

Estimation of the caesium-137 source term from the Fukushima Daiichi nuclear power plant using a consistent joint assimilation of air concentration and deposition observations

Victor Winiarek, Marc Bocquet, Nora Duhanyan, Yelva Roustan, Olivier Saunier, Anne Mathieu

► To cite this version:

Victor Winiarek, Marc Bocquet, Nora Duhanyan, Yelva Roustan, Olivier Saunier, et al.. Estimation of the caesium-137 source term from the Fukushima Daiichi nuclear power plant using a consistent joint assimilation of air concentration and deposition observations. Atmospheric environment, 2014, 82, pp.268-279. 10.1016/j.atmosenv.2013.10.017 . hal-00907484v1

HAL Id: hal-00907484 https://inria.hal.science/hal-00907484v1

Submitted on 21 Nov 2013 (v1), last revised 26 Nov 2013 (v2)

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Estimation of the caesium-137 source term from the Fukushima Daiichi nuclear power plant using a consistent joint assimilation of air concentration and deposition observations

Victor Winiarek^{a,b}, Marc Bocquet^{a,b}, Nora Duhanyan^a, Yelva Roustan^a, Olivier Saunier^c, Anne Mathieu^c

^aUniversité Paris-Est, CEREA, joint laboratory École des Ponts ParisTech and EDF R&D, Champs-sur-Marne, France ^bINRIA, Paris Rocquencourt research centre, France ^cInstitut de Radioprotection et de Sûreté Nucléaire (IRSN), PRP-CRI, SESUC, BMTA, Fontenay-aux-Roses, 92262, France

Abstract

Inverse modelling techniques can be used to estimate the amount of radionuclides and the temporal profile of the source term released in the atmosphere during the accident of the Fukushima Daiichi nuclear power plant in March 2011. In Winiarek et al. (2012b), the lower bounds of the caesium-137 and iodine-131 source terms were estimated with such techniques, using activity concentration measurements. The importance of an objective assessment of prior errors (the observation errors and the background errors) was emphasised for a reliable inversion. In such critical context where the meteorological conditions can make the source term partly unobservable and where only a few observations are available, such prior estimation techniques are mandatory, the retrieved source term being very sensitive to this estimation.

We propose to extend the use of these techniques to the estimation of prior errors when assimilating observations from several data sets. The aim is to compute an estimate of the caesium-137 source term jointly using all available data about this radionuclide, such as activity concentrations in the air, but also daily fallout measurements and total cumulated fallout measurements. It is crucial to properly and simultaneously estimate the background errors and the prior errors relative to each data set. A proper estimation of prior errors is also a necessary condition to reliably estimate the a posteriori uncertainty of the estimated source term. Using such techniques, we retrieve a total released quantity of caesium-137 in the interval 11.6 - 19.3 PBq with an estimated standard deviation range of 15 - 20% depending on the method and the data sets. The "blind" time intervals of the source term have also been strongly mitigated compared to the first estimations with only activity concentration data.

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

Keywords: Data assimilation, Atmospheric dispersion, Fukushima accident, Source estimation

1 1. Introduction

2 1.1. The Fukushima Daiichi accident

On March 11, 2011, 05:46 UTC, a magnitude 9.0 3 (M_w) undersea megathrust earthquake occurred in the 4 Pacific Ocean and an extremely destructive tsunami 5 hit the Pacific coast of Japan approximately one hour 6 later. These events caused the automatic shut-down of 4 power plants in Japan. Diesel backup power sys-8 tems should have sustained the reactors cooling process. 9 In Fukushima Daiichi these backup devices were un-10 fortunately inoperative mainly because of the damages 11 caused by the tsunami. 12

Email address: bocquet@cerea.enpc.fr (Marc Bocquet)

In the hours that followed, the situation quickly became critical. Reactors 1, 2 and 3 experienced at least partial meltdown and hydrogen explosions. Additionally, fuel rods stored in pools in each reactor building began to overheat as water levels in the pools dropped.

All these events caused a massive discharge of radioactive materials in the atmosphere. The total quantities of released radionuclides as well as the time evolution of these releases have to be estimated in order to assess the sanitary and environmental impact of the accident.

The Fukushima Daiichi NPP has six nuclear reactors. At the time of the earthquake, reactor 4 had been defuelled and reactors 5 and 6 were in a cold shut-down for planned maintenance.

Preprint submitted to Atmospheric Environment

Mathieu et al. (2012) used core inventories and in-28 situ data (pressure and temperature measurements in the 29 reactors and γ -dose rates in the NPP) as well as first 30 available observations over Japan to build a source term, 31 which was used in Korsakissok et al. (2013) to assess 32 the high γ -dose rates zones at a local scale. Chino et al. 33 (2011) used a few activity concentration measurements 34 in the air to calibrate the magnitude of some identified 35 releases of ¹³¹I and ¹³⁷Cs. Katata et al. (2012) and Ter-36 ada et al. (2012) updated these estimations as new data 37 were available. Stohl et al. (2012) performed an inverse 38 modelling estimation using activity concentrations in 39 the air, as well as deposition data at a global scale to 40 estimate the release of ¹³³Xe and ¹³⁷Cs. However their 41 estimation was shown to be quite sensitive to the prior 42 information on the source used in the inversion. At the 43 same time, Winiarek et al. (2012b) proposed a method 44 to properly estimate the prior errors to perform inverse 45 modelling using activity concentrations in the air. They 46 applied it to estimate the source terms of ¹³¹I and ¹³⁷Cs. 47

1.2. Objectives and outline 48

In Winiarek et al. (2012b) we emphasised the impor-49 tance of a proper estimation of prior errors in the inverse 50 modelling algorithm. We proposed new methods to esti-51 mate two hyper-parameters: the variance of observation 52 errors and the variance of background errors, assuming 53 that all observation errors have the same variance. This 54 assumption is acceptable when using only one type of 101 55 data in the algorithm. On the other hand the general lack of data in accidental situations stresses the need for 57 algorithms that would use all available data in the same 58 inversion. The first objective of this paper is to extend 59 the methods proposed in Winiarek et al. (2012b) to the 60 simultaneous estimation of prior errors when using dif-61 ferent data sets in the inversion. The second objective 108 62 is to apply these methods to the challenging reconstruc-63 64 tion of the caesium-137 source term of the Fukushima Daiichi accident, as well as to obtain an objective un-65 certainty on this estimation. 66

In Section 2 we briefly recall the methodology for the 113 67 inverse modelling of accidental releases of pollutants. 114 68 The algorithm is sensitive to the statistics of the errors so 115 69 that we propose methods based on the maximum like- 116 70 lihood principle to estimate these errors. This general 117 71 maximum likelihood scheme takes into account the pos-72 itivity of the source and the presence of several types of 119 73 data. 74

In Section 3 we apply this new method to the re- 121 75 construction of the atmospheric release of ¹³⁷Cs from 76 the Fukushima Daiichi power plant. The inversions are 77 computed using three data sets: activity concentrations 78

in the air, daily measurements of deposited material and total cumulated deposition. The new source terms are discussed and compared to earlier estimations. The posterior uncertainties of the retrieved sources are also computed.

