



HAL
open science

An application of results by Hardy, Ramanujan and Karamata to Ackermannian functions

Andreas Weiermann

► **To cite this version:**

Andreas Weiermann. An application of results by Hardy, Ramanujan and Karamata to Ackermannian functions. *Discrete Mathematics and Theoretical Computer Science*, 2003, Vol. 6 no. 1 (1), pp.133-142. 10.46298/dmtcs.339 . hal-00958996

HAL Id: hal-00958996

<https://inria.hal.science/hal-00958996v1>

Submitted on 13 Mar 2014

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

An application of results by Hardy, Ramanujan and Karamata to Ackermannian functions

Andreas Weiermann^{1†}

¹Mathematical Institute, P.O. Box 80010, 3508 TA Utrecht, The Netherlands
weierman@math.uu.nl

received Nov 6, 2003, revised Dec 5, 2003, accepted Dec 8, 2003.

The Ackermann function is a fascinating and well studied paradigm for a function which eventually dominates all primitive recursive functions. By a classical result from the theory of recursive functions it is known that the Ackermann function can be defined by an unnested or descent recursion along the segment of ordinals below ω^ω (or equivalently along the order type of the polynomials under eventual domination). In this article we give a fine structure analysis of such a Ackermann type descent recursion in the case that the ordinals below ω^ω are represented via a Hardy Ramanujan style coding. This paper combines number-theoretic results by Hardy and Ramanujan, Karamata's celebrated Tauberian theorem and techniques from the theory of computability in a perhaps surprising way.

Keywords: Ackermann function, Tauberian theorem

1 Introduction

This article is part of a general investigation on the relationships between enumerative combinatorics and the theory of computability. (See, for example, Weiermann (2003, 2004) for further related material on this topic.)

In this paper we focus on classifying a Friedman-style recursion schema for the Ackermann function using "asymptotic formulae for the distribution of integers of various types" in the spirit of Hardy and Ramanujan (1916).

The Ackermann function emerges naturally from a given base function, like the successor function by iterated iteration and a final diagonalization. For example, let $F_0(n) := n + 1$ and $F_{k+1}(n) := \underbrace{F_k(\dots F_k(n) \dots)}_{n+1\text{-times}}$.

Then $A(n) := F_n(n)$ is a typical version of the Ackermann function. A common feature of these definitions of such a function is that the resulting function A eventually dominates every function F_k and hence A is not primitive recursive (since every primitive recursive function can be computed with time bound F_k for some k). We consider all such functions as equivalent and call them Ackermannian for the rest of the paper.

[†]This work was supported by a Heisenberg-Fellowship of the Deutsche Forschungsgemeinschaft.

Ackermannian functions grow very rapidly, since for example F_3 grows like the superexponential function. Therefore they usually do not show up in mathematical textbooks on analytic number theory. The deeper reason for this can be described briefly as follows. Usual analytic number theory can be formalized within a logical framework RCA_0 (see, for example, Simpson (1985) for a definition) which has only primitive recursive functions as provably total recursive functions by a standard result in foundations. Thus Ackermannian functions are beyond the scope of analytic number theory.

Nevertheless this observation does not exclude that one can study the behaviour of Ackermannian functions using results from analytic number theory and this will be carried out in this paper.

The link between A and the mathematics from the Hardy Ramanujan paper about asymptotic formulae is provided by the (codes for) ordinals below ω^ω . Motivated by their study of highly composite numbers Hardy and Ramanujan were interested in the asymptotic behaviour of products of the form $p_1^{a_1} \cdot \dots \cdot p_n^{a_n}$ where $a_1 \geq \dots \geq a_n$ and p_i denotes the i -th prime. From the logical viewpoint it is very natural to consider such products as codes for ordinals below ω^ω . Simply associate to $p_1^{a_1} \cdot \dots \cdot p_n^{a_n}$ the ordinal $\omega^{a_1-1} + \dots + \omega^{a_n-1}$ and vice versa.

