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# An extremal problem on potentially $K_{p,1,1}$ -graphic sequences<sup>†</sup>

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A sequence S is potentially  $K_{p,1,1}$  graphical if it has a realization containing a  $K_{p,1,1}$  as a subgraph, where  $K_{p,1,1}$  is a complete 3-partite graph with partition sizes p,1,1. Let  $\sigma(K_{p,1,1},n)$  denote the smallest degree sum such that every n-term graphical sequence S with  $\sigma(S) \geq \sigma(K_{p,1,1},n)$  is potentially  $K_{p,1,1}$  graphical. In this paper, we prove that  $\sigma(K_{p,1,1},n) \geq 2[((p+1)(n-1)+2)/2]$  for  $n \geq p+2$ . We conjecture that equality holds for  $n \geq 2p+4$ . We prove that this conjecture is true for p=3.

AMS Subject Classifications: 05C07, 05C35

**Keywords:** graph; degree sequence; potentially  $K_{p,1,1}$ -graphic sequence

#### 1 Introduction

If  $S=(d_1,d_2,\ldots,d_n)$  is a sequence of non-negative integers, then it is called graphical if there is a simple graph G of order n, whose degree sequence  $(d(v_1),d(v_2),\ldots,d(v_n))$  is precisely S. If G is such a graph then G is said to realize S or be a realization of S. A graphical sequence S is potentially S graphical if there is a realization of S containing S as subgraph, while S is forcibly S graphical if every realization of S contains S as subgraph. Let S is forcibly S graphical if the largest integer less than or equal to S. We denote S the graph with S in S denote a complete graph on S vertices, and a cycle on S vertices, respectively. Let S denote a complete S graph with partition sizes S and a cycle on S vertices, respectively. Let S denote a complete graph with partition sizes S and a cycle on S vertices, respectively. Let S denote a complete graph with partition sizes S and a cycle of S vertices, respectively. Let S denote a complete graph with partition sizes S and a cycle of S vertices, respectively.

Given a graph H, what is the maximum number of edges of a graph with n vertices not containing H as a subgraph? This number is denoted ex(n,H), and is known as the Turán number. This problem was proposed for  $H=C_4$  by Erdős [3] in 1938 and in general by Turán [12]. In terms of graphic sequences, the number 2ex(n,H)+2 is the minimum even integer l such that every n-term graphical sequence S with

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 $\sigma(S) \geq l$  is forcibly H graphical. Here we consider the following variant: determine the minimum even integer l such that every n-term graphical sequence S with  $\sigma(S) \geq l$  is potentially H graphical. We denote this minimum l by  $\sigma(H,n)$ . Erdős, Jacobson and Lehel [4] showed that  $\sigma(K_k,n) \geq (k-2)(2n-k+1)+2$  and conjectured that equality holds. They proved that if S does not contain zero terms, this conjecture is true for  $k=3, n\geq 6$ . The conjecture is confirmed in [5], [7], [8], [9] and [10].

Gould, Jacobson and Lehel [5] also proved that  $\sigma(pK_2,n)=(p-1)(2n-2)+2$  for  $p\geq 2$ ;  $\sigma(C_4,n)=2[\frac{3n-1}{2}]$  for  $n\geq 4$ . Luo [11] characterized the potentially  $C_k$  graphic sequence for k=3,4,5. Yin and Li [13] gave sufficient conditions for a graphic sequence being potentially  $K_{r,s}$ -graphic, and determined  $\sigma(K_{r,r},n)$  for r=3,4. Lai [6] proved that  $\sigma(K_4-e,n)=2[\frac{3n-1}{2}]$  for  $n\geq 7$ . In this paper, we prove that  $\sigma(K_{p,1,1},n)\geq 2[((p+1)(n-1)+2)/2]$  for  $n\geq p+2$ . We conjecture that equality holds for  $n\geq 2p+4$ . We prove that this conjecture is true for p=3.

