 \leq j \leq t\}$ be a (k,t)-track layout of G' with edge colouring $\{E_\ell : 1 \leq \ell \leq k\}$. Let $V_{i,j} = V_i \cap W_j$ for each $1 \leq i \leq c$ and $1 \leq j \leq t$. Then $\{(V_{i,j}, <_j) : 1 \leq i \leq c,\ 1 \leq j \leq t\}$ is a track assignment of G. We now colour each edge vw of G. Without loss of generality $\mathsf{col}(v) < \mathsf{col}(w)$. Let x be the division vertex of vw in G', and say $x \in W_j$, $vx \in E_{\ell_1}$, and $wx \in E_{\ell_2}$. Then colour vw by the ordered triple (j, ℓ_1, ℓ_2). Note that the number of edge colours is tk^2. We claim that there is no monochromatic X-crossing in the track assignment of G. Suppose for the sake of contradiction, that there are monochromatic edges vw and pq in G that form an X-crossing. Without loss of generality, $\mathsf{col}(v) = \mathsf{col}(p) < \mathsf{col}(w) = \mathsf{col}(q)$, and in the given track layout of G', $v <_{j_1} p$ and $q <_{j_2} w$ for some $1 \leq j_1, j_2 \leq t$. Let x and y be the division vertices of vw and pq, respectively. Since vw and pq are monochromatic, x and y are in the same track W_{j_3}. If $x <_{j_3} y$ then wx and qy form a monochromatic X-crossing in the given track layout, and if $y <_{j_3} x$ then vx and py form a monochromatic X-crossing in the given track layout. In both cases we have the desired contradiction. Thus there is no monochromatic X-crossing in the track assignment of G, and we have a (tk^2, ct)-track layout of G. □

Lemma 8. *For every graph G, if $\mathsf{tn}(G') \leq t$ then G is vertex $t(2t-1)$-colourable.*

Proof: Consider a t-track layout of G'. For $1 \leq i \leq t$, let V_i be the set of original vertices of G on the i-th track. Let G_i be the subgraph of G induced by V_i. Let $E_{i,j}$ be the set of edges vw of G for which $v, w \in V_i$ and the division vertex of vw is in the j-th track of G' ($1 \leq j \leq t, j \neq i$). Each vertex in V_i is incident to at most two edges in $E_{i,j}$, as otherwise there would be an X-crossing in the track layout of G'. Thus G_i has maximum degree at most $2(t-1)$. Hence G_i is $(2t-1)$-colourable, and G is $t(2t-1)$-colourable. □

Theorem 3. *Track-number is topological. In particular, every graph G satisfies*

$$\mathsf{tn}(G') \leq 2\,\mathsf{tn}(G) - 1 \ ,$$

and if $\mathsf{tn}(G') \leq t$ *then*

$$\mathsf{tn}(G) \leq (2t-1)t^2 \cdot 4^{\binom{t}{2}((2t-1)t^2-1)} \ .$$

Proof: The first claim is Lemma 6(c) with $k = 1$. Now suppose that $\mathsf{tn}(G') \leq t$. By Lemma 8, G is $t(2t-1)$-colourable. By Lemma 7 with $k = 1$ and $c = t(2t-1)$, G has a $(t, t^2(2t-1))$-track layout. In our companion paper [28], we proved that a (k', t')-track layout of a graph G can be refined to an (edge-monochromatic) $t' \cdot 4^{\binom{k'}{2}(t'-1)}$-track layout of G. The lemma follows by applying this result with $k' = t$ and $t' = t^2(2t-1)$. □

2.2 Queue Layouts

In this section we study the relationship between the queue-number of a graph G and the queue-number of G'. First note that Lemmata 2 and 5 imply the following.

Lemma 9. *The subdivision G' of a q-queue graph G has a $(q+1)$-queue layout.* □

We have the following converse result.

Lemma 10. *For every graph G, if G' has a q-queue layout with vertex ordering σ, then σ restricted to $V(G)$ admits a $q(2q+1)$-queue layout of G.*

Proof: Let X be the set of division vertices of G'. In our companion paper [28], we prove that for every vertex colouring $\{V_i : 1 \leq i \leq c\}$ of a q-queue graph H, there is a $(2q, c)$-track layout of H with tracks $\{V_i : 1 \leq i \leq c\}$. Now apply this result to G'. The vertex sets $V(G)$ and X define a vertex 2-colouring of G'. Thus G' has a $(2q, 2)$-track layout with tracks $(V(G), \sigma)$ and (X, σ). That is, $\mathsf{sub}\text{-}\theta_2(G) \leq 2q$. By Lemma 3, σ restricted to $V(G)$ admits a $q(2q+1)$-queue layout of G. □

Lemmata 9 and 10 imply that queue-number is topological, as mentioned in Section 1.4. We now prove a slightly more general result than Lemma 10 that will be used in Section 3.5. Here we start with a subdivision with at most one division vertex per edge rather than exactly one division vertex per edge.

Lemma 11. *Let D be a q-queue subdivision of a graph G with at most one division vertex per edge. Then G has a $2q(q+1)$-queue layout.*

Proof: Let σ be the vertex ordering in a q-queue layout of D. Let A be the set of edges of G that are subdivided in D, and let B the set of edges of G that are not subdivided in D. By Lemma 10, $G[A]$ has a $q(2q+1)$-queue layout with vertex ordering σ. By assumption, $G[B]$ has a q-queue layout with vertex ordering σ. Thus G has a $2q(q+1)$-queue layout with vertex ordering σ. □

2.3 Stack Layouts

We now describe how to produce a stack layout of G' from a queue, stack or track layout of G. By Lemmata 1(c) and 2 we have:

Lemma 12. *The subdivision G' of a q-queue graph G has a $(q+1)$-stack layout. That is,* $\mathsf{sn}(G') \leq \mathsf{qn}(G) + 1$. □

Lemma 13. *The subdivision G' of an s-stack graph G has an $(s+1)$-stack layout. That is,* $\mathsf{sn}(G') \leq \mathsf{sn}(G) + 1$.

Proof: Consider an s-stack layout of G with vertex ordering σ. Denote the division vertex of e in G' by $X(e)$. We now create a stack layout of G'. For each vertex v of G, let $e_1, e_2, \ldots, e_d$ be all the edges incident to v such that each $L(e_i) = v$, and $R(e_d) <_\sigma R(e_{d-1}) <_\sigma \cdots <_\sigma R(e_1)$. Add the division vertices $X(e_1), X(e_2), \ldots, X(e_d)$ immediately to the right of v in this order. Clearly for all edges e and f of G, the edges $L(e)X(e)$ and $L(f)X(f)$ of G' do not cross. Thus all these 'left' edges can be assigned to a single stack. Each 'right' edge $X(e)R(e)$ of G' inherits the stack assigned to e in G. Clearly no two right edges in the same stack cross. Thus G' has a $(s+1)$-stack layout. □

Lemma 14. *Let G be a (k,t)-track graph with maximum span s $(\leq t-1)$. Then the subdivision G' of G with one division vertex per edge has an $s(k+1)$-stack layout.*

Proof: Let $\{(V_i, <_i) : 1 \leq i \leq t\}$ be a (k,t)-track layout of G with maximum span s, and with edge colouring $\{E_\ell : 1 \leq \ell \leq k\}$. Denote by $L(e)$ and $R(e)$ the endpoints of each edge e of G where $L(e) \in V_i$ and $R(e) \in V_j$ with $i < j$. Denote by $X(e)$ the division vertex in G' of e. For each $1 \leq i \leq t-1$ and $1 \leq \alpha \leq s$, let

$$X_{i,\alpha} \;=\; \{X(e) \,:\, e \in E(G),\, L(e) \in V_i,\, R(e) \in V_{i+\alpha}\} \;.$$

Since the maximum span is s, every division vertex of G' is in some $X_{i,\alpha}$. Order each $X_{i,\alpha}$ such that for all $X(e), X(f) \in X_{i,\alpha}$, we have $X(e) < X(f)$ whenever $L(f) <_i L(e)$, or $L(e) = L(f)$ and $R(f) <_{i+\alpha} R(e)$. Let σ be the vertex ordering of G' defined by

$$\big(V_1, X_{1,s}, X_{1,s-1}, \ldots, X_{1,1};\, V_2, X_{2,s}, X_{2,s-1}, \ldots, X_{2,1};\, \ldots;\, V_t\big) \;.$$

Note that $L(e) <_\sigma X(e) <_\sigma R(e)$ for every edge e of G. For all $1 \leq \alpha \leq s$ let

$$E_\alpha = \{L(e)X(e) \,:\, L(e) \in V_i,\, X(e) \in X_{i,\alpha}\} \;.$$

For all $1 \leq \ell \leq k$ and $0 \leq \beta \leq s-1$, let

$$E_{\ell,\beta} = \{X(e)R(e) \,:\, e \in E_\ell,\, L(e) \in V_i,\, i \equiv \beta \pmod{s}\} \;.$$

This partitions the edges of G' into $s(k+1)$ sets. We claim that no two edges in a single set cross in σ. Consider two edges e and f of G. Say $L(e) \in V_{i_1}$ and $L(f) \in V_{i_2}$.

Consider edges $L(e)X(e)$ and $L(f)X(f)$ both in some E_α. Without loss of generality $i_1 \leq i_2$, and if $L(e) = L(f)$ then $R(e) <_\sigma R(f)$. If $i_1 < i_2$ then $L(e) <_\sigma X(e) <_\sigma L(f) <_\sigma X(f)$, and $L(e)X(e)$ and $L(f)X(f)$ do not cross. If $i_1 = i_2$ then without loss of generality $L(e) \leq_\sigma L(f)$. Since $L(e)X(e)$

and $L(f)X(f)$ are in E_α, both $X(e)$ and $X(f)$ are in $X_{i_1,\alpha}$. Thus $L(e) \leq_\sigma L(f) <_\sigma X(f) <_\sigma X(e)$, and $L(f)X(f)$ does not cross $L(e)X(e)$. Thus each set E_α is a valid stack in σ.

Now suppose the edges $X(e)R(e)$ and $X(f)R(f)$ cross in σ. Without loss of generality $X(e) <_\sigma X(f) <_\sigma R(e) <_\sigma R(f)$. Say $R(e) \in V_{i_3}$ and $R(f) \in V_{i_4}$. Then $i_1 \leq i_2 < i_3 \leq i_4$. If $i_1 < i_2$ then $i_2 - i_1 < i_3 - i_1 \leq s$. Thus $i_1 \not\equiv i_2 \pmod{s}$, and $X(e)R(e)$ and $X(f)R(f)$ are not in the same $E_{\ell,\beta}$. Now suppose $i_1 = i_2$. Since $X(e) <_\sigma X(f)$, we have $i_3 = i_4$ and $L(f) \leq_{i_1} L(e)$. If $L(f) = L(e)$ then, since $X(e) <_\sigma X(f)$ we have $R(f) <_{i_3} R(e)$, and thus $R(f) <_\sigma R(e)$, a contradiction. If $L(f) <_{i_1} L(e)$ then $R(e) <_{i_3} R(f)$ since $R(e) <_\sigma R(f)$. That is, e and f form an X-crossing in the track layout, and are thus coloured differently. Hence $X(e)R(e)$ and $X(f)R(f)$ are not in the same $E_{\ell,\beta}$.

Thus each E_α and each $E_{\ell,\beta}$ is a valid stack, and G' has a $s(k+1)$-stack layout. □

3 Big Subdivisions

In this section we prove the main results introduced in Section 1. That is, every graph G has a 3-stack subdivision, a 2-queue subdivision, a mixed 1-stack 1-queue subdivision, and a 4-track subdivision. In each case the number of division vertices per edge is $\mathcal{O}(\log \mathsf{sn}(G))$ or $\mathcal{O}(\log \mathsf{qn}(G))$. First of all we introduce the notion of a (k, H)-layout.

3.1 (k, H)-Layouts

Let G and H be graphs. H is called a *host graph*, and its vertices are called *nodes*. An *H-partition of G* is a partition $\{H_x \subseteq V(G) : x \in V(H)\}$ of $V(G)$ into *bags* indexed by the nodes of H such that for all edges $vw \in E(G)$ either:

- $\exists$ node $x \in V(H)$ such that both $v, w \in H_x$ (vw is called an *intrabag edge mapped* to x), or
- $\exists$ edge $xy \in E(H)$ such that $v \in H_x$ and $w \in H_y$ (vw is called an *interbag edge mapped* to xy).

Tree-partitions, that is a T-partition for some tree T, have been widely studied [9, 25, 26, 52, 88], and were instrumental in the result by Dujmović *et al.* [27] that track-number and queue-number are bounded by treewidth.

To obtain our main results for layouts of subdivisions we employ the following general structure. A *(k, H)-layout of G* is a pair $(\{E_1, E_2, \ldots, E_k\}, \{(H_x, <_x) : x \in V(H)\})$ such that:

- $\{H_x \subseteq V(G) : x \in V(H)\}$ is an H-partition of G.
- $\forall$ nodes $x \in V(H)$, $<_x$ is a total order on H_x.
- $\{E_1, \ldots, E_k\}$ is a colouring of the interbag edges such that there is no monochromatic *X-crossing*, where an X-crossing consists of a pair of interbag edges vw and pq such that for some edge $xy \in E(H), v <_x p$ and $q <_y w$.

For each edge $xy \in E(H)$, let k_{xy} denote the number of colours used in the edge colouring of the interbag edges of G that are mapped to xy. For each node $x \in V(H)$, let s_x denote the minimum number of stacks such that $<_x$ admits an s_x-stack layout of $G[H_x]$, and let q_x denote the minimum number of queues such that $<_x$ admits a q_x-queue layout of $G[H_x]$.

A (k, H)-layout with no intrabag edges is called a (k, H)-*track layout*. A $(1, H)$-track layout is called an H-*track layout*. Observe that a (k, K_t)-track layout is simply a (k, t)-*track layout* as defined in Section 1.3.

Our main results are proved using the following strategy. First a particular host tree T (or tree-like graph T) is defined. The vertices of our graph G are mapped to the root of T, and each edge vw of G is mapped to some node of T. At each non-root node of T on the path from the root to the node that vw is mapped to, we add two division vertices to vw. This process produces a (k, T)-layout of a subdivision D of G, and is described in Section 3.3. Then a stack, queue, mixed or track-layout of T is determined, as described in Section 3.2. Then in Section 3.4 we describe how to transform a given layout of T into the desired layout of D. This process is then carried out for queue, stack, mixed, and track layouts in Sections 3.5–3.8.