To ordinals below ω^ω we may associate by recursion a hierarchy of number-theoretic functions as follows. Let $H_0(n) := n$, $H_{\alpha+1}(n) := H_\alpha(n+1)$ and $H_\lambda(n) := H_{\lambda[n]}(n)$ where λ is a limit ordinal of the form $\lambda = \omega^{a_1} + \dots + \omega^{a_m}$ where $a_1 \geq \dots \geq a_m \geq 1$ and $\lambda[n] = \omega^{a_1} + \dots + \omega^{a_m-1} \cdot (n+1)$ is the n -th member of the canonical fundamental sequence which converges to λ . A small calculation shows $A(n) = H_{\omega^n}(n)$ for every natural number n . Since ω^n is the n -th member of the canonical fundamental sequence which converges to ω^ω we obtain $A(n) = H_{\omega^\omega}(n)$ in accordance to the definition above. Thus A can be defined by an unnested recursion along ω^ω . (This result is a special case of a more general result by Tait about the relationship between nested and unnested recursion.)

In this paper we investigate the fine structure of related recursions which lead to functions of similar growth as A . In particular we focus on a Friedman-style description of A via a descent recursion Friedman and Sheard (1995); Simpson (1985); Smith (1985).

For describing this in some more detail we need some further terminology. Let HR be the set of numbers considered by Hardy and Ramanujan. For $a, b \in \text{HR}$ let $a < b$ if the ordinal associated to a is less than the ordinal associated to b . For a given binary number-theoretic function f let F_f be defined as follows. $F_f(n) := \max\{K : (\exists m_1, \dots, m_K \in \text{HR})[m_1 \succ \dots \succ m_K \ \& \ (\forall i \in \{1, \dots, K\})m_i \leq f(n, i)]\}$.

Functions like F_f occur naturally in proof-theoretic investigations about provably recursive functions of formal proof systems for arithmetic Friedman and Sheard (1995). Moreover they can be used as scales for comparing hierarchies of number theoretic functions Buchholz et al. (1994). For example, the Ackermannian functions can be described in terms of suitable F_f as follows.

Let $2_0(i) := i$ and $2_{K+1}(i) := 2^{2^K(i)}$ and let $f_K(n, i) := 2_K(n+i)$. Then according to a theorem of Friedman and Sheard (1995) there is a K such that A and F_{f_K} have the same growth rate in the sense that there are elementary functions (primitive recursive functions which are bounded by a fixed number of iterates of the exponential function) p and q such that $A(n) \leq F_{f_K}(p(n))$ and $F_{f_K}(n) \leq A(q(n))$.

It seems quite natural to ask whether it is possible to classify those functions f for which the induced function F_f has the same growth rate as A . Our main theorem runs as follows. For $\alpha \leq \omega^\omega$ let $g_\alpha(n, i) := n + 2^{H_\alpha^{-1}(i)\sqrt{i}}$ where $H_\alpha^{-1}(i) := \min\{k : H_\alpha(k) \geq i\}$. (These functions grow very slowly for large α since H_α grows then rather fastly.) Then F_{g_α} is primitive recursive iff $\alpha < \omega^\omega$. Moreover $F_{g_{\omega^\omega}}$ and A have the same growth rate in the sense as indicated above.

These purely foundational investigations led us naturally to questions about the asymptotic behaviour

of the number of products of the form $p_1^{a_1} \cdot \dots \cdot p_n^{a_n} \leq x$ where $d \geq a_1 \geq \dots \geq a_n$ and d is a fixed natural number. Such bounds are in the spirit of Hardy and Ramanujan (1916) but they do not follow from the Hardy Ramanujan style Tauberian theorem. However they can be obtained by the celebrated Tauberian theorem of Karamata. The result which is then obtained in this paper is that

$$\#\{p_1^{a_1} \cdot \dots \cdot p_n^{a_n} \leq x : d \geq a_1 \geq \dots \geq a_n \geq 1\} \sim \frac{1}{(d!)^2} \left(\frac{\ln(x)}{\ln(\ln(x))} \right)^d \text{ as } x \rightarrow \infty.$$

We believe that these number-theoretic investigations have their interest and beauty in their own and we plan to push these further. Moreover we supply these number-theoretic results with a natural application in the theory of recursive functions and we hope that number-theorists as well as logicians may find this relationship between number theory and logic attractive.

2 The number-theoretic part

This section of the paper deals with purely number-theoretic problems about the asymptotics of Hardy Ramanujan numbers. It can be read independently from the following section which provides the applications to Ackermannian functions.