Results are summarised and conclusions are given in Section 4.

2. Methodology

70

80

81

82

83

84

85

86

87

88

89

an

91

92

93

94

95

96

97

98

qc

100

102

103

104

105

106

107

109

110

111

112

2.1. Inverse modelling of accidental releases and estimation of errors

To reconstruct the source term of an accidental release of a pollutant into the atmosphere, inverse modelling techniques are a powerful alternative to a trial and error approach with direct numerical models, which is still widely used in such situations. Inverse modelling techniques can objectively estimate the source term using the information content of an observation set and a numerical model that simulates the dispersion event. The atmospheric transport model (ATM), which is linear in our case for gaseous and particulate matter, provides the relationship between the source term and the observation set through the source-receptor equation

$$\boldsymbol{\mu} = \mathbf{H}\boldsymbol{\sigma} + \boldsymbol{\epsilon}\,,\tag{1}$$

where μ in \mathbb{R}^d is the measurement vector, σ in \mathbb{R}^N is the source vector, and **H** is the Jacobian matrix of the transport model which incorporates the observation operator as well. The vector $\boldsymbol{\epsilon}$ in \mathbb{R}^d , called the observation error in this article, represents the instrumental errors, the representativeness errors and a fraction of model error altogether.

In an accidental context the number of observations is very often limited. Specific meteorological conditions, like during the Fukushima accident, can also lead to a weak observability of a part of the source term. In these cases, the source-receptor relationship defined by Eq. (1) constitutes an ill-posed inverse problem (Winiarek et al., 2011).

One solution to deal with the lack of constraints is to implement parametric methods where the source to retrieve is reduced to a very limited number of parameters. The inverse problem is *de facto* regularised and it can be solved using different techniques, such as stochastic sampling techniques which allow to access the parameters posterior distributions (Delle Monache et al., 2008; Yee et al., 2008). Nevertheless if the true source does not match the parametric model, the inversion may fail or yield a meaningless solution.

120

Another option is the use of non-parametric methods 167 125 to retrieve a general source field. This field can be dis-126 cretised, for example to match the model discretisation, 169 127 but the number of variables remains large and may still 170 128 be larger than the number of observations in the data 171 129 set. The non-parametric approach is robust and flexi-130 ble since no strong a priori assumptions are made on 131 the source but it has its own constraints. If Gaussian 132 statistics are assumed for observation errors, the inver-133 sion relies on the minimisation of the cost function 134

$$\mathcal{L}(\boldsymbol{\sigma}) = \frac{1}{2} \left(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma} \right)^{\mathrm{T}} \mathbf{R}^{-1} \left(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma} \right) , \qquad (2)$$

168

172

173

175

176

177

178

179

180

182

183

184

186

187

189

190

191

196

197

199

200

208

209

where \mathbf{R} is the observation error covariance matrix: 135 $\mathbf{R} = \mathbf{E} \left[\boldsymbol{\epsilon} \boldsymbol{\epsilon}^{\mathrm{T}} \right]$, where $\boldsymbol{\epsilon}$ has been defined by Eq. (1). One 136 simple choice is to neglect correlations between obser-137 vation errors and to take R diagonal. If all the obser-138 vations are of the same type, one can even assume that 139 $\mathbf{R} = r^2 \mathbf{I}_d, r^2$ being the variance of the observation errors 140 $(I_d \text{ is the identity matrix in } \mathbb{R}^{d \times d})$. With a low num-141 ber of observations and/or a poor observability of sev-142 eral source term parts, the minimisation of Eq. (2) gives 143 infinitely many solutions. One needs to regularise the 144 inverse problem, usually by adding a Tikhonov term in 145 the cost function: 146

$$\mathcal{L}(\boldsymbol{\sigma}) = \frac{1}{2} \left(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma}\right)^{\mathrm{T}} \mathbf{R}^{-1} \left(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma}\right)$$

$$+ \frac{1}{2} \left(\boldsymbol{\sigma} - \boldsymbol{\sigma}_{b}\right)^{\mathrm{T}} \mathbf{B}^{-1} \left(\boldsymbol{\sigma} - \boldsymbol{\sigma}_{b}\right) .$$
(3) ¹⁹⁴
¹⁹⁵
¹⁹⁶

The solution of the inverse problem is now unique, 147 but two additional (vector and matrix) parameters have 148 been introduced: σ_b is the first guess for the source (or 198 149 background term) and **B** is the background error covari-150 ance matrix: $\mathbf{B} = \mathbf{E} \left[(\boldsymbol{\sigma} - \boldsymbol{\sigma}_b) (\boldsymbol{\sigma} - \boldsymbol{\sigma}_b)^{\mathrm{T}} \right].$ 15

In an accidental situation and particularly in the 201 152 Fukushima accident context, the choice of $\sigma_b = 0$ is 202 153 relevant because (i) many of the parameters are likely 203 154 to be zero (ii) it guarantees an independent estimate (iii) 155 it avoids the risk of an *inversion crime*, since most of 204 156 the first guess built by physics models are eventually ²⁰⁵ 157 calibrated using early observations. One can refer to 206 158 Bocquet (2005); Davoine and Bocquet (2007); Winiarek 207 159 et al. (2012b) for extended discussions on this choice. 160 Still in an accidental context, off-diagonal terms in 161 **B** are often negligible. For example the γ -dose mea-162 surements made in the Fukushima nuclear power plant 163 (NPP) indicate that the source term is probably com-164

posed of uncorrelated events¹. This is the reason why 210 165 we take $\mathbf{B} = m^2 \mathbf{I}_N$ in this article. 21 166 212

As it was shown in the Chernobyl case in Davoine and Bocquet (2007) or in the Fukushima case in Winiarek et al. (2012b) or Stohl et al. (2012), the retrieved source is very sensitive to the matrices \mathbf{R} and **B**, hence to the two hyper-parameters r and m. This is the reason why hyper-parameters estimation techniques are required. In Davoine and Bocquet (2007); Krysta et al. (2008); Saide et al. (2011), the L-curve technique of Hansen (1992) was successfully used to estimate the ratio r/m or both parameters. In the recent years several methodological developments in the data assimilation for weather forecast have focused on the estimation of the hyper-parameters of the prior errors. They are mostly based on either cross-validation technique or on the maximum likelihood principle (e.g. Mitchell and Houtekamer, 1999; Chapnik et al., 2004, 2006; Anderson, 2007; Li et al., 2009). These techniques have also been implemented in the context of atmospheric chemistry inverse modelling, using for example the χ^2 criterion (Ménard et al., 2000; Elbern et al., 2007; Davoine and Bocquet, 2007), the maximum likelihood principle (Michalak et al., 2004), or statistical diagnostics (Schwinger and Elbern, 2010).

