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# Energy efficiency in HPC with and without knowledge of applications and services

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## Abstract

The constant demand of raw performance in high performance computing often leads to high performance systems' over-provisioning which in turn can result in a colossal energy waste due to workload/application variation over time. Proposing energy efficient solutions in the context of large scale HPC is a real unavoidable challenge. This paper explores two alternative approaches (with or without knowledge of applications and services) dealing with the same goal: reducing the energy usage of large scale infrastructures which support HPC applications. This article describes the first approach "with knowledge of applications and services" which enables users to choose the less consuming implementation of services. Based on the energy consumption estimation of the different implementations (protocols) for each service, this approach is validated on the case of fault tolerance service in HPC. The approach "without knowledge" allows some intelligent framework to observe the life of HPC systems and proposes some energy reduction schemes. This framework automatically estimates the energy consumption of the HPC system in order to apply power saving schemes. Both approaches are experimentally evaluated and analyzed in terms of energy efficiency.

## 1 Introduction

High performance computing (HPC) systems are used to run a wide range of scientific applications from various domains including cars and aircraft design, prediction of severe weather phenomena and seismic waves. To enable this,

there is a constant demand of raw performance in HPC systems which often leads to their over-provisioning which in turn can result in a colossal energy waste due to workload/application variation over time. Energy consumption becomes a major problem as we live now in an energy-scarce world; and HPC centers have an important role to play due to the rise of scientific needs. This is evidenced by the Green500<sup>1</sup> list, which provides a ranking of the greenest HPC systems around the world as opposed to the Top500<sup>2</sup> which emphasizes the performance of those systems. Consequently, designing energy efficient solutions in the context of large scale HPC is a real unavoidable challenge.

This article explores two approaches for supporting energy efficiency in HPC systems, the first approach assumes complete knowledge of applications and services whereas the second does not. However, they are complementary and serve the same goal: intelligently estimating resource and energy usage before applying green levers (shutdown/slowdown) in order to reduce the electrical usage of large scale HPC infrastructures.

In the era of petascale and yet to come exascale infrastructures, designing scalable, reliable, and energy efficient applications remains a real challenge. HPC applications along with associated services (fault tolerance, data management, visualization...), can become difficult to program and optimize. Thanks to the programmer's expertise about designed applications and services, we can avoid over provisioning of resources during the life of HPC infrastructures.

Designers seeking to reduce the energy usage should be helped in choosing adequate protocols, services and the best implementations of their applications with regards to the targeted infrastructure. In other words, evaluating and estimating the energy impact of applications and services can help users in choosing a more energy efficient version of the application at hand. This paper presents a methodology and a framework which allows energy usage estimations of a set of HPC services. Thanks to these estimations, the framework can help users to determine the least consuming version of the services depending on their application requirements. To validate our framework, real experiments on a set of protocols of the fault tolerance service are proposed and analyzed.

Alternatively, one can suggest that designing large scale HPC applications and services is becoming too complex and difficult. Exploring autonomous solutions able to propose and apply energy reduction solutions must be investigated. Several scientific applications throughout their life-cycle exhibit diverse behaviors also known as phases. These phases are not only similar because of their resource utilization pattern, the energy consumed by the application in different phases is likely to be different as well.

As a second major contribution of this paper, we present and implement an on-line methodology for phase detection and identification in HPC systems without having any knowledge of the running applications. The approach tracks phases, characterizes them and takes advantage of our partial phase recognition technique. It automatically applies power saving schemes in order to improve

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<sup>1</sup>Green500 Lists: <http://www.green500.org>

<sup>2</sup>Top500 Supercomputer Sites. <http://www.top500.org>

energy efficiency of the HPC system. Validations with a set of selected applications are presented and analyzed.

The remainder of the paper is organized as follows: section 2 describes considered approach when some knowledge is available on applications and services. This section focuses on the Fault Tolerance service in HPC. Section 3 analyses the alternative approach where energy efficiency can be obtained in an automatic external approach when no knowledge is available on the applications. Finally, section 4 concludes this paper.

## 2 Energy efficiency in HPC with knowledge of applications and services

Large scale HPC applications need to meet with several challenges (fault tolerance, data processing, etc.). In order to overcome these challenges, several services need to be run harmoniously together with extreme-scale scientific applications.

In our study, we identify the following services:

- Checkpointing: Performed during the normal functioning of the application, it consists in storing a snapshot image of the current application state;
- Recovery: In case of failure, it consists in restarting the execution of the application from the last checkpoint;
- Data exchanges: Scattering data over several processes; Broadcasting data to all processes; Gathering data over several processes; Retrieving a specific data among all processes;
- Visualizing the application logs in real time;
- Monitoring the hardware resources that are involved in the extreme-scale system.

For each service presented above, several implementations are possible. Even if our approach aims to cover all kinds of applications and all the services, this section focuses on the checkpointing service as an example [1]. As concerns checkpointing, applications can be run either with coordinated, uncoordinated, or hierarchical checkpointing. These protocols rely on checkpointing and in order to obtain a coherent global state, this checkpointing is associated with message logging in uncoordinated protocols [2] and with process coordination in coordinated protocols [3]. Hybrid protocols propose to use coordinated protocol within the same cluster and message logging for messages exchanged between clusters [4]. In uncoordinated protocols, the crashed processes are re-executed from their last checkpoint image to reach the state immediately preceding the crashing state in order to recover a coherent state with non crashed processes

[5]. In coordinated protocols, all the processes must rollback to the previous coherent state, meaning to the last full completed coordinated checkpointing.