#### 2 Main results.

**Theorem 1**  $\sigma(K_{p,1,1},n) \ge 2[((p+1)(n-1)+2)/2]$ , for  $n \ge p+2$ .

**Proof:** If p = 1, by Erdős, Jacobson and Lehel [4],  $\sigma(K_{1,1,1}, n) \ge 2n$ , Theorem 1 is true.

If p=2, by Gould, Jacobson and Lehel [5],  $\sigma(K_{2,1,1},n)=\sigma(K_4-e,n)\geq \sigma(C_4,n)=2[(3n-1)/2]$ , Theorem 1 is true. Then we can suppose that  $p\geq 3$ .

We first consider odd p. If n is odd, let n=2m+1, by Theorem 9.7 of [2],  $K_{2m}$  is the union of one 1-factor M and m-1 spanning cycles  $C_1^1, C_2^1, \ldots, C_{m-1}^1$ . Let

$$H = C_1^1 \bigcup C_2^1 \bigcup \dots \bigcup C_{\frac{p-1}{2}}^1 + K_1 \tag{1}$$

Then H is a realization of  $((n-1)^1, p^{n-1})$ , where the symbol  $x^y$  stands for y consecutive terms x. Since  $K_{p,1,1}$  contains two vertices of degree p+1 while  $((n-1)^1, p^{n-1})$  only contains one integer n-1 greater than degree p,  $((n-1)^1, p^{n-1})$  is not potentially  $K_{p,1,1}$  graphic. Thus

$$\sigma(K_{p,1,1},n) \ge (n-1) + p(n-1) + 2 = 2[((p+1)(n-1) + 2)/2]. \tag{2}$$

Next, if n is even, let n=2m+2, by Theorem 9.6 of [2],  $K_{2m+1}$  is the union of m spanning cycles  $C_1^1, C_2^1, \ldots, C_m^1$ . Let

$$H = C_1^1 \bigcup C_2^1 \bigcup \dots \bigcup C_{\frac{p-1}{2}}^1 + K_1$$
 (3)

Then H is a realization of  $((n-1)^1, p^{n-1})$ , and we are done as before. This completes the discussion for odd p.

Now we consider even p. If n is odd, let n=2m+1, by Theorem 9.7 of [2],  $K_{2m}$  is the union of one 1-factor M and m-1 spanning cycles  $C_1^1, C_2^1, \ldots, C_{m-1}^1$ . Let

$$H = M \bigcup C_1^1 \bigcup C_2^1 \bigcup \dots \bigcup C_{\frac{p-2}{2}}^1 + K_1$$
 (4)

Then H is a realization of  $((n-1)^1, p^{n-1})$ , and we are done as before.

Next, if n is even, let n=2m+2, by Theorem 9.6 of [2],  $K_{2m+1}$  is the union of m spanning cycles  $C_1^1, C_2^1, \ldots, C_m^1$ . Let

$$C_1^1 = x_1 x_2 \dots x_{2m+1} x_1$$

$$H = (C_1^1 \bigcup C_2^1 \bigcup \dots \bigcup C_{\frac{p}{2}}^1 + K_1) - \{x_1 x_2, x_3 x_4, \dots, x_{2m-1} x_{2m}, x_{2m+1} x_1\}$$

Then H is a realization of  $((n-1)^1, p^{n-2}, (p-1)^1)$ . It is easy to see that  $((n-1)^1, p^{n-2}, (p-1)^1)$  is not potentially  $K_{p,1,1}$  graphic. Thus

$$\sigma(K_{p,1,1}, n) \geq (n-1) + p(n-2) + p - 1 + 2$$
  
=  $2[((p+1)(n-1) + 2)/2].$ 

This completes the discussion for even p, and so finishes the proof of Theorem 1

**Theorem 2** For n = 5 and  $n \ge 7$ ,

$$\sigma(K_{3,1,1},n) = 4n-2.$$

For n=6, if S is a 6-term graphical sequence with  $\sigma(S) \geq 22$ , then either there is a realization of S containing  $K_{3,1,1}$  or  $S=(4^6)$ . (Thus  $\sigma(K_{3,1,1},6)=26$ .)