As indicated before, let p_i denote the i -th prime number. Let

$$\text{HR} = \{p_1^{a_1} \cdot \dots \cdot p_n^{a_n} : a_1 \geq \dots \geq a_n \geq 1\}$$

be the set of integers which has been investigated by Hardy and Ramanujan. For $a, b \in \text{HR}$ let $a \prec b$ be defined as follows. Assume $a = p_1^{a_1} \cdot \dots \cdot p_m^{a_m}$ and $b = p_1^{b_1} \cdot \dots \cdot p_n^{b_n}$. Then $a \prec b$ iff either $m < n$ and $a_i = b_i$ for $1 \leq i \leq m$ or there is an $i \leq \min\{m, n\}$ such that $a_i < b_i$ and $a_j = b_j$ for $1 \leq j < i$. This ordering is quite natural since it is the order type of the polynomials under eventual domination. Indeed, for $a = p_1^{a_1} \cdot \dots \cdot p_m^{a_m} \in \text{HR}$ let $f_a(x) = x^{a_1} + \dots + x^{a_m}$. Then $a \prec b$ iff f_a is eventually dominated by f_b . Readers familiar with ordinals will recognize that the order type of \prec is ω^ω .

Let

$$hr(x) := \#\{a \in \text{HR} : a \leq x\}$$

and let

$$hr_d(x) := \#\{a \in \text{HR} : a \leq x \text{ \& } a \prec p_1^{d+1}\}.$$

In their paper Hardy and Ramanujan showed the following beautiful result.

Theorem 1 (Hardy and Ramanujan (1916))

$$\ln(hr(x)) \sim \frac{2}{\sqrt{3}} \pi \sqrt{\frac{\ln(x)}{\ln(\ln(x))}} \text{ as } x \rightarrow \infty.$$

In this section we are going to show that $hr_d(x) \sim \frac{1}{(d!)^2} \left(\frac{\ln(x)}{\ln(\ln(x))} \right)^d$ as $x \rightarrow \infty$ and we draw an easy corollary that is needed in the desired application.

Following Hardy and Ramanujan it is convenient to define $l_n := p_1 \cdot \dots \cdot p_n$. Let $L_e(x) := \#\{l_{i_1} \cdot \dots \cdot l_{i_e} \leq x : 1 \leq i_1 \leq \dots \leq i_e\}$.

Lemma 1 $hr_d(x) = \sum_{e=1}^d L_e(x)$.

Proof. It suffices to show

$$\{a \in \text{HR} : a \leq x \text{ \& } a \prec p_1^{d+1}\} = \bigcup_{e=1}^d \{l_{i_1} \cdots l_{i_e} \leq x : 1 \leq i_1 \leq \dots \leq i_e\}.$$

This is more or less obvious by grouping the factors appropriately together. (In some sense this is similar when one counts partitions and their conjugates. In terms of block diagrams this simply means that we are counting blocks at one time via columns and at the other time via rows.) Nevertheless we give some more formal details for the readers convenience.

" \subseteq ". Assume $a \in \text{HR}$, $a \leq x$ and $a \prec p_1^{d+1}$. Then $a = p_1^{a_1} \cdots p_n^{a_n}$ where $d \geq a_1 \geq \dots \geq a_n \geq 1$. Choose $i_1 < \dots < i_r$ for some $r \leq d$ such that $a_1 = \dots = a_{i_1} > a_{i_1+1} = \dots = a_{i_2} > a_{i_2+1} = \dots = a_{i_r} > a_{i_r+1} = \dots = a_n$. Then $a = l_{i_1}^{a_{i_1} - a_{i_1+1}} \cdot l_{i_2}^{a_{i_1+1} - a_{i_2+1}} \cdots l_{i_r}^{a_{i_r}}$ and the number of factors is equal to $a_1 =: e \leq d$.

" \supseteq ". Let $l = l_{i_1} \cdots l_{i_e}$ where $i_1 \leq \dots \leq i_e$. By grouping equal factors together we obtain a representation $l = l_{j_1}^{a_1} \cdots l_{j_d}^{a_d}$ where $j_1 < \dots < j_d$ and $a_1 + \dots + a_d \leq e$ and $a_j \geq 1$. Then $l = (p_1 \cdots p_{j_1})^{a_1 + \dots + a_d} \cdot (p_{j_1+1} \cdots p_{j_2})^{a_2 + \dots + a_d} \cdots \cdot (p_{j_d+1} \cdots p_n)^{a_d} \prec p_1^{d+1}$. \square

Let $L(s) := \sum_{n=1}^{\infty} l_n^{-s}$.