The less energy consuming fault tolerance protocol is not always the same depending on the executed application and on the execution context. Thus, to consume less energy is to let the user choose the less consuming protocol. To this end, we propose to take into account the application features and the user requirements in order to provide an energy estimation of the different implementations of the services required by the user [6].

Making an accurate estimation of the energy consumption due to a specific implementation of a given service is really complex as it depends on several parameters that are related not only to the protocols but also to the application features, and to the hardware used. Thus, in order to accurately estimate the energy consumption due to a specific implementation of a fault tolerance protocol, our energy estimator needs to take into consideration all the protocol parameters (checkpointing interval, checkpointing storage destination, etc.), all the application specifications (number of processes, number and size of exchanged messages, volume of data written/read by each process, etc.) and all the hardware parameters (number of cores per node, memory architecture, type of hard disk drives, etc.). We consider that a parameter is a variable of our estimator only if a variation of this parameter generates a significant variation of the energy consumption while all the other parameters are fixed. In order to take into consideration all the parameters, our estimator incorporates an automated calibration component.

Figure 1 shows the components of our estimator framework and their interactions. As an input, the estimator component gathers information related to the execution context and to the application the user would like to run. As an output, the calibrator component provides the calibration data on which our framework relies on to estimate the energy consumption of services.

Finally, in order to achieve important energy savings, we propose to shutdown or slowdown resources during their idle and active waiting periods. The shutdown approach is promoted only if the idle or active waiting period is long enough, greater than the minimum threshold from which it becomes gainful to turn off a resource and turn it on again [7]. The shutdown and slowdown approaches are proposed at the component level, meaning that we consider to switch off or slowdown CPU/GPU cores, network interfaces, storage medium.

## 2.1 Calibration approach

The goal of the calibration process is to gather energy knowledge of all the identified operations (e.g. checkpointing, coordination, logging, etc.) according to the hardware used in the supercomputer. Indeed, the energy consumption of a fault tolerance protocol may be different depending on the hardware used. The goal of our calibration approach is to take into consideration in our energy estimations the specific hardware used.

A basic operation is a task *op* which is characterized by a constant power consumption. To this end, a set of simple benchmarks extract the energy con-

sumption  $E^{op}$  of the basic operations encountered in the different versions of a same service. The energy consumption of a node  $i$  performing a basic operation  $op$  is:  $E_i^{op} = P_i^{op} \cdot t_i^{op}$ .

$t_i^{op}$  is the time required to perform  $op$  by the node  $i$ .  $P_i^{op}$  is the power consumption of the node  $i$  during  $t_i^{op}$ . Thus, for each node  $i$ , we need to get the power consumption  $P_i^{op}$ , and the execution time  $t_i^{op}$  of each basic operation.

The power consumption of an operation  $op$  is:  $P_i^{op} = P_i^{idle} + \Delta P_i^{op}$ .

$P_i^{idle}$  is the power consumption when the node  $i$  is idle and  $\Delta P_i^{op}$  is its extra power consumption due to the basic operation. In our paper [8], we showed that  $P_i^{idle}$  may be different even for identical nodes. Thus, we calibrate  $P_i^{idle}$  by measuring the power consumption of each node while it is idle. In addition, we measure  $\Delta P_i^{op}$  for each node  $i$  and during each basic operation  $op$ . In order to measure  $\Delta P_i^{op}$  experimentally, we isolate each basic operation by instrumenting the implementation of each version of the service that we consider, and we use a power meter which provides up power measurements with a high sampling rate (e.g, 1 measurement per second).

To put it into perspective, we provide the calibration results of a cluster constituted of 16 nodes Dell R720. Each node contains 2 Intel Xeon CPU 2.3 GHz, with 6 cores each; 32 GB of memory; a 10 Gigabit Ethernet network; a SCSI hard disk with a storage capacity of 598 GB. First, we measure the idle power consumption of each node (Figure 2), then we measure the extra power consumption  $\Delta P_i^{op}$  of all the basic operations found in the fault tolerance protocols (Figure 3). In order to collect such power measurements, we used an energy-sensing infrastructure of external power meters from the SME Omegawatt. This energy-sensing infrastructure enables to get the instantaneous consumption in Watts, at each second for each monitored node [9]. As each node has 12 cores each, we calibrated the extra power cost by assuming that 1, 4, 8 or 12 processes are running the same operation at the same time.

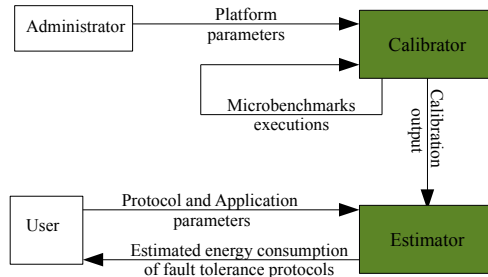


Figure 1: Estimator components and interactions

Figure 2 confirms that  $P_i^{idle}$  is different for identical nodes. This highlights the need to perform such power calibration even for nodes within the same cluster. Figure 3 shows the mean extra power measurements over all the nodes. Compared to the average values plotted in Figure 3, the variances are very low.

