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# Improving the Forward Chaining Algorithm for Conceptual Graphs Rules

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## Abstract

Simple Conceptual Graphs (SGs) are used to represent entities and relations between these entities: they can be translated into positive, conjunctive, existential first-order logics, without function symbols. Sound and complete reasonings w.r.t. associated logic formulas are obtained through a kind of graph homomorphism called projection.

Conceptual Graphs Rules (or CG rules) are a standard extension to SGs, keeping sound and complete reasonings w.r.t. associated logic formulas (they have the same form as tuple generating dependencies in database): these graphs represent knowledge of the form “IF ... THEN”.

We present here an optimization of the natural forward chaining algorithm for CG rules. Generating a graph of rules dependencies makes the following sequences of rule applications far more efficient, and the structure of this graph can be used to obtain new decidability results.

## Introduction

Simple Conceptual Graphs (or SGs) have evolved since Sowa's reference book (Sowa 1984) as the cornerstone of a family of knowledge representation languages known as “Conceptual Graphs”. SGs are used to represent entities as well as the relations between them. They can be translated into positive, conjunctive, existential first-order logics formulas, without function symbols. Sowa's graph-based inference operator has since been reformulated as a labelled graphs homomorphism called projection (Chein & Mugnier 1992). A projection of a SG  $H$  into a SG  $G$  means that all information encoded in  $H$  is already present in  $G$ ; projection is sound (Sowa 1984) and complete (Mugnier & Chein 1996) w.r.t. the associated logical semantics.

A standard extension, proposed in (Sowa 1984), is obtained by using Conceptual Graphs Rules (or CG rules). These rules are also represented by graphs (one subgraph is identified as the hypothesis part, the remaining part being the conclusion). They represent knowledge of the form “IF ... THEN”. Extending the logical semantics to translate CG rules (the obtained formulas are the same as the tuple generating dependencies studied in databases), the projection-

based deduction mechanism of the CG rules model has been proven sound and complete w.r.t. deduction of the associated logic formulas (Salvat & Mugnier 1996).

An efficient backward chaining has been presented by (Salvat 1998), and its comparison with Prolog proved its efficiency (Coulondre & Salvat 1998). However, this algorithm does not cope well with some extensions built upon CG rules, and particularly the more expressive languages from the SG family (Baget & Mugnier 2002). In these models, algorithms used for deduction rely on forward chaining of rule applications.

In this paper, we present an optimization of the natural forward chaining algorithm that is highly adaptable to these extensions of CG rules. An initial treatment of a library of CG rules is used to generate the *graph of rules dependencies*. Using this graph makes the subsequent rule applications far more efficient, and its structure can be used to obtain new decidability results, extending those presented in (Baget & Mugnier 2002).

This paper is organized as follows: we first briefly recall basic definitions on SGs, and we present CG rules. To help readers unfamiliar with the CG formalisms, we recall their translation into first-order logics. After detailed motivations, we introduce the graph of rule dependencies, and discuss its efficiency (for optimization purpose as well as for extending existing decidable cases).

## Simple Conceptual Graphs

The vocabulary available is encoded in a structure called the *support*.

**Definition 1 (Support)** We call support a tuple  $\mathcal{S} = (\mathcal{M}, T_C, T_1, \dots, T_k)$  of pairwise disjoint partially ordered sets:  $\mathcal{M}$  is the set of markers,  $T_C$  is the set of concept types, and  $T_i, 1 \leq i \leq k$  is the set of relation types of arity  $i$ .

The set of marker  $\mathcal{M}$  contains a distinct element: the *generic marker*  $*$ , used to represent unnamed entities. The other markers (called *individual*) represent named entities. Individual markers are pairwise non comparable, and are more specific than the generic one. No assumption is needed on the partial orders encoding the types hierarchies. These partial orders will be denoted by  $\leq$ . These sets do not need to be finite, but we assume that the comparison of two elements can be computed in constant time.

To simplify definitions, we present here SGs as multiple directed hypergraphs<sup>1</sup> whose nodes (representing entities) are labelled by a concept type and a marker of  $\mathcal{M}$  and hyperarcs (non empty tuples of nodes representing relations between entities) are labelled by a type of corresponding arity.

**Definition 2 (SGs)** Let  $\mathcal{S}$  be a support. A simple conceptual graph (or SG), defined over  $\mathcal{S}$ , is a tuple  $G = (V, U, \lambda)$  where  $V$  is the set of nodes,  $U \subseteq V^+$  is a multiset<sup>2</sup> of hyperarcs (we call them relations), and  $\lambda$  is a mapping that labels each node by a pair formed by a concept type of  $T_C$  and a marker of  $\mathcal{M}$  (a node is said generic if labelled by  $*$ , individual otherwise), and labels each relation of size  $i$  by a relation type in  $T_i$ .

We adopt for SGs the following graphical representation: each node is represented by a rectangle, and each relation  $(x_1, \dots, x_i)$  by an oval in which the relation type is written. For each of its arguments  $x_p$ , we draw a line between the rectangle representing  $x_p$  and the oval representing the relation, and write the number  $p$  next to this line. Finally, we write  $T : M$  inside the rectangle representing a node whose type and individual marker are respectively  $T$  and  $M$ , and only  $T$  if the node is generic. The graph  $H$  in Fig. 1 is the drawing of the SG  $H = (V, U, \lambda)$  defined as follows:  $V = \{X, Y, Z\}$ ;  $U = \{(Z, Y), (X, Y, Z)\}$ ; and  $\lambda(X) = (t_1, *)$ ,  $\lambda(Y) = (t_2, *)$ ,  $\lambda(Z) = (t_3, *)$ ,  $\lambda((Z, Y)) = r_2$ ,  $\lambda((X, Y, Z)) = r_3$  (all nodes are generic).