Theorem 2 (Hardy and Ramanujan (1916)) $L(s) \sim \frac{1}{s \ln(\frac{1}{s})}$ as $s \rightarrow 0^+$.

Let $M_d(s) = \sum_{i_1 \geq \dots \geq i_d \geq 1} (l_{i_1} \cdots l_{i_d})^{-s}$.

Lemma 2 $M_d(s) \sim \frac{1}{d!} \left(\frac{1}{s \ln(\frac{1}{s})}\right)^d$ as $s \rightarrow 0^+$.

Proof. By induction on d . For $d = 1$ the claim is Theorem 2. Let

$$\tilde{L}(s) = \sum_{i_1 \geq 1} l_{i_1}^{-s} \sum_{i_2 \geq \dots \geq i_d \geq 1} (l_{i_2} \cdots l_{i_d})^{-s}.$$

The induction hypothesis and Theorem 2 yield $\tilde{L}(s) \sim \frac{1}{s \ln(\frac{1}{s})} \frac{1}{(d-1)!} \left(\frac{1}{s \ln(\frac{1}{s})}\right)^{d-1}$ as $s \rightarrow 0^+$. We have for $s > 0$

$$\begin{aligned} \tilde{L}(s) &= \sum_{i_1 \geq i_2 \geq \dots \geq i_d \geq 1} (l_{i_1} \cdots l_{i_d})^{-s} \\ &+ \sum_{i_2 \geq i_1 \geq \dots \geq i_d \geq 1} (l_{i_1} \cdots l_{i_d})^{-s} \\ &+ \dots \\ &+ \sum_{i_2 \geq \dots \geq i_d \geq i_1 \geq 1} (l_{i_1} \cdots l_{i_d})^{-s} \\ &- \sum_{i_1 = i_2 \geq \dots \geq i_d \geq 1} (l_{i_1} \cdots l_{i_d})^{-s} - \\ &- \sum_{i_2 \geq i_1 = i_3 \geq \dots \geq i_d \geq 1} (l_{i_1} \cdots l_{i_d})^{-s} \\ &- \dots \\ &- \sum_{i_2 \geq i_3 \geq \dots \geq i_1 = i_d \geq 1} (l_{i_1} \cdots l_{i_d})^{-s} \\ &= d \cdot M_d(s) - R_2(s) - \dots - R_d(s) \end{aligned}$$

where $R_k(s) = \sum_{i_2 \geq \dots \geq i_k = i_1 \geq i_{k+1} \geq \dots \geq i_d \geq 1} (l_{i_1} \cdot \dots \cdot l_{i_d})^{-s}$ for $2 \leq k \leq d$. For positive s we have $R_k(s) \leq \sum_{i_2, i_3, \dots, i_k = i_1, i_{k+1}, \dots, i_d \geq 1} (l_{i_1} \cdot \dots \cdot l_{i_d})^{-s} = L(s)^{d-2} \cdot L(2s)$. Thus $R_k(s) = o(\tilde{L}(s))$ as $s \rightarrow 0^+$ and the result follows. \square

A function $f : \mathbb{R} \rightarrow [0, \infty[$ is called slowly varying if

$$\lim_{t \rightarrow \infty} \frac{f(tx)}{f(t)} = 1$$

for $x > 0$.

Theorem 3 (Karamata's Tauberian Theorem, Bingham et al. (1987)) *Let U be a non decreasing right continuous function on the real numbers with $U(x) = 0$ for all $x < 0$. Let $LU(s) = \int_0^\infty \exp(-sx) dU(x)$. If $f : \mathbb{R} \rightarrow [0, \infty[$ varies slowly and $c \geq 0, \rho \geq 0$ the following are equivalent*

1. $U(x) \sim \frac{cx^\rho f(x)}{\Gamma(1+\rho)}$ as $x \rightarrow \infty$,
2. $LU(s) \sim cs^{-\rho} f(\frac{1}{s})$ as $s \rightarrow 0^+$.

As a nice application we obtain the following result.