3.2 Layouts of Trees

Let T be a rooted tree. The vertices of T are called *nodes*, and we assume that the edges are oriented away from the root node r. This will be the case for the remainder of this paper. A node in T with no outgoing edge is a *leaf* in T. As is standard, when referring to the edge of a directed graph, xy means an edge oriented from x to y. The *depth* of a node $x \in V(T)$ is the distance from r to x in T, and is denoted by $\text{depth}(x)$. The *height* of T is the maximum depth of a node in T. Let $\deg(x)$, $\deg^-(x)$, and $\deg^+(x)$ denote the degree, indegree, and outdegree of each node $x \in V(T)$. We denote by $\rho(x)$ the parent node of each non-root node $x \in V(T)$. A vertex ordering σ of T is *breadth-first* if for all nodes $x, y \in V(T)$, $x <_\sigma y$ whenever $\text{depth}(x) < \text{depth}(y)$, or $\text{depth}(x) = \text{depth}(y)$ and $\rho(x) <_\sigma \rho(y)$.

Lemma 15. [57] *A breadth-first vertex ordering of a tree T admits a 1-queue layout of T.*

Proof: Since the depths of adjacent nodes differ by exactly one, and the nodes are ordered by non-decreasing depth, the endpoints of a nested pair of edges must be at consecutive depths. By construction, such a pair of edges are not nested, as illustrated in Figure 4. □

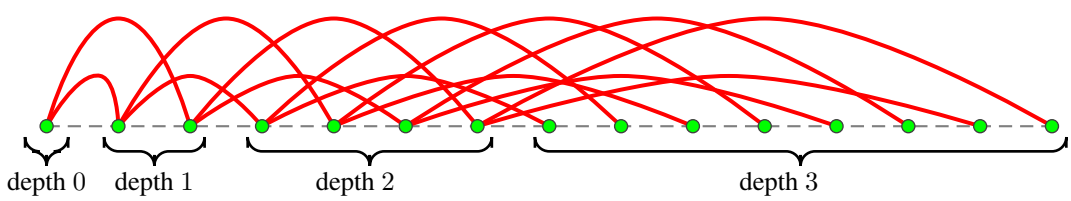

Fig. 4: 1-queue layout of a complete binary tree.

A *depth-first* vertex ordering σ of a rooted tree T is defined recursively as follows. Let r be the root node of T with child nodes $x_1, x_2, \ldots, x_d$. Let T_i be the subtree rooted at x_i, $1 \leq i \leq d$. Then σ is defined by $\sigma(T) = (r, \sigma(T_1), \sigma(T_2), \ldots, \sigma(T_d))$.

Lemma 16. [16] *A depth-first vertex ordering σ of a tree T admits a 1-stack layout of T.*

Proof: For the sake of contradiction, suppose that a pair of edges vw and xy cross in σ. Without loss of generality $v <_\sigma x <_\sigma w <_\sigma y$. Since w is a child of v and $v <_\sigma x <_\sigma w$, we have that x (and y) are in some subtree T_i rooted at a child v_i of v. Since $x <_\sigma w$ we have $V(T_i) <_\sigma w$. Since $y \in V(T_i)$, we have $y <_\sigma w$, which is the desired contradiction. Thus no two edges cross in σ, as illustrated in Figure 5. □

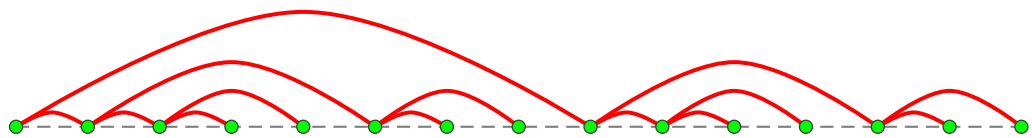

Fig. 5: 1-stack layout of a complete binary tree.

The next lemma is the starting point for our results on mixed layouts in Section 3.7. An edge 2-colouring of a tree T with colours red and black is *good*, if for each node $x \in V(T)$ with an incoming red edge, no other edge incident to x is red. A vertex ordering of a directed graph is *topological* if all edges are directed from left to right.

Lemma 17. *Let T be a rooted tree with a good edge 2-colouring. Then T has a topological vertex ordering in which the red edges form a stack, and the black edges form a queue.*

Proof: Let h be the height of T. For each $0 \leq d \leq h$, let V_d be the set of nodes of T at depth d. For each $1 \leq d \leq h$, let R_d and B_d denote the sets of nodes in V_d with an incoming red and black edge, respectively. Let σ be the vertex ordering $(V_0, R_1, B_1, R_2, B_2, \ldots, R_h, B_h)$ of T, where for each $1 \leq d \leq h$, the nodes in B_d are ordered with respect to the order of their parents (in V_{d-1}), and the nodes in R_d are in reverse order to that of their parents (in V_{d-1}). More precisely, for all $v, w \in B_d$ we have $v <_\sigma w$ whenever $\rho(v) <_\sigma \rho(w)$, and for all $v, w \in R_d$ we have $v <_\sigma w$ whenever $\rho(w) <_\sigma \rho(v)$.

Since the depths of adjacent nodes differ by exactly one, and the nodes are ordered by non-decreasing depth, the endpoints of a nested pair of edges must be at consecutive depths. By construction, such a pair of black edges are not nested. Hence the black edges form a queue.

Suppose, for the sake of contradiction, that the red edges vw and pq cross. Without loss of generality $v <_\sigma p <_\sigma w <_\sigma q$. Then $\text{depth}(v) \leq \text{depth}(p) \leq \text{depth}(w)$. Since $\text{depth}(w) = \text{depth}(v) + 1$, either $\text{depth}(p) = \text{depth}(v)$ or $\text{depth}(p) = \text{depth}(v) + 1$. First suppose that $\text{depth}(p) = \text{depth}(v)$. Then $\text{depth}(q) = \text{depth}(w)$. Since both q and w have incoming red edges, $q <_\sigma w$ by construction. This is a contradiction. Now suppose that $\text{depth}(p) = \text{depth}(v)+1$. Then $\text{depth}(p) = \text{depth}(w)$. Let $d = \text{depth}(p)$. Since p has an outgoing red edge pq, the incoming edge at p is black, and $p \in B_d$. Now $w \in R_d$ since w has an incoming red edge vw. Since $R_d <_\sigma B_d$, we have $w <_\sigma p$, which is the desired contradiction. Thus no two red edges cross, and hence the red edges form a stack. □

The next result is implicit in the work of Felsner *et al.* [44].

Lemma 18. [44] *Every rooted tree T has an* (*edge-monochromatic*) *track layout in which every edge has span one.*

Proof: Let σ be a breadth-first vertex ordering of T starting at the root. Let V_d be the set of nodes at depth d. It is easily seen that there are no X-crossings in the track layout $\{(V_d, \sigma) : d \geq 0\}$. Clearly every edge has span one, as illustrated in Figure 6. □

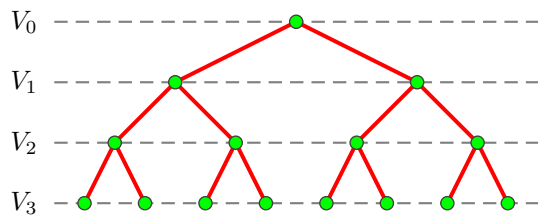

Fig. 6: Track layout of a complete binary tree with every edge having span 1.

3.3 (k, T)-Layouts

Lemma 19. *Let T be the tree comprised of a root node r and $d \geq 1$ leaves $v_1, v_2, \ldots, v_d$ adjacent to r. Suppose that the nodes of T are labelled with non-negative integers $l(r), l(v_1), l(v_2), \ldots, l(v_d)$. Let G be a graph with a k-queue (respectively, k-stack) layout with vertex ordering σ, where $k \leq l(r) + l(v_1) + l(v_2) + \cdots + l(v_d)$. Then G has a subdivision D with zero or two division vertices per edge such that D has a $(1, T)$-layout in which the division vertices are mapped to the leaves of T, and the original vertices are mapped to the root r and are ordered by σ. Furthermore, every node $x \in V(T)$ has $q_x \leq l(x)$ $(s_x \leq l(x))$.*

Proof: Say $\sigma = (v_1, v_2, \ldots, v_n)$. Let l be an integer such that $k - l \leq l(v_1) + l(v_2) + \cdots + l(v_d)$. Let F be the set of edges of G in an arbitrary set of l queues (stacks). Subdivide every edge $e = vw \in E(G) \setminus F$ twice, and denote the resulting path by (v, e_v, e_w, w). This defines a subdivision D of G with zero or two division vertices per edge. For each vertex $v \in V(G)$, let $N^+(v) = \{e_v : e \in E(G) \setminus F, v = L_\sigma(e)\}$ and $N^-(v) = \{e_v : e \in E(G) \setminus F, v = R_\sigma(e)\}$. Order the vertices of $N^+(v)$ and $N^-(v)$ with respect to the order of the neighbours of v in σ as follows. In the case of a given queue layout, let π be the vertex ordering of $V(D) \setminus V(G)$ defined by

$$\pi = \big(N^+(v_1), N^-(v_2), N^+(v_2), N^-(v_3), N^+(v_3), \ldots, N^-(v_{n-1}), N^+(v_{n-1}), N^-(v_n) \big) \ .$$

For a given stack layout, let π be the vertex ordering of $V(D) \setminus V(G)$ defined by

$$\pi = \Big(\overleftarrow{N^+(v_1)}, \overleftarrow{N^-(v_2)}, \overleftarrow{N^+(v_2)}, \overleftarrow{N^-(v_3)}, \overleftarrow{N^+(v_3)}, \ldots, \overleftarrow{N^-(v_{n-1})}, \overleftarrow{N^+(v_{n-1})}, \overleftarrow{N^-(v_n)} \Big) \ .$$

Partition the remaining $k - l$ queues (stacks) of G into sets $A_1, A_2, \ldots, A_d$ so that each A_i has at most $l(v_i)$ queues (stacks). Create a $(1, T)$-layout of D as follows. Map the original vertices ordered by σ to r. By construction, the intrabag edges F of D mapped to r form l queues (stacks) with respect to σ. Thus $q_r \leq l$ ($s_r \leq l$). For each edge $vw \in E(G) \setminus F$ that is in a queue (stack) in A_i, map e_v and e_w to v_i. Order each bag T_{v_i} by π. Since π is ordered primarily with respect to σ, there is no X-crossing in the layout. That is, we have a $(1, T)$-layout of D. In this layout, the edges $e_v e_w$ of D are intrabag edges

mapped to the leaves of T. Consider each such edge $e_v e_w$ to be assigned to the same queue (stack) as vw in the given layout of G. Consider two edges $e = vw$ and $f = xy$ in $E(G) \setminus F$ that have no common endpoint. Since π is ordered primarily with respect to σ, the edges $e_v e_w$ and $f_x f_y$ nest/cross in π if and only if e and f nest/cross in σ. Now consider two edges $e = vx$ and $f = vy$ in $E(G) \setminus F$ (that have a common endpoint). In the case of queues, $e_v e_x$ and $f_v f_y$ are either crossing or disjoint. For stacks, $e_v e_x$ and $f_v f_y$ are either nested or disjoint. Thus the queue (stack) assignment for intrabag edges is valid, and $q_{v_i} \leq l(v_i)$ ($s_{v_i} \leq l(v_i)$) for each $1 \leq i \leq d$. □

For the next result we will need the following construction. Let G be a graph with a (k_1, T_1)-layout for some tree T_1. Let x be a node of T_1, and suppose that the subgraph $G[T_{1_x}]$ has a subdivision D_x where D_x has a (k_2, T_2)-layout, for some tree T_2 such that all the original vertices of D_x are mapped to the root r of T_2 ordered by $<_x$. Let *merge-at-x* be a binary operation on the layouts (k_2, T_2) and (k_1, T_1) defined as follows. First replace $(T_x, <_x)$ by $(T_r, <_r)$, and rename x to y. Delete r from T_2 and make its children point to y. Each node $z \neq y$ in the new tree T_3 inherits $(T_z, <_z)$ from the node it originated from. It follows from the definition that merging (k_2, T_2) and (k_1, T_2) at x results in a (k_3, T_3)-layout of the subdivision D of G where $k_3 \leq \max\{k_1, k_2\}$ and where $q_y = q_r$ ($s_y = s_r$), and each node $z \neq y$ in $V(T_3)$ has q_z (s_z) equal to that of the node it originated from.

Lemma 20. *Let T be a rooted tree of height h. Suppose that each node $x \in V(T)$ is labelled by a non-negative integer $l(v)$ such that $\sum_{v \in V(T)} l(v) \geq k$. Let G be a k-queue (respectively, k-stack) graph. Then G has a subdivision D with an even number of division vertices per edge, such that D has a $(1, T)$-layout in which every node $x \in V(T)$ has $q_x \leq l(x)$ ($s_x \leq l(x)$). Every edge of G has at most $2h$ division vertices in D, and if all the non-leaf nodes of T are labelled 0 and if all its leaves are at depth h, then every edge of G has exactly $2h$ division vertices in D.*

Proof: We proceed by induction on h. If $h = 0$ then the result follows trivially. Assume the result holds for all trees with height less than h, and let T be a tree of height h rooted at r. Let T' be the subtree of T induced by the nodes at depth at most $h - 1$. Define a labeling on the nodes of T' as follows. For each node $x \in V(T')$ at depth $h - 1$, let $l'(x) = l(x) + l(x_1) + l(x_2) + \cdots + l(x_d)$ where $x_1, x_2, \ldots, x_d$ are the children of x in T. For all remaining nodes $x \in V(T')$, let $l'(x) = l(x)$. Now $\sum_{x \in V(T')} l'(x) = \sum_{x \in V(T)} l(x) \geq k$. Thus by induction, G has a subdivision D' with at most $2(h-1)$ division vertices per edge, and D has a $(1, T')$-layout such that $q_x \leq l'(x)$ ($s_x \leq l'(x)$) for all nodes $x \in V(T')$. For each node $x \in V(T)$ at depth $h - 1$, let $T(x)$ denote the subtree of T induced by x and its children, and let each node of $T(x)$ inherit its label from T. For every leaf node $x \in V(T')$ at depth $h - 1$, apply Lemma 19 to the $l'(x)$-queue (stack) layout $(D'[T'_x], <_x)$ and the labelled tree $T(x)$. Merging(-at-x) the resulting $(1, T(x))$-layout of $D'[T'_x]$ with the $(1, T')$-layout of D' (for every leaf node x) gives rise to the desired $(1, T)$-layout of a subdivision D of G. Since only the intrabag edges in the leaf nodes of T' are subdivided and they are subdivided either zero or two times, D is a subdivision of G with an even number of division vertices per edge. Moreover, D has at most $2h$ division vertices per edge. The final claim of the lemma is immediate from the construction. Figure 7 illustrates the main concepts of the proof. □

For all integers $d_1, d_2 > 0$, a *complete (d_1, d_2)-ary tree* is a rooted tree in which all the leaves are at the same depth, every non-leaf node at even depth has outdegree d_1 and every non-leaf node at odd depth has outdegree d_2. If $d_1 = d_2 = d$ then we speak of a *complete d-ary tree*. The following special case of Lemma 20 will be useful.