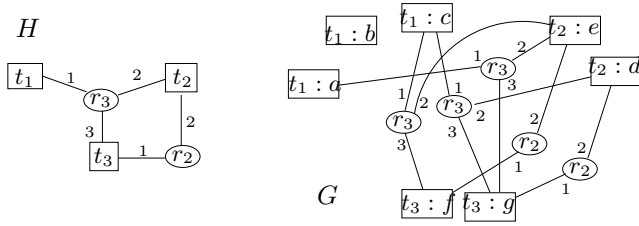


Figure 1: The drawing of two SGs  $G$  and  $H$ .

The basic inference operator for SGs is a labelled (hyper)graphs homomorphism called projection. Existence of a projection from a SG  $H$  into a SG  $G$  means that all information encoded in  $H$  is already present in  $G$ .

**Definition 3 (Projection)** Let  $H$  and  $G$  be two SGs defined over the same support  $\mathcal{S}$ . A projection from  $H$  into  $G$  is a mapping  $\pi : V(H) \rightarrow V(G)$  such that: for each node  $x$  of  $H$ ,  $\lambda(\pi(x)) \leq \lambda(x)$  (we also denote by  $\leq$  the product order on the orders on  $T_C$  and  $\mathcal{M}$ ), and for each relation  $r = (x_1, \dots, x_i)$  in  $H$ , there must exist a relation  $r' = (\pi(x_1), \dots, \pi(x_i))$  such that  $\lambda(r') \leq \lambda(r)$ .

As an exercise, the reader can check that, assuming all types are pairwise non comparable in the support, there is

<sup>1</sup>The usual definition of SGs as bipartite graphs is simply obtained by considering the bipartite of incidence of our hypergraphs.

<sup>2</sup>There can be many occurrences of the same hyperarc, that can be labelled differently.

exactly 2 projections from the graph  $H$  into the graph  $G$ , both represented in Fig. 1. One of them associates the nodes respectively labelled  $t_1 : c$ ,  $t_2 : d$  and  $t_3 : g$  to the nodes respectively labelled  $t_1, t_2$  and  $t_3$ . Note however that, contrary to what this example suggests, projection does not need to be an injective mapping.

Before defining our basic deduction problem (called, as in (Baget & Mugnier 2002) *SG-DEDUCTION*), we must introduce the notion of *normal form*. A SG is said in normal form if all individual nodes have different markers (the same entity is represented by an unique node). A SG  $G$  is put into its normal form  $nf(G)$  by fusing all nodes sharing the same individual marker<sup>3</sup>.

#### SG-DEDUCTION

**Data:** A support  $\mathcal{S}$  and two SGs  $G$  and  $H$ , defined over  $\mathcal{S}$ .

**Question:** Can  $H$  be deduced from the knowledge base  $(\mathcal{S}, G)$ , i.e. does there exist a projection from  $H$  into the normal form of  $G$ ?

### Conceptual Graphs Rules

Conceptual graph rules (in short CG rules) express knowledge of the form “IF...THEN”. It is convenient to represent them as colored SGs.

**Definition 4 (CG Rules)** A CG rule, defined over a support  $\mathcal{S}$ , is a pair  $R = (G, H)$  where  $G$  is a SG defined over  $\mathcal{S}$ , and  $H$  is a partial subgraph of  $G$ <sup>4</sup>. The SG  $H$  is called the hypothesis of the rule ( $Hyp(R)$ ), and the other nodes and relations form its conclusion  $Ccl(R)$  (it is not necessarily a graph, since hyperarcs can lack their elements: we call it a proto-graph).

CG rules are represented in the same way as SGs, excepted that we color rectangles and ovals to clearly see the nodes and relations that belong to the hypothesis or the conclusion. Here, elements of the hypothesis will remain in white, while elements of the conclusion will be shaded in gray (see Fig. 2).

We must now present the inference mechanism used in this KR model.

**Definition 5 (Rule Application)** Let  $G$  be a SG and  $R$  be a CG rule, both defined over a support  $\mathcal{S}$ . Then  $R$  is said applicable to  $G$  if there exists a projection, say  $\pi$ , from  $Hyp(R)$  into  $nf(G)$ . In that case, we note  $\alpha(G, R, \pi)$  the graph obtained by applying the rule  $R$  on the graph  $nf(G)$  following the projection  $\pi$ . This is done in the following way: consider the proto-graph obtained by making the disjoint union of a copy of  $nf(G)$  and a copy of  $Ccl(R)$ . Then for each (proto)relation  $r$  in  $Ccl(R)$ , for each node  $x$  of the hypothesis of  $R$  that is a  $i$ th argument of  $r$ , make the copy of  $\pi(x)$  the  $i$ th argument of the copy of  $r$ .

<sup>3</sup>We assume that all nodes sharing the same marker also share the same type, which is the type of the obtained node. Usually, a *conformity relation* defined in the support determines the type given to an individual node, according to its marker.