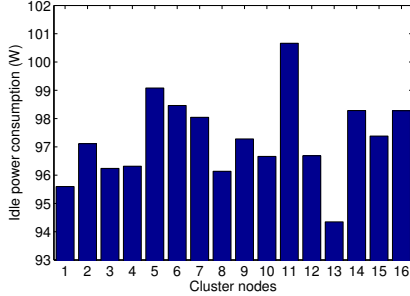


Figure 2: Idle Power Measurements

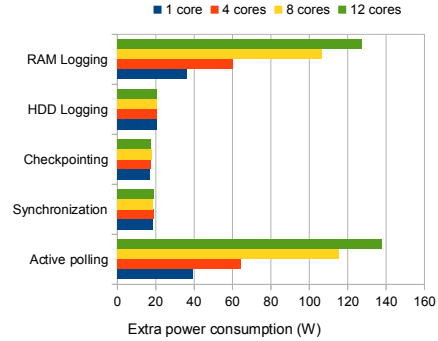


Figure 3: Mean Extra Power Measurements

This suggests that  $\Delta P_i^{op}$  is almost the same for the nodes of the cluster that we monitored. Figure 3 also shows that the most power consuming operations are the RAM logging and the active polling that occurs during the coordination if processes are not synchronized. We also notice that for these two basic operations, the extra power consumption varies with the number of cores per node that perform the same operation. This is because more cores are running intensively for these two operations.

For each operation  $op$ ,  $t_i^{op}$  depends on different parameters. For a given node  $i$ , the time required for checkpointing a volume of data, or for logging a message is:

$$t_i^{op} = t_i^{access} + t_i^{transfer} = t_i^{access} + \frac{V_{data}}{r_i^{transfer}} \quad (1)$$

$t_i^{access}$  is the time to access the storage media where the checkpoint will be stored.  $t_i^{transfer}$  is the time to write a data on a given storage media.  $r_i^{transfer}$  is the transmission rate of the storage media.  $t_i^{access}$  and  $r_i^{transfer}$  are almost constant when we consider volumes of data of the same order of magnitude.

The coordinated checkpointing at the system level requires an extra synchronization between the processes. Therefore, the time for a process coordination is:

$$t_i^{op} = t_i^{polling} + t^{synchro} = \frac{V_{data}}{r_i^{transfer}} + t^{synchro} \quad (2)$$

$t^{synchro}$  is the time to exchange a marker among all the processes.  $t^{synchro}$  depends on the number of processes to synchronize and the number of processes per node.  $t_i^{polling}$  is the time necessary to finish transfers of inflight messages at the coordination time.

In order to calibrate  $t_i^{op}$ , our estimator automatically runs a simple benchmark that measures the execution time for different varying parameters, namely  $V_{data}$ .

In order to take into consideration the eventual contention that may occur on the same storage media, we also perform this calibration for different numbers of processes per node which are running the same operation at the same time. We perform this calibration process for all the different storage medium (RAM, HDD, SSD, ...) that are available in the supercomputer.

Figures 4, 5 and 6 present the calibration of  $t_i^{op}$  for the 16-nodes cluster considering different basic operations: checkpointing on HDD, message logging on RAM and process synchronization. In Figures 4 and 5 are also represented the standard deviations (error bars) due to the computation of the average values over all the nodes of our cluster. These standard deviations are invisible since the differences between the checkpointing and logging times all over the nodes are insignificant.

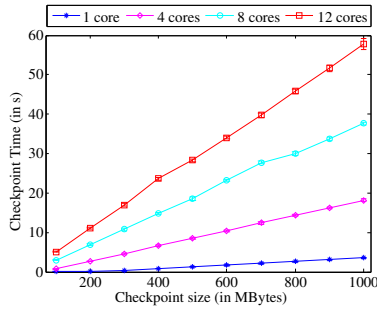


Figure 4:  $t^{checkpoint}$  calibration

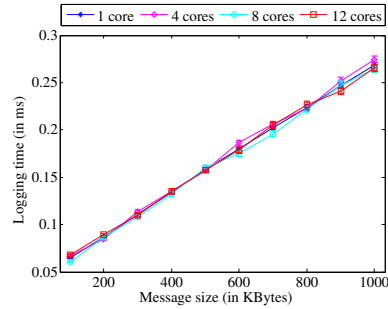


Figure 5:  $t^{logging}$  calibration

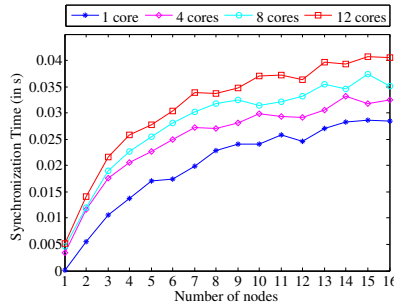


Figure 6:  $t^{synchro}$  calibration

From Figure 4, we notice that when several cores are logging at the same time, the execution time is higher: simultaneous accesses on HDD create I/O contentions. That is the reason why we need to calibrate the execution time for different numbers of processes per node. As concerns message logging on RAM, we observe in Figure 5 that logging time does not vary when the number of cores per node is changed. This is because there is no contention when several cores do RAM access simultaneously.