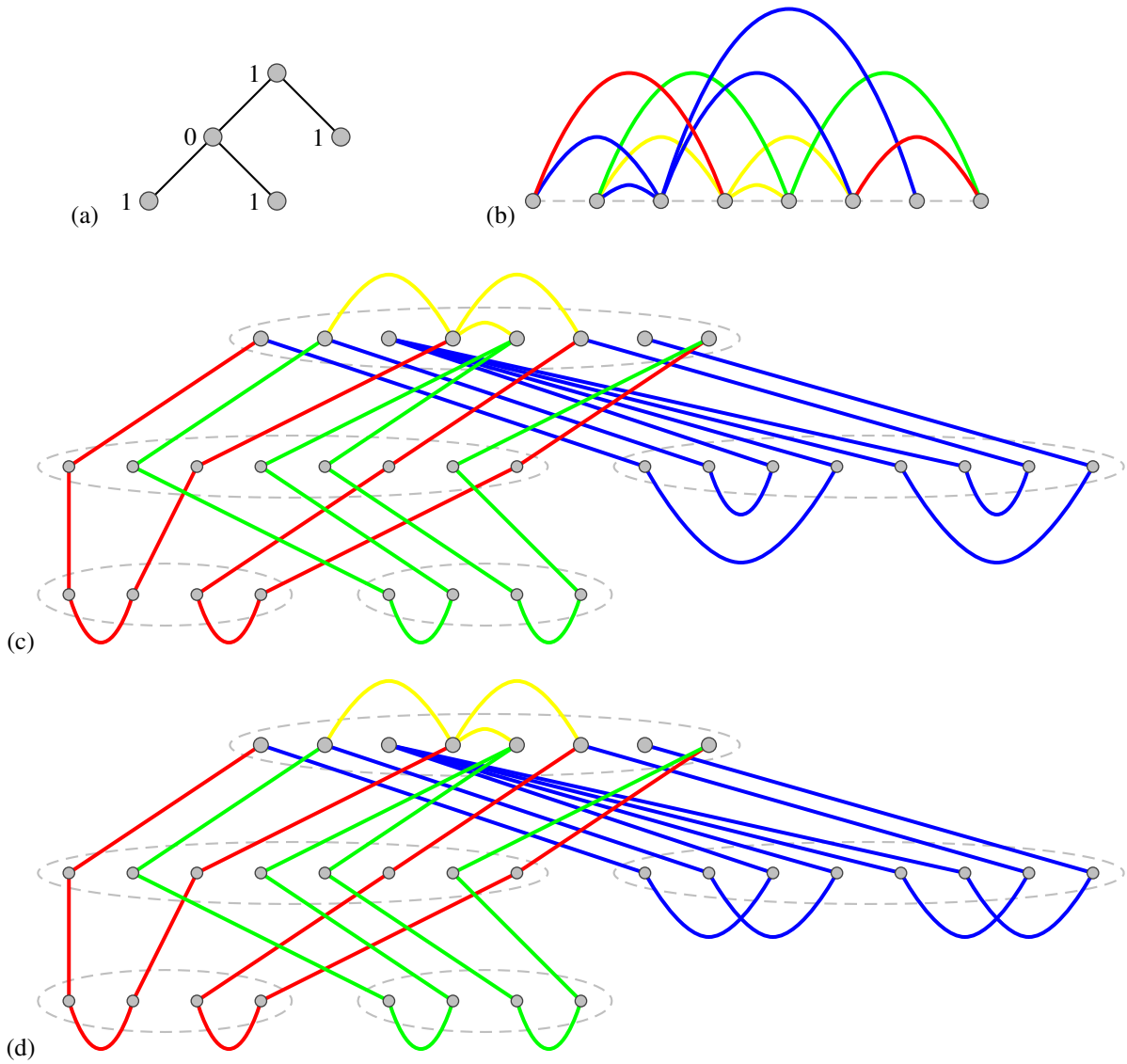

Fig. 7: Illustration for Lemma 20. Given (a) a labelled tree T and (b) a 4-stack layout of G (that is also a 4-queue layout), the algorithm produces a $(1, T)$-layout of a subdivision of G with (c) $s_x \leq l(x)$ or (d) $q_x \leq l(x)$.

We say a (k, T)-layout of G is *simple* if for every non-leaf node $x \in V(T)$, the set T_x is an independent set of G. Thus for simple layouts, $q_x = s_x = 0$ for all non-leaf nodes.

Lemma 21. *Let T be a subdivision of the complete (d_1, d_2)-ary tree of height h. Let h' be the height of T. Let $\alpha = (d_1)^{\lceil h/2 \rceil}(d_2)^{\lfloor h/2 \rfloor}$. Then every k-queue (respectively, k-stack) graph G has a subdivision D*

with an even number of division vertices per edge, and D has a simple $(1,T)$-layout in which $q_x \leq \lceil k/\alpha \rceil$ ($s_x \leq \lceil k/\alpha \rceil$)) for every node $x \in V(T)$. Moreover, the number of division vertices per edge is at most $2h'$, or exactly $2h'$ if all the leaves of T are at depth h'.

Proof: Let $l(x) = 0$ for each non-leaf node $x \in V(T)$. Let $l(x) = \lceil k/\alpha \rceil$ for each leaf node $x \in V(T)$. The number of leaves in the complete (d_1, d_2)-ary tree of height h is α. Subdividing the edges of a tree does not change the number of leaves. Thus T also has α leaves. Therefore $\sum_{x \in V(T)} l(x) \geq k$. Since the non-leaf nodes are labelled 0, by Lemma 20, G has a subdivision D with a $(1,T)$-layout such that for each leaf node $x \in V(T)$, $q_x \leq l(x) = \lceil k/\alpha \rceil$ ($s_x \leq l(x) = \lceil k/\alpha \rceil$), and for each non-leaf node $x \in V(T)$, $q_x \leq l(x) = 0$ ($s_x \leq l(x) = 0$). Thus the $(1,T)$-layout is simple. The claim about the number of division vertices per edge follows immediately from Lemma 20. □

3.4 (k,H)-Layout → Layout of G

For a graph G with a (k,H)-layout, we now show how to convert a layout of H into a layout of G. First consider a (k,T)-layout in which T is a rooted directed tree. We will often define a 2-colouring of the edges of T using colours red and black. The edges of G mapped to red edges of T will be associated with stacks, and those mapped to black edges of T will be associated with queues. Let $E^r(T)$ and $E^b(T)$ denote the sets of red and black edges of T.

Lemma 22. *Let G be a graph with a (k,T)-layout for some rooted tree T. Suppose that each edge and node of T is coloured red or black such that T has a topological vertex ordering σ where the red edges form a stack and the black edges form a queue. For each node $x \in V(T)$, let $s'_x = s_x$ if x is red, and $s'_x = 0$ if x is black. Similarly, let $q'_x = q_x$ if x is black, and $q'_x = 0$ if x is red. Let*

$$\lambda_s = \max_{x \in V(T)} \left\{ s'_x + \sum_{xy \in E^r(T)} k_{xy} + \sum_{yx \in E^r(T)} k_{yx} \right\} ,$$

and

$$\lambda_q = \max_{x \in V(T)} \left\{ q'_x + \max_{y \in V(T) : y \leq_\sigma x} \sum_{yz \in E^b(T) : x \leq_\sigma z} k_{yz} \right\} .$$

Then G has an λ_s-stack λ_q-queue mixed layout, such that the edges of G that are mapped to red nodes or edges of T are in stacks, and the edges of G that are mapped to black nodes or edges of T are in queues.

To prove Lemma 22 we need the following lemma due to Heath and Rosenberg [57]. (See our companion paper [29] for a simple proof.) Let σ be a vertex ordering of a graph G. A *rainbow* in σ is a matching $\{v_i w_i \in E(G) : 1 \leq i \leq k\}$ such that $v_1 <_\sigma v_2 <_\sigma \cdots <_\sigma v_k <_\sigma w_k <_\sigma w_{k-1} <_\sigma \cdots <_\sigma w_1$.

Lemma 23. [57] *A vertex ordering of a graph G admits a k-queue layout of G if and only if it has no $(k+1)$-edge rainbow.*

Proof of Lemma 22: First we label the nodes of T as *forward* or *backward*. Consider the nodes of T in the order of their appearance in σ. Label the root node as forward or backward arbitrarily. Now consider a non-root node x with incoming edge yx. Since σ is topological, y has already been labelled. If yx is

black then label x with the same label as that given to y. If yx is red then label x with the opposite label to that given to y. Now create a vertex ordering π of G by replacing each node x in σ by T_x if x is forward, and by $\overleftarrow{T_x}$ if x is backward. (Recall that $\overleftarrow{T_x}$ is the reverse ordering of T_x to that in the given (k, T)-layout.)

Let $E^r(G)$ and $E^b(G)$ denote the sets of edges of G that are mapped to red edges/nodes and black edges/nodes of T, respectively. We first prove that there is an edge λ_q-colouring of $E^b(G)$ such that no two monochromatic edges in $E^b(G)$ are nested in π.

Let R be a rainbow in π formed from the edges of $E^b(G)$ and with the maximum number of edges. Let the set of intrabag edges in R be denoted by R_{intra}, and the set of interbag edges be denoted by R_{inter}. Then $|R| = |R_{\text{intra}}| + |R_{\text{inter}}|$. Suppose the left endpoint of the innermost edge of R is mapped to node x. Then the right endpoint of each edge in R is mapped to a node z such that $x \leq_\sigma z$. Intrabag edges mapped to distinct nodes of T are not nested (and not crossing). Thus all the edges in R_{intra} are mapped to the same node of T. Hence all the edges of R_{intra} (if any) are mapped to x. Thus $|R_{\text{intra}}| \leq q'_x$. At least one of the endpoints of each edge in R_{inter} is not mapped to x. Thus by the construction of π, such endpoints appear in π either before or after all the endpoints of the edges in R_{intra}. Therefore the edges of R_{intra} are all nested inside the innermost edge of R_{inter}. Since the black edges in T are not nested in σ, all the edges of R_{inter} have an endpoint mapped to the same node $y \in T$. Since the edges in R_{intra} are nested inside the edges of R_{inter}, $y \leq_\sigma x$. Furthermore, since σ is a topological vertex-ordering of T, each edge of R_{inter} is mapped to some outgoing edge of y. If two edges of R_{inter} are mapped to the same edge incident to y, then by Lemma 1(b) they may be nested only if their edge colours in the (k, T)-layout are different. Therefore, $|R_{\text{inter}}| \leq \sum_{z \in V(T)\,:\, x \leq_\sigma z} k_{yz}$ and thus $|R| \leq q'_x + \sum_{z \in V(T)\,:\, x \leq_\sigma z} k_{yz}$. By considering all choices of x and $y \leq_\sigma x$ in $V(T)$, we conclude that a rainbow in π formed by the edges of $E^b(G)$ may have at most λ_q edges. By Lemma 23, the edges of $E^b(G)$ can be coloured with λ_q colours such that no two monochromatic edges are nested.

We now define an edge λ_s-colouring of $E^r(G)$. We then prove that no two monochromatic edges in $E^r(G)$ cross. Consider the nodes of T in the order of their appearance in σ. For each node x, colour the edges of G that are mapped to the red edges incident to x as follows. Two interbag edges of G that are mapped to the same outgoing red edge of x receive the same colour if and only if they belong to the same colour class $E_i \in \{E_1, E_2, \ldots E_k\}$ in the (k, T)-layout of G. Two interbag edges of G mapped to two distinct red edges incident to x always receive distinct colours (regardless of whether they are incoming or outgoing). If x is red, colour the intrabag edges mapped to x with distinct colours to those used on the interbag edges mapped to the red edges incident to x, and so that $<_x$ admits an s_x-stack layout of $G[T_x]$. We now show that λ_s colours suffices for such a colouring. If the incoming edge yx of x is red the edges of G mapped to yx use k_{yx} colours out of λ_s colours, otherwise 0 out of λ_s colours are used. Thus we have either $\lambda_s - k_{yx}$ or λ_s colours available for colouring the edges of G mapped to x and the red outgoing edges $xy_1, xy_2, \ldots, xy_p$ incident to x. Clearly we can colour the edges of G mapped to $xy_1, xy_2, \ldots, xy_p$ and x as described above with $k_{xy_1} + k_{xy_2} + \cdots + k_{xy_p} + s'_x$ distinct colours. Thus the number of colours used is at most λ_s.

We now show that no two monochromatic edges $e_1, e_2 \in E^r(G)$ cross in π. That is, monochromatic edges in $E^r(G)$ can be in the same stack. From the description of the edge colouring, it is clear that if either e_1 or e_2 is an intrabag edge then the pair does not form a monochromatic crossing. Thus it suffices to consider pairs of interbag edges. Since the red edges in T are not crossing in σ, the only pairs of interbag edges that can create a monochromatic crossing are those with endpoints in the same bag T_x. In that case, if e_1 and e_2 are mapped to the same edge incident to x then e_1 and e_2 do not cross by Lemma 1(c). If e_1

and e_2 are mapped to two distinct edges incident to x then e_1 and e_2 are not monochromatic. □

Lemma 24. *Let H be a graph with a t-track layout $\{V_i : 1 \leq i \leq t\}$ such that each node in track V_i, $1 \leq i \leq t$, has at most one neighbour in each track V_j, $1 \leq j \leq i-1$. Let G be a graph with a (k, H)-track layout. Let*

$$p = \max_{x \in V(H)} \max_{1 \leq \ell \leq t} \sum_{xy \in E(H) : \mathsf{track}(y) = \ell} k_{xy}. \tag{4}$$

Then replacing each node x in the t-track layout of H by $(H_x, <_x)$ from the (k, H)-track layout of G, gives a (p, t)-track layout of G.

Proof: Define an edge colouring of G as follows. For each node x of T in track V_i, and for each ℓ, $i < \ell \leq t$, consider the set of edges E_ℓ incident to x that have their other endpoint in V_ℓ. Colour the edges of G that are mapped to the edges of E_ℓ with p colours such that any two edges $e_1, e_2 \in E(G)$ receive the same colour if and only if they are mapped to the same edge $xy \in E_\ell$ and they belong to the same colour class in the (k, H)-layout of G. This is possible with at most p colours by (4).