In Winiarek et al. (2012b) we implemented several methods for the estimation of r and m. One of these methods relies on the L-curve coupled with a χ^2 criterion. Another one is based on the maximum likelihood principle and takes into account without approximation the positivity of the source. This study only exploited activity concentrations in the air. In the case where several types of data are used in the inversion algorithm, the number of hyper-parameters to estimate increases. One can for instance try to estimate one value of the variance of observation errors for each data set, that is an r^2 attached to each data set. This simultaneous estimation of prior errors is the methodological objective of this article.

2.2. Prior errors statistics and cost function minimisation

The observation errors defined by Eq. (1) are assumed normally distributed, with a probability density function (pdf):

$$p_e(\boldsymbol{\epsilon}) = \frac{e^{-\frac{1}{2}\boldsymbol{\epsilon}^{\mathbf{I}}\mathbf{R}^{-1}\boldsymbol{\epsilon}}}{\sqrt{(2\pi)^d|\mathbf{R}|}},\qquad(4)$$

where $|\mathbf{R}|$ is the determinant of the observation error covariance matrix R. R is assumed diagonal (it is an approximation as model errors may introduce some correlations). Besides, it is assumed that the errors made on observations of the same type have the same variance, so that if \mathbf{R}_i represents the sub-block of \mathbf{R} relative to the

¹http://www.tepco.co.jp/en/nu/monitoring/index-e. html

data set *i*, one has $\mathbf{R}_i = r_i^2 \mathbf{I}_{d_i}$, where d_i is the number of 250 observations in data set $i (\sum_{i=1}^{i=N_d} d_i = d, N_d$ is the number 251 of different data sets) and r_i^2 is the corresponding error 252 215 216 217 variance. Note that due to the limited number of obser- 253 218 vations and in order to avoid a too severely undercon-254 219 strained problem, it is essential to keep the number of 220 hyper-parameters that define **R** as small as possible (N_d 221 hyper-parameters in our case: $(r_i)_{1 \le i \le N_d}$). For instance, 222 256 an approach such as the one put forward by Desroziers 223 et al. (2005) or Schwinger and Elbern (2010) is likely to 224 be unaffordable in this accidental context. 225

259 As far as background errors are concerned, we could 22 260 also use Gaussian statistics. The advantages of this 227 choice would be analytical solutions for both the source 228 term estimation and the related uncertainty based on the 229 Best Linear Unbiased Estimator (BLUE) theory. How-230 ever, such a Gaussian assumption could lead to negative 231 values in the retrieved source term, because of the lack 232 of sufficient observations to constrain the source term. 233 267 To avoid non-physical results, truncated normal statis-234 268 tics for background errors are considered, enforcing the 235 positivity of the retrieved source term. It is known 236 270 to provide valuable information to the data assimila-237 271 tion system (Bocquet et al., 2010) and was successfully 238 used in the context of the Fukushima Daiichi accident 239 (Winiarek et al., 2012b). The corresponding pdf of this 240 273 normalised truncated normal distribution reads in the 241 27/ general case 242 275

(if
$$\boldsymbol{\sigma} \ge \mathbf{0}$$
 $p(\boldsymbol{\sigma}) = \left(\int_{s \ge \mathbf{0}} e^{-\frac{1}{2}(s-\sigma_b)^{\mathrm{T}} \mathbf{B}^{-1}(s-\sigma_b)} \mathrm{d}s \right)^{-1} \frac{276}{277} \times e^{-\frac{1}{2}(\sigma-\sigma_b)^{\mathrm{T}} \mathbf{B}^{-1}(\sigma-\sigma_b)}$
(otherwise $p(\boldsymbol{\sigma}) = 0.$ (5)

The normalisation factor can be simplified in our case 243 where **B** is diagonal and $\sigma_b = 0$ to yield the following 244 semi-Gaussian pdf: 245

if
$$\sigma \ge \mathbf{0}$$
 $p(\sigma) = \frac{e^{-\frac{1}{2}\sigma^{T}\mathbf{B}^{-1}\sigma}}{\sqrt{(\pi/2)^{N}|\mathbf{B}|}}$ (6)
otherwise $p(\sigma) = 0$, 283

285 where $|\mathbf{B}|$ is the determinant of the background error covariance matrix **B**. 247

Bayes' rule helps to formulate the inference, after the 248 acquisition of the measurement vector μ : 249

$$p(\boldsymbol{\sigma}|\boldsymbol{\mu}) = \frac{p(\boldsymbol{\mu}|\boldsymbol{\sigma})p(\boldsymbol{\sigma})}{p(\boldsymbol{\mu})} = \frac{p_e(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma})p(\boldsymbol{\sigma})}{p(\boldsymbol{\mu})}$$
$$\propto \exp\left\{-\frac{1}{2}(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma})^{\mathrm{T}}\mathbf{R}^{-1}(\boldsymbol{\mu} - \mathbf{H}\boldsymbol{\sigma}) - \frac{1}{2}\boldsymbol{\sigma}^{\mathrm{T}}\mathbf{B}^{-1}\boldsymbol{\sigma}\right\} \mathbb{I}_{\boldsymbol{\sigma} \ge \mathbf{0}},$$
(7)

where $\mathbb{I}_{\sigma \geq 0}$ is equal to 1 when $\sigma_i \geq 0$, for every $i \leq N$. Otherwise its value is 0.

From this inference, the source term is estimated using the maximum a posteriori estimator (MAP), denoted σ_a :

$$\sigma_a = \operatorname*{argmax}_{\sigma} p(\sigma | \mu) \,. \tag{8}$$

Maximising $p(\sigma|\mu)$ is equivalent to maximising $\ln p(\sigma | \mu)$, which is equivalent to maximising the term in the exponential under the constraint of positivity, which is ultimately equivalent to minimising cost function Eq. (3) under the constraint of positivity. Since there is no analytical solution to this problem, the positivity of σ should be enforced during the numerical minimisation which is performed with a bounded quasi-Newton algorithm (Byrd et al., 1995).

In practice, because of the low number of observations, the estimated source term is very sensitive to the matrices **R** and **B**, i.e. to the hyper-parameters $(r_i)_{1 \le i \le N_d}$ and *m*. This is the reason why we have to estimate these parameters rigorously. We propose to extend the methods developed in Winiarek et al. (2012b) to the use of several different data sets by simultaneously estimating the respective hyper-parameters.