Figure 6 shows that the synchronization time is slightly higher when we consider more processes per node. Synchronizing processes that are on the same node requires much less time than processes on distinct nodes. This is due to the network transmission rate that is much lower than the transmission rate within the same node.

## 2.2 Estimation methodology

Once this calibration is completed, our framework can estimate the energy consumption of the different versions of the studied service. For each operation  $op$ ,  $t_i^{op}$  depends on different parameters related to the application and the execution context. This information is collected from the user as an input by the calibrator.

To estimate the energy consumption of checkpointing, the estimator component collects from the user the total memory size required by the application to run, the total number of nodes and the number of processes per node. From this information, the estimator computes the mean memory size  $V_{mean}^{mem}$  required by each node. The estimator also collects the number of checkpoints to perform during the application execution. In addition, it collects from the calibrator the checkpoint times corresponding to the calibrated checkpoint sizes. The estimator calculates the checkpoint times  $t_i^{checkpoint}$  corresponding to  $V_{mean}^{mem}$ . As shown in Section 2.1, most of the execution time models are linear. Therefore, if  $V_{mean}^{mem}$  is not the size recorded by the calibrator, the estimator computes the equation that gives  $t_i^{checkpoint}$  according to  $V_{mean}^{mem}$ , and adjusts the equation using the linear least squares method [10]:  $y = \alpha x + \beta$ . In the case of checkpointing operation,  $\alpha$  is  $t_i^{access}$  while  $\beta$  is  $\frac{1}{r_i^{transfer}}$ .  $x$  is  $V^{data}$ .

To estimate the energy consumed by message logging, the estimator collects from the user the number of processes per node, the total number and size of the messages sent during the application. From this information, it computes the mean volume of data  $V_{data}^{mean}$  sent (so logged) by each node. Similarly to checkpointing, it collects from the calibrator the logging time  $t_{logging}^i$  corresponding to  $V_{data}^{mean}$  for each node and according to the number of processes per node.

To estimate the energy consumed by coordination, the estimator uses the mean message size  $V_{message}^{mean}$  as the total size of messages divided by the total number of messages. It also uses the number of checkpoints  $C$ , the total number of nodes  $N$  and the number of processes per node that are provided for message logging and checkpointing estimations. From the calibration output, it collects the synchronization time  $t_{synchro}$  corresponding to the number of processes per node and the total number of nodes specified by the user.  $t_{synchro}$  corresponds to one synchronization among all the processes. Similarly to checkpointing, the estimator calculates the message transfer time  $t_{polling}^i$  corresponding to the mean message size  $V_{message}^{mean}$ .

The estimated energy of one basic operation  $op$  (checkpointing, logging, polling or synchronization) is:

$$E^{op} = \sum_{i=1}^N E_i^{op} = \sum_{i=1}^N P_i^{op} \cdot t_i^{op}$$

The total estimated energy consumption of checkpointing is obtained by multiplying  $E^{checkpoint}$  by the number of checkpoints  $C$ . The estimated energy of all coordinations is calculated as follows:

$$E^{coordinations} = C \cdot (E^{polling} + E^{synchro}).$$

To estimate the energy consumed by hierarchical checkpointing, the estimator collects from the user the composition of each cluster (i.e the list of processes in each cluster).

We can obtain the overall energy estimation of the entire checkpointing protocol from the sum of the sub-components energy consumptions. Indeed, checkpointing is a common basic operation for both coordinated, uncoordinated and hierarchical protocols. If we add the energy consumed by the checkpointing to the energy consumption of message logging, we obtain the overall energy consumption of uncoordinated checkpointing. If we add the energy consumption of checkpointing to the energy consumption of coordinations, we obtain the overall energy consumption of coordinated checkpointing.

## 2.3 Validation of the estimation framework

In this section, we want to compare the energy consumption obtained by our estimator once the calibration is done (but before running the application) to the real energy consumption measured by our energy sensors during the application execution. For these experiments, we use the same cluster as the one described in Section 2.1.

We consider 4 HPC applications running over 144 processes (i.e. 12 nodes with 12 cores per node): CM1<sup>3</sup> with a resolution of 2400x2400x40 and 3 NAS<sup>4</sup> in Class D (SP, BT and EP). For each application, we measure the total energy consumption of one application execution with and without the basic operations activated in the fault tolerance protocols. To this end, we instrumented the code of fault tolerance protocols and we obtain the energy consumption of each operation. Each energy measurement is done 30 times and we compute the average value. For checkpointing measurements, we consider a checkpoint interval of 120 seconds.

In Figure 7, we compare our energy estimations to real measurements, the relative differences between the estimated and the measured energy consumptions are low. Indeed, the worst relative difference that we obtain is 7.5 %. This shows that our energy estimations are accurate. This estimation error may be attributed partly to the proposed estimation method but also partly to the measurement error. By providing the average values over 30 measurements, we aimed at reducing the impact of the measurement error.