We now prove that there are no monochromatic X-crossings with this edge p-colouring. Consider two monochromatic edges $e_1, e_2 \in E(G)$. If e_1 and e_2 are mapped to the same edge of H then by the above colouring procedure and by the properties of the edge colouring in the (k, H)-track layout of G, edges e_1 and e_2 do not form a monochromatic X-crossing. If e_1 and e_2 are mapped to two edges $xy, zq \in E(H)$ that have no endpoint in common, then e_1 and e_2 do not form a monochromatic X-crossing since xy and zq do not form a monochromatic X-crossing in the t-track layout of H. Finally, if e_1 and e_2 are mapped to two edges $xy, xz \in E(H)$ that share an endpoint x, then e_1 and e_2 can only form a monochromatic X-crossing if y and z are in the same track V_ℓ. Say $x \in V_i$. Since x has at most one neighbour in $V_1, V_2, \ldots, V_{i-1}$, we have that $\ell > i$. Therefore, by the above colouring procedure e_1 and e_2 do not have the same colour. □

Lemma 25. *Let $d \geq 1$ be an integer. Let G be a graph with a simple $(1, T_0)$-layout for some tree T_0, such that every leaf node x has $q_x \leq c$ for some $c \geq 0$, and every non-leaf node x has $q_x = 0$ and $\deg^+(x) = d$. Then there is a tree T, such that the subdivision D obtained from G by subdividing each intrabag edge once has a $(c+1, T)$-track layout in which every node $x \in V(T)$ has*

$$\sum_{xy \in E(T)} k_{xy} \;+\; \sum_{yx \in E(T)} k_{yx} \;\leq\; \max\{d+1, c+2\}, \quad \text{and} \quad \sum_{xy \in E(T)} k_{xy} \;\leq\; \max\{d, c+1\}\ . \tag{5}$$

Proof: For every leaf node $x \in V(T_0)$, let D_x be the subdivision of $G[T_{0x}]$ obtained by subdividing each edge of $G[T_{0x}]$ once. By the proof of Lemma 2, D_x has a $(c+1, T^*)$-track layout where T^* is a single edge comprised of a root node adjacent to one leaf, such that all the original vertices of $G[T_{0x}]$ are mapped to the root and are ordered by $<_x$, and all the division vertices are mapped to the leaf node in T^*. For each leaf node $x \in V(T_0)$, merge-at-x the $(1, T_0)$-layout of G and the $(c+1, T^*)$-track layout of $G[T_{0x}]$. In the resulting $(c+1, T)$-layout of D there are no intrabag edges. Thus we have a $(c+1, T)$-track layout, where T is the subdivision of T_0 with each leaf-edge of T_0 subdivided once. Let V_ℓ be the set of leaves in T. Let E_ℓ be the set of edges of T with an endpoint in V_ℓ. All the interbag edges of D that are mapped to the edges in E_ℓ are coloured with at most $c+1$ colours. All the interbag edges of D that are mapped

to the edges in $E \setminus E_\ell$ are coloured with one colour. Thus, each node $x \in V(T)$ that has no neighbour in V_ℓ satisfies (5). Each node $x \in V(T)$ that has a neighbour in V_ℓ has degree at most 2. Since the incoming edge yx of x has $k_{yx} \leq 1$ and its outgoing edge xv has $k_{xv} \leq c+1$, x satisfies (5). Finally, each leaf node x has $k_{yx} \leq c+1$ where yx is the incoming edge of x. Thus x satisfies (5). □

3.5 Queue Layouts

Lemma 26. *For every graph G, G'' has queue-number $\mathsf{qn}(G'') \leq 2\lceil\sqrt{\mathsf{qn}(G)}\rceil$.*

Proof: Let $d = \lceil\sqrt{\mathsf{qn}(G)}\rceil$. Let T be the complete d-ary tree of height 1; that is, the d-ary star. By Lemma 21, G'' has a simple $(1,T)$-layout in which the root node r has $\deg^+(r) = d$ and $q_r = 0$, and every leaf node $x \in V(T)$ has $q_x \leq \lceil \mathsf{qn}(G)/d\rceil \leq d$. Let all the edges and nodes of T be coloured black. Let σ be the vertex ordering of T starting with the root, followed by the leaves. Define λ_q as in Lemma 22. That is, λ_q is the maximum, taken over all nodes $x \in V(T)$, of

$$q_x + \max_{y \in V(T)\,:\, y \leq_\sigma x} \sum_{yz \in E(T)\,:\, x \leq_\sigma z} k_{yz} \quad . \tag{6}$$

For leaf nodes x, (6) is $d+d = 2\,d$. For the root node r, (6) is $0+d = d$. Thus $\lambda_q = 2\,d$. By Lemma 22, G'' has a $2\,d$-queue layout. □

Theorem 4. *For every integer $d \geq 2$, every graph G has a d-queue subdivision with $2\lceil\log_d \mathsf{qn}(G)\rceil + 1$ division vertices per edge.*

Proof: Let T_0 be the complete d-ary tree of height $h = \lceil\log_d \mathsf{qn}(G)\rceil$. By Lemma 21 with $d_1 = d_2 = d$, G has a subdivision D_0 with $2h$ division vertices per edge, such that D_0 has a simple $(1,T_0)$-layout in which every non-leaf node $x \in V(T_0)$ has $q_x = 0$, and every leaf node $x \in V(T_0)$ has $q_x \leq 1$. Let D be subdivision of G obtained from D_0 by subdividing each intrabag edge (in the $(1,T_0)$-layout of D_0) once. Clearly D has $2\lceil\log_d \mathsf{qn}(G)\rceil + 1$ division vertices per edge of G. By Lemma 25 with $c = 1$ applied to D_0, there is a tree T such that D has a $(2,T)$-track layout in which every node $x \in V(T)$ has

$$\sum_{xy \in E(T)} k_{xy} \;\leq\; \max\{d,2\} \;\leq\; d \quad . \tag{7}$$

Let all the edges and nodes of T be coloured black. By Lemma 15, T has a topological vertex ordering σ that admits a 1-queue layout. Define λ_q as in Lemma 22. By (7) and since every node x in T has $q_x = 0$, we have

$$\lambda_q \;=\; \max_{x \in V(T)} \left\{ q_x + \max_{y \in V(T)\,:\, y \leq_\sigma x} \sum_{yz \in E(T)\,:\, x \leq_\sigma z} k_{yz} \right\} \;\leq\; \max_{x \in V(T)} \left\{ \sum_{xv \in E(T)} k_{xv} \right\} \;\leq\; d \quad . \tag{8}$$

Therefore, by Lemma 22, D has a d-queue layout, as illustrated in Figure 8 for $d = 2$. □

We now prove that the number of division vertices per edge in Theorem 4 is optimal up to a constant factor.

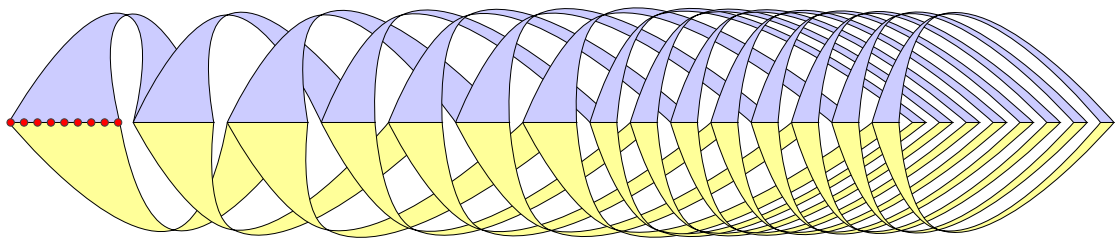

Fig. 8: A 2-queue subdivision of an 8-queue graph.

Lemma 27. *Let D be a q-queue subdivision of a graph G with at most k division vertices per edge. Then G has a $(\frac{1}{2}(2q+2)^{2k}-1)$-queue layout.*

Proof: Let $q_i = \frac{1}{2}(2q+2)^{2^i} - 1$, and $k_i = k/2^i$. We proceed by induction on $i \geq 0$ with the hypothesis: *there exists a subdivision D_i of G with at most k_i division vertices per edge, and D_i has a q_i-queue layout.* Consider the base case with $i = 0$. Let $D_0 = D$. Then D_0 is a subdivision of G with $k_0 = k$ division vertices per edge, and D_0 has a q_0-queue layout, since $q_0 = q$.

Suppose that there exists a subdivision D_i of G with at most k_i division vertices per edge, and D_i has a q_i-queue layout. By contracting every second division vertex on the path representing each edge of G in D_i, we obtain a graph D_{i+1} such that D_i is a subdivision of D_{i+1} with at most one division vertex per edge, and D_{i+1} is a subdivision of G with at most $k_i/2$ division vertices per edge. By Lemma 11, D_{i+1} has a $2q_i(q_i+1)$-queue layout. Now $k_i/2 = k_{i+1}$, and $2q_i(q_i+1) \leq 2(q_i+1)^2 - 1 = \frac{1}{2}(2q+2)^{2^{i+1}} - 1 = q_{i+1}$. Thus the inductive hypothesis holds for all i.

With $i^* = \lfloor \log_2 k \rfloor + 1$, we have $k_{i^*} < 1$. The only subdivision of G with less than one division vertex per edge is G itself. Thus G has a q_{i^*}-queue layout, and $q_{i^*} = \frac{1}{2}(2q+2)^{(2^{\lfloor \log_2 k \rfloor + 1})} - 1 \leq \frac{1}{2}(2q+2)^{(2^{1+\log_2 k})} - 1 \leq \frac{1}{2}(2q+2)^{2k} - 1$. □

Theorem 5. *Let D be a d-queue subdivision of a graph G for some $d \geq 2$. Then there is an edge of G with at least $\frac{1}{6}\log_d \mathsf{qn}(G)$ division vertices in D.*

Proof: Let k be the maximum number of division vertices in D in a single edge of G. By Lemma 27, G has $(\frac{1}{2}(2d+2)^{2k}-1)$-queue layout. Thus $\mathsf{qn}(G) \leq \frac{1}{2}(2d+2)^{2k} - 1$, and $\mathsf{qn}(G) \leq \frac{1}{2}(3d)^{2k} - 1$ since $d \geq 2$. That is, $k \geq \frac{1}{2}\log_{3d} 2(\mathsf{qn}(G)+1) = \frac{1}{2}(\log_{3d} d)(\log_d 2(\mathsf{qn}(G)+1)) \geq \frac{1}{6}\log_d 2(\mathsf{qn}(G)+1)$ since $d \geq 2$. Therefore $k \geq \frac{1}{6}\log_d \mathsf{qn}(G)$, as claimed. Note that $\log_{3d} d \to 1$ for large d, and the lower bound on k tends to $\frac{1}{2}\log_d 2(\mathsf{qn}(G)+1)$. □

3.5.1 Queue Layouts and Graph Embeddings

An *embedding* of a graph G into a connected 'host' graph H is an injection $\phi : V(G) \to V(H)$. The *dilation* of an edge $vw \in E(G)$ is the distance between $\phi(v)$ and $\phi(w)$ in H. The *dilation* of ϕ is the maximum dilation of an edge of G. For each edge $vw \in E(G)$, fix a path of minimum length from $\phi(v)$ to $\phi(w)$ in H, called the *vw-path* of ϕ. Let X be the set of vertices of H that are internal on some vw-path. The *degree* of ϕ is the maximum degree of a vertex in X. Of course, the degree of ϕ is no more than the

maximum degree of H. For example, let H be a subdivision of a graph G with at most k division vertices per edge. Then there is an obvious embedding of G into H with dilation $k+1$ and degree 2. Thus the following result provides a generalisation of Lemma 27.

Theorem 6. *If a graph G has an embedding ϕ into a k-queue graph H with dilation d and degree Δ, then G has queue-number*

$$\mathsf{qn}(G) \;\leq\; \frac{2k(\Delta+1)\big((2k(\Delta+1))^d-1\big)}{2k(\Delta+1)-1} - k(2\Delta+1) \;\;.$$

Moreover, for every $\Delta \geq 3$ and even $d \geq 2$, there exists a graph G, a 1-queue graph H, and an embedding of G into H with dilation d, degree Δ, and

$$\mathsf{qn}(G) \;\geq\; \frac{\Delta((\Delta-1)^{d/2}-1)}{2(\Delta-2)} \;\;.$$

Proof: Let X be the set of vertices of H that are internal on some vw-path of ϕ. Let D be a copy of H. Let X' be the set of vertices of D that are not internal on every vw-path of ϕ. Now subdivide every edge of D that is incident to a vertex $x \in X'$, and then delete x from D. Clearly the maximum degree of D is Δ. By Vizing's Theorem [92], D has a proper edge colouring with $\Delta+1$ colours. Using the obvious bijection between edges of H and D, let $\mathsf{col}(xy)$ denote the colour assigned to each edge xy of H. Let σ be the vertex ordering in a k-queue layout of H. Let $\mathsf{queue}(xy)$ denote the queue containing each edge xy of H.

Orient each edge vw of G from v to w, where $\phi(v) <_\sigma \phi(w)$. For each oriented edge vw of G, fix a path $P(vw)$ from $\phi(v)$ to $\phi(w)$ in H consisting of at most d edges. Suppose $P(vw) = x_0, x_1, \ldots, x_\ell$, where $\phi(v) = x_0$, $\phi(w) = x_\ell$, and $\ell \leq d$. For each $1 \leq i \leq \ell$, let $\mathsf{dir}(x_{i-1}x_i)$ be '+' if $x_{i-1} <_\sigma x_i$, and '-' otherwise. If $\ell = 1$ then let $\mathsf{queue}(vw) = \mathsf{queue}(x_0x_1)$; otherwise let $\mathsf{queue}(vw)$ be the vector of triples

$$\mathsf{queue}(vw) = \Big[\big(\mathsf{queue}(x_{i-1}x_i), \mathsf{col}(x_{i-1}x_i), \mathsf{dir}(x_{i-1}x_i)\big) : 1 \leq i \leq \ell\Big] \;\;.$$

We claim that this is a valid queue-assignment for G using the vertex ordering σ restricted to $\{\phi(v) : v \in V(G)\}$. Suppose that $\mathsf{queue}(vw) = \mathsf{queue}(pq)$ for distinct edges vw and pq of G. Then $|P(vw)| = |P(pq)|$. Let $P(vw) = x_0, x_1, \ldots, x_\ell$ and $P(pq) = y_0, y_1, \ldots, y_\ell$. If $\ell = 1$ then $\mathsf{queue}(x_0x_1) = \mathsf{queue}(y_0y_1)$, and thus vw and pq are not nested. Now assume $\ell \geq 2$.

