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A guided tour of asynchronous cellular automata

Nazim Fatès nazim.fates@loria.fr Inria Nancy Grand-Est, LORIA UMR 7503 F-54 600, Villers-lès-Nancy, France

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Abstract

Research on asynchronous cellular automata has received a great amount of attention these last years and has turned to a thriving field. We survey the recent research that has been carried out on this topic and present a wide state of the art where computing and modelling issues are both represented.

foreword: This article is a corrected an extended version of the invited paper that appeared in the proceedings of Automata'13, 19th International Workshop on Cellular Automata and Discrete Complex Systems, LNCS 8155, 2013, p. 15-30, doi:10.1007/978-3-642-40867-0_2.

1 Introduction

Research on asynchronous cellular automata has gained momentum in the last decade as it can be seen from the increase in the number of publications dedicated to this topic or the organisation of scientific meetings dedicated to this topic.

Cellular automata are discrete dynamical systems that were initially constructed by von Neumann and Ulam to study self-reproduction from a logical and mechanistic point of view [99]. The interest of cellular automata stems from the simplicity of their structure: they are constituted of a collection of simple automata, the *cells*, that are spatially arranged on a grid and which evolve according to a *local rule* which gives the new state of a cell according to its previous state and the previous states of its neighbours. Classically, cellular automata are updated synchronously, that is, all cells change their state simultaneously at discrete time steps and the global state of the system at a given time is obtained from the information gained on the state of the system at the previous time. This hypothesis has many advantages: with this type of updating, the system is deterministic and simple to define. The use of a common shared time allows one to simulate such systems very easily and, in some cases, to derive analytical results on the behaviour of the rule. There are however some reasons why this hypothesis of perfect synchrony could be questioned:

- When cellular automata represent a model of a natural system, one should examine if there is a need of a global clock that synchronises the transitions of the model. In particular, is this clock an external phenomenon (e.g., the daily varying light of the sun) or is it an effect that emerges from the numerous interactions between the components?
- When cellular automata are considered as a model of a massively parallel computing device, then, operating such a device without having to distribute the signal of a clock could have many advantages such as an increase in the speed of computations, economy of energy, simplicity of design, etc.

These statements however do not unequivocally plead for the use of the asynchronous models as opposed to their synchronous counterparts. Indeed, as soon as the question of asynchrony is raised, numerous other questions have to be answered; for instance: What type of asynchronism should be used? What are the properties of the system that are acquired or lost when turned from synchronous to asynchronous? How should we study the new system (analytically, experimentally, etc.)?

The purpose of this short review is to introduce the readers to the wide landscape of asynchronism in cellular automata. As a first version destined to the audience of AUTOMATA'13, and like all guided tours, it is not meant to be exhaustive nor fully objective, but rather to introduce some entry points and discussions on this wide topic. The author of the present text will be grateful to all indications or corrections that will be given to him. Interested readers are encouraged to check for updated versions of this text¹.

2 Early works

Nakamura was one of the first authors to investigate the computation abilities of asynchronous cellular automata (ACA) [61]. He described several techniques to construct universal ACA and showed how to simulate a given q-state deterministic CA with an ACA of the same neighbourhood whose state space is extended to $3q^2$ states (see also Toffoli [94] and Nehaniv [63]).

The construction relies on the idea that if a cell is updated, it will then wait the neighbouring cells to "catch up" and makes the next transition only when all its neighbours are up to date ; additionally, it keeps its old state available for the neighbouring cells in order for them to perform the "right" transitions. This construction was later improved by the use of only $q^2 + 2q$ states by Lee, Peper et al. [50, 67].

Priese wrote a note where he considers (two-dimensional) cellular automata as a particular case of asynchronous rewriting systems (semi-Thue-systems) and

¹ See http://hal.inria.fr/hal-00845623 or visit the author's web page.

widens the scope by considering also the case where more than one cell may be re-written at a time (the overlapping problem) [70]. He uses his construction to show how to build asynchronous circuits which are equivalent to asynchronous concurrent Petri nets.

Following this path, Zielonka examined how asynchronous CA could be used to describe the situations of concurrency that arise in distributed systems [19]. Pighizzini clarified the computing abilities of Zielonska's models [69] and the problem of how to determinise non-deterministic Büchi asynchronous cellular automata was solved by Muscholl [60]. Droste generalised to pomsets the original notion of Zielonska's asynchronous mappings [25]; these questions was later re-investigated by Kuske [26, 45, 46].

Readers wishing to learn more on the universality of asynchronous CA may refer to the study by Takada et al., in which many important arguments and useful references can be found [92].