<sup>4</sup>Obtained from  $G$  by eventually removing some of its nodes and the relations for which one argument has been removed, then eventually removing some of the remaining relations.

The mechanism of rule application is illustrated in Fig. 2, where the SG  $G$  is already in normal form.

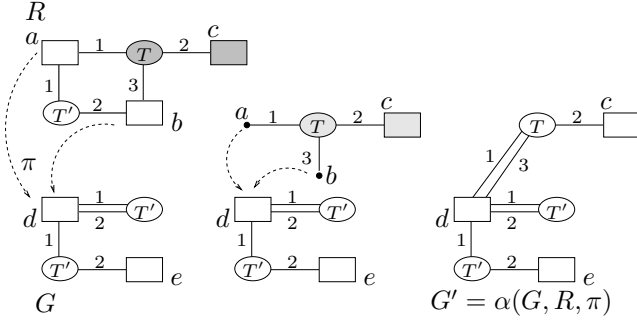


Figure 2: Applying a CG rule  $R$  to a SG  $G$ .

The deduction problem requires the notion of derivation of a graph.

**Definition 6 (Derivation)** Let  $S$  be a support,  $\mathcal{R}$  be a set of CG rules, and  $G$  and  $G'$  be two SGs, all defined over  $S$ . We say that  $G'$  is  $\mathcal{R}$ -derived from  $G$  if there exists a sequence (possibly reduced to  $G$ ) of SGs  $G = G_0, \dots, G_k = G'$  such that, for  $1 \leq p \leq k$ , there is a rule  $R \in \mathcal{R}$  and a projection  $\pi$  of  $\text{Hyp}(R)$  into  $G_{p-1}$  with  $G_p = \alpha(G_{p-1}, R, \pi)$ .

We define now the deduction problem in this model using CG rules (called  $\mathcal{SR}$ , as in (?)):

**$\mathcal{SR}$ -DEDUCTION**

**Data:** A support  $S$ , two SGs  $G$  and  $H$ , and a set of CG rules  $\mathcal{R}$ , all defined over  $S$ .

**Question:** Can  $H$  be deduced from the knowledge base  $(S, G, \mathcal{R})$ , i.e. does there exist an  $\mathcal{R}$ -derivation from  $G$  into a SG  $G'$  such that  $H$  projects into the normal form of  $G'$ ?

### Relationships with FOL

Since (?), semantics of SGs are usually expressed through a translation to the positive, conjunctive, existential fragment of first-order logics (without function symbols); that fragment will be denoted by  $\text{FOL}(\wedge, \exists)$ . CG rules are translated to formulas corresponding to *tuple generating dependencies* in databases, as pointed out in (?). Knowledge expressed in a support  $S$ , in a SG  $G$  or in a set of CG rules  $\mathcal{R}$  can be translated to the formulas  $\Phi(S)$ ,  $\Phi(G)$  and  $\Phi(\mathcal{R})$ , as shown below. Though logical semantics are not in the scope of this paper, we think that these translations can help a reader unfamiliar with CGs.

**Translating the support** To each pair of types  $(t, t')$  of arity  $i$  in the support  $S$  (concept types are considered as relation types of arity 1), such that  $t < t'$ , we associate a formula  $\phi(t, t') = \forall x_1 \dots \forall x_i (t(x_1, \dots, x_i) \rightarrow t'(x_1, \dots, x_i))$ . The interpretation  $\Phi(S)$  of the support is the conjunction of these formulas  $\phi(t, t')$ , for all pairs  $(t, t')$  of comparable types in the support.

**Translating SGs** A SG  $G$  will be translated as follows: to each node  $x$  we associate the term  $\sigma(x)$ : a distinct variable if  $x$  is generic, and the constant  $M$  to each individual

node having marker  $M$ . A node  $x$  typed by  $t$  will be interpreted by the formula  $\phi(x) = t(\sigma(x))$ . A relation  $r = (x_1, \dots, x_i)$  labelled by  $t$  will be interpreted by the formula  $\phi(r) = t_1(\sigma(x_1), \dots, \sigma(x_i)) \wedge \dots \wedge t_p(\sigma(x_1), \dots, \sigma(x_i))$ . The interpretation of the graph  $G$  is then the formula  $\Phi(G)$  obtained by making the existential closure of the conjunction of the formulas  $\phi(r)$  and  $\phi(x)$ , for all relations  $r$  and all nodes  $x$  in  $G$ . By example, the interpretation of the graph  $H$  in Fig. 1 is the formula  $\exists X \exists Y \exists Z (t_1(X) \wedge t_2(Y) \wedge t_3(Z) \wedge r_2(Z, Y) \wedge r_3(X, Y, Z))$ .

**Translating CG rules** Let  $R = (G, H)$  be a CG rule. As if translating the SG  $G$ , we build the formulas  $\phi(r)$  interpreting each of its nodes and relations. We define  $\Phi_H(R)$  as the conjunction of all  $\phi(r)$ , for nodes and relations  $r$  appearing in the hypothesis of the rule, and  $\Phi_C(R)$  as the conjunction of all  $\phi(r)$ , for those appearing in the conclusion of the rule. The interpretation of a rule  $R$  is the formula  $\Phi(R) = \forall x_1 \dots \forall x_p (\Phi_H(R) \rightarrow (\exists y_1 \dots \exists y_q \Phi_C(R)))$ , where the  $x_i$  are the variables associated to nodes of the hypothesis, and the  $y_j$  are those associated to nodes of the conclusion. A set of rules is interpreted as the conjunction of the interpretations of its elements. By example, the interpretation of the CG rule  $R$  in Fig. 2 is the formula:  $\Phi(R) = \forall X \forall Y (T'(X, Y) \rightarrow (\exists Z T(X, Z, Y)))$ .