2.3. Estimation of hyper-parameters

2.3.1. Unapproximated maximum likelihood values screening

The estimation of the prior errors' magnitude proposed in this section relies on the maximum likelihood paradigm (Dee, 1995). As the prior probabilities depend on the hyper-parameters, the likelihood of the observation set, which can be written

$$p(\boldsymbol{\mu}|\boldsymbol{\theta}) = \int \mathrm{d}\boldsymbol{\sigma} p(\boldsymbol{\mu}|\boldsymbol{\sigma};\boldsymbol{\theta}) p(\boldsymbol{\sigma}|\boldsymbol{\theta}), \qquad (9)$$

function of the hyper-parameters vector а is $(r_1, ..., r_{N_d}, m)^{\mathrm{T}}$. θ The pdfs $p(\boldsymbol{\mu}|\boldsymbol{\sigma};\boldsymbol{\theta})$ and = $p(\boldsymbol{\sigma}|\boldsymbol{\theta})$ are the prior pdfs defined by Eq. (4) and Eq. (6). If it exists, the vector $\boldsymbol{\theta}$ that maximises $p(\boldsymbol{\mu}|\boldsymbol{\theta})$ is the most likely vector of hyper-parameters consistent with the observation set μ .

The most direct way to estimate these optimal hyperparameters is to screen the likelihood function for a range of values of θ . With our hypothesis on the errors statistics, the covariance matrices and the first guess, the likelihood can be written:

$$p(\boldsymbol{\mu}|\boldsymbol{\theta}) = \frac{e^{-\frac{1}{2}\boldsymbol{\mu}^{\mathrm{T}}(\mathbf{R}+\mathbf{HBH}^{\mathrm{T}})^{-1}\boldsymbol{\mu}}}{\sqrt{(2\pi)^{d}|\mathbf{HBH}^{\mathrm{T}}+\mathbf{R}|}}$$
$$\times \int_{\boldsymbol{\sigma}\geq 0} \frac{e^{-\frac{1}{2}(\boldsymbol{\sigma}-\boldsymbol{\sigma}_{\text{BLUE}})^{\mathrm{T}}\mathbf{P}_{\text{BLUE}}^{-1}(\boldsymbol{\sigma}-\boldsymbol{\sigma}_{\text{BLUE}})}}{\sqrt{(\pi/2)^{N}|\mathbf{P}_{\text{BLUE}}|}} \mathrm{d}\boldsymbol{\sigma}, \quad (10)$$

263

272

280

288

289

where $\sigma_{\scriptscriptstyle \mathrm{BLUE}}$ is the BLUE estimator, which in our case $_{\scriptscriptstyle 331}$ 291 reads: 292 332 . _1

$$\boldsymbol{\sigma}_{\text{\tiny BLUE}} = \mathbf{B}\mathbf{H}^{\mathrm{T}} \left(\mathbf{R} + \mathbf{H}\mathbf{B}\mathbf{H}^{\mathrm{T}} \right)^{-1} \boldsymbol{\mu}, \qquad (11)$$

and \mathbf{P}_{BLUE} is the corresponding analysis error covariance 293 matrix: 294

$$\mathbf{P}_{\text{BLUE}} = \mathbf{B} - \mathbf{B}\mathbf{H}^{\mathrm{T}} \left(\mathbf{R} + \mathbf{H}\mathbf{B}\mathbf{H}^{\mathrm{T}} \right)^{-1} \mathbf{H}\mathbf{B} \,.$$
(12)

The integral term in Eq. (10) has no analytical solu- ³³⁴ 295 tion, but can be numerically computed using a stochas-296 tic method, such as the GHK simulator from Hajivassil-297 iou et al. (1996). Nevertheless, if the dimension of θ is 298 high, the size of the space to screen can lead to a costly 299 computation. 300

For details about the calculation of the likelihood ex-336 301 pression, and in particular the general case expression, 337 302 and for details about the use of the GHK simulator to 338 303 estimate the integral of a truncated normal distribution, 339 304 one can refer to Winiarek et al. (2012b) and Winiarek 305 et al. (2012a). 306

340 As a statistical consistent method, we considered it as 307 our reference method, denoted ML in this study. Faster 308 34 but approximate alternatives can nonetheless be con-309 sidered and tested against this statistically consistent 310 342 method. 311 343

2.3.2. Iterative scheme à-la-Desroziers 312

345 As an alternative to the costly computation of the 313 likelihood, the use of an iterative scheme which would 314 quickly converge to the maximum likelihood is relevant. 315 347 In Winiarek et al. (2012b) we used such an iterative 316 348 algorithm for the estimation of two hyper-parameters. 317 349 This iterative algorithm was shown to converge to a 318 fixed-point that corresponds, in the context of Gaussian 319 250 statistics, to the pair of hyper-parameters of maximum 351 320 likelihood. This algorithm is only an approximation in 352 321 the context of semi-Gaussian statistics but it yielded ac-322 353 ceptable, through slightly different, results. Building on 323 354 Desroziers and Ivanov (2001) we propose an extension 324 355 of this online tuning scheme to the simultaneous esti-325 356 mation of several prior errors variances. The formulae 326 257 read: 327 358

$$m^{2} = \frac{2 J_{b}(\sigma_{a})}{N - \operatorname{tr}\left(\mathbf{P} - \mathbf{B}^{-1}\right)}, \qquad (13)_{360}^{359}$$

$$r_i^2 = \frac{2 J_{oi}(\boldsymbol{\sigma}_a)}{d_i - \operatorname{tr}\left(\mathbf{H}_i \mathbf{P}_{\text{mut}} \mathbf{H}_i^{\mathrm{T}} \mathbf{R}_i^{-1}\right)}, \qquad (14)$$

where \mathbf{H}_i and \mathbf{R}_i are the sub-blocks of respectively the 328 Jacobian matrix H and the observation error covariance 329 matrix \mathbf{R} related to data set *i*, whose observation vector 330

is noted μ_i . \mathbf{P}_{BLUE} is defined by Eq. (12). J_b and J_{oi} are defined by:

$$J_b(\boldsymbol{\sigma}) = \frac{1}{2} \boldsymbol{\sigma}^{\mathrm{T}} \boldsymbol{\sigma} \,, \tag{15}$$

$$J_{oi}(\boldsymbol{\sigma}) = \frac{1}{2} \left(\boldsymbol{\mu}_i - \mathbf{H}_i \boldsymbol{\sigma} \right)^{\mathrm{T}} \left(\boldsymbol{\mu}_i - \mathbf{H}_i \boldsymbol{\sigma} \right) \,. \tag{16}$$

The source vector σ_a is obtained from the minimisation of the cost function:

$$\mathcal{L}(\boldsymbol{\sigma}) = \frac{J_b(\boldsymbol{\sigma})}{m^2} + \sum_{i=1}^{N_d} \frac{J_{oi}(\boldsymbol{\sigma})}{r_i^2}$$
(17)

under the constraint $\sigma \geq 0$. These equations can be used in an iterative scheme which we shall call Desroziers' scheme later on. This algorithm quickly converges (3-4 iterations here) to a fixed-point giving estimated hyperparameters and the related source term.