Theorem 4 $hr_d(x) \sim \frac{1}{(d!)^2} \left(\frac{\ln(x)}{\ln(\ln(x))}\right)^d$ as $x \rightarrow \infty$.

Proof. Define natural numbers a_n by the equation

$$\sum_{n=1}^{\infty} a_n n^{-s} = \sum_{e=1}^d M_e(s).$$

Then $\sum_{n \leq x} a_n = hr_d(x)$. Let $U(x) = \sum_{\ln(n) \leq x} a_n$. Then, as $s \rightarrow 0^+$,

$$LU(s) = \int_0^\infty \exp(-sx) dU(x) = \sum_{n=1}^{\infty} a_n n^{-s} \sim \sum_{e=1}^d \frac{1}{e!} \left(\frac{1}{s \ln(\frac{1}{s})}\right)^e \sim \frac{1}{d!} \left(\frac{1}{s \ln(\frac{1}{s})}\right)^d.$$

The function $s \mapsto \frac{1}{(\ln(s))^d}$ is slowly varying. Theorem 3 yields $U(x) \sim \frac{1}{(d!)^2} \left(\frac{x}{\ln(x)}\right)^d$ as $x \rightarrow \infty$. Now $\sum_{n \leq x} a_n = U(\ln(x))$ and the result follows. \square

With $|i|$ we denote the binary length of i which is the number of digits when we write i with respect to base 2. Moreover let $\lfloor x \rfloor$ be the least integer less than or equal to x . The following corollary is needed in the desired applications in the next section. Informally speaking it says that the major part of the number of prime number products under consideration is not seriously diminished when square root of log sized initial parts are not taken into account.

Corollary 1 *Let $k : \mathbb{N}^2 \rightarrow \mathbb{N}$ such that $k(n, i) \leq n + 1 + \lfloor \sqrt{|i|} \rfloor$ for all n, i . Then for each natural number n there is a constant $K(n)$ such that*

$$\#\{a \in \mathbb{N} : a \leq 2^{n+1\sqrt{2^{|i|}}} \text{ \& } a = p_{k(n,i)+1}^{a_{k(n,i)+1}} \cdot \dots \cdot p_r^{a_r} \text{ \& } n+3 > a_{k(n,i)+1} \geq \dots \geq a_r \geq 1\} \geq 2^{|i|}$$

for $i \geq K(n)$.

Proof. Let

$$\begin{aligned} X(n, i) &= \{a \in \text{HR} : a \prec p_1^{n+3} \ \& \ a \leq 2^{\sqrt[n+1]{2^{|i|}}}\}, \\ Y(n, i) &= \{a \in \text{HR} : a \prec p_1^{n+3} \ \& \ a = p_1^{a_1} \cdot \dots \cdot p_q^{a_q} : q \leq k(n, i)\}, \\ Z(n, i) &= \{a \in \mathbb{N} : a \leq 2^{\sqrt[n+1]{2^{|i|}}} \ \& \ a = p_{k(n,i)+1}^{a_{k(n,i)+1}} \cdot \dots \cdot p_r^{a_r} \\ &\quad \& \ n+3 > a_{k(n,i)+1} \geq \dots \geq a_r \geq 1\}. \end{aligned}$$

Theorem 4 yields the existence of constants $K_1(n), K_2(n)$ such that

$$\#X(n, i) \geq K_2(n) (2^{|i|})^{\frac{n+2}{n+1}} \cdot |i|^{-n-2} \quad (1)$$

for $i \geq K_1(n)$.

By the standard bounds on the number of ordered sequences of a fixed lengths (where repetitions are allowed) we obtain

$$\#Y(n, i) \leq \sum_{r \leq k(n, i)} (n+3)^r \leq (n+3)^{k(n, i)+1}.$$

Every product $a = p_1^{a_1} \cdot \dots \cdot p_r^{a_r} \in X(n, i)$ yields by splitting up a unique product $p_1^{a_1} \cdot \dots \cdot p_{k(n, i)}^{a_{k(n, i)}} \in Y(n, i)$ and an empty product if $r \leq k(n, i)$ and a unique product $p_1^{a_1} \cdot \dots \cdot p_{k(n, i)}^{a_{k(n, i)}} \in Y(n, i)$ and a unique $p_{k(n, i)+1}^{a_{k(n, i)+1}} \cdot \dots \cdot p_r^{a_r} \in Z(n, i)$ if $r > k(n, i)$. Thus $\#X(n, i) \leq \#Y(n, i) \cdot (\#Z(n, i) + 1)$. Now fix n . If $\#Z(n, i) < 2^{|i|}$ would hold for unboundedly many i then we would have $\#X(n, i) \leq (n+3)^{k(n, i)} \cdot 2^{|i|}$ for unboundedly many i . This contradicts (1). Thus the existence of $K(n)$ follows. \square