We have $\mathsf{col}(x_{i-1}x_i) = \mathsf{col}(y_{i-1}y_i)$ for all $1 \leq i \leq \ell$. For each $1 \leq i \leq \ell-1$, x_i and y_i are internal vertices on $P(vw)$ and $P(pq)$ respectively, and thus $x_i \in X$ and $y_i \in X$. Edges of H incident to a common vertex in X are coloured differently. Thus $x_i \neq y_i$ for all $1 \leq i \leq \ell-1$. Without loss of generality $x_0 <_\sigma y_0$, or $x_0 = y_0$ and $x_1 <_\sigma y_1$. Since $\mathsf{queue}(vw) = \mathsf{queue}(pq)$, we have that $\mathsf{dir}(x_0x_1) = \mathsf{dir}(y_0y_1)$, and x_0x_1 and y_0y_1 are not nested.

First suppose that $\mathsf{dir}(x_0x_1)$ is '+'. Then $x_0 <_\sigma x_1$ and $y_0 <_\sigma y_1$. Since $x_1 \neq y_1$, and since x_0x_1 and y_0y_1 are not nested, either $x_0 <_\sigma x_1 <_\sigma y_0 <_\sigma y_1$ or $x_0 \leq_\sigma y_0 <_\sigma x_1 <_\sigma y_1$. In both cases, $x_1 <_\sigma y_1$. Now suppose that $\mathsf{dir}(x_0x_1)$ is '-'. Then $x_1 <_\sigma x_0$ and $y_1 <_\sigma y_0$. Since $x_1 \neq y_1$, and since x_0x_1 and y_0y_1 are not nested, either $x_1 <_\sigma x_0 <_\sigma y_1 <_\sigma y_0$ or $x_1 <_\sigma y_1 <_\sigma x_0 \leq_\sigma y_0$. In both cases, $x_1 <_\sigma y_1$.

Thus by induction, for all $1 \leq i \leq \ell$, we have that $x_i <_\sigma y_i$ (or $x_\ell = y_\ell$). Thus $x_\ell \leq_\sigma y_\ell$. Thus in G we have $v \leq_\sigma p$ and $w \leq_\sigma q$. That is, vw and pq are not nested. Thus we have a valid queue-assignment

for G. The number of queues is

$$k + \sum_{\ell=2}^{d} (2k(\Delta+1))^{\ell} \;=\; k + \frac{2k(\Delta+1)\big((2k(\Delta+1))^d - 1\big)}{2k(\Delta+1)-1} - 2k(\Delta+1)$$
$$= \frac{2k(\Delta+1)\big((2k(\Delta+1))^d - 1\big)}{2k(\Delta+1)-1} - k(2\Delta+1) \;.$$

Now for the lower bound. Let $T_{\Delta,h}$ be the tree of height $h \geq 1$ in which every non-leaf node has degree $\Delta \geq 3$, and every leaf node is at height h. Let n be the number of nodes in $T_{\Delta,h}$. Then

$$n \;=\; 1 + \Delta \sum_{i=0}^{h-1} (\Delta-1)^i \;=\; 1 + \Delta\left(\frac{(\Delta-1)^h - 1}{\Delta-2}\right) \;=\; \frac{\Delta(\Delta-1)^h - 2}{\Delta-2} \;.$$

By Lemma 15, $\mathsf{qn}(T_{\Delta,h}) = 1$. Any embedding of $G = K_n$ into $T_{\Delta,h}$ has dilation $d = 2h$ and degree Δ. Since $\mathsf{qn}(K_n) = \lfloor \frac{n}{2} \rfloor$, we have

$$\mathsf{qn}(G) \;\geq\; \frac{n-1}{2} = \frac{\Delta(\Delta-1)^h - \Delta}{2(\Delta-2)} = \frac{\Delta((\Delta-1)^{d/2} - 1)}{2(\Delta-2)} \;. \qquad \square$$

Theorem 6 implies that to prove that a family of graphs $\mathcal{F}$ has bounded queue-number, it suffices to demonstrate that every graph in $\mathcal{F}$ has a bounded-dilation embedding into a graph with bounded degree and bounded queue-number.

We have the following example of Theorem 6, which will be of particular interest if Open Problem 4 is solved in the affirmative. A *drawing* of a graph G represents the vertices by distinct points in the plane, and represents each edge by a simple Jordan curve between its endpoints. The only vertices that an edge may intersect are its own endpoints. At most two edges may cross at a single point, edges only cross properly, and no two edges may overlap.

Corollary 1. *Suppose that every planar graph has a k-queue layout. Let G be a graph admitting a drawing in the plane with each edge involved in at most c crossings. Then G has queue-number at most*

$$\mathsf{qn}(G) \;\leq\; \frac{10k\big((10k)^{c+1} - 1\big)}{10k-1} - 11k \;.$$

Proof: Let H be the plane graph obtained from the drawing of G by replacing each crossing point by a vertex. Thus G has an embedding into H with dilation $c+1$ and degree 4. By assumption, H has a k-queue layout. The result follows from Theorem 6 with $\Delta = 4$ and $d = c+1$. $\square$

3.6 Stack Layouts

Theorem 7. *For every integer $s \geq 3$, every graph G has an s-stack subdivision with $2\lceil \log_{s-1} \mathsf{sn}(G) \rceil - 2$ division vertices per edge.*

Proof: Let $d = s-1$ and $k = \mathsf{sn}(G)$. Apply Lemma 21 with T the complete d-ary tree of height $h = \lceil \log_d k \rceil - 1$. Then $\alpha = d^{\lfloor h/2 \rfloor + \lceil h/2 \rceil} = d^h \geq d^{(\log_d k)-1} = k/d$. By Lemma 21, G has a subdivision

D with $2h$ division vertices per edge, such that D has a simple $(1, T)$-layout in which every non-leaf node $x \in V(T)$ has $\deg^+(x) = d$ and $s_x = 0$, and every leaf node $x \in V(T)$ has $s_x \leq \lceil k/\alpha \rceil \leq d$. Let all the edges and nodes of T be coloured red. Define λ_s as in Lemma 22. That is, λ_s is the maximum, taken over all nodes $x \in V(T)$, of

$$s_x + \sum_{xy \in E(T)} k_{xy} + \sum_{yx \in E(T)} k_{yx} \ . \tag{9}$$

For leaf nodes x, (9) is at most $d+0+1 = s$. For non-leaf nodes x, (9) is $0+d+1 = s$. Thus $\lambda_s = s$. By Lemma 16, T has a topological ordering that admits a 1-stack layout, and by Lemma 22, D has a s-stack layout. The stack layout of D is illustrated in Figure 9 for $s = 3$. □

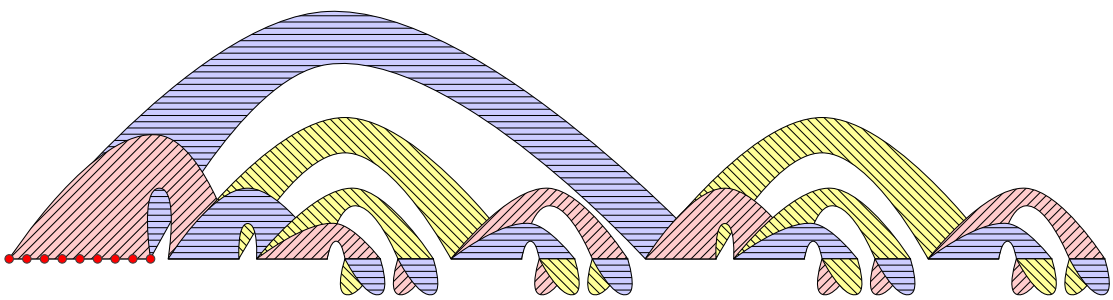

Fig. 9: 3-stack subdivision of a 16-stack graph.

Theorem 8. *The following are equivalent:*

(1) *queue-number is bounded by stack-number,*

(2) *bipartite 3-stack graphs have bounded queue-number,*

(3) *bipartite 3-stack graphs have bounded 2-track thickness.*

Moreover, if queue-number is bounded by stack-number then queue-number is bounded by a polynomial function of stack-number.

Proof: That (1) implies (2) is immediate. Theorem 2 proves that (2) and (3) are equivalent. It remains to prove that (2) implies (1). Suppose that every bipartite 3-stack graph has queue-number at most some constant q. Consider an arbitrary graph G. By Lemma 13, G' has a $(\mathsf{sn}(G)+1)$-stack layout. Thus, by Theorem 7, G' has a 3-stack subdivision D with $2\lceil \log_2(\mathsf{sn}(G)+1)\rceil - 2$ division vertices per edge. That is, G has a 3-stack subdivision with $2(2\lceil \log_2(\mathsf{sn}(G)+1)\rceil - 2)+1 = 4\lceil \log_2(\mathsf{sn}(G)+1)\rceil - 3$ division vertices per edge. Since every edge of G is subdivided an odd number of times, D is bipartite. By assumption, D has queue-number at most q. By Lemma 27, G has queue-number at most $\frac{1}{2}(2q+2)^{8\lceil \log_2(\mathsf{sn}(G)+1)\rceil - 6} - 1$. Since q is constant, queue-number is bounded by a polynomial function of stack-number. □

Theorem 9. *For every integer $s \geq 3$, every graph G has an s-stack subdivision with $1 + 2\lceil \log_{s-1} \mathsf{qn}(G)\rceil$ division vertices per edge.*

Proof: Let $d = s - 1$. Apply Lemma 21 with T_0 the complete d-ary tree of height $h = \lceil \log_d \mathsf{qn}(G) \rceil$. Then G has a subdivision D_0 with $2\lceil \log_d \mathsf{qn}(G) \rceil$ division vertices per edge such that D_0 has a simple $(1, T_0)$-layout in which every non-leaf node $x \in V(T)$ has $s_x = 0$, and every leaf node has $x \in V(T)$ has $q_x \leq 1$. Let D be the subdivision of G obtained by subdividing each intrabag edge of D_0 once. Thus D has $1 + 2\lceil \log_{s-1} \mathsf{qn}(G) \rceil$ division vertices per edge of G. By Lemma 25 with $c = 1$, there exists a tree T such that D has a $(2, T)$-track layout, where

$$\max_{x \in V(T)} \left\{ \sum_{xy \in E(T)} k_{xy} + \sum_{yx \in E(T)} k_{yx} \right\} \;\leq\; \max\{d+1, 3\} \;\leq\; d+1 \;. \tag{10}$$

Colour all the edges and nodes of T red. Define λ_s as in Lemma 22. That is, λ_s is the maximum, taken over all nodes $x \in V(T)$, of

$$s_x + \sum_{xy \in E(T)} k_{xy} + \sum_{yx \in E(T)} k_{yx} \;. \tag{11}$$

Since every node $x \in V(T)$ has $s_x = 0$, (11) is at most $d + 1$ by (10). Thus $\lambda_s \leq d + 1 = s$. By Lemma 16, T has a 1-stack layout, and by Lemma 22, D has a s-stack layout. □

Theorem 9 has the following implication for Open Problem 1.

Theorem 10. *If Conjecture 1 is true then stack-number is bounded by queue-number.*

Proof: Conjecture 1 states that there exists a function f, such that for every graph G and every s-stack subdivision H of G with at most one division vertex per edge, we have $\mathsf{sn}(G) \leq f(s)$. Thus there exists a function f^* such that for any s-stack subdivision of a graph G with k division vertices per edge, G has a $f^*(s, k)$-stack layout. By Theorem 9, every graph G has a 3-stack subdivision with $1 + 2\lceil \log_2 \mathsf{qn}(G) \rceil$ division vertices per edge. Thus $\mathsf{sn}(G) \leq f^*(3, 1 + 2\lceil \log \mathsf{qn}(G) \rceil)$, and stack-number is bounded by queue-number. □

3.7 Mixed Layouts

Theorem 11. *For all integers $s \geq 1$ and $q \geq 1$, every graph G has an s-stack q-queue subdivision with $4\lceil \log_{(s+q)\,q} \mathsf{sn}(G) \rceil$ division vertices per edge.*

Proof: Apply Lemma 21 with $d_1 = s + q$, $d_2 = q$, $h = 2\lceil \log_{(s+q)q} \mathsf{sn}(G) \rceil$, and T a complete (d_1, d_2)-ary tree of height h. Then G has a subdivision D with $4\lceil \log_{(s+q)\,q} \mathsf{sn}(G) \rceil$ division vertices per edge, and D has a simple $(1, T)$-layout where $\max_{x \in V(T)}\{s_x\} \leq 1$ and where every node $v \in V(T)$ at even depth has $\deg^+(v) \leq s + q$ and every node $v \in V(T)$ at odd depth has $\deg^+(v) \leq q$. Colour the edges of T as follows. For each non-leaf node $v \in V(T)$ at even depth, colour its outgoing edges red or black so that at most s outgoing edges are red and at most q are black. For nodes $v \in V(T)$ at odd depth, colour the outgoing edges of v black. Clearly this edge colouring is good. By Lemma 17, T has a topological ordering that admits a 1-queue layout of $T[E^b]$ and a 1-stack layout of $T[E^r]$.

Colour all the vertices of T red. Consequently, every node x in T has $q'_x = 0$. (See Lemma 22 to recall the definitions of q'_x and s'_x.) For each node $x \in V(T)$, let $\deg^+_{\text{black}}(x)$ denote the outdegree of x in $T[E^b]$.