We have now all the tools to express the “soundness and completeness” results that logically found deduction in the SG and  $\mathcal{SR}$  models:

**Theorem 1 ((Sowa 1984; Mugnier & Chein 1996))** Let  $H$  and  $G$  be two SGs defined over  $S$ . Then  $H$  can be deduced from  $(S, G)$  if and only if  $\Phi(H)$  is a logical consequence of  $\Phi(S)$  and  $\Phi(G)$ .

**Theorem 2 ((Salvat & Mugnier 1996))** Let  $\mathcal{R}$  be a set of CG rules, and  $H$  and  $G$  be two SGs, all defined over a support  $S$ . Then  $H$  can be deduced from  $(S, G, \mathcal{R})$  if and only if  $\Phi(H)$  is a logical consequence of  $\Phi(S)$ ,  $\Phi(\mathcal{R})$  and  $\Phi(G)$ .

### Complexity and decidability

Let us now recall complexity and decidability results about these two deduction problems:

**Theorem 3 (Complexity)**  $\mathcal{SG}$ -DEDUCTION is an NP-complete problem.

This theorem has been initially proven in (Chein & Mugnier 1992), with a CLIQUE reduction. It can be more convenient to point out that  $\mathcal{SG}$ -DEDUCTION is a trivial generalization of GRAPH HOMOMORPHISM, itself a well known generalization of GRAPH  $K$ -COLORING: both are well known NP-complete problems.

**Theorem 4 ((Un)Decidability)**  $\mathcal{SR}$ -DEDUCTION is a semi-decidable (but not decidable) problem.

This was proven by (Coulondre & Salvat 1998), reducing the problem to IMPLICATION OF TUPLE GENERATING DEPENDENCIES. (Baget 2001) shows that it is the payback for expressivity: indeed,  $\mathcal{SR}$ -DEDUCTION is a computation model (Turing Machines can be encoded with these rules).

Decidability results exploit the notion of completeness (no rule application can add new information to the graph) (Baget & Mugnier 2002), allowing to define a generic criterion (finite expansion sets) for decidability.

**Definition 7 (Complete Graph)** *An SG  $G$  is said complete with respect to a set of rules  $\mathcal{R}$  if for every rule  $R \in \mathcal{R}$ , for every projection  $\pi$  of  $R$  into  $nf(G)$ , the SG  $\alpha(G, R, \pi)$  can be projected into  $nf(G)$ .*

If we can derive a complete graph, then it is equivalent to all other complete graphs that can be derived. The irredundant graph (see (Baget & Mugnier 2002)) noted  $G^{\mathcal{R}}$  is the smallest representant of this equivalence class.

**Definition 8 (Finite Expansion Sets)** *A set of CG rules  $\mathcal{R}$  is called a finite expansion set if for every SG  $G$ , a complete SG can be  $\mathcal{R}$ -derived from  $G$ .*

If we restrict our knowledge base to some range-restricted set of rules, then  $\mathcal{SR}$ -DEDUCTION becomes a decidable problem. Two example of finite expansion sets have been studied in (Baget & Mugnier 2002). *Range restricted rules* are rules such that no generic node belong to their conclusion (they are named by analogy with Datalog rules in which all variables of the head must appear in the queue (Abiteboul, Hull, & Vianu 1995)). *Disconnected rules* are such that no path exists between nodes of the conclusion and those of the hypothesis. Using any of these restrictions makes  $\mathcal{SR}$ -DEDUCTION an NP-complete problem. However, considering a set of rules that is the union of range-restricted rules and disconnected rules leads to a semi-decidable  $\mathcal{SR}$ -DEDUCTION.

## Motivations

Extensions of the  $\mathcal{SG}$  end  $\mathcal{SR}$  composing the  $\mathcal{SG}$  family (Baget & Mugnier 2002) have been initially proposed in (Baget, Genest, & Mugnier 1999) as a convenient way to model and solve the SISYPHUS I problem proposed by the Knowledge Acquisition community. But though the language proposed enabled an elegant modelization of the problem, algorithmic efficiency was lacking. Moreover, our first experiments, using both the naïve forward chaining algorithm (FC) and the efficient backward chaining one (BC) (Salvat 1998) available on the platform CoGITaNT (Genest & Salvat 1998) to solve  $\mathcal{SR}$ -DEDUCTION, showed that FC was much quicker.

Let us explain this result. In the  $\mathcal{SEC}$  model (an extension of  $\mathcal{SR}$ ), rules applications can be seen as elementary evolutions of a world. Also present in the knowledge base are constraints, that are used to check the integrity of the world at each step of its evolution. In this model, the deduction problem asks whether there exists a sequence of rules applications that generates only graphs satisfying the constraints, and where the last one answers to the query. Using FC, it is possible to cancel a rule application and backtrack as soon as a constraint violation is observed. No efficient pruning could be developed for BC: most of the time, a generated sequence of rules applications leading to the answer was found violating a constraint only when applying it to the initial graph. Such a problem should be encountered

as soon as an external mechanism is used to forbid some rules applications sequences.