In the next section we need some elementary result on the number of prime factors of elements of HR. Thus, following Hardy's notation, let $\Omega(a)$ for $a \in \text{HR}$ denote the number of prime factors in a counted with multiplicities.

Lemma 3 $m \leq 2^{\Omega(m)^2}$ for $m \in \text{HR}$.

The proof requires only simple estimates on the function $j \mapsto p_j$ which may be found for example in Apostol (1976).

3 The classification result for Ackermannian functions

We call a primitive recursive function $f : \mathbb{N}^k \rightarrow \mathbb{N}$ elementary if there is a K such that $f(x_1, \dots, x_k) \leq 2_K(x_1 + \dots + x_k)$ for all $x_1, \dots, x_k \in \mathbb{N}$.

For any $g : \mathbb{N}^2 \rightarrow \mathbb{N}$ let $F_g(n) = \max\{K : (\exists m_1, \dots, m_K \in \text{HR})[m_1 \succ \dots \succ m_K \ \& \ \forall i \leq K[m_i \leq g(n, i)]]\}$.

According to a general result of Friedman and Sheard (1995) there exists an elementary function $g : \mathbb{N}^2 \rightarrow \mathbb{N}$ such that $H_{\omega^\omega}(n) \leq F_g(n)$ since the coding of ω^ω via HR is elementary. A closer inspection of the coding of the fundamental sequences for ω^ω yields that $H_{\omega^\omega}(n) \leq F_g(n)$ for $g(n, i) = 2^{((n+2) \cdot i!)^2}$.

In this section we are going to classify as good as seems possible the functions g for which $H_{\omega^\omega}(n) \leq F_g(n)$ holds. For that purpose we use a result about special descent recursions where bounds on Ω are put.

For a function $g : \mathbb{N}^2 \rightarrow \mathbb{N}$ let $G_g(n) = \max\{K : (\exists m_1, \dots, m_K \in \text{HR})[m_1 \succ \dots \succ m_K \ \& \ \forall i \leq K[\Omega(m_i) \leq g(n, i)]]\}$. Then according to an unpublished result due to Friedman for $q(n, i) = n + i$ there is a unary elementary function $p(n)$ such that $H_{\omega^\omega}(n) \leq G_q(p(n))$ for every n .

This result is not sharp enough for our application. We use the following refinement which follows from Weiermann (2003).

Theorem 5 Let $q(n, i) := n + 1 + \sqrt{i}$. Then there is a unary elementary function $p(n)$ such that $H_{\omega^\omega}(n) \leq G_q(p(n))$ for every n .

The main result of this paper is now as follows.

Theorem 6 Let $h(i) := H_{\omega^\omega}^{-1}(i)$ and $g(n, i) = n + 2^{\sqrt[i]{i}}$. Then there is an elementary recursive function $t(n)$ such that $H_{\omega^\omega}(n) \leq F_g(t(n))$ for every n .

Proof. Let $B(n) := G_q(n)$ where $q(n, i) := n + 1 + \sqrt{i}$. Without loss of generality we may prove the result for B instead of H_{ω^ω} . Let $C := B^{-1}$ be the inverse function of B . Assume now that n be given. Put $K := B(n)$. To show $K \leq F_g(t(n))$ for some elementary function t we now have to find n_1, \dots, n_K in HR such that $n_1 \succ \dots \succ n_K$ and $n_i \leq t(n) + 2^{\sqrt[i]{i}}$ for $1 \leq i \leq K$.

According to Theorem 6 there are $m_1, \dots, m_K \in \text{HR}$ such that $m_1 \succ \dots \succ m_K$ and

$$\Omega(m_i) \leq n + 1 + \sqrt{i} \tag{2}$$

for $i \leq K$.