**Proof:** By Theorem 1, for  $n \ge 5$ ,  $\sigma(K_{3,1,1,n}) \ge 2[((3+1)(n-1)+2)/2] = 4n-2$ . We need to show that if S is an n-term graphical sequence with  $\sigma(S) \ge 4n-2$ , then there is a realization of S containing a  $K_{3,1,1}$  (unless  $S=(4^6)$ ). Let  $d_1 \ge d_2 \ge \cdots \ge d_n$ , and let G be a realization of S.

Case n=5: If a graph has size  $q \ge 9$ , then clearly it contains a  $K_{3,1,1}$ , so that  $\sigma(K_{3,1,1},5) \le 4n-2$ .

Case n=6: If  $\sigma(S)=22$ , we first consider  $d_6\leq 2$ . Let S' be the degree sequence of  $G-v_6$ , so  $\sigma(S')\geq 22-2\times 2=18$ . Then S' has a realization containing a  $K_{3,1,1}$ . Therefore S has a realization containing a  $K_{3,1,1}$ . Now we consider  $d_6\geq 3$ . It is easy to see that S is one of  $(5^2,3^4)$ ,  $(5^1,4^2,3^3)$  or  $(4^4,3^2)$ . Obviously, all of them are potentially  $K_{3,1,1}$ -graphic. Next, if  $\sigma(S)=24$ , we first consider  $d_6\leq 3$ . Let S' be the degree sequence of  $G-v_6$ , so  $\sigma(S')\geq 24-3\times 2=18$ . Then S' has a realization containing a  $K_{3,1,1}$ . Therefore S has a realization containing a  $K_{3,1,1}$ . Now we consider  $d_6\geq 4$ . It is easy to see that  $S=(4^6)$ . Obviously,  $(4^6)$  is graphical and  $(4^6)$  is not potentially  $K_{3,1,1}$  graphic. Finally, suppose that  $\sigma(S)\geq 26$ . We first consider  $d_6\leq 4$ . Let S' be the degree sequence of  $G-v_6$ , so  $\sigma(S')\geq 26-2\times 4=18$ . Then S' has a realization containing a  $K_{3,1,1}$ . Therefore S has a realization containing a  $K_{3,1,1}$ . Now we consider  $d_6\geq 5$ . It is easy to see that  $S=(5^6)$ . Obviously,  $(5^6)$  is potentially  $K_{3,1,1}$ -graphic.

Case n=7: First we assume that  $\sigma(S)=26$ . Suppose  $d_7\leq 2$  and let S' be the degree sequence of  $G-v_7$ , so  $\sigma(S')\geq 26-2\times 2=22$ . Then S' has a realization containing a  $K_{3,1,1}$  or  $S'=(4^6)$ . Therefore S has a realization containing a  $K_{3,1,1}$  or  $S=(5^1,4^5,1^1)$ . Obviously,  $(5^1,4^5,1^1)$  is potentially  $K_{3,1,1}$ -graphic. In either event, S has a realization containing a  $K_{3,1,1}$ . Now we assume that  $d_7\geq 3$ . It is easy to see that S is one of  $(6^1,5^1,3^5)$ ,  $(6^1,4^2,3^4)$ ,  $(5^2,4^1,3^4)$ ,  $(5^1,4^3,3^3)$  or  $(4^5,3^2)$ . Obviously, all of them are potentially  $K_{3,1,1}$ -graphic. Next, if  $\sigma(S)=28$ , Suppose  $d_7\leq 3$ . Let S' be the degree sequence of  $G-v_7$ , so  $\sigma(S')\geq 28-3\times 2=22$ . Then