However, FC, though better than BC, was still an inefficient algorithm: though SISYPHUS I can be considered as a “toy example”, the program based upon this algorithm ran 6 long days to enumerate all solutions. We considered three different ways to optimize this algorithm:

1. Optimize projection itself. Thanks to the close relationship exposed in (Mugnier 2000) between  $\mathcal{SG}$ -DEDUCTION and CSP (Constraint Satisfaction Network), it is possible to adapt backtrack enhancements developed in the CSP community to  $\mathcal{SG}$ -DEDUCTION (Baget 2003).
2. Reduce the number of projections computed at each step of FC.
3. Reduce the size of these projections.

The algorithm presented here relies on an initial treatment of the set of rules to answer these two last points. Moreover, the structure of the graph of rules dependencies initially generated can be used to extend the decidable cases when mixing finite expansion sets.

## Rules Dependencies

Let us first briefly present a version of the naïve FC. At each step of its execution, it applies to the normal form of the current graph  $nf(G_c)$  each applicable rule of  $\mathcal{R}$  following each of its projections into  $nf(G_c)$ . The obtained SG is the new current graph, and this step is repeated until the query can be projected into the current graph.

**Neutrality** Since applying the same rule twice following the same projection creates only redundant, useless information, it is immediate to point out that, at step  $i$ , a rule application of  $R$  following some projection must use a node that was added at step  $i - 1$  to be of any use. It means that some node in the hypothesis of  $R$  must be projected into a node added at step  $i - 1$ , i.e. a node belonging to the conclusion of a rule in  $\mathcal{R}$ . Simply put, let  $R_1$  and  $R_2$  be two rules: if no node  $x_2$  in the hypothesis of  $R_2$  can be projected into a node  $x_1$  of the conclusion of  $R_1$ , then no application of the rule  $R_1$  into a graph can create a new application of  $R_2$  into this graph. Let us formalize and generalize this basic idea.

**Definition 9 (Neutral)** *Let  $R$  and  $R'$  be two CG rules defined over a support  $\mathcal{S}$ . We say that  $R$  is neutral for  $R'$  if, for every graph  $G$  that can be defined over  $\mathcal{S}$ , for every graph  $G' = \alpha(G, R, \pi)$ , the set of all projections from  $R'$  into  $G'$  is still the same as the set of all its projections into  $G$ .*

**Graphs of rules dependencies** Let us now build a complete<sup>5</sup> directed graph  $G(\mathcal{R})$  whose nodes are the rules of  $\mathcal{R}$ . Now let us remove some of the arcs  $(R, R')$  such that  $R$  is neutral to  $R'$ . We obtain a *graph of rules dependencies*. We modify then the algorithm FC in the following way, obtaining the algorithm FCD (Forward Chaining with Dependencies). At the first step of the algorithm, all rules of  $\mathcal{R}$

<sup>5</sup>There is an arc between each pair of nodes, loops included.

are checked for applicability. At subsequent steps, the only rules that are checked for applicability are the rules  $R$  such that there exists a rule  $R'$  applied during the previous step with  $(R', R)$  being an arc of  $G(\mathcal{R})$ . The following property, whose proof is immediate, points out the equivalence between the two algorithms FC and FCD. Note also that if no arc is removed from  $G(\mathcal{R})$ , FCD behaves exactly as FC.

**Property 1** *For any positive integer  $i$ , the SG obtained at step  $i$  of the algorithm FC is equivalent to the SG obtained at step  $i$  of the algorithm FCD.*

As FC, FCD is thus sound and complete w.r.t.  $\mathcal{SR}$ -DEDUCTION. Note that FCD does not require to remove all arcs corresponding to neutral rules couples, but only those arcs can be removed or completeness would be lost. The task is thus to remove only those arcs, but the greater number possible (eventually all) to achieve a better efficiency.

**From a weak to an optimal neutrality condition** Let us formalize the neutrality condition presented as an example before Def. 9. It is immediate to check that if no label in the conclusion of  $R_1$  is lesser than a label in the hypothesis of  $R_2$ , then  $R_1$  is neutral to  $R_2$ . However, this is an insufficient characterization of neutrality, as shown by the following rules.

$$\begin{array}{lll} R_1 & \text{IF } [A : *] & \text{THEN } [B : *] \\ R_2 & \text{IF } [B : *] \rightarrow (r) \rightarrow [C : *] & \text{THEN } \dots \end{array}$$

The above criterium does not consider  $R_1$  as a neutral to  $R_2$  (the node typed  $B$  in  $\text{Hyp}(R_2)$  can be projected into the node of  $\text{Ccl}(R_2)$  with the same label), even if the hypothesis of  $R_2$  cannot be projected into the SG restricted to the node typed  $B$ . This is the basic idea behind the main theorem: it is not sufficient to project a node into an other, its neighbours must also be projected. However, the following characterization of neutrals does not take normal form into account. Indeed, it detects too much neutrals if SGs are put into their normal form, as it should be, between rule applications. This issue will be discussed in the next section.