In Figure 8, we plot the estimated energy consumption computed by our framework for each basic operation and for each application considered. Figure 8 shows that energy consumption of one operation is not the same from one

<sup>3</sup>Cloud Model 1: <http://www.mmm.ucar.edu/people/bryan/cm1/>

<sup>4</sup>NAS: <http://www.nas.nasa.gov/publications/npb.html>

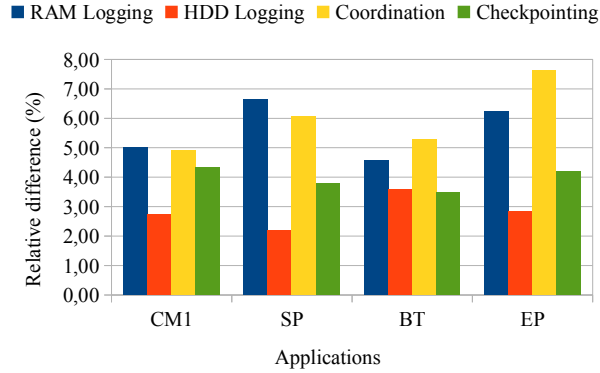


Figure 7: Relative difference (in %) between the estimated and the measured energy consumption

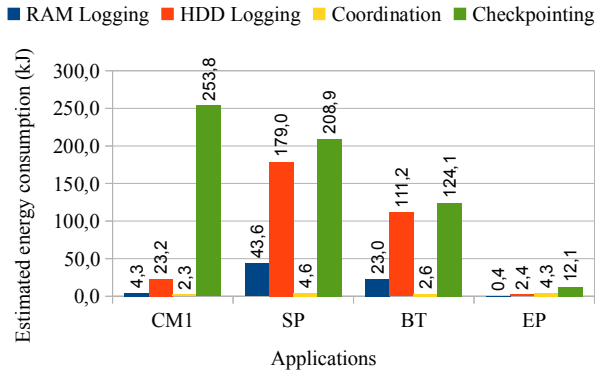


Figure 8: Estimated energy consumption (in kJ) of high-level operations

application to another. For instance, the energy consumption of RAM logging in SP is more than the one in EP. In addition, HDD checkpointing in CM1 is 20 times more than in EP.

## 2.4 Determination of the least consuming version of a given service

The results presented in section 2.3 allow us to address the following question: how our estimator framework can help selecting the lowest energy consuming version of the considered service ? To answer this question, the case of the checkpointing service is also taken as an example.

As mentioned before, both uncoordinated and coordinated protocols rely on checkpointing. Checkpointing is combined with message logging in uncoordinated protocols and with coordination in coordinated protocols. Therefore,

to compare coordinated and uncoordinated protocols from an energy consumption standpoint, we compare the extra energy consumption of coordination to message logging.

From one application to another the lowest energy consuming protocol is not always the same (see Figure 8). Indeed, for BT, SP and CM1, the less energy consuming protocol is the coordinated protocol since the volume of data to log for these applications is relatively important whereas it is the coordinated protocol with RAM logging for EP. We also notice that for applications we considered, the uncoordinated protocol with HDD logging is always more energy consuming than the coordinated protocol. By providing such energy estimations before executing the HPC application, we can select the best fault tolerant protocol in terms of energy consumption.

### 3 Energy efficiency in HPC without knowledge of the applications

High performance computing (HPC) systems users generally seek better performance for their applications; consequently, any management policy that aims at reducing the energy consumption should not degrade performance. To mitigate performance degradation while improving energy performance, it is mandatory to understand the behavior of the system at hand at runtime. Put simply, optimization proposed is closely related to the behavior of the system. For instance, scaling the CPU frequency down to its minimum when running CPU-bound workloads may cause significant performance degradation, which is unacceptable. Thus, to efficiently optimize a HPC system at runtime, it is necessary to identify the different behaviors known as phases during execution. In this section, we discuss our phase identification approach along with management policies.

The rationale behind this work is that it is possible to improve energy performance of a system with nearly no performance degradation by carefully selecting power saving schemes to apply to the system at a given point in time. Several classical well known techniques set the CPU frequency according to estimated usage of the processor over a time period [11, 12, 13, 14, 15]. We believe that actions on the system at runtime can result in energy savings provided they are carefully selected. For instance, adjusting the frequency of the processor or the speed of the network interconnect (NIC), switching off memory banks, spinning down disks, and migrating tasks among nodes of the system, are ways of adjusting the system to the actual demand (or applications' requirements) at runtime.

From what precedes, choosing the appropriate lever (power saving scheme) is critical; an effective way of choosing between the different levers is to first characterize phases or system's behaviours so that similar phase patterns can easily be identified with each other. So doing, a set of power saving schemes deemed efficient both in terms of energy and performance for a given phase can

be used for recurring phases. This is accomplished by associating a set of levers to each characterized phase. Details with regards to phase characterization are provided in Section 3.1.

Once phases are characterized, the next step boils down to identifying (still at runtime) recurring phases in order to apply adequate power saving schemes. To accomplish this, we use an approach which we refer to as partial phase recognition. Instead of trying to recognize a complete phase prior to adjusting the system (which might lead to an unexpected outcome, for the phase is already finished), we decide to adjust the system when a certain fraction of a phase has been recognized. This technique is clearly giving false positives (an ongoing phase is recognized as part of a known phase in error), but we argue that the adjustment of the system is beneficial at least for a certain time. When the ongoing phase diverges too much from the recognized phase, another phase can be identified or a new phase characterized. Phase identification and partial recognition are detailed in Section 3.2.