3. Applications to the Fukushima accident

3.1. Observations

333

335

344

In order to illustrate the proposed methods, observations from three different data sets will be considered:

- The activity concentration in the air over Japan as described and referred to in Winiarek et al. (2012b). This data set contains 104 observations.
- Starting from 18 March 2011, daily measurements of deposited ¹³⁷Cs in 22 prefectures, which represents a total of 198 observations².
- Measurements of total deposited ¹³⁷Cs in an area near the NPP (approximately 100 km around). Among the 2180 deposition measurements provided by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) during May and June 2011^3 , 16 were filtered out because they are impacted by near-field effects that are not represented by larger scale ATMs, so that 2164 observations, that are distant enough from the NPP, were considered. As shown in Fig. 5(a), they are densely distributed in space, but on the downside there is no scale of time in these observations.

²http://www.mext.go.jp/english/incident/1305529. htm

³http://www.mext.go.jp/b_menu/shingi/chousa/ gijyutu/017/shiryo/__icsFiles/afieldfile/2011/09/ 02/1310688_1.pdf

The distribution of the observation sites led to use a 410 362 mesoscale domain approximately covering Japan. Be- 411 363 cause of the spatial extension and density of the sites, 364 and because of the frequency of the observations, the 365 ATM needs a rather limited simulation domain with 366

high resolution in space and in time. 367

3.2. Modelling the atmospheric dispersion 368

3.2.1. Meteorological fields 360

ECMWF meteorological fields with a spatial resolu-370 tion of $0.25^{\circ} \times 0.25^{\circ}$ and a temporal resolution of 3 hours 371 are too coarse to be used for our need. That is the rea-372 son why we computed mesoscale meteorological fields 373 with the Weather Research and Forecasting (WRF) nu-374 merical model (Skamarock et al., 2008). The main ob-375 jective is to obtain meteorological fields with a spatial 376 resolution of approximately 5 km and a temporal reso-377 42 lution of 1 h. The computed meteorological fields are 378 inputs for the atmospheric transport model. Physical 379 parametrisations as well as the design of simulation do-380 mains are summarised in Tab. 1 and the simulation do-381 mains are displayed in Fig. 1. One of the key feature 382 is the use of several thousands of meteorological obser-383 vations to constrain the meteorological fields through 384 nudging techniques (Stauffer and Seaman, 1994). 385

As shown in Fig. 2, WRF simulations show a good 386 ability to model the occurrence of rain episodes but have 387 the general tendency to overestimate precipitation rates 388 (Katata et al. (2012) observed the same behaviour using 389 MM5). This bias is a severe drawback when looking at 390 deposition processes as this study aims to do. To avoid 391 overestimating wet deposition, we used ground obser-392 vations of rain rates in Fukushima and Ibaraki prefec-393 tures to compute a global debiasing coefficient, that we 394 found equal to 2.4, by which were divided all precipita-395 tion rates computed by the WRF model. We have also 396 tested local corrections of the precipitation fields using 397 data assimilation techniques but we did not find them to 39 be as robust and reliable as a simpler global debiasing 399 correction. 400

3.2.2. Atmospheric transport model 401

The simulations of the dispersion of radionuclides 402 from the Fukushima Daiichi nuclear power plant have 403 been performed with the chemistry-transport model Po-404 LAIR3D, the Eulerian model of the POLYPHEMUS platform. 405 406 It has been validated for the simulation of radionuclides 447 transport on the European Tracer Experiment, on the Al-407 geciras incident and on the Chernobyl accident (Quélo 449 408 et al., 2007). 409

The model integrates the concentration field c of ¹³⁷Cs, following the transport equation

$$\frac{\partial c}{\partial t} + \operatorname{div}\left(\boldsymbol{u}c\right) = \operatorname{div}\left(\rho \operatorname{\mathbf{K}}\nabla\left(\frac{c}{\rho}\right)\right) - \Lambda^{\mathrm{s}} c - \Lambda^{\mathrm{d}} c + \sigma \quad (18)$$

where ρ is the air density, Λ^{s} is the scavenging rate, Λ^{d} represents the radioactive decay and σ is the point-wise source. K is the matrix of turbulent diffusion, diagonal in practice. The vertical component is given by K_7 , computed with Louis parametrisation (Louis, 1979). The horizontal component K_H is taken constant. The boundary condition on the ground is

$$K_z \nabla c \cdot \mathbf{n} = -v^{\text{dep}} c \tag{19}$$

where **n** is the upward oriented unitary vector, and v^{dep} is the dry deposition velocity of ¹³⁷Cs.

Two domains of simulation are considered. The finest domain of simulation is a mesoscale domain covering Japan, from 131.03°E to 144.53°E and from 30.72°N to 43.72°N with a spatial resolution of $0.05^{\circ} \times 0.05^{\circ}$. The number of grid points in this domain is 270×260 . Because of the small size of the domain there is a risk of re-circulation of the plume outside the domain so that the model could fail to account for radionuclides reentries. To avoid such situation and in order to compute the boundary conditions to this domain by a nesting technique, another more extended domain of simulation with a coarser resolution is used. It covers a region from 115.03°E to 165.03°E and from 25.02°N to 60.02° N with a resolution of $0.25^{\circ} \times 0.25^{\circ}$. This configuration is displayed in Fig. 1. For both domains the POLAIR3D model is configured with 15 vertical levels ranging from 0 to 8000 m.

Caesium-137 is modelled as monodispersed passive particulate matter with a radioactive decay of 11000 days. Dry deposition is modelled using a simple scheme with a constant deposition velocity: $v^{dep} = 0.15 \text{ cm.s}^{-1}$ (Bocquet, 2012) over land, and $v^{dep} = 0.01 \text{ cm.s}^{-1}$ over the ocean (see Estournel et al. (2012) and references within). As far as wet deposition is concerned, the parametrisation used in this study is a below-cloud scavenging scheme of the form:

$$\Lambda^{\rm s} = a \left(\frac{p}{p_0}\right)^b \tag{20}$$

where p stands for the precipitation rate and $p_0 =$ 1 mm.h^{-1} , a and b being two constants respectively equal to $8.4 \ 10^{-5} \ s^{-1}$ and 0.79 following Maryon et al. (1991).