**Theorem 5** *Let  $R$  and  $T$  be two CG rules. Then  $R$  is a neutral for  $T$  unless there exists:*

- a projection  $\pi$  from a non empty subgraph  $H$  of  $\text{Hyp}(T)$  into  $\text{Ccl}(R)$  (we note then  $N(H)$  the relations of  $\text{Hyp}(T)$  that are not in  $H$  but are incident to its nodes),
- a partition  $\oplus_N = \{N_1, \dots, N_k\}$  of relations of  $N(H)$ ,
- a partition  $\oplus_F = \{F_1, \dots, F_{k+1}\}$  of relations of the frontier<sup>6</sup>  $F$  of  $R$ ,

answering the following conditions:

1. For each node  $h$  of  $H$  being the  $i$ th argument of a relation  $n$  of  $N(H)$ , let  $N_j \in \oplus_N$  such that  $n \in N_j$ . Then  $\pi(h)$  is the  $i$ th argument of a relation  $f$  of  $F_j$  in  $R$ .

<sup>6</sup>The frontier is composed of relations of the conclusion that are incident to a node in the hypothesis.

2. For each  $N_j \in \oplus_N$ , the support  $S$  allows to create a relation  $s_j$  whose type is more specific than the types of relations in  $N_j$  and  $F_j$ .

Intuitively, projection  $\pi$  expresses that a part of  $\text{Hyp}(T)$  must be projected in a part of the SG that has been added when applying  $R$ , while the partitions show that relations in  $N_j$  and those in  $F_j$  should be able to project into a relation of  $G$ .

**Proof:** Intuitivement, la projection  $\pi$  exprime qu'une partie de l'hypothèse de  $T$  devra se rajouter dans la partie d'un graphe correspondant à ce qui a été rajouté par l'application de  $R$ , tandis que les partitions indiquent que les sommets appartenant à  $N_j$  et les sommets appartenant à  $F_j$  pourront se projeter dans un même sommet du graphe  $G$ .

Nous prouverons tout d'abord que, si on se donne de tels objets  $\pi$ ,  $\oplus_N$  et  $\oplus_F$  entre deux règles  $R$  et  $T$ , alors  $R$  est un déclencheur possible de  $T$ . La preuve de cette partie de l'équivalence ( $\Rightarrow$ ) se fera en construisant un graphe  $G$  tel qu'une certaine application de  $R$  crée une nouvelle application de  $T$ . Cette partie de la preuve est illustrée dans la FIG ?? . La deuxième partie de l'équivalence ( $\Leftarrow$ ) supposera l'existence d'un graphe quelconque  $G$  tel que l'application de  $R$  crée une nouvelle application de  $T$ . Nous construirons alors la projection  $\pi$ , et les partitions  $\oplus_N$  et  $\oplus_F$ , et vérifierons que les critères 1. et 2. sont respectés.

( $\Rightarrow$ ) Supposons qu'il existe une telle projection  $\pi$  et de telles partitions  $\oplus_N$  et  $\oplus_F$  entre  $R$  et  $T$ . Nous construisons le graphe initial  $G$  et le graphe  $G'$  obtenu par une application de  $R$  sur  $G$  de la façon suivante:

1. nous partons de l'hypothèse de  $R$  pour définir le graphe  $G$ ;
2. pour chaque  $N_j \in \oplus_N$ , les sommets de  $F_j$  dans la frontière de  $R$  sont fusionnés, et l'étiquette  $s_j$  du sommet résultant est plus spécifique que celle de chacun des sommets de  $F_j$  (ce qui est exigé par l'opérateur de fusion), mais aussi que celle de chacun des sommets de  $N_j$  (cette étiquette de  $s_j$  existe grâce au critère 2. du théorème);
3. nous faisons l'union disjointe du graphe ainsi obtenu avec le sous-graphe  $H'$  de l'hypothèse de  $T$  qui contient tous les sommets qui ne sont ni dans  $H$ , ni dans  $N(H)$ ;
4. pour chaque arête étiquetée par  $i$  entre un sommet  $e$  de  $H'$  et un sommet  $n$  de  $N(H)$  (nous supposons  $n \in N_j$ ) dans  $T$ , nous rajoutons une arête étiquetée par  $i$  entre le sommet correspondant à  $e$  et le sommet  $s_j$ ;

Nous exhibons tout d'abord une projection  $\pi_1$  de l'hypothèse de  $R$  dans ce graphe  $G$  (il peut y en avoir d'autres, mais seule celle-ci nous intéresse). Le sous-graphe de  $G$  obtenu à l'étape 2. correspond à une fusion de certains des sommets de l'hypothèse de  $R$ , qui spécialise d'avantage les étiquettes des sommets fusionnés. Cette opération conserve donc une projection de l'hypothèse de  $R$  dans  $G$ , définie par:

- si  $x \in F_j$  (pour  $1 \leq j \leq k$ ), alors  $\pi_1(x) = s_j$ ;
- sinon,  $\pi_1(x) = \text{Id}(x)$ .