3 Numerical simulations and experimental studies

At the same time when the systematic exploration of complete cellular spaces (such as Elementary Cellular Automata) were carried out, authors have examined the effect of asynchronism by the means of numerical simulation. Ingerson and Buvel carried out a pioneering work where they could show that the behaviour of simple rules could be overwhelmed by simple modifications [14]. Most importantly, they questioned to which extent was the behaviour of a rule the consequence of the local rule and to which extent it was due to the updating scheme.

Bersini and Detours explored the difference between the Game of Life and closely related models with various updating schemes [10]. They observed a "stabilising effect" of asynchronous updating. Their views were based on small-size experiments, with grids no larger than 20*20 cells. With such lattice sizes, they were able to observe that the Game of Life with asynchronous updating may "freeze" on some fixed-point pattern that has a labyrinth aspect.

Schönfisch and de Roos gave a decisive impulse to the research on ACA by comparing various updating schemes and by exhibiting clear examples to show that the effect of these schemes could alter significantly the behaviour of a CA [82]. They distinguish time-driven and step-driven updating methods, depending on whether the updating of a cell is triggered by the determination of an event given by a continuous time proper to each cell or by a global clock which would send signals to all or part of the cells.

Note that it may be believed at first sight that "time-driven" methods provide a more realistic simulation framework since the updating signal is not artificially shared among cells. As shown by the authors themselves, this is not true since it is easy to build a "step-driven" method that emulates a "timedriven" method: as the update is sequential, the only relevant information for the evolution of the updating is the order of updating, and a correspondence between the two schemes can thus be established. Moreover, as various authors tend to believe, even the hypothesis of sequentiality of events which states that no two updating events can happen at the same time is not necessarily realistic. Indeed, this hypothesis would be relevant only in the case where the transitions between states are instantaneous. As this hypothesis is far from being valid in many cases (especially in biological systems), a certain "degree of synchrony" between cells should thus be assumed. As this degree is difficult to measure, the problem is not so much about choosing the "right" model of updating but rather to estimate the robustness of model, that is, the degree to which it is sensitive or not to changes of its updating scheme.

This idea lead Fatès and Morvan to examine how the 256 Elementary cellular automata (ECA) reacted to an updating where the degree of synchrony was varied [33]. This updating scheme they used, named simply α -asynchronism² consists in updating each cell with probability α , the synchrony rate, and leaving the state of the cells unchanged otherwise. In order to quantify the observations, the authors used the value of the asymptotic density as a means to estimate changes in the behaviour of the system. This parameter was considered as a first approximation to detect changes in the behaviour: a strong variation of the asymptotic density indicates that the system has undergone a transformation while an absence of variation does not say anything on the stability of the system as other modifications than the density may occur.

Despite its simplicity, this approach revealed that no direct relationship between the asynchronous behaviour and the "complexity" of the synchronous behaviour (Wolfram classes) existed. Four qualitative responses to variations were remarked:

- 1. continuous variation of the behaviour (e.g. ECA 232),
- 2. discontinuity around $\alpha = 1$ (e.g. ECA 2 or 110),
- 3. phase transition for a critical value $\alpha_{\rm c} < 1$ (e.g. ECA 50),
- 4. non-regular behaviour (e.g. ECA 184).

An interesting development on the work of asynchronism concerns how it mixes with traditional noise, that is, on randomness imposed on the *state* of the cells that compose the automaton. Early references that address this question are a paper by Gharavi and Anantharam, where they study a well-known result of Toom [39], and the work of Kanada, which tackles ECA rules [44]. Mamei et al. also considered this question for studying "complex decentralised pervasive computing systems" that are models as two-dimensional binary CA [56]. The most recent development is by Silva and Correia, who have given a detailed account on robust or sensitive some ECA can be to asynchronism combined

² Note that the terms α -asynchronism and α -synchronism have been both used and are both relevant: the confusion comes from the fact that α is the name of the scheme and the synchrony rate. We use here the term α -asynchronism, as it is the form that was first proposed and which has been adopted by various authors such as Regnault, Correia, Worsch, Fukś, etc.

with noise. Interestingly, they propose to evaluate the robustness according to the difference patterns and they thus introduce a sampling compensation in order to cope with less frequent updates [85, 86].

The case of asynchronous CA simulated on a non-regular topology was tackled by Baetens et al., who examined an asynchronous updating with cells linked by the frontiers of a Voronoi tessellation [5].

4 Phase transitions

Blok and Bergersen showed that the Game of Life was subject to a phase transition when it was updated asynchronously [11]. They used α -asynchronism and showed the existence of a transition from a "static" behaviour, where the system would settle on fixed points, to a "living" behaviour where the system evolves by forming labyrinth-like patterns. These authors identified that the change of behaviour was a second-order phase transition that belongs to the directed percolation universality class.