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S' has a realization containing a  $K_{3,1,1}$  or  $S'=(4^6)$ . Therefore S has a realization containing a  $K_{3,1,1}$  or  $S=(5^2,4^4,2^1)$ . Obviously,  $(5^2,4^2,2^1)$  is potentially  $K_{3,1,1}$ -graphic. In either event, S has a realization containing a  $K_{3,1,1}$ . Now we assume that  $d_7 \geq 4$ , then  $S=(4^7)$ . Clearly,  $(4^7)$  has a realization containing a  $K_{3,1,1}$ . Finally, suppose that  $\sigma(S) \geq 30$ . If  $d_7 \leq 4$ . Let S' be the degree sequence of  $G-v_7$ , so  $\sigma(S') \geq 30-2 \times 4=22$ . Then S' has a realization containing a  $K_{3,1,1}$  or  $S'=(4^6)$ . Therefore S has a realization containing a  $K_{3,1,1}$  or  $S=(5^3,4^3,3^1)$ . Clearly,  $(5^3,4^3,3^1)$  has a realization containing a  $K_{3,1,1}$ . In either event, S has a realization containing a  $K_{3,1,1}$ . Now we consider  $d_7 \geq 5$ . It is easy to see that  $\sigma(S) \geq 5 \times 7=35$ . Obviously  $\sigma(S) \geq 36$ . Clearly, S has a realization containing a  $K_{3,1,1}$ .

We proceed by induction on n. Take  $n \ge 8$  and make the inductive assumption that for  $7 \le t < n$ , whenever  $S_1$  is a t-term graphical sequence such that

$$\sigma(S_1) \ge 4t - 2 \tag{5}$$

then  $S_1$  has a realization containing a  $K_{3,1,1}$ . Let S be an n-term graphical sequence with  $\sigma(S) \geq 4n-2$ . If  $d_n \leq 2$ , let S' be the degree sequence of  $G-v_n$ . Then  $\sigma(S') \geq 4n-2-2 \times 2 = 4(n-1)-2$ . By induction, S' has a realization containing a  $K_{3,1,1}$ . Therefore S has a realization containing a  $K_{3,1,1}$ . Hence, we may assume that  $d_n \geq 3$ . By Proposition 2 and Theorem 4 of [5] (or Theorem 3.3 of [7]) S has a realization containing a  $K_4$ . By Lemma 1 of [5], there is a realization G of S with  $v_1, v_2, v_3, v_4$ , the four vertices of highest degree containing a  $K_4$ . If  $d(v_2) = 3$ , then  $4n-2 \leq \sigma(S) \leq n-1+3(n-1)=4n-4$ . This is a contradiction. Hence, we may assume that  $d(v_2) \geq 4$ . Let  $v_1$  be adjacent to  $v_2, v_3, v_4, y_1$ . If  $y_1$  is adjacent to one of  $v_2, v_3, v_4$ , then G contains a  $K_{3,1,1}$ . Hence, we may assume that  $y_1$  is not adjacent to  $v_2, v_3, v_4$ . Let  $v_2$  be adjacent to  $v_1, v_3, v_4, y_2$ . If  $y_2$  is adjacent to one of  $v_1, v_3, v_4$ , then G contains a  $K_{3,1,1}$ . Hence, we may assume that  $y_2$  is not adjacent to  $v_1, v_3, v_4$ . Since  $d(y_1) \geq d_n \geq 3$ , there is a new vertex  $y_3$ , such that  $y_1y_3 \in E(G)$ .

- Case 1: Suppose  $y_3v_3 \in E(G)$ . If  $y_3v_4 \in E(G)$ , then G contains a  $K_{3,1,1}$ . Hence, we may assume that  $y_3v_4 \notin E(G)$ . Then the edge interchange that removes the edges  $y_1y_3, v_3v_4$  and  $v_2y_2$  and inserts the edges  $y_1v_2, y_3v_4$  and  $y_2v_3$  produces a realization G' of S containing a  $K_{3,1,1}$ .
- Case 2: Suppose  $y_3v_3 \notin E(G)$ . Then the edge interchange that removes the edges  $y_1y_3, v_3v_4$  and  $v_2y_2$  and inserts the edges  $y_1v_2, y_3v_3$  and  $y_2v_4$  produces a realization G' of S containing a  $K_{3,1,1}$ .

This finishes the inductive step, and thus Theorem 2 is established.

We make the following conjecture:

**Conjecture 1** 
$$\sigma(K_{p,1,1},n) = 2[((p+1)(n-1)+2)/2], \text{ for } n \geq 2p+4.$$

This conjecture is true for p = 1, by Theorem 3.5 of [4], for p = 2, by Theorem 1 of [6], and for p = 3, by the above Theorem 2.

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