Cette projection  $\pi_1$  nous permet de construire le graphe  $G'$ , obtenu par l'application de  $R$  à  $G$  suivant  $\pi_1$ . Nous

montrons qu'il existe une application  $\pi_2$  de l'hypothèse de  $T$  dans  $G'$ , qui est bien une projection, et qui ne correspond pas à une projection de l'hypothèse de  $T$  dans  $G$ . Soit  $\pi_2$  l'application associant aux sommets de l'hypothèse de  $R_2$  des sommets de  $G'$  définie par:

- si  $x \in H$ , alors  $\pi_2(x) = \pi(x)$  (plus précisément, il s'agit du sommet de  $G'$  rajouté par l'application de  $R$  qui correspond à  $\pi(x)$ );
- si  $x \in N(H)$ , alors soit  $N_j/x \in N_j$ , alors  $\pi_2(x) = s_j$ ;
- si  $x \in H'$ , alors  $\pi_2(x) = \text{Id}(x)$  (plus précisément, il s'agit du sommet correspondant à  $x$  qui a été rajouté à l'étape 3.).

Si cette application est une projection, alors il s'agit bien d'une projection qui n'est pas entièrement dans  $G$  (puisque  $H$  est non vide, il existe au moins un sommet qui a pour image un sommet rajouté par l'application de  $R$ ). Il reste donc à prouver que  $\pi_2$  est une projection.

Tout d'abord, nous pouvons voir que la restriction de  $\pi_2$  au sous-graphe engendré par les sommets de  $H$  et les sommets de  $H'$  est bien une projection. En effet,  $\pi$  est une projection de  $H$  dans la partie de  $G'$  rajoutée par l'application de  $R$  et  $\text{Id}$  est bien une projection de  $H'$  dans la partie de  $G'$  qui lui correspond. Comme il n'y a aucune arête entre  $H$  et  $H'$  (sinon le sommet de  $H'$  voisin d'un sommet de  $H$  devrait appartenir, par hypothèse, à  $N(H)$ ), ces deux projections définissent bien une projection du sous graphe engendré par les sommets de  $H$  et de  $H'$  dans  $G'$ .

Reste à étendre cette projection aux sommets de  $N(H)$ . Nous voyons tout d'abord que  $\pi_2$  associe à tout sommet de  $N(H)$  un sommet qui lui est compatible: ceci est assuré par la définition des sommets  $s_j$  à l'étape 2. de la construction de  $G'$ . Pour prouver que  $\pi_2$  est un homomorphisme, il nous reste à prouver que pour toute arête  $xy$ , étiquetée par  $i$ , incidente à un sommet  $x$  de  $N(H)$  (nous supposons  $x \in N_j$ ), il existe une arête étiquetée par  $i$  entre  $\pi_2(x) = s_j$  et  $\pi_2(y)$ . La partition de l'hypothèse de  $T$  nous impose un des trois cas suivants:

1. Soit  $y \in N(H)$ : ceci est impossible. En effet, un sommet qui est dans la frontière d'une règle est nécessairement un sommet concept (une conséquence immédiate de la définition des règles). La compatibilité des sommets de  $N(H)$  avec des sommets de la frontière impose donc à ces sommets d'être des sommets concept. Comme nos graphes sont bipartis, il n'y a aucune arête entre ces sommets.
2. Soit  $y \in H$ : alors, par hypothèse (il s'agit du critère 1. dans le théorème), il existe une arête étiquetée par  $i$  entre  $\pi(y)$  (dans la conclusion de  $R$ ) et un sommet de  $F_j$  (dans la frontière de  $R$ ). Comme les sommets de  $F_j$  ont été projetés par  $\pi_1$  dans  $s_j = \pi_2(x)$ , cette arête est nécessairement rajoutée entre  $s_j$  et  $\pi_2(y)$  dans  $G'$  (c'est le mécanisme d'application de règles).
3. Soit  $y \in H'$ : dans ce cas, la présence d'une arête étiquetée par  $i$  entre  $\text{Id}(y)$  et  $s_j$  est assurée par l'étape 4. de la construction de  $G$ .

Donc  $\pi_2$  est bien une projection de  $T$  dans  $G'$  qui n'est pas une projection dans  $G$ . L'existence d'un tel graphe  $G$

prouve que  $R$  n'est pas neutre pour  $T$ :  $R$  est un déclencheur possible de  $T$ .  $\diamond$

( $\Leftarrow$ ) Nous supposons maintenant que  $R$  est un déclencheur possible de  $T$ . Alors il existe un graphe  $G$ , tel que, pour  $G'$  obtenu en appliquant  $R$  à  $G$  suivant une projection  $\pi_1$ , il existe une projection  $\pi_2$  de l'hypothèse de  $T$  dans  $G'$  qui n'est pas entièrement contenue dans  $G$ . Nous allons en déduire une projection  $\pi$  et deux partitions  $\oplus_N$  et  $\oplus_F$  qui satisfont les deux critères du théorème.

Nous notons  $H$  le sous-graphe de l'hypothèse de  $T$  dont les images par  $\pi_2$  sont les sommets de  $G'$  rajoutés par l'application (suivant  $\pi_1$  de  $R$  à  $G$ ). Nous remarquons que  $H$  est non vide (sinon la projection  $\pi_2$  serait entièrement dans  $G$ ), et que la restriction de  $\pi_2$  à  $H$  définit bien une projection de ce sous-graphe non vide  $H$  de l'hypothèse de  $T$  dans la conclusion de  $R$ . Soit  $\pi$  cette projection.