Define λ_s and λ_q as in Lemma 22. Then

$$\begin{aligned}\lambda_q &= \max_{x\in V(T)}\left\{q'_x + \max_{y\in V(T)\,:\,y\leq_\sigma x}\ \sum_{yz\in E^b(T)\,:\,x\leq_\sigma z} k_{yz}\right\}\\ &\leq \max_{x\in V(T)}\left\{\sum_{xv\in E^b(T)} k_{xv}\right\}\\ &\leq \max_{x\in V(T)} \deg^+_{\text{black}}(x)\\ &\leq q\ .\end{aligned}$$

By the properties of the simple $(1,T)$-layout of D every non-leaf node x of T has $s'_x = 0$ and every leaf node x of T has $s'_x \leq 1$. For a node x in T, let $\deg_{\text{red}}(x)$ denote the degree of x in $T[E^r]$. Since h is even, the height of T is even and thus all the edges incident to leaves of T are black. For every leaf node $x \in V(T)$ that implies that $\deg_{\text{red}}(x) = 0$. Therefore,

$$\begin{aligned}\lambda_s &= \max_{x\in V(T)}\left\{s'_x + \sum_{xy\in E^r(T)} k_{xy} + \sum_{yx\in E^r(T)} k_{yx}\right\}\\ &\leq \max\left\{\max_{x\in V(T)\,:\,\deg(x)=1} s'_x\ ,\ \max_{x\in V(T)\,:\,\deg(x)\neq 1} \deg_{\text{red}}(x)\right\}\\ &\leq s\ .\end{aligned}$$

By Lemma 22, the subdivision D of G has an s-stack q-queue mixed layout. □

Theorem 12. *For all $s \geq 1$ and $q \geq 1$, every graph G has an s-stack q-queue subdivision with $2 + 4\lceil \log_{(s+q)\,q} \mathsf{qn}(G)\rceil$ division vertices per edge.*

Proof: Apply Lemma 21 with $d_1 = s+q$, $d_2 = q$, $h = 2\lceil \log_{(s+q)q} \mathsf{qn}(G)\rceil$, and T a tree obtained from a complete (d_1, d_2)-ary tree of height h by subdividing each leaf-edge once. The height of T is $h+1$ and all of its leaves are at depth $h+1$. Then G has a subdivision D with $2 + 4\lceil \log_{(s+q)\,q} \mathsf{qn}(G)\rceil$ division vertices per edge, and D has a simple $(1,T)$-layout in which every non-leaf node $x \in V(T)$ has $q_x = 0$, and every leaf node $x \in V(T)$ has $q_x \leq 1$.

Colour the edges of T as follows. For each node $x \in V(T)$ at odd depth, colour all its outgoing edges black. For each node $x \in V(T)$ at even depth, if depth$(x) < h$ colour each of its outgoing edges red or black such that s are red and q are black, otherwise, depth$(x) = h$, colour its only outgoing edge red. Clearly this edge colouring of T is good. Thus by Lemma 17, T has a topological vertex ordering, such that the black edges form a queue, and the red edges form a stack.

Colour all the vertices of T black. Consequently, every node $x \in V(T)$ has $s'_x = 0$. (See Lemma 22 to recall the definitions of q'_x and s'_x). For each node $x \in V(T)$, let $\deg_{\text{red}}(x)$ denote the degree of x in $T[E^r]$. Define λ_s and λ_q as in Lemma 22. Then

$$\lambda_s = \max_{x\in V(T)}\left\{s'_x + \sum_{xy\in E^r(T)} k_{xy} + \sum_{yx\in E^r(T)} k_{yx}\right\} \leq \max_{x\in V(T)}\{\deg_{\text{red}}(x)\} \leq s\ .$$

By the properties of the simple $(1,T)$-layout of D every non-leaf node x of T has $q'_x = 0$ and every leaf node x of T has $q'_x \leq 1$. By construction, the edges incident to leaves of T are red. Thus every leaf node $x \in V(T)$ has degree zero in $T[E^b]$. Now λ_q is the maximum, taken over all nodes $x \in V(T)$, of

$$q'_x + \max_{y \in V(T) : y \leq_\sigma x} \sum_{yz \in E^b(T) : x \leq_\sigma z} k_{yz} \quad . \tag{12}$$

Since nodes of T appear in σ according to nondecreasing depth, for each node $x \in V(T)$ at depth i, the summation in (12) may be nonzero only for nodes $y \in V(T)$ at depth $i-1$ and i. Since the nodes at depth h and $h+1$ have outdegrees zero in $T[E^b]$, for leaf nodes x, (12) is $1+0=1$. Since the nodes at depth less than h have outdegrees q in $T[E^b]$, for non-leaf nodes x, (12) is $0+\max\{q,0\} = q$. Since $q \geq 1$, by Lemma 22, the subdivision D of G has an s-stack q-queue mixed layout. □

Theorems 11 and 12 with $s=1$ and $q=1$ imply the following.

Theorem 13. *Every graph G has a 1-stack 1-queue subdivision with*

$$\min\{4\lceil \log_2 \mathsf{sn}(G) \rceil, 2 + 4\lceil \log_2 \mathsf{qn}(G) \rceil\}$$

division vertices per edge. □

Corollary 2. *Let $\mathcal{G}$ be a graph family with bounded stack-number and/or bounded queue-number. Then every graph in $\mathcal{G}$ has a 1-stack 1-queue subdivision with a bounded number of division vertices per edge.* □

Since the stack-number of a proper minor-closed graph family is bounded [6, 8], Corollary 2 implies that every graph from such a family has a 1-stack 1-queue subdivision with a bounded number of division vertices per edge.

3.8 Track Layouts

In this section we consider layouts of subdivisions on few tracks. We will need the following lemma for wrapping a track layout from our companion paper [28].

Lemma 28. [28] *Let $\{V_{i,j} : i \geq 0, 1 \leq j \leq b_i\}$ be a (k,t)-track layout of a graph G with maximum partial span s (for some irrelevant value t). For each $0 \leq \alpha \leq s$, let $t_\alpha = \max\{b_i : i \equiv \alpha \pmod{s+1}\}$. For each $0 \leq \alpha \leq 2s$, let $t'_\alpha = \max\{b_i : i \equiv \alpha \pmod{2s+1}\}$. Then*

$$\text{(a) } \mathsf{tn}_{2k}(G) \leq \sum_{\alpha=0}^{s} t_\alpha \ \text{ , and (b) } \mathsf{tn}_k(G) \leq \sum_{\alpha=0}^{2s} t'_\alpha \ .$$

The special case of Lemma 28 with $b_i = 1$ (for all $i \geq 0$) will be useful.

Lemma 29. [28] *Let G be a (k,t)-track graph with maximum span s. Then* (a) $\mathsf{tn}_{2k}(G) \leq s+1$, *and* (b) $\mathsf{tn}_k(G) \leq 2s+1$.

First we consider layouts of subdivisions on two tracks.

Lemma 30. *For every graph G, the subdivision G''' has 2-track thickness $\theta_2(G''') \leq 1 + 2\lceil \sqrt{\mathsf{qn}(G)} \rceil$.*

Proof: Let $d = \lceil \sqrt{\mathsf{qn}(G)} \rceil$. Let T_0 be the complete d-ary tree of height 1; that is, the d-ary star. By Lemma 21, G'' has a simple $(1, T_0)$-layout in which the root node r has $\deg^+(r) = d$ and $q_r = 0$, and every leaf node $x \in V(T_0)$ has $q_x \leq \lceil \mathsf{qn}(G)/d \rceil \leq d$. Let T be the tree obtained by subdividing each edge of T_0. Let r be the root node of T. By Lemma 25 with $c = d$, the subdivision G''' has a $(d+1, T)$-track layout in which $k_{rx} = 1$ for every edge rx incident to the root, and $k_{xy} = d+1$ for every leaf-edge xy. Consider the $(2, 2)$-track layout of T with the root preceding the leaf nodes on the first track, and the remaining nodes on the second track. Replace each node x of T by T_x. We obtain a $(2d+1, 2)$-track layout of G'''. □

Theorem 14. *For every integer $d \geq 2$, every graph G has a $(d+1, 2)$-track subdivision D with*

$$4\lceil \log_d \mathsf{qn}(G) \rceil + 3$$

division vertices per edge. That is, D has 2-track thickness $\theta_2(D) \leq d+1$.

Proof: By Theorem 4, G has a d-queue subdivision D_0 with $2\lceil \log_d \mathsf{qn}(G) \rceil + 1$ division vertices per edge. By Lemma 2, $D = D_0'$ has a $(d+1, 2)$-track layout. □

Now we consider 3-track layouts of subdivisions.

Theorem 15. *For every integer $d \geq 2$, every graph G has a $(d, 3)$-track subdivision with $1+2\lceil \log_d \mathsf{qn}(G) \rceil$ division vertices per edge.*

Proof: Let T_0 be the complete d-ary tree of height $h = \lceil \log_d \mathsf{qn}(G) \rceil$. By Lemma 21, G has a subdivision D_0 with $2\lceil \log_d \mathsf{qn}(G) \rceil$ division vertices per edge such that D_0 has a simple $(1, T_0)$-layout in which every non-leaf node $x \in V(T_0)$ has $\deg^+(x) = d$ and $q_x = 0$, and every leaf node $x \in V(T_0)$ has $q_x \leq 1$. By Lemma 25 with $c = 1$, there is a tree T, such that the subdivision $D = D_0'$ obtained by subdividing each intrabag edge of D_0 once has a $(2, T)$-track layout in which every node $x \in V(T)$ has $\sum_{xy \in E(T)} k_{xy} \leq d$ and $\deg^+(x) \leq d$. Consider the (edge-monochromatic) track layout of T produced by Lemma 18. By Lemma 24 with $p = d$, for some t, D has a (d, t)-track layout with every edge having span one, as illustrated in Figure 10 for $d = 2$. By Lemma 29(b) with $s = 1$ and $k = d$, D has a $(d, 3)$-track layout. □

Finally we consider layouts of subdivisions on four or more tracks, and with no X-crossings.

Theorem 16. *For every integer $d \geq 2$, every graph G has a bipartite $(d+2)$-track subdivision with at most $8\lceil \log_d \mathsf{qn}(G) \rceil + 1$ division vertices per edge.*

Proof: Let T_0 be the complete d-ary tree of height $h = \lceil \log_d \mathsf{qn}(G) \rceil$. Let T be the subdivision of T_0 obtained as follows. For each node $x \in V(T_0)$ at depth at most $h-2$, subdivide its rightmost outgoing edge twice, and subdivide the remaining $d-1$ outgoing edges three times. For each non-leaf node $x \in V(T_0)$ that is incident to a leaf-edge, subdivide its rightmost outgoing edge once, and subdivide the remaining $d-1$ outgoing edges twice. The resulting tree T has height $h + 3h - 1 = 4\lceil \log_d \mathsf{qn}(G) \rceil - 1$. By Lemma 21, G has a subdivision D_0 with at most $8\lceil \log_d \mathsf{qn}(G) \rceil - 2$ division vertices per edge and a simple $(1, T)$-layout, such that every non-leaf node $x \in V(T)$ has $q_x = 0$, and every leaf node $x \in V(T)$ has $q_x \leq 1$. Moreover, every edge of G has an even number of division vertices in D.

Let H the graph obtained from T by adding a 4-cycle (x, a_x, b_x, c_x) to each leaf node $x \in V(T)$, as illustrated in Figure 11. Now subdivide every intrabag edge vw of D_0 three times. We obtain a subdivision

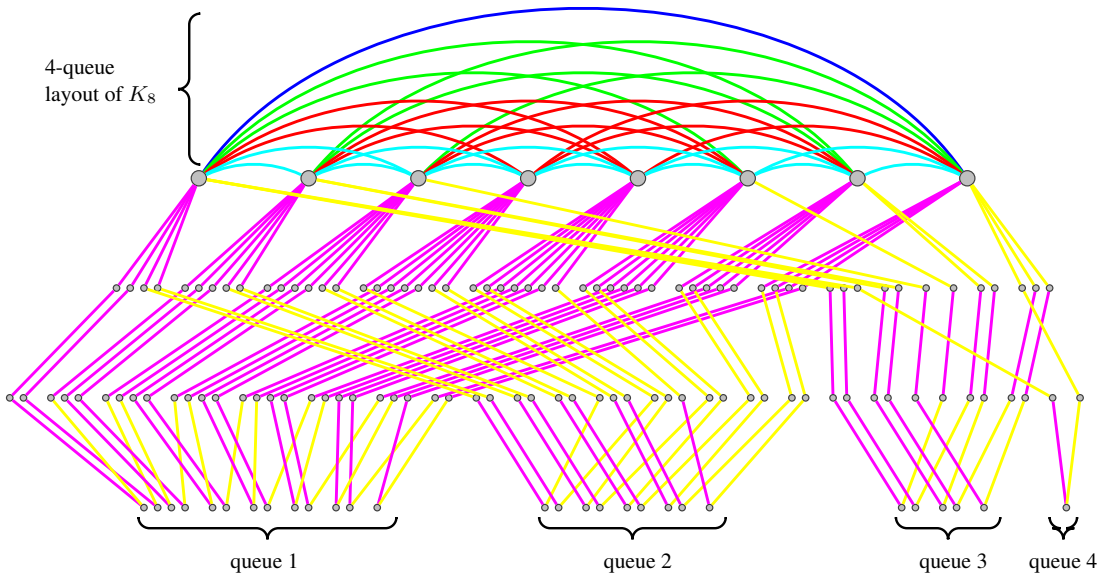

Fig. 10: Track layout of a subdivision of K_8 before wrapping.

D of G in which every edge of G has an odd number of division vertices in D. Thus D is bipartite, and has at most $8\lceil \log_d \mathsf{qn}(G)\rceil + 1$ division vertices per edge.

Create a $(1,H)$-layout of D from the simple $(1,T)$-layout of D_0 as follows. For each intrabag edge $vw \in E(D_0)$ mapped to a leaf node $x \in E(T)$ such that $v <_x w$ in the $(1,T)$-layout, place the division vertex a_{vw} incident to v in the bag H_{a_x}, place the middle division vertex b_{vw} in the bag H_{b_x}, and place the division vertex c_{vw} incident to w in the bag H_{c_x}. Since the intrabag edges mapped to x in the $(1,T)$-layout of D_0 induce a 1-queue layout, we can order the division vertices in H_{a_x}, H_{b_x} and H_{c_x} by the queue order of the edges they subdivide. As in Lemma 4(c), there is no X-crossing in the resulting layout. Thus we have an H-track layout of D.

Now create a track layout of H indexed by

$$\{(i,j) : 0 \le i \le 3h, 1 \le j \le d\} \cup \{(3h+1,1)\} \ .$$

Nodes are ordered in the obvious way so that there are no X-crossings, as illustrated in Figure 11.

Firstly, consider a node $x \in V(H)$ that corresponds to a node of T_0 at depth $i \le h-2$ in T_0. Recall that the first $d-1$ outgoing edges of x in T_0 are subdivided three times, and the rightmost outgoing edge in T_0 is subdivided twice. Denote the d outgoing paths at x in H by

$$(x,\alpha_1,\beta_1,\gamma_1),(x,\alpha_2,\beta_2,\gamma_2),\dots,(x,\alpha_{d-1},\beta_{d-1},\gamma_{d-1}),(x,\beta_d,\gamma_d) \ .$$

Position x in track $(3i,1)$. For each $1 \le j \le d-1$, position α_j in track $(3i,j+1)$. For each $1 \le j \le d$, position β_j in track $(3i+1,1)$, and position γ_j in track $(3i+2,1)$.