Fatès identified that directed percolation was also the "signature" displayed by seven of the minimal ECA rules [27]. A special rule, namely ECA 178, was shown to belong to the PC/DP2 universality class, a class very similar to DP but where the each state 0 and 1 play a symmetric role.

The phase transition occurring in the Game of life was also re-examined in detail, especially by studying how this phenomenon was affected by random perturbations of the topology of the CA [28, 29]. The main finding was that this phase transition was strongly dependent on the regularity of the grid and that it was progressively "washed out" as links between cells were progressively removed.

Another puzzling phenomenon was remarked [33]: two systems, started from different initial conditions, but evolving with the same updating sequence, could rapidly "coalesce" into the same state. This is not particularly surprising per se except in the case where this coalescence phenomenon occurs even before the system stabilises on a fixed point. Rouquier and Morvan studied systematically the coalescence phenomenon for the 256 ECA [76]. Their study revealed that it was possible to observe that some ECA would always coalesce, while other would never coalesce, and that there existed some rules which displayed a phase transition between a coalescing and non-coalescing behaviour, depending on the synchrony rate.

5 Analytical results

Compared to the experimental work devoted to asynchronous CA, analytical results are relatively rare, but they are gaining momentum as more probabilistic techniques are being developed.

One of the first analytical results of a systematic classification were given by analysing the ECA with two quiescent states under fully asynchronous dynamics [34], that is when only one cell is updated randomly at each time step. These results were later extended by Regnault et al. tackling the case of α -asynchronous updating [35] and by Chassaing and Gerin, who examined what was continuous limit of the processes when the grid was made infinite [17].

Fatès and Gerin also examined how to classify the two-dimensional totalistic CA with fully asynchronous updating [32]. They proposed a partial classification of the 64 rules and an analysis of the convergence of some well-known rules. Among the interesting phenomena remarked, they exhibited a list of rules, which showed an "erratic" behaviour: the question was to determine if these rules were exhibiting a non-converging behaviour or a "metastable" behaviour, that is, if a (long) random sequence of updates could drive the system to a stable state. By using techniques from automatic planning, Hoffmann et al. could solve this problem for one rule and showed that it converged to a fixed point in (at most) exponential time [41]. (See also Ref. [31]).

Links between the notions of asynchrony and reversibility were examined by Das et al. [80, 21], first by considering the case where the updating sequence (or "update pattern") could be set freely. This work was recently extended to the case of fully asynchronous updating and a classification of the ECA rules was proposed: the recurrent rules, the irreversible, and strongly irreversible [83]. Wacker and Worsch also examined the question of reversibility of ACA [100], but took a different point of view. In their work, a rule is said to be reversible if there is another rule whose state-transition graph is the "inverse" of the original. Interestingly, the results presented on ECA are not far from those found in Ref. [83]. This question remains currently widely open.

Concerning the analysis of phase transition phenomena, Regnault carried out a pioneering work by analysing in detail how the asynchronous minority rule displayed various types of behaviour, depending on whether the von Neumann and Moore neighbourhood was used [74] or even when more general graphs were considered [77]. Two different complementary views exist on phase transitions: the most common way of describing a phase transition is to establish that for an *infinite* system, a qualitative difference of behaviour occurs for an infinitesimal variation of the control parameter. This second approach was adopted by Regnault who could (partially) prove that for *finite systems*, this phase transition corresponds to a variation of the convergence time from a linear to a polynomial function of the system's size [73].

Fukś and Skelton analysed three simple α -asynchronous rules [37]. They considered infinite systems with an initial Bernoulli measure as an initial condition and determined how the asymptotic density varies as a function of the initial density (that is, the parameter of the Bernoulli measure). Such results are generally rather difficult to obtain for the deterministic ECA.

6 Definitions of ACA and their mathematical properties

Gács was one of the first authors to investigate the question of whether the evolution of an asynchronous system could be independent of the order of updating [38]. He showed that although this property was undecidable, there existed a sufficient condition to verify this independence.

This question was re-examined by Mortveit, Macauley et al., who studied in which cases repetitions of sequential updates on Elementary Cellular Automata could produce a set of periodic points that would be independent of the updating order [54, 55, 53]. They obtained a list of 104 ECA which display such an independence and, interestingly, proposed a new representation of ECA that differs from the classical Wolfram code and that could prove useful for future analysis of asynchronous systems. (Another alternative notation is presented in Ref. [34]).