### 3.1 Phases tracking and characterizing

Our methodology relies on the concept of execution vector (EV) which is similar to power vectors (PV) [16]. An execution vector is a column vector whose entries are system’s metrics including hardware performance counters, network byte sent/received and disk read/write counts. For convenience, we will refer to these system’s metrics as sensors in the rest of the paper. Sensors related to hardware performance counters represents the access rate to a specific hardware register over a given time interval. Likewise, network and disk related sensors monitor network and disk activities respectively. We refer to the literature for selecting sensors related to hardware performance counters, these include: number of instructions, last level cache accesses and misses, branch misses and predictions, etc. The sampling rate corresponding to the time interval after which each sensor is read depends on the granularity. While a larger sampling rate may hide information regarding the system’s behavior, a smaller sampling rate may incur a non negligible overhead. In this work we use a sampling rate of one second. In addition, each execution vector is timestamped with the time at which it is sampled.

The Manhattan distance between two points in an  $n$ -dimensional space is the distance between them if a grid-like path is followed. It offers the advantage that it does not depend on the translation of the coordinate axes with respect to a coordinate axis, i.e., it weights more heavily differences in each dimension. Properties just mentioned motivate our use of the Manhattan distance as the resemblance or similarity metric between execution vectors. This similarity is used to cluster EVs along the execution time-line as follows: two consecutive EVs along the execution time-line belong to the same group or are similar if the Manhattan distance between them is bellow a similarity threshold (denoted as  $ST$  in the following). We define the similarity threshold as a percentage of the maximum known distance between all consecutive execution vectors (along the execution time line). For example, given a similarity threshold of 10%, two

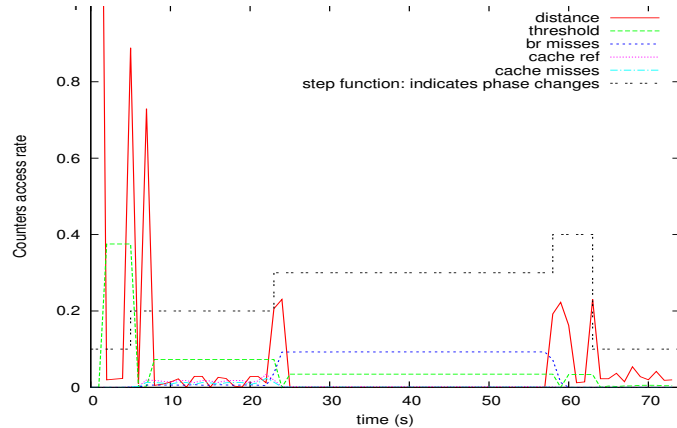
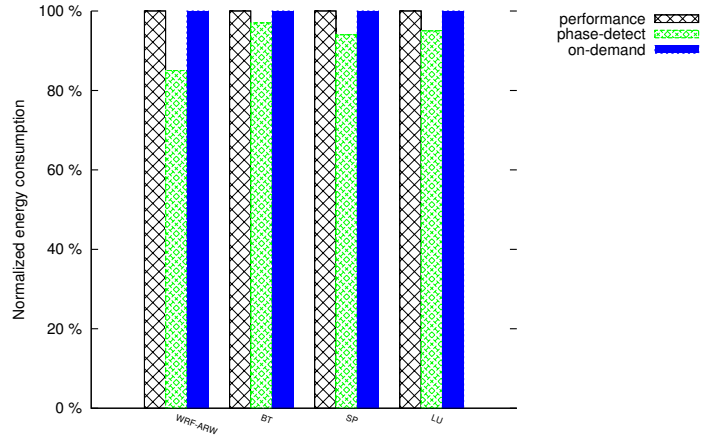


Figure 9: Phase identification using similarities between consecutive EVs; steps of the step function indicate phase changes.

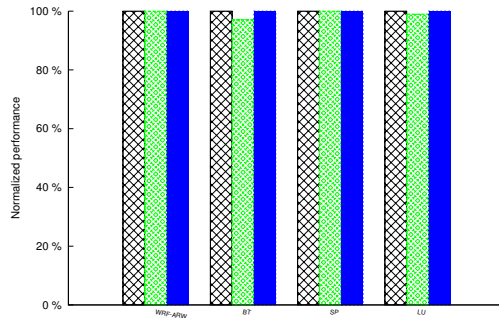
consecutive EVs belong to the same group if the Manhattan distance between them is less than 10% of the maximum existing distance between all consecutive execution vectors.

Knowing that the behaviour of the system is relatively stable during a phase and assuming that stability is translated into execution vectors sampled during the phase, we define a phase as any behaviour delimited by two successive Manhattan distances exceeding the similarity threshold. Therefore, let's consider the graphic of Figure 9, where the x-axis represents the execution time-line; with a similarity threshold of 15%, we can observe 5 phases as indicated by the step function. Note that the threshold varies throughout the system's life time since the maximum existing vector is re-initialized once a phase is detected. It can be seen in Figure 9 that a phase change is detected when the Manhattan distance between two consecutive EVs exceeds the threshold (which is 15% of the maximum distance between consecutive EVs from the moment at which the last EV of the previous phase was sampled). We can also observe that these phases correspond to variations reported in the access rate of plotted performance counters (only a few performance counters is plotted for the sake of clarity). For this experiment, the system was running a synthetic benchmark which successively runs IS and EP from NPB-3.3 [17].