448

412 413

414

415

416

417

418

419

422

423

426

427

428

429

430

433

434

435

436

441

442

443

444

445

Domain	1	2
Spatial resolution	18 km	6 km
Number of grid points	340×250	241×241
Number of vertical levels	27	27
Numerical time-step	60 s	20 s
Output time-step	3600 s	3600 s
Planetary boundary layer	Yonsei University	Yonsei University
Micro-physics	Kessler	WRF Single Moment 3
Cumulus physics	Grell-Devenyi	Grell-Devenyi
Longwave radiation	RRTM	RRTM
Shortwave radiation	Dudhia	Dudhia
Surface layer	MM5 similarity	MM5 similarity
Land surface	Noah LSM	Noah LSM
Nudging	Grid nudging	Grid nudging

Table 1: Configuration and physical parametrisations of the WRF model. A two-way nesting technique is used between domain 1 and domain 2.



Figure 1: Map of the simulation domains used in WRF (dashed-line domains) and in POLAIRD3D (full-line domains). Two-way nesting is used in the WRF simulations and one-way nesting in the POLAIRD3D simulation. A triangle marks the location of the Fukushima Daiichi nuclear power plant.



Figure 2: Comparison between WRF calculations (dashed lines) and observations (full lines) for cumulated precipitation (in mm) at some surface weather stations in Fukushima prefecture.

499

500

501

502

The advection is implemented thanks to a third-order 483 451 direct space-time scheme, with a Koren-Sweby flux lim- 484 452 iter function. Because of the plume sharp gradients, it 485 453 is important that such a limiter is used. The diffusion 486 454 scheme is integrated through an implicit second-order 487 455 Rosenbrock scheme, with a three-point spatial scheme, 488 456 and directional splitting. 457 489

This study attempts to reconstruct the source term 490 458 from March 11 to April 1 (which represents $N = 504_{491}$ 459 one-hour time-steps). However the simulations run over 492 460 a longer period (from March 11 to April 5) in order to 493 46 exploit the information content of later observations. A 462 total of 504 direct simulations are thus performed to fill 495 463 the Jacobian matrix H column by column, and no ad- 496 464 joint model is needed in this process following Abida 497 465 and Bocquet (2009); Winiarek et al. (2011). 466

3.3. Inverse modelling results only using activity con-467 centrations in the air 468

The proposed methods can of course be applied to in-469 verse modelling using only one data set, such as activity 503 470 concentration in the air data (the first data set described 504 471 in Section 3.1 and which contains 104 observations). In 505 472 this case, the formulae are rigorously equivalent to the 506 473 ones presented in Winiarek et al. (2012b). It is nonethe- 507 474 less interesting to perform such inversion. It allows to 508 475 check and confirm the consistency of the model, even 509 476 though the meteorological fields and the removal pro- 510 477 cesses parameterisations are different. 478

3.3.1. Estimation of parameters and total released ac-479 512 480 tivity

The estimated hyper-parameters and the estimated to- 514 481 tal released activities are reported in Tab. 2 together with 515 482

results found in Winiarek et al. (2012b) using the same data set. The estimated hyper-parameters as well as the total released activities in the case of the ML method are close and confirm the consistency of this approach.

As discussed in Winiarek et al. (2012b) Desroziers' scheme is based on Gaussian assumptions and thus has to be considered an approximation in the case of semi-Gaussian assumptions for background errors statistics. In the situation where only few data are available it could yield results different from the maximum likelihood estimation. Nevertheless the gap here is smaller than it was in Winiarek et al. (2012b) with the same data. It may be caused by the use of a mesoscale model with a better resolution in space and in time which increases the general consistency in the system. The clear decrease of r, that represents the magnitude of the observational error, including representativeness error and part of model error, was to be expected and may be due to a better resolved model hence reducing the impact of model error in the inversion.

Using the maximum likelihood estimation, the total released activity of 137 Cs is estimated to be 1.1 × 10^{16} Bq. This is consistent with other estimations: $1.2\times10^{16} Bq$ for Winiarek et al. (2012b) and Chino et al. (2011), 1.3×10^{16} Bq for Terada et al. (2012), 1.6×10^{16} Bq for Saunier et al. (2013), 2.1×10^{16} Bq for Mathieu et al. (2012) or 3.6×10^{16} Bq for Stohl et al. (2012).

3.3.2. Temporal profile and uncertainty reduction

Due to the meteorological conditions which have often transported the radionuclides towards the Pacific Ocean, and to the low number of activity concentration data, the observability of the plume is reduced. That is

511

parameter method		Regional scale model	Mesoscale model
		Winiarek et al. (2012b)	This study
$r(\mathrm{Bq}\mathrm{m}^{-3})$	Desroziers' scheme	5.4	2.1
	Maximum likelihood	3.3	1.9
$m(\mathrm{Bq}\mathrm{s}^{-1})$	Desroziers' scheme	5.3×10^{10}	8.9×10^{10}
	Maximum likelihood	2.0×10^{11}	1.6×10^{11}
Released activity (Bq)	Desroziers' scheme	3.3×10^{15}	7.2×10^{15}
	Maximum likelihood	1.2×10^{16}	1.1×10^{16}

Table 2: Estimation of parameters and corresponding reconstructed released activity for caesium-137 source reconstruction using only activity concentration_observations in the air.

⁵¹⁶ why inverse modelling methods are only able to recon- ⁵⁵⁵

struct the source term in some specific time intervals. 556
 Consequently the estimated total released activities have 557
 to be considered as lower bound estimates of the actual 558

⁵²⁰ releases.

560 The reconstructed source term and its uncertainty are 521 561 displayed in Fig. 3(a). The posterior uncertainty has 522 562 been computed from a Monte Carlo analysis, where the 523 observations and the background term are perturbed us-524 564 ing their prior errors definition and the hyper-parameters 525 estimates $(2 \times 10^4 \text{ draws and inversions are performed})$. 526 Then the standard deviation of the estimators ensemble 566 527 567 is used to estimate the posterior uncertainty of the re-528 568 constructed source term. The uncertainty on the total 529 569 released activity is around 65%. 530

570 The time intervals of observability are clearly visible 531 571 in the shape of the uncertainty. Three periods are par-532 ticularly well observed: the first one lies approximately 572 533 from 14 March to 15 March, the second one lies from 573 534 19 March to 22 March and the last one from 24 March 535 to 26 March. Once again the temporal profiles of the 536 575 source term and its uncertainty are very consistent with the inversion made in Winiarek et al. (2012b). 538 577

Compared to source term estimates constructed from 578 539 in-situ events monitoring and core inventories (Mathieu 579 540 et al., 2012), a few events are not retrieved: the first 580 541 hydrogen explosions in Unit 1 on 12 March, the vent- 581 542 ings of Unit 3 on 13 March and the events concerning 582 543 Unit 2 and 3 on 16 March and 18 March. On the other 583 544 hand, the multiple events of 14 March and 15 March are 584 545 present in the reconstructed source term, even though in 585 646 an incomplete way since the last release, probably be- 586 tween 7:00 UTC and 12:00 UTC on 15 March, is miss-548 ing. This release is partly accounting for the north-west 588 549 pattern on the deposition map, but no activity concen- 589 550 551 tration observation is available to help reconstruct this 590 event. The releases of 20 March and the ones from 21 552 March to 23 March are also retrieved. As far as the re-553 lease around 25 March is concerned, there seems to be 554

a slight offset of 12 hours in the reconstruction. The releases reconstructed on 19 March are not mentioned by these inventories, but seem compatible with the in-situ measurements of γ -dose rates from operator TEPCO⁴, for example on the northside of main office or near the west gate of the NPP. They are also retrieved by Stohl et al. (2012). They precede the attempts of emergency cooling with Tokyo Fire Department means. Finally, the releases around 30 March, not mentioned by the former inventories, are also retrieved by Terada et al. (2012).