Nous considérons maintenant  $G_f$  le sous-graphe de  $G$  engendré par les images par  $\pi_1$  de la frontière de  $R_1$ . Nous allons tout d'abord prouver que  $\pi_1^{-1}(G_f)$  induit une partition des sommets de la frontière de  $R_1$  (immédiat, puisque, par définition d'une application, un sommet ne peut avoir deux images), et que  $\pi_2^{-1}(G_f)$  induit une partition des sommets de  $N(H)$ .

Soit  $N(H)$  le voisinage de  $H$ . Nous remarquons tout d'abord que, si  $x$  est un sommet de  $N(H)$ , alors  $\pi_2(x)$  est un sommet de  $G'$  (et même de  $G$ ) sur lequel ont été projetés (par  $\pi_1$ ) des sommets  $y_1, \dots, y_p$  de la frontière de  $R_1$ . En effet, puisque  $x$  est voisin d'un sommet  $y$  de  $H$ , et  $\pi_2(y)$  appartient à la partie de  $G'$  rajoutée par l'application de  $R_1$ , alors  $\pi_2(x)$  est un voisin de  $\pi_2(y)$  dans  $G$  (sinon  $x$  appartiendrait à  $H$ ). Et l'application de  $R_1$  suivant  $\pi_1$  n'a pu rajouter une arête entre un sommet  $\pi_2(y)$  de la partie rajoutée et un sommet  $\pi_2(x)$  dans  $G$  que si  $\pi_1$  a projeté au moins un sommet de la frontière de  $R_1$  dans  $G$  (c'est le mécanisme d'application d'une règle). Nous choisissons donc arbitrairement un sommet parmi les sommets  $y_1, \dots, y_p$  de la frontière, et définissons  $\phi(x) = y_1$ .

Nous avons donc construit une projection  $\pi$  d'un sous-graphe  $H$  non vide de l'hypothèse de  $R_2$  dans la conclusion de  $R_1$ , et une application  $\phi$  de  $N(H)$  dans la frontière de  $R_1$ . Il ne nous reste plus qu'à vérifier que ces deux applications respectent bien les critères 1. et 2. du théorème.  $\square$

An important consequence of this theorem is that it allows us to give the complexity of this problem.

#### $\mathcal{SR}$ -NEUTRALITY

**Data:** Two CG rules  $R$  and  $R'$ .

**Question:** Is  $R$  neutral for  $R'$ ?

**Theorem 6 (Complexity)**  $\mathcal{SR}$ -NEUTRALITY is a co-NP-complete problem.

The proof is direct. The projection  $\pi$  as well as the two partitions is a polynomial certificate that  $R$  is not neutral for  $T$ . When the CG rules are disconnected,  $R$  is not neutral for  $T$  if and only if there is a projection from  $\text{Hyp}(T)$  into  $\text{Ccl}(R)$ , hence the completeness.  $\mathcal{SR}$ -NON-NEUTRALITY being NP-complete,  $\mathcal{SR}$ -NEUTRALITY is co-NP-complete.

## Using the graph of rules dependencies

**Strengths** We have presented an algorithm, FCD, that improves the standard Forward Checking as long as enough neutrals are found. Not only does it reduce the number of projection checks at each step of the algorithm, but it is also possible to store in the arcs of the graph of rules dependencies the partial projections from the hypothesis of the destination to the conclusion of the origin. This reduces the size of computed projections.

The initial cost of FCD can be high: there is  $|\mathcal{R}|^2$  NP-hard problems to compute. First, the huge overhead cost induced by building the rules dependencies graph is quickly compensated: if Forward Chaining execution is longer than two steps, the overhead cost is compensated. Using our algorithm to solve the SISYPHUS I problem, we managed to generate all solutions in less than 2 hours. Then, if we consider a set of rules as a library, the rules dependencies graph should only be built once. Its cost is thus divided between all “users” of that library.

Second, structural arguments on the rules dependencies graph can be used to obtain new decidability results, or to extend existing ones, as shown by the two following theorems.

**Theorem 7** *If the rules dependencies graph has no circuits (note that a loop is considered as a circuit), then SR-DEDUCTION is decidable.*

**Theorem 8** *If each strongly connected component is formed of a finite expansion set, then SR-DEDUCTION is decidable.*

Moreover, the question  $H$  can be seen as a CG rule with an empty conclusion, and the SG  $G$  as a CG rule with an empty hypothesis. They can thus be integrated in the rules dependencies graph. See that rules that are not on a path from  $G$  to  $H$  will be of no use to solve the deduction problem. Removing these rules from the graph may modify its structure, and can lead to a decidable case.

**Weaknesses** The main problem with FCD is that using the optimal neutrality condition leads to loose completeness as soon as SGs are put into their normal form during the derivation. Three solutions can be adopted:

- drop this “optimal” criterium and use the weaker one, safe w.r.t. normalization;
- restrict ourselves to rules that never require any normalization after their application, they are exactly the rules that have one or more individual nodes in their conclusion;
- it is possible to keep the optimum criterium without any restriction on rules used. Let us observe that a rule having an individual node in its conclusion is exactly equivalent (in the sense that their application generates the same graphs), as soon as a node with the same marker is present in the current graph, to a rule where this node belongs to the conclusion. Then as soon as an individual marker appears in the current graphs, rules where this marker appears in conclusion must be modified, and then the arcs for this rule must be computed again in the graph of rules

dependencies. Though this work could be prepared at compile time, we must point out that a rule with  $k$  different individual markers in its conclusion can be replaced by  $2^k$  different rules.

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