The rationale behind phase tracking is the use of characteristics of known phases for optimizing similar phases. An effective phase characterization is therefore needed. To this end, once a phase is detected, we apply principal component analyses (PCA) on the dataset composed of EVs pertaining to that phase. We next keep five sensors among those contributing the least to the first principal axis (FPA) of PCA. Those five sensors serve as characteristic of the corresponding phase. PCA is a variable reduction procedure, and is used for identifying variables that shape the underlying data. In principal component analysis, the first principal component explains the largest variance, which



(a) Average energy consumed by each application under different configurations.



(b) Normalized performance of each application under different configurations (less than 100% means performance degradation).

Figure 10: Phase tracking and partial recognition guided processor adaptation results (They are averaged over 20 executions of each workload under each system configuration; they are normalized with respect to baseline execution “on demand”).

intuitively means that it contains the most information and the last principal component/axis the least. Therefore, we assume that the most important variables are those that contribute the most to the first principal component or axis. In other words, the most-contributing variables shape the underlying data, as opposite to the least-contributing variables which do not. But the fact that the least contributing variables do not shape the underlying data is also interesting because they eventually shape what is not in the underlying data. Thus, relying on this, we assume that information regarding what the system did not do during a phase can be easily retrieved from sensors contributing the least to the first principal axis of PCA (since they are meaningless to that phase). A phase

is therefore characterized by the 5 sensors among those contributing the least to the FPA of PCA. These 5 sensors are not always the same, since the least contributing sensors depend on the activity of the system during the phase. In addition, we summarize each newly detected phase using the closest vector to the centroid of the group of vectors sampled during that phase. The closest vector to the centroid of the group of EV sampled during a phase is referred to as its reference vector.

### 3.2 Partial phase recognition and system adaptation

A phase cannot be detected unless it is finished, in which case any system adaptation or optimization accordingly is no longer worthwhile. The literature recommends phase prediction. Predicting the next phase permits adapting the system accordingly. Although phase prediction is very effective in some cases, it is not relevant in this context, for we do not have any a priori knowledge of applications sharing the platform. To overcome this limitation, we use partial phase recognition.

Partial phase recognition consists of identifying an ongoing phase (the phase has started and is not yet finished)  $P_i$  with a known phase  $P_j$  only considering the already executed part of  $P_i$ . The already executed part of  $P_i$  expressed as a percentage of the length (duration) of  $P_j$  is referred to as the recognition threshold  $RT$ . Thus, with a  $RT\%$  recognition threshold, and assuming that the reference vector of  $P_j$  is  $EV_{P_j}$  and that its length is  $n_j$ , an ongoing phase  $P_i$  is identified with  $P_j$  if the Manhattan distance between  $EV_{P_j}$  and each EV pertaining to the already executed part of  $P_i$  (corresponding in length to  $RT\%$  of  $n_j$ ) are within the similarity threshold  $ST$ .

As an use case of our phase tracking methodology, we use the coupling of phase tracking and partial phase recognition to guide on-the-fly system adaptation considering the processor. We define three computational levels according to the characteristics of the workload: "high" for compute intensive workload, "medium" for memory intensive workloads and "low" for non memory/non compute intensive workloads.

As mentioned earlier in this paper, principal component analysis (PCA) is applied to vectors belonging to any newly created phase for selecting five sensors which are used as phase characteristics. These characteristics are translated into system adaptation as detailed in Table 1. Let's comment a few entries of that table: workloads/applications with frequent cache references and misses are likely to be memory bound. In our case, having these sensors (cache reference and cache misses) selected from PCA indicates that the workload is not memory bound. If in addition that workload does not issue a high I/O rate (presence of I/O related sensors in the first column), then we assume that it is CPU-bound; consequently, the frequency of the processor can be scaled to its maximum, the disk sent to sleep and the speed of the interconnect scaled down. For the second line of Table 1, the characteristics do not include any I/O related sensor, this implies that the system was running an I/O intensive workload; thus, the processor's speed can be set to its minimum. Note in passing that changing the



Table 1: Translation of phase characteristics into system adaptation

<b>Sensors selected from PCA for phase characterization</b>	<b>Decisions</b>
cache_references; I/O related sensors; cache_misses	CPU frequency set to its maximum; spin the disk down; network speed scaled down
no I/O related sensors	CPU frequency scaled down; network speed scaled up
instructions last level cache misses (llc)	CPU frequency set to its minimum; network speed scaled up
instructions or llc; I/O related sensors; I/O related sensors	CPU frequency set to its average value; network speed scaled down; spin down the disk
I/O related sensors (low computation and communication-intensive )	CPU frequency set to its maximum; network speed scaled up

disk’s state from sleep to active does not appear in Table 1, this is because the disk automatically becomes active when accessed.