The scatter plot of all observations is displayed in Fig. 4(a). The simulation using the source term reconstructed with activity concentration data only does not show any systematic bias when estimating the deposited activities. Again, this shows the consistency of the model and in particular of the removal processes (wet and dry deposition).

3.4. Results of the inverse modelling using the three raw data sets

The total deposited ¹³⁷Cs measurements offer no information about the time of deposition as they are measurements performed a posteriori, in May and June 2011. When only these measurements are used to attempt reconstructing the source term, the total released estimated activity seems consistent (between 1.1×10^{16} Bq and 1.2×10^{16} Bq). However, the temporal profile, displayed in Fig. 3(b), is highly doubtful. Only releases on 23 and on 25 March are clearly visible. Indeed, the system has too much freedom to fit the data. The only constraint is the background term in the cost function, which in the case where the first guess is taken null (as we do) only defines a scale of amplitude. Therefore, even if these observations are abundant with a good spatial distribution, we propose in the next sections to jointly use them with other measurements with a good temporal resolution, such as activity

⁴http://www.tepco.co.jp/en/nu/monitoring/index-e. html



Figure 3: Full line: Temporal profile of reconstructed caesium-137 source term using (a): activity concentration of 137 Cs measurements in the air only, (b): total cumulated deposited 137 Cs measurements only, (c): the activity concentration in the air, the daily fallout measurements and the total cumulated deposition raw measurements, (d): the activity concentration in the air, the daily fallout measurements and the super-observations computed from the total cumulated deposited 137 Cs. Dashed lines: posterior uncertainty of the source term computed using a Monte Carlo simulation.



Figure 4: Scatter plots of ¹³⁷Cs activity concentration in the air (green crosses in Bq m⁻³), daily measurements of deposited ¹³⁷Cs (red crosses in $Bq m^{-2}$) and total cumulated deposited ¹³⁷Cs (blue crosses in $Bq m^{-2}$). (a) inversion only using activity concentrations in the air. (b) inversion using the three raw data sets. (c) inversion using the three data sets including super-observations. The dashed lines represent a misfit of a factor 10 between observations and modelled values.

628

629

632

638

639

641

642

643

646

concentrations in the air and daily measurements of de- 621 59[.] posited material. Consequently we propose to use the 622 592 three data sets described in Section 3.1 in the same in- 623 593 version. In this aim the prior errors have to be estimated 624 594 simultaneously for the background and the three data 625 59 sets $(N_d = 3)$. 626 596

3.4.1. Estimation of parameters and total released ac-597 tivity 598

As expected, when the number of available obser-630 599 vations increases, the results yielded by Desroziers' 600 scheme tend to get closer to the maximum likelihood 631 60 estimation. 602 The estimated hyper-parameters as well as the esti- 633

603 mated total released activities are reported in Tab. 3. 634 604 Excepted for the daily measurements hyper-parameter, 635 605 the values of estimated hyper-parameters do not differ 636 606 much when using Desroziers' scheme or the maximum 607 637 likelihood method. 608

Using these data sets, the total released activity of 609 137 Cs is estimated to be between 1.2×10^{16} Bq and $_{_{640}}$ 610 1.3×10^{16} Bq. 61

3.4.2. Temporal profile and uncertainty reduction 612

The reconstructed source term and its uncertainty are 644 61 displayed in Fig. 3(c). 614

The observability of the accident is improved com-615 pared to the inversion using only activity concentrations 647 616 617 in the air. The time windows where the uncertainty is 648 reduced are much larger, specially before 14 March and 618 between 19 March and 26 March. The uncertainty on 650 619 the total released activity has been reduced from around 651 620

65% when using only activity concentration to around 25% in this case.

The events that were retrieved in the first inversion are still reconstructed with this data set. The time offset on the release of 25 March has disappeared. The system is now able to reconstruct the late release of 15 March, from 7:00 UTC to 9:00 UTC and hence to model the north-west pattern of deposited ¹³⁷Cs. The maps of observed and simulated total deposition are displayed in Fig. 5(a,b,c,d).

3.5. Results of the inverse modelling using superobservations computed from the three raw data sets

As mentioned above, measurements of the third data set are very densely distributed. This proximity certainly induces correlations in the observation errors (mainly through model errors) that are not taken into account in our system. One way to take into account these correlations would be to use a non-diagonal **R** matrix. This method would increase the computation cost as the inverse of **R** is needed for the minimisation of the cost function Eq. (3). Besides, this would introduce additional hyper-parameters in the correlation model which would have to be properly estimated as well. Such an estimation could be a difficult task in a situation with a low number of observations. Another route that can be chosen to deal with densely distributed data is the thinning of observations which consists in the optimal selection of observations. These techniques are already used in the assimilation of satellite data in the weather forecast community (Liu and Rabier, 2002). Yet another



Figure 5: Map of observed and simulated deposited ¹³⁷Cs. Upper left (a): measurements from MEXT. Upper right (b): simulation with source reconstructed using only ¹³⁷Cs activity concentrations in the air. Lower left (c): simulation with source reconstructed using ¹³⁷Cs activity concentrations in the air, daily measurements of deposited ¹³⁷Cs and measurements of total cumulated deposited ¹³⁷Cs. Lower right (d): simulation with source reconstructed using ¹³⁷Cs activity concentrations in the air, daily measurements of deposited ¹³⁷Cs. Lower right (d): simulation with source reconstructed using ¹³⁷Cs activity concentrations in the air, daily measurements of deposited ¹³⁷Cs. Lower right (d): simulation with source reconstructed using ¹³⁷Cs activity concentrations in the air, daily measurements of deposited ¹³⁷Cs. The displayed values are in kBq m⁻²

Table 3: Estimation of parameters and corresponding reconstructed released activity for caesium-137 source using activity conc	entration, fallou
daily measurements and total deposition data. r_1 , r_2 and r_3 represents respectively the errors variances of these three data sets.	