Now consider a node $x \in V(H)$ that corresponds to a node of T_0 at depth $h-1$ in T_0. Recall that the first $d-1$ outgoing edges of x in T_0 are subdivided twice, and the rightmost outgoing edge in T_0 is

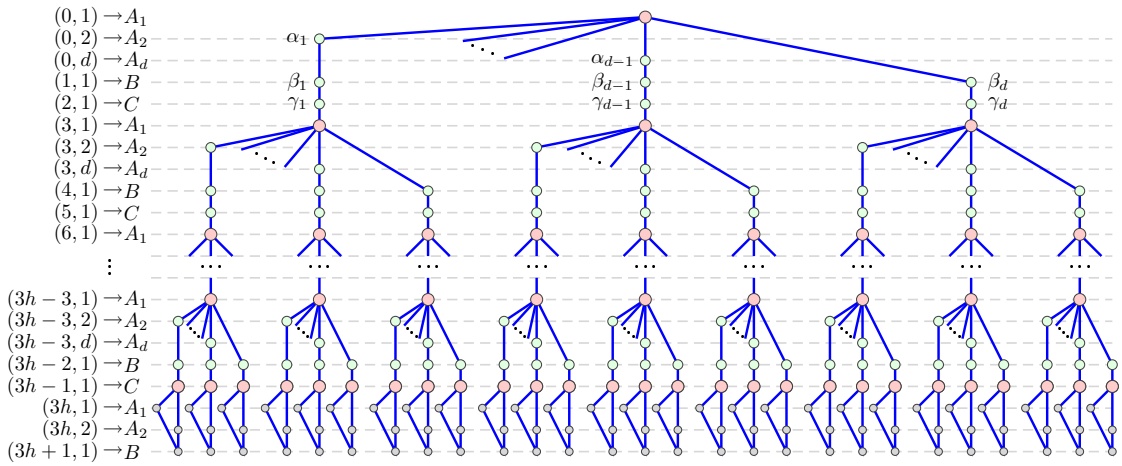

Fig. 11: Track layout of H.

subdivided once. Denote the d outgoing paths at x in H by

$$(x, \alpha_1, \beta_1), (x, \alpha_2, \beta_2), \ldots, (x, \alpha_{d-1}, \beta_{d-1}), (x, \beta_d) \ .$$

Position x in track $(3h-3, 1)$. Position each node α_j, $1 \leq j \leq d-1$, in track $(3h-3, j+1)$. Position each node β_j, $1 \leq j \leq d$, in track $(3h-2, 1)$.

Finally consider a node $x \in V(H)$ that corresponds to a leaf node of T_0 (at depth h in T_0). Position x in track $(3h-1, 1)$, position a_x in track $(3h, 1)$, position b_x in track $(3h+1, 1)$, and position c_x in track $(3h, 2)$.

Now wrap the track layout of H using Lemma 28(b) with $k = 1$. The partial span $s = 1$, so we are wrapping modulo $3 = 2s + 1$. Observe that the track layout of H is indexed by:

$$\begin{aligned} &\big\{(i,j) : i \equiv 0 \pmod 3,\ 0 \leq i \leq 3h,\ 1 \leq j \leq d\big\} \\ \cup &\big\{(i,1) : i \equiv 1 \pmod 3,\ 0 \leq i \leq 3h+1\big\} \\ \cup &\big\{(i,1) : i \equiv 2 \pmod 3\, 0 \leq i \leq 3h\big\} \ . \end{aligned}$$

Thus in Lemma 28(b), we have $t'_0 = d$, $t'_1 = 1$, and $t'_2 = 1$. Thus H has a $(d+2)$-track layout. In Figure 11 we indicate the new track assignment by $A_1, \ldots, A_d, B, C$, where for each $0 \leq i \leq h$, the tracks $(3i, j)$ are mapped A_j, the track $(3i+1, 1)$ is mapped to B, and the track $(3i+2, 1)$ is mapped to C. Note that for $i = 3h$ we use the assumption that $d \geq 2$.

It is easily seen that in the $(d+2)$-track layout of H, every node has at most one neighbour on any other track. Thus replacing each node x in the track layout of H by H_x, we obtain a $(d+2)$-track layout of D, as in Lemma 24. □

Note that the bound on the number of division vertices per edge in Theorem 16 can be slightly improved, at the expense of D no longer being bipartite. We will need D to be bipartite in Section 5.

The following result proves that in each of Theorems 14, 15 and 16, the bound on the number of division vertices per edge is within a constant factor of optimal for all graphs.

Theorem 17. *In every (k,t)-track subdivision D of a graph G there is an edge with at least $\frac{1}{2}\log_{2kt} 2\,\mathsf{qn}(G)$ division vertices.*

Proof: Let r be the maximum number of division vertices in an edge of G in the subdivision D. By Lemma 5, D has $k(t-1)$-queue layout. By Lemma 27, $\mathsf{qn}(G) \leq \frac{1}{2}(2k(t-1)+2)^{2r} - 1 \leq \frac{1}{2}(2kt)^{2r}$. Hence $2\,\mathsf{qn}(G) \leq (2kt)^{2r}$ and $r \geq \frac{1}{2}\log_{2kt} 2\,\mathsf{qn}(G)$. □

4 Planar Subdivisions

We have seen that every graph has a 3-stack subdivision, a 2-queue subdivision, a 4-track subdivision, and a subdivision with bipartite thickness at most 3. It is interesting to consider which graphs have s-stack subdivisions for each $1 \leq s \leq 2$; which graphs have 1-queue subdivisions; which graphs have t-track subdivisions for $2 \leq t \leq 3$; and which graphs have subdivisions with 2-track thickness at most t for $1 \leq t \leq 2$. In this section we completely answer these questions. As the section title suggests, planar graphs will play a leading role in the characterisations.

4.1 Planar Stack Layouts

Theorem 18. *Every graph has a 3-stack subdivision. A graph has a 2-stack subdivision if and only if it is planar. A graph has a 1-stack subdivision if and only if it is outerplanar.*

Proof: By Theorem 1 with $d = 2$ every graph has a 3-stack subdivision. The 2-stack graphs are precisely the subgraphs of planar Hamiltonian graphs [5]. Thus a non-planar graph does not have a 2-stack subdivision. Many authors [49, 66, 81] have observed that every planar graph has a subdivision that is a subgraph of a planar Hamiltonian graph (see Lemma 31 below), and hence has a 2-stack layout. The 1-stack graphs are precisely the outerplanar graphs [5]. Thus, for any outerplanar graph, the graph itself is a 1-stack subdivision. Conversely, if a subdivision of a graph G is outerplanar then so is G. Thus only the outerplanar graphs have 1-stack subdivisions. □

We now consider how many division vertices per edge are needed in a 2-stack subdivision of any planar graph. Pach and Wenger [81] proved that the subdivision of a planar graph with two division vertices per edge is the subgraph of a Hamiltonian planar graph, and hence has a 2-stack layout. Kaufmann and Wiese [66] and Giacomo *et al.* [49] improved this result by showing that the subdivision G' of a planar graph G with one division vertex per edge is the subgraph of a Hamiltonian planar graph, and hence has a 2-stack layout. (Note that Pach and Wenger [81] were more interested in the total number of vertices in the Hamiltonian supergraph rather than the number of division vertices per edge. Giacomo *et al.* [49] also prove that the division vertex x of each edge vw is between v and w in the 2-stack layout.) Here we give a new proof of the above result in [49, 66], with the additional property that the Hamiltonian supergraph is bipartite.

Lemma 31. *For every planar graph G, the subdivision G' of G with one division vertex per edge is the subgraph of a bipartite Hamiltonian planar graph, and hence has a 2-stack layout.*

Proof: Without loss of generality G is a triangulation. Otherwise we can add edges to G so that every face is a 3-cycle. Let $V = V(G)$. Now subdivide every edge once. Let X be the set of these division vertices. Finally add a single vertex to each face adjacent to the six vertices on that face. Let Y be the set of these

vertices. We obtain a planar triangulation H. Observe that $\{V, X, Y\}$ is a vertex 3-colouring of H. Thus every triangle of H contains one vertex from each of V, X and Y. Every such triangle forms a face of H. Therefore every triangle in H is a face, and H has no separating triangles. Since H is a triangulation, by the classical result of Whitney [98], H has a Hamiltonian cycle C.

The subgraph of H induced by $V \cup X$ is G'. Thus H and G' are 2-stack graphs. We now construct a bipartite Hamiltonian planar graph W from H such that G' is a subgraph of W. Consider a face f of G'. Let x be the vertex adjacent to every vertex of f in H. Exactly two edges incident to x are in C. Say $xv, xw \in C$, where $v, w \in f$. Delete all the edges incident to x except xv and xw. Clearly the resulting graph remains Hamiltonian. In the case that the distance from v to w along the boundary of f is odd, subdivide the edge xv. The resulting graph W is clearly Hamiltonian. It is easily verified that each face of W is an even cycle. Thus W is bipartite. □

4.2 Planar Queue and Track Layouts

Felsner *et al.* [44] asked the following question.

Open Problem 3. [44] *Does every n-vertex planar graph have a 3D straight-line drawing with $\mathcal{O}(n)$ volume?*

By Theorem 23 below, this question has an affirmative answer if planar graphs have bounded track-number. Whether planar graphs have bounded track-number is an open problem due to Hubert de Fraysseix [private communication, 2000], and since queue-number is tied to track-number [28], is equivalent to the following open problem due to Heath *et al.* [56, 57].

Open Problem 4. [56, 57] *Do planar graphs have bounded queue-number?*

We make the following contribution to the study of this problem, which is analogous to Theorem 8 for arbitrary graphs. Note that the best known upper bound on the queue-number of planar graphs is $\mathcal{O}(\sqrt{n})$.

Theorem 19. *Let $\mathcal{F}(n)$ be the family of functions $\mathcal{O}(1)$ or $\mathcal{O}(\operatorname{polylog} n)$. The following are equivalent:*

(1) *n-vertex planar graphs have queue-number in $\mathcal{F}(n)$,*

(2) *n-vertex bipartite Hamiltonian planar graphs have queue-number in $\mathcal{F}(n)$,*

(3) *n-vertex bipartite Hamiltonian planar graphs have 2-track thickness in $\mathcal{F}(n)$.*

Proof: That (1) implies (2) is immediate. Theorem 2 proves that (2) and (3) are equivalent. It remains to prove that (3) implies (1). Suppose that every n-vertex bipartite Hamiltonian planar graph has 2-track thickness at most some function $f(n) \in \mathcal{F}(n)$. Let G be an n-vertex planar graph. By Lemma 31, there is a bipartite Hamiltonian planar graph W containing G' as a subgraph. Observe that W has $n + (3n - 6) + 2(2n - 4) < 8n$ vertices. By assumption, W has 2-track thickness $\theta_2(W) \leq f(8n)$, and since G' is a subgraph of W, we have $\theta_2(G') \leq f(8n)$. By Lemma 3, G has queue-number at most $(f(8n))^2 \in \mathcal{F}(n)$. □

We now answer the questions discussed at the start of this section in the case of queue and track layouts.

Lemma 32. *Every n-vertex planar graph G has a subdivision D such that every edge has at most $n - 2$ division vertices, and D admits an n-track layout with every edge having span one.*

Proof: By the classical result of Fáry [43] and Wagner [93], G has a straight-line plane drawing. Rotate such a drawing so that every vertex has a unique Y-coordinate. Draw n lines parallel to the X-axis, one through each vertex, and subdivide every edge at the point at which it crosses a line. The subdivision D obtained has at most $n-2$ division vertices per edge. Now consider each line to be a track. Since there are no crossings in the drawing, there are no X-crossings in the track assignment of D. Thus we have an n-track layout of D with every edge having span one. □

Theorem 20. *Every graph has a* 2*-queue subdivision. A graph has a* 1*-queue subdivision if and only if it is planar.*

Proof: By Theorem 4 with $d = 2$ every graph has a 2-queue subdivision. Since 1-queue graphs are planar [57], non-planar graphs do not have 1-queue subdivisions. For any planar graph G, the subdivision D from Lemma 32 has a 1-queue layout by Lemma 5. Note that this conclusion can also be reached by observing that D is arched levelled planar (see [57]). □

Theorem 21. *Every graph has a* 4*-track subdivision. A graph has a* 3*-track subdivision if and only if it is planar. A graph has a* 2*-track subdivision if and only if it is a forest of caterpillars.*

Proof: By Theorem 16 with $d = 2$ every graph has a 4-track subdivision. By Lemma 35 below, a 3-track graph is planar. Thus non-planar graphs do not have 3-track subdivisions. For any planar graph G, the subdivision of G from Lemma 32 can be wrapped into a 3-track layout by Lemma 29(b). It is easily seen that a graph has a 2-track layout if and only if it is a forest of caterpillars [54]. If a subdivision of a graph G is a forest of caterpillars then so is G. Thus a graph has a 2-track subdivision if and only if it is a forest of caterpillars. □

We expect that the bound on the number of division vertices per edge in Lemma 32 can be improved.

Open Problem 5. *Is there a function f such that every planar graph G has a subdivision D with $f(\mathsf{qn}(G))$ division vertices per edge, and D has a* 1*-queue layout and/or a* 3*-track layout?*

Theorem 22. *Every graph has a subdivision with* 2*-track thickness at most* 3*. A graph has a subdivision with* 2*-track thickness at most* 2 *if and only if it is planar. A graph has a subdivision with* 2*-track thickness at most* 1 *if and only if it is a forest of caterpillars.*

Proof: The first claim is Theorem 14 with $d = 2$. If the 2-track thickness of a graph G is at most 2, then $\mathsf{sn}(G) \leq 2$ by Lemma 1(c), and thus G is planar [5]. Thus no non-planar graph has a subdivision with 2-track thickness at most 2. By Lemma 32, every planar graph has a subdivision D that admits an (edge-monochromatic) track layout with every edge having span one. By Lemma 29(a), such a track layout can be wrapped into a $(2,2)$-track layout. That is, $\theta_2(D) \leq 2$. This proves the second claim. A graph has 2-track thickness at most 1 if and only if it is a forest of caterpillars [54]. If a subdivision of G is a forest of caterpillars then so is G. This proves the third claim. □

4.3 Planar Mixed Layouts

Since the stack-number of planar graphs is at most four [101], Theorem 13 implies that every planar graph has a 1-stack 1-queue subdivision with eight division vertices per edge. Although asymptotically much weaker than Theorem 11, the following result gives a better bound on the number of division vertices per edge for graphs with small stack-number.