The possibility to simulate asynchronous rules by a universal asynchronous simulator was examined by Worsch [101], who proved that there was an α -synchronous host that could simulate any α -asynchronous guest that would be updated with the same synchrony rate. This result can be even generalised to other types of asynchronism such as fully asynchronous updating or to an even wider variety of updating called "purely asynchronous updating".

Manzoni examined how the dynamical properties of CA, such as injectivity, surjectivity, permutivity, etc., could be re-defined and studied in the asynchronous updating context [57]. An extended definition of asynchronous CA based on the use of probability measures was proposed by Formenti et al. [24]. Dennunzio et al. have proposed an original way to simulate a universal Turing machine by the means of a fully asynchronous CA [23]. They introduce the notion of "scattered strict simulation" in which they tolerate that only a subset of cells is used to perform the simulation. They find that asynchrony induces a quadratic slowdown compared to the speed of the simulated Turing machine.

Regarding the various possibilities to define asynchronous updating schemes, Bandini et al. presented a formal description and analysis of various schemes and tested their effects on one-dimensional binary rules where the local function depends only on two neighbours (also called "radius-1/2" rules) [6].

Finally, we want to mention the extension of asynchronism proposed by Bouré et al.: while most approaches of asynchronism studied so far are based on the dichotomy updated / not updated, this approach named β - and γ synchronism, models imperfect communication of states between neighbours [13]. The main finding of the authors is that if many previously observed phenomena, such as the existence of phase transitions that depend on the synchrony rate, are reproduced, some unexpected phenomena also arise such as the conservation of additional quantities (ECA 50) and the unexpected disappearance of some phase transitions. This underlines the necessity to continue to propose various perturbations of the "classical" CA in order to examine how their properties are dependent on various hypotheses such as a perfect updating.

7 Computing with ACA

Tomassini and Venzi [95], Capcarrere [15] and Nehaniv [62] have studied how interesting asynchronous cellular automata may be used to solve problems such as the density classification or the global synchronisation. Readers interested in this issue are referred to a study by Vanneschi and Mauri, in which an enlightening discussion on these various contributions is found and where the authors present findings of robust and generic rules [97].

Suzudo examined the use of genetic algorithms to find mass-conservative ACA that would generate non-trivial patterns [90, 91]. He classified these patterns into three categories: checkerboards, stripes and sand-like. Although in this work asynchronism is mainly used to ensure mass conservation, it appears also as a useful means of generating regular patterns out of randomness, a task that is known to be very difficult in the synchronous setting (see e.g. [30]).

Another major field of research on asynchronous CA was developed by Peper, Lee and their collaborators. In their constructions, asynchronous computations are realised with particles that follow Brownian movements and which interact through special "gates" [3, 2, 49, 68]. These constructions result in delayinsensitive circuits that are Turing universal (see e.g. [47, 51] and references therein). Recently, Schneider and Worsch presented a 3-state CA that uses Moore neighbourhood which can simulate any delay-insensitive circuit [81]. It is also worth mentioning that these techniques also allowed the design of asynchronous models of self-reproduction [48].

In an original line of thought, Vielhaber has designed a formal framework in which computations are made by a proper use of the order of updating [98]. In particular, he showed that ECA 57 with periodic boundary conditions was a rule especially adapted for such a purpose.

8 Modelling with ACA

We are now close to end this guided tour and it is time to mention the work devoted to modelling physical or artificial systems with asynchronous cellular automata. While this part could be much more developed, we will keep it as short as possible and mention only a few entry points to the topic. The reason is that there is a huge number of works which employ asynchronous cellular automata, often without even mentioning the fact explicitly. We will thus try to concentrate only on some papers where the question of the updating is explicitly mentioned.

A good example is given by Huberman and Glance, who challenged the validity of the simulations of spatially-extended models of the Prisoner's dilemma on the basis that asynchronous and synchronous updating lead to drastically opposed conclusions [43]. This question was re-examined by Newth and Cornforth [64], and Grilo and Correia [40], who used α -asynchronous updating to explore a wide range of degrees of synchrony. Saif and Gade also investigated this issue and found that there was a first order transition between a regime with an all-defector state to a mixed state [79]. All these works share in common the conclusion that many of the previously observed equilibrium states are mere artifacts of a synchronous updating on a regular lattice.

Another interesting biological example is given by Messinger et al., who investigated the link between emergence of synchrony and the simultaneous opening and closing stomatal arrays in plants [58].

In physics, Radicchi et al. [71] studied how simulations of an Ising spin system would be dependent on the synchrony rate and also detected phase transitions. (Note that contrary to the previously seen cases [27, 74, 29], the update rule is here stochastic). Like Correia et al., the authors emphasise the fact that neither totally synchronous nor totally asynchronous updating is fully relevant for modelling natural systems.