### 3.3 Experimental validations

Our evaluation support is a fifteen nodes cluster set up on the Grid5000 [18] french large scale experimental platform. Each node is an Intel Xeon X3440 with 4 cores and 16 GB of RAM with frequencies ranging from 1.20 GHz to 2.53 GHz. In our experiments, low computational level always sets the CPU frequency to the lowest available (1.20 GHz), whereas high and medium computational levels set the CPU frequency to the highest available (2.53 GHz) and 2.00 GHz respectively. Each node uses its own hard drive which supports active, ready and standby states. Infiniband-20G is used for interconnecting nodes. The Linux kernel 2.6.35 is installed on each node where perf event is used to read the hardware monitoring counters. MPICH is used as MPI library. Lower-Upper Gauss-Seidel solver (LU), Scalar Penta-diagonal solver (SP), and Block Tri-diagonal solve (BT) from NPB-3.3 and a real life application, the Advance Research WRF (WRF-ARW) [19] model, are used for the experiments. Class C of NPB benchmarks are used (compiled with default options). WRF-ARW is a fully compressible conservative-form non-hydrostatic atmospheric model. It uses an explicit time-splitting integration technique to efficiently integrate the Euler equation. We monitored each node power usage with one sample per second using a power distribution unit.

To evaluate our management policy, we consider 3 basic configurations of the monitored cluster: (i) On-demand configuration in which the Linux’s “on-demand” CPU frequency scaling governor is enabled on all the nodes of the

cluster; (ii) the "performance" configuration sets each node's CPU frequency scaling governor to "performance"; (iii) the "phase-detect" configuration corresponds to the configuration in which we detect phases, identify them using partial recognition and apply green levers accordingly. Figure 10(a) presents the normalized average energy consumption of the overall cluster for each application under the three cluster's configurations. Whereas Figure 10(b) shows their execution time respectively. The results are normalized with respect to the baseline execution (on demand) and averaged over 20 executions of each workload in each configuration. Figure 10(a) and Figure 10(b) indicate that our management policy (phase-detect) consumes in average 15% less energy than "performance" and "on-demand" while offering the same performance for the real life application WRF-ARW. For LU, BT and SP the average energy gain ranges from 3% to 6%. Overall, the maximum amount of possible energy savings depends on the workload at hand and was 19% for WRF-ARW. We are currently investigating whether we can do better with complete knowledge of the application.

From Figure 10(b), we notice a performance loss of less than 3% for LU and BT (performances are evaluated in terms of execution time). Bad performance with benchmarks come from the fact that some phases were wrongly identified as being memory intensive. Nevertheless, these results are similar to those observed in earlier work [20]. In addition, these applications do not offer much opportunities for saving energy without degrading performance. Contrarily, the numerical weather forecast model (WRF-ARW) has load imbalance which can help to reduce its energy consumption without a significant impact on its performance (in terms of execution time) [21, 22].

Above results demonstrate the effectiveness of our system's energy management scheme based on phase detection and partial recognition. Our system performs better than a Linux's governor because Linux's on-demand governor will not scale the CPU frequency down unless the system's load decreases below a threshold. The problem at this point is that the CPU load generally remains very high for memory intensive workloads/phases that do not require the full computational power. In this particular scenario, network and disk bound phases are too short (from milliseconds to a few seconds) and are often considered as boundaries of memory or compute intensive phases. For this reason, we turned our focus to the processor. Therefore, the energy reduction mainly come from scaling the CPU down in phases suspected to be memory bound.

## 4 Conclusion

Energy efficiency is becoming one of the mandatory parameters that must be taken into account when operating HPC systems. In this paper, we describe and analyze some approaches to reduce the energy consumed by high performance computing systems at runtime. HPC applications and services becoming increasingly complex and difficult to program in the era of petascale and yet to come exascale; application designers have to face with resource usage, stability,

scalability and performance.

This paper shows the importance of helping users in making the right choices in terms of energy efficient services. We present a framework that estimates the energy consumption of fault tolerance protocols. In our study, we consider the three families of fault tolerance protocols: coordinated, uncoordinated and hierarchical protocols. To provide accurate estimations, the framework relies on an energy calibration of the execution platform and a user description of the execution settings. Thanks to our approach based on a calibration process, this framework can be used in any energy monitored supercomputer. We showed in this paper that the energy estimations provided by the framework are accurate. By estimating the energy consumption of fault tolerance protocols, such framework allows selecting the best fault tolerant protocol in terms of energy consumption without pre-executing the application. A direct application of our energy estimating framework is the energy consumption optimization of fault tolerance protocols.

Additionally, proposing solutions that could apply power saving schemes (shutdown or slowdown of resources) without human intervention and knowledge is a promising approach for automatic large scale energy reduction. This paper proposes an approach based on (i) phase detection which attempts to detect system phases or behavior changes; (ii) phase characterization which associates a characterization label to each phase (the label indicates the type of workload); (iii) finally, phase identification and system reconfiguration attempt to identify recurring phases and make reactive decisions when the identification process is successful. Such approach allows additional energy gains.

Future works will cover the estimation and calibration of a larger set of services (data exchanges, visualization, monitoring). We also plan to investigate combined solutions in order to automatically improve HPC systems deploying energy efficient applications and services.

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