For $1 \leq i \leq K$ we have $C(i) \leq C(B(n)) = n$, hence $2^{\sqrt[i]{i}} \geq \sqrt[i]{i}$ for $1 \leq i \leq K$. $\Omega(m_1) \leq n + 2$ yields $m_i \prec p_1^{n+2}$ for $2 \leq i \leq K$. Assume that for some function $k(n, i)$

$$m_i = p_1^{a_{i1}} \cdot \dots \cdot p_{k(n,i)}^{a_{ik(n,i)}} \tag{3}$$

Then $a_{i1} \leq n + 1$ for $2 \leq i \leq K$ and $k(n, i) \leq n + 1 + \sqrt{i}$ by (2). Put

$$Z(n, i) = \{a \in \mathbb{N} : a \leq 2^{n+1\sqrt{2^{|i|}}} \ \& \ a = p_{k(n,|i|)+1}^{a_{k(n,|i|)+1}} \cdot \dots \cdot p_r^{a_r} \ \& \ n + 3 > a_{k(n,|i|)+1} \geq \dots \geq a_r \geq 1\}.$$

By Corollary 1 we obtain

$$\#Z(n, i) \geq 2^{|i|} \geq i \tag{4}$$

for $i \geq K(n)$. Put

$$n_i := p_1^{2n+5} \cdot p_2 \cdot \dots \cdot p_{K(n)+1-i}$$

for $1 \leq i \leq K(n)$ and

$$n_i := p_1^{n+3+a_{|i|1}} \cdot \dots \cdot p_{k(n,|i|)}^{n+3+a_{|i|k(n,|i|)}} \cdot \text{enum}_{Z(n,i)}(2^{|i|} - i)$$

for $K(n) < i \leq K$ where $\text{enum}_{Z(n,i)}$ enumerates the elements of $Z(n, i)$ in increasing order with respect to \prec . This is well defined by (4). Moreover the n_i are indeed \prec -decreasing.

Let $h(n) = p_1^{2n+5} \cdot p_2 \cdot \dots \cdot p_{K(n)+1}$. Then $n_i \leq h(n) + 2^{\sqrt[i]{i}}$ for $1 \leq i \leq K(n)$.

A detailed investigation of the proof of Corollary 1 yields that the function $n \mapsto K(n)$ can be chosen elementary. This follows by inspection of the proof of Theorem 2 in Hardy and Ramanujan (1916), by inspection of the proof of Lemma 2 and an application of the effective version of Theorem 3 (cf. Theorem 9 of paragraph 7.4 in Tenenbaum (1995) page 227).

Therefore the function h is elementary.

By elementary bounds on the function $j \mapsto p_j$ (see, for example, Apostol (1976)), we obtain a constant D such that $l_j \leq \exp(Dj \ln(j))$ for all j . Thus for $K(n) \leq i \leq K$ we obtain using Lemma 3

$$\begin{aligned}
n_i &\leq l_{k(n,|i|)}^{n+2} \cdot m_{|i|} \cdot 2^{n+1\sqrt{2|i|}} \\
&\leq \exp((n+2)Dk(n,|i|) \ln(k(n,|i|))) \cdot 2^{k(n,|i|)^2} \cdot 2^{n+1\sqrt{2|i|}} \\
&\leq t'(n) \cdot 2^{2^{\frac{|i|}{n}-1}} \\
&\leq t'(n)^2 + (2^{2^{\frac{|i|}{n}-1}})^2 \\
&= t'(n)^2 + 2^{2^{\frac{|i|}{n}}} \\
&\leq t'(n)^2 + 2^{C^{(i)}\sqrt{2|i|}}
\end{aligned}$$

for some suitable elementary function t' . Note that $k(n, |i|)$ disappears in the calculation since for large i we have $k(n, |i|)^2 \leq (n+1 + \sqrt{|i|})^2$ which is (for large i) much smaller than $2^{n+1\sqrt{2|i|}}$. This yields the claim. \square

The following shows that our bound is sharp.

Theorem 7 Let $g_\alpha(n, i) = n + 2^{H_\alpha^{-1}(\sqrt{i})}$. Let $F_g(n) = \max\{K : (\exists m_1, \dots, m_K \in \text{HR})[m_1 \succ \dots \succ m_K \ \& \ \forall i \leq K[m_i \leq g(n, i)]]\}$. Then F_{g_α} is primitive recursive for all $\alpha < \omega^\omega$.