Ruxton and Saravia have discussed the importance of the ordering in the context of ecological modelling, studying a stochastic model of colonisation of an environment by a species [78]. They argue in favour of adapting the updating scheme to the physical reality of the system that is modelled. The authors also emphasise the need to describe precisely the updating scheme that is used in order facilitate the reproducibility of the experiments. An idea that is also developed by Caron-Lormier et al. at the same time [16].

On the simulation side, Overeinder and Sloot were among the first to examine how to deal with the simulation on ACA on distributed systems [66]. Bandman and other authors studied how to simulate chemical systems with asynchronous CA [8, 84]. Hoseini et al. made an implementation of asynchronous CA with FPGAs [42]. They propose a particular design of the FPGA in order to construct a "conformal computer", that is, a computer made of physical cells "arrayed on large thin flexible substrates or sheets. Sheets may be cut, joined, bent, and stacked to conform to the physical and computational needs of an application" [42].

On the applications side, we mention the work of Bandini et al., who used asynchronous CA with memory for the design of an illumination facility [7] and the work or Minoofam et al., who applied asynchronous CA for producing calligraphic patterns in the Arabic language (Kufic style) [59].

9 Asynchronism in similar models

We end this guided tour on an opening on the issue of asynchrony in the models of computation that have a structure similar to cellular automata. In fact, this topic is again so wide that it will not be possible to fully cover it and we will be obliged to indicate only a few recent papers and entry points to the topic.

One first proposition to link the updating in multi-agent systems and cellular automata was made by Cornforth et al., but the models they studied are in fact standard asynchronous cellular automata [20]. The question of how to "translate" a multi-agent system with sequential updating into a synchronous CA was tackled by Spicher et al. [87]. In order to model the displacement of the agents, they proposed to operate with "transactional cellular automata" where the movements of particles occur with the help of synchronous communications between neighbouring cells. One positive effect of turning to the synchronous CA is to remove the spurious effects that could be linked to a particular updating order (the authors give the example of a model of Diffusion-Limited-Aggregation).

The link between large-scale multi-agent systems and asynchronous cellular automata was also examined by Tošić [96]. This author argues that the structure of cellular automata needs to be modified in several aspects, among which it should be made asynchronous, in order to serve as a basis for modelling large groups of interacting agents.

An alternative approach to model (discrete) multi-agent systems was proposed by Chevrier and Fatès, who studied the dynamics of a simple multiturmite systems, also known as multiple Langton's ants. Their formalism, inspired by cellular automata, captures the possibility to have *synchronous* interacting agents [18]. The difficulty relies in describing how to solving conflicts that occur when two or more agents simultaneously want to modify the environment. The solution relies on a framework invented by Ferber and Muller called influence-reaction [36]. Belgacem and Fatès later extended this work by considering a wider range of updating procedures and discovered some phenomena (e.g., gliders) that resisted variations in the updating choices [9].

Bouré et al. designed a first version of an asynchronous Lattice-Gas Cellular Automaton (LGCA) [12]. Their proposition can be seen as a bridge between cell-based updating and agent-based updating. In this model, movements of particles are defined explicitly, like in multi-agents, but the updating is made cell by cell, like in classical cellular automata. Various responses to asynchrony are observed depending on the patterns on which the system stabilises: stripes or clusters are robust while checkerboards, a somewhat paradoxical pattern, are shown not be robust. This construction needs however to be completed as there is to date no agreement on what an asynchronous LGCA should be, in particular on how to respect the locality of the model in the asynchronous setting.

The effect of asynchronous updating in genetic regulatory networks has also been investigated by many authors. Aracena et al. introduce a labeled directed graph that allows to determine to which extent to deterministic update schedules are equivalent [4]. Sené et al. [22], and Noual [65] examine the robustness of the system under the variation of updating schemes and this perturbation is coupled with various topological modifications of the network such as adding or removing links in the graph or changing boundary conditions.

The question of the effect of a synchronous updating in neural networks has been discussed by Taouali et al. [93]. In particular, the authors introduce an interesting distinction between the use of (a)synchronous updating at the modelling level and at the implementation level. Stark discussed the computing abilities of a computing medium formed out of non-regularly placed cells which obey asynchronous updating ("amorphous computing") [89, 88].

To end this tour, we mention that various works studied the differences between synchronous and asynchronous updating in coupled map lattices [52, 75, 1]. Similarly, the effects of the updating in the Asymmetric Exclusion Process (ASEP) have been studied by Rajewsky et al. [72].

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