Lemma 33. *For every integer $s \geq 1$, every graph G has a s-stack 1-queue subdivision with at most $\lceil \mathsf{sn}(G)/s \rceil$ division vertices.*

Proof: Let $k = \lceil \mathsf{sn}(G)/s \rceil$. Let $h = \lfloor \frac{k}{2} \rfloor$. Let T be the path on $2h$ edges rooted at the 'middle' vertex r. Thus T has height h. Label each node $x \in V(T)$ by $l(x) = s$. Then $\sum_x l(x) = (2h+1)s = (2\lfloor \frac{k}{2} \rfloor + 1)s \geq ks = \lceil \mathsf{sn}(G)/s \rceil s \geq \mathsf{sn}(G)$. By Lemma 20, G has a subdivision D with at most $2h \leq k$ division vertices per edge, and D has a $(1,T)$-layout such that $s_x \leq s$ for all nodes $x \in V(T)$.

Change the root of T from r to one of the two leaves of T and redirect the edges accordingly. Now every node in T has at most one outgoing edge. Colour all the edges of T black and all the nodes of T red. Since all the edges are black, by Lemma 17, T has a topological ordering σ that admits a 1-queue layout of T. Furthermore, since there are no red edges in T,

$$\max_{x \in V(T)} \left\{ s'_x + \sum_{xy \in E^r(T)} k_{xy} + \sum_{yx \in E^r(T)} k_{yx} \right\} \leq s \ .$$

Since there are no black nodes and since every node has at most one black outgoing edge

$$\max_{x \in V(T)} \left\{ q'_x + \max_{y \in V(T) : y \leq_\sigma x} \sum_{yz \in E^b(T) : x \leq_\sigma z} k_{yz} \right\} \leq \max_{x \in V(T)} \sum_{xv \in E^b(T)} k_{xv} \leq 1 \ .$$

(See Lemma 22 to recall the definitions of q'_x and s'_x). Therefore by Lemma 22, D has an s-stack 1-queue mixed layout. □

By Lemma 33 with $s = 1$ and since planar graphs have 4-stack layouts [101] we have:

Lemma 34. *Every planar graph has a 1-stack 1-queue subdivision with four division vertices per edge.*

This concludes the proof □

Similar bounds can be be obtained for the number of division vertices per edge in a 1-stack 1-queue subdivision of a graph with small stack-number (see [29]). Lemma 34 provides a partial solution to the conjecture of Heath and Rosenberg [57] that every planar graph has a 1-stack 1-queue mixed layout.

5 Three-Dimensional Polyline Drawings

Track layouts have previously been used to produce three-dimensional drawings with small volume. The principle idea in these constructions is to position the vertices in a single track so that they have the same X- and Y-coordinates. That is, each track is positioned on a vertical 'rod'. Since there are no X-crossings in the track layout, no edges between the same pair of tracks can cross.

Theorem 23. [27, 30] *Let G be a c-colourable t-track graph. Then*

(a) *G has a $\mathcal{O}(t) \times \mathcal{O}(t) \times \mathcal{O}(n)$ straight-line drawing with $\mathcal{O}(t^2 n)$ volume, and*

(b) *G has a $\mathcal{O}(c) \times \mathcal{O}(c^2 t) \times \mathcal{O}(c^4 n)$ straight-line drawing with $\mathcal{O}(c^7 tn)$ volume.*

Moreover, if G has an $X \times Y \times Z$ straight-line drawing then G has track-number $\mathsf{tn}(G) \leq 2XY$.

The constants in Theorem 23 can be significantly improved in the case of 3-track and 4-track layouts. Here the vertices are positioned on the edges of a triangular or rectangular prism. These models of graph drawing were introduced by Felsner *et al.* [44].

Lemma 35. *Let $\{V_1, V_2, V_3\}$ be a 3-track layout of a graph G. Let $n' = \max\{|V_1|, |V_2|, |V_3|\}$. Then G has a $2 \times 2 \times n'$ straight-line drawing with the vertices on a triangular prism. In this case, G is necessarily planar.*

Proof: Position the i-th vertex in V_1 at $(0, 0, i)$. Position the i-th vertex in V_2 at $(1, 0, i)$. Position the i-th vertex in V_3 at $(0, 1, i)$. Since there is no X-crossing in the track layout, no two edges cross. Since G is embedded in a surface homeomorphic to the sphere, G is planar. □

Lemma 36. *Let $\{V_1, V_2, V_3, V_4\}$ be a 4-track layout of a graph G. Let $n' = \max\{|V_1|, |V_2|, |V_3|, |V_4|\}$. Then G has a $2 \times 2 \times 2n'$ straight-line drawing with the vertices on a rectangular prism.*

Proof: Position the i-th vertex in V_1 at $(0, 0, 2i)$. Position the i-th vertex in V_2 at $(1, 0, 2i)$. Position the i-th vertex in V_3 at $(0, 1, 2i)$. Position the i-th vertex in V_4 at $(1, 1, 2i + 1)$. Clearly the only possible crossing is between edges vw and xy with $v \in V_1$, $w \in V_4$, $x \in V_2$, and $y \in V_3$. Such a crossing point is on the line $L = \{(\frac{1}{2}, \frac{1}{2}, z) : z \in \mathbb{R}\}$. However, vw intersects L at $(\frac{1}{2}, \frac{1}{2}, \alpha + \frac{1}{2})$ for some integer α, and xy intersects L at $(\frac{1}{2}, \frac{1}{2}, \beta)$ for some integer β. Thus vw and xy do not intersect. □

Di Giacomo and Meijer [22] proved that a 4-track graph with n vertices has a $2 \times 2 \times n$ drawing. When $n' < \frac{n}{2}$ the above construction has less volume.

In the case of bipartite graphs, the authors [30] gave a simple proof of Theorem 23(b) with improved constants, which we include for completeness. The construction is illustrated in Figure 12.

Lemma 37. [30] *Every t-track bipartite graph G with bipartition $\{A, B\}$ has a $2 \times t \times \max\{|A|, |B|\}$ straight-line drawing.*

Proof: Let $\{T_i : 1 \leq i \leq t\}$ be a t-track layout of G. For each $1 \leq i \leq t$, let $A_i = T_i \cap A$ and $B_i = T_i \cap B$. Order each A_i and B_i as in T_i. Place the j-th vertex in A_i at $(0, t - i + 1, j + \sum_{k=1}^{i-1} |A_k|)$. Place the j-th vertex in B_i at $(1, i, j + \sum_{k=1}^{i-1} |B_k|)$. The drawing is thus $2 \times t \times \max\{|A|, |B|\}$. There is no crossing between edges in $G[A_i, B_j]$ and $G[A_i, B_j]$ as otherwise there would be an X-crossing in the track layout. Clearly there is no crossing between edges in $G[A_i, B_j]$ and $G[A_i, B_k]$ for $j \neq k$. Suppose there is a crossing between edges in $G[A_i, B_j]$ and $G[A_k, B_\ell]$ with $i \neq k$ and $j \neq \ell$. Without loss of generality $i < k$. Then the projections of the edges in the XY-plane also cross, and thus $\ell < j$. This implies that the projections of the edges in the XZ-plane do not cross, and thus the edges do not cross. □

We now prove results for 3D 1-bend drawings.

Theorem 24. *Every c-colourable q-queue graph G with n vertices and m edges has a $2 \times c(q + 1) \times (n + m)$ polyline drawing with one bend per edge. The volume is $2c(q + 1)(n + m)$.*

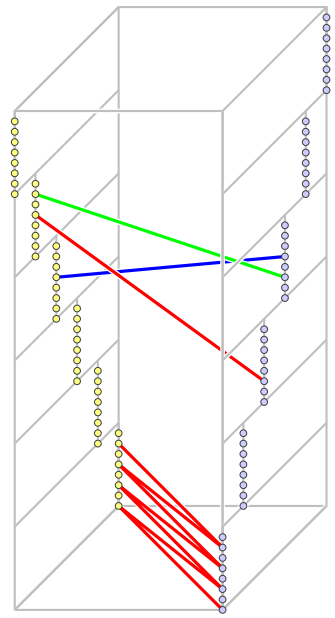

Fig. 12: 3D straight-line drawing of a 6-track bipartite graph.

Proof: The subdivision G' of G with one division vertex per edge is bipartite and has $n + m$ vertices. By Lemma 4(b), $\mathsf{tn}(G') \leq c(q+1)$. Thus by Lemma 37, G' has a $2 \times c(q+1) \times (n+m)$ straight-line drawing, which is the desired 3D polyline drawing of G. □

The next result applies a construction of Calamoneri and Sterbini [13].

Theorem 25. *Every n-vertex m-edge graph G has an $n \times m \times 2$ polyline drawing with one bend per edge.*

Proof: Let $(v_1, v_2, \ldots, v_n)$ be an arbitrary vertex ordering of G. Let $(x_1, x_2, \ldots, x_m)$ be an arbitrary ordering of the division vertices of G'. Place each v_i at $(i, 0, 0)$ and each x_j at $(0, j, 1)$. Clearly the endpoints of any two disjoint edges of G' are not coplanar (see [13]). Thus no two edges cross, and we have an $n \times m \times 2$ straight-line drawing of G', which is a 3D 1-bend drawing of G. □

Subsequent to this research, Morin and Wood [75] studied 3D 1-bend drawings. They showed that if the vertices are required to be collinear, then the minimum volume of a 3D 1-bend drawing of any n-vertex graph with cutwidth c is $\Theta(cn)$. Moreover, they proved that every graph has a 3D 1-bend drawing with $\mathcal{O}(n^3/\log^2 n)$ volume.

Now consider 3D 2-bend drawings. For every q-queue graph G, the subdivision G'' is obviously 3-colourable. Thus by Lemma 4(c) and Theorem 23(b), G has a $\mathcal{O}(1) \times \mathcal{O}(q) \times \mathcal{O}(n+m)$ polyline drawing with two bends per edge. This result can be improved as follows.

Theorem 26. *Every n-vertex m-edge q-queue graph G has a $2 \times 2q \times (2n-3)$ polyline drawing with two bends per edge. The volume is at most $8qn \in \mathcal{O}(n\sqrt{m})$.*

Proof: Let $\sigma = (v_1, v_2, \ldots, v_n)$ be the vertex ordering in a q-queue layout of G. Let $\{E_\ell : 1 \leq \ell \leq q\}$ be the queues. Order the edges in each queue E_ℓ according to the queue order (see Eq. (1)). Denote by $(L(e), X(e), Y(e), R(e))$ the path replacing e in G'', where $L(e) <_\sigma R(e)$. Put each vertex v_i at $(0, 0, i)$. If e is the j-th edge in the ordering of E_ℓ, put the division vertices $X(e)$ at $(1, 2\ell, j)$ and $Y(e)$ at $(1, 2\ell + 1, j)$. Observe that the projection of the drawing onto the XY-plane is planar. Thus the only possible crossings occur between edges contained in a plane parallel with the Z-axis. Thus an X-crossing could only occur between pairs of edges $\{L(e)X(e), L(f)X(f)\}$, $\{X(e)Y(e), X(f)Y(f)\}$, or $\{Y(e)R(e), Y(f)R(f)\}$, where e and f are in a single queue E_ℓ. Suppose $e <_\ell f$. Then the Z-coordinates satisfy: $Z(L(e)) \leq Z(L(f))$, $Z(R(e)) \leq Z(R(f))$, $Z(X(e)) < Z(X(f))$, and $Z(Y(e)) < Z(Y(f))$. Thus there is no crossing. The drawing is at most $2 \times 2q \times (2n - 3)$ since each queue has at most $2n - 3$ edges [29, 57, 83]. The volume is at most $8qn$, which is $\mathcal{O}(n\sqrt{m})$ [29, 57, 89]. □

Heath and Rosenberg [57] observed that the complete graph K_n has a $\lfloor \frac{n}{2} \rfloor$-queue layout. Thus Theorem 26 gives a $2 \times n \times (2n - 3)$ polyline drawing of K_n with two bends per edge. Independent of this research, Dyck *et al.* [32] also proved that K_n has a 3D 2-bend drawing with $\mathcal{O}(n^2)$ volume.

Theorem 27. *Let G be a q-queue graph with n vertices and m edges. For every $\epsilon > 0$, G has a*

$$2 \times \big(\lceil q^\epsilon \rceil + 2 \big) \times \big(n + (8 \lceil \tfrac{1}{\epsilon} \rceil + 1)m \big)$$

polyline drawing with at most $8\lceil \frac{1}{\epsilon} \rceil + 1$ bends per edge. The volume is $\mathcal{O}(q^\epsilon(n + \frac{m}{\epsilon}))$. For constant ϵ there are $\mathcal{O}(1)$ bends per edge and the volume is $\mathcal{O}(q^\epsilon(n + m))$, which is in $\mathcal{O}(n^\epsilon(n + m))$.

Proof: Let $d = \lceil q^\epsilon \rceil$. By Theorem 16, G has a bipartite subdivision D with at most $8\lceil \log_d q \rceil + 1$ division vertices per edge such that the track-number $\mathsf{tn}(D) \leq d + 2$. Now $\log_d q \leq \frac{1}{\epsilon}$. Thus D has at most $8\lceil \frac{1}{\epsilon} \rceil + 1$ division vertices per edge, and $\mathsf{tn}(D) \leq \lceil q^\epsilon \rceil + 2$. The number of vertices of D is at most $n + (8\lceil \frac{1}{\epsilon} \rceil + 1)m$. By Lemma 37, D has a $2 \times (\lceil q^\epsilon \rceil + 2) \times (n + (8\lceil \frac{1}{\epsilon} \rceil + 1)m)$ straight-line drawing, which is the desired 3D polyline drawing of G. The other claims immediately follow since $q \leq n$. □

Theorem 28. *Every q-queue graph G with n vertices and m edges has a*

$$2 \times 2 \times \big(n + (8 \lceil \log_2 q \rceil + 1)m \big)$$

polyline drawing on a rectangular prism. There are $\mathcal{O}(\log q)$ bends per edge, and the volume is $\mathcal{O}(n + m \log q)$, which is in $\mathcal{O}(n + m \log n)$.

Proof: By Theorem 16, G has a 4-track subdivision D with at most $8\lceil \log_2 q \rceil + 1$ division vertices per edge. The number of vertices of D is at most $n + (8\lceil \log_2 q \rceil + 1)m$. By Lemma 36, D has a $2 \times 2 \times (n + (8\lceil \log_2 q \rceil + 1)m)$ straight-line drawing, which is the desired polyline drawing of G. The volume is $\mathcal{O}(n + m \log n)$ since $q \leq n$. □

Since the queue-number of an n-vertex graph is at most n we have the following corollary of Theorem 28.

Corollary 3. *Every graph with n vertices and m edges has a polyline drawing with $\mathcal{O}(n + m \log n)$ volume and $\mathcal{O}(\log n)$ bends per edge.* □

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