The proof is left as an exercise and can be extracted from Arai (2002) or Weiermann (2003) using Theorem 4.

Remarks: At first sight it seems that Theorems 6 and 7 are very special since they rely on the specific representation of the Hardy Ramanujan numbers, i.e. the specific coding of the ordinals below ω^ω . It turns out that they hold in much more general situations since the bounds from the Hardy Ramanujan, i.e. Theorem 2, can be extended cum grano salis to more general contexts. For example, if $f : \mathbb{N} \rightarrow \mathbb{N}$ is linear, i.e. $f(x) = k \cdot x$ for some fixed $k \in \mathbb{N}$ and if we consider

$$\text{HR}^f = \{p_{f(1)}^{a_1} \cdot \dots \cdot p_{f(n)}^{a_n} : a_1 \geq \dots \geq a_n \geq 1\}$$

then Theorems 6 and 7 hold also with respect to HR^f . Moreover we believe that it will be not too hard to show that Theorems 6 and 7 hold also with respect to HR^f if $f : \mathbb{N} \rightarrow \mathbb{N}$ is a strictly increasing polynomial function. Moreover it seems plausible that it is possible to replace the data structure of primes by a suitable system of Beurling primes.

In this paper we have confined ourselves for the sake of simplicity of presentation with one of the most simplest choices of the coding. The coding seems to be a very natural one and it is in complete accordance with the choice proposed by Hardy and Ramanujan.

Acknowledgements

The author would like to thank Roelof W. Bruggeman for helpful remarks on preliminary versions of this paper. The author is also grateful to the referees for helpful comments.

References

- T. M. Apostol. *Modular functions and Dirichlet series in number theory*. Springer-Verlag, New York, 1976. Graduate Texts in Mathematics, No. 41.
- T. Arai. On the slowly well orderedness of ϵ_0 . *MLQ Math. Log. Q.*, 48(1):125–130, 2002.
- N. H. Bingham, C. M. Goldie, and J. L. Teugels. *Regular variation*, volume 27 of *Encyclopedia of Mathematics and its Applications*. Cambridge University Press, Cambridge, 1987.
- W. Buchholz, A. Cichon, and A. Weiermann. A uniform approach to fundamental sequences and hierarchies. *Math. Logic Quart.*, 40(2):273–286, 1994.
- S. N. Burris. *Number theoretic density and logical limit laws*, volume 86 of *Mathematical Surveys and Monographs*. American Mathematical Society, Providence, RI, 2001.
- H. Friedman and M. Sheard. Elementary descent recursion and proof theory. *Ann. Pure Appl. Logic*, 71(1):1–45, 1995.
- G. H. Hardy and S. Ramanujan. Asymptotic formulae for the distribution of integers of various types. *J. London Math. Soc. Proc.*, 16(2):112–132, 1916.
- J. Matoušek and J. Nešetřil. *Invitation to discrete mathematics*. The Clarendon Press Oxford University Press, New York, 1998. ISBN 0-19-850208-7; 0-19-850207-9.
- S. G. Simpson. Nonprovability of certain combinatorial properties of finite trees. In *Harvey Friedman's research on the foundations of mathematics*, volume 117 of *Stud. Logic Found. Math.*, pages 87–117. North-Holland, Amsterdam, 1985.
- S. G. Simpson. *Subsystems of second order arithmetic*. Perspectives in Mathematical Logic. Springer-Verlag, Berlin, 1999.
- R. L. Smith. The consistency strengths of some finite forms of the Higman and Kruskal theorems. In *Harvey Friedman's research on the foundations of mathematics*, volume 117 of *Stud. Logic Found. Math.*, pages 119–136. North-Holland, Amsterdam, 1985.
- G. Tenenbaum. *Introduction to analytic and probabilistic number theory*, volume 46 of *Cambridge Studies in Advanced Mathematics*. Cambridge University Press, Cambridge, 1995.
- A. Weiermann. An application of graphical enumeration to PA. *J. Symbolic Logic*, 68(1):5–16, 2003.
- A. Weiermann. A classification of rapidly growing ramsey functions. *J. Symbolic Logic*, 132:553–561, 2004.