parameter	method	with deposition	with deposition
		raw data	super-observations
r_1 (Bq m ⁻³)	Desroziers' scheme	3.8	2.9
	Maximum likelihood	3.3	2.3
$r_2 (\text{Bq m}^{-2})$	Desroziers' scheme	580	540
	Maximum likelihood	210	240
$r_3 (\text{Bq m}^{-2})$	Desroziers' scheme	325000	240000
	Maximum likelihood	320000	230000
m (Bq s ⁻¹)	Desroziers' scheme	1.2×10^{11}	1.3×10^{11}
	Maximum likelihood	1.0×10^{11}	1.0×10^{11}
Released activity (Bq)	Desroziers' scheme	1.3×10^{16}	1.9×10^{16}
	Maximum likelihood	1.2×10^{16}	1.8×10^{16}

696

704

method would consist in computing super-observations 685

⁶⁵³ by averaging all the observations contained in a model ⁶⁵⁴ grid cell (the model resolution is $0.05^{\circ} \times 0.05^{\circ}$), in or-

- der to mitigate the spatial correlation in the errors. This 687 is the method that we chose. From the 2180 measure-
- ⁶⁵⁷ ments initially in the third data set, we computed 523 ⁶⁸⁹

⁶⁵⁸ super-observations from which we eliminated 4 super-

⁶⁵⁹ observations located too close to the NPP, hence leaving ⁶

⁶⁶⁰ 519 super-observations to be used as the new third data

 $_{661}$ set. Note that it is not necessary to estimate the reduced $_{693}$

variance of a super-observation since this is implicitly

accounted for in the related hyper-parameter estimation. 694

664 3.5.1. Estimation of parameters and total released ac-665 tivity

The estimated hyper-parameters as well as the estimated total released activities are reported in Tab. 3. As expected, the estimated standard deviation of the error in the cumulated fallout (r_3) is significantly decreased by about 28%.

Using these data sets, the total released activity of 702 137 Cs is estimated to be between 1.8×10^{16} Bq and 1.9×10^{16} Bq.

674 3.5.2. Temporal profile and uncertainty reduction

The reconstructed source term and its uncertainty retaining relation rot for the raw data sets inversion. rot for the raw data sets inversion. rot for the raw data sets inversion. rot for the rot properties of the raw data sets inversion. rot for the rot properties of the rot properti

All the previously mentioned events are now retrieved 710 with these data sets: 712

• Around 12 March: identified as the hydrogen ex- 713 plosion in Unit 1. 714

- On 13 March: identified as the ventings on Unit 3.
- On 14 and 15 March: multiple ventings and hydrogen explosions mainly concerning Unit 2 and Unit 3. The late release on 15 March is now retrieved (from 7:00 to 9:00 UTC) even if its magnitude might appear weak (see section 3.6.1 for a discussion on the magnitude of the retrieved peaks).
- On 16 March: unidentified events but which correspond to pressure drops in Unit 2 and Unit 3.
- On 18 March: unidentified events probably related to Unit 3.
- On 19 March: unidentified events which correspond to an increase in several in-situ γ-dose rate measurements. The attempts of emergency cooling with the Tokyo Fire Department means began just after these events.
- On 20 March: unidentified events concerning at least Unit 2 and Unit 3.
- From 21 March to 23 March: events corresponding to smokes emitted from Unit 2 and Unit 3.
- On 25 March: unidentified event possibly concerning Unit 2. The magnitude of this peak might appear over-estimated (see section 3.6.1 for a discussion on the magnitude of the retrieved peaks).
- On 30 March: unidentified event.

From the scatter plots of Fig. 4(b,c), it is clear that the simulated cumulated deposition data are comparable when using the source term built from raw data or from super-observations. This is also visible on the deposition maps in Fig. 5(c,d). On the other hand the system's

ability to simulate the two other data sets is slightly im-764 715 proved when using the source term retrieved from the 765 716 super-observations. The assumption that the observa-717 tion errors are uncorrelated led the system to give too 767 718 much weight to the third raw data set in the inverse 719 768 720 modelling algorithm. This over-confidence may be cor-769 rected when using super-observations so that the infor-770 72 mation content in the system is better balanced. 771 722

3.6. Discussion about the retrieved sources 723

These inversions offer an objective estimation using 724 data assimilation techniques of what can be extracted 725 from the data sets and the numerical model. The assim-726 ilated observations may or may not suffice to constrain 727 the source term parameters. We showed that the cumu-728 lated deposition data alone are not sufficient to offer a 729 satisfying chronology of the source term and that the 730 joint assimilation of the three data sets helped to bet-731 ter constrain the chronology. Yet, the magnitude of the 732 identified peaks remains questionable. The data may or 733 may not be able to constrain them well enough, although 734 the estimate of the total released activity seems robust. 735

3.6.1. On the magnitude of the peaks 736

It is generally thought that the main releases have oc-737 curred around March 15. These releases probably con- 790 738 tributed the most to the north-west pattern of the deposi-791 739 tion map. Our system, where the cumulated deposition 792 740 data do not contain any information in time, may not be 793 74 constrained enough by air concentration observations or 742 daily measurements of fallout (specially before March 743 18) to precisely balance the contributions of the releases 796 744 of March 14-15 (around 15% of the total retrieved ac-797 745 tivity), March 20 (around 13%) and March 25 (around 798 746 15%). 747

The peaks of March 20 and March 25 are not only reconstructed with the help of deposition measurements. 749 They are both additionally explained by measurements 750 of activity in the air from a particular monitoring sta-751 tion, located in Fukushima city, which measured an ac- 804 752 tivity concentration of about 32 Bq.m⁻³ around March 805 753 20 and about 14 Bq.m⁻³ around March 25. It is possible 806 754 that these measurements are caused by a re-suspension 807 755 of previously deposited caesium-137. But resuspension 808 756 is not implemented in our model, so that resuspension 757 events are likely to be accounted for by fictitious re-758 leases in the source term. We tried and performed a new 759 760 inversion using the same data as in Section 3.5 but with- 812 out the measurements of this station. Indeed, the results 813 761 from the super-observations showed an increase of the 814 762 released activity on March 14 and 15, from 2.6 to 3.0 815 763

PBq (from 13% to 16% of an unchanged total emitted activity of 19 PBq), and a decrease on March 20, from 2.6 to 2.0 PBq (from 13% to 11% of the total emitted activity). Yet, no consequences were observed on the magnitude of the peak on March 25.

We also performed inversions using a non-null first guess inferred from independent γ -dose measurements (Saunier et al., 2013), which indicates to the system that most of the releases occurred before March 18. As a result, the total estimated released activity increased by about 15%, but the peak on March 25 still remained almost at the same level.

As a more drastic test and to force the system to reconstruct higher releases before March 18, we reduced the inversion window to this period. As a consequence the releases of March 15 increased (from 0.6 PBq to 2.6 PBq using the three raw data sets, and from 0.9 PBq to 3.9 PBq using the three data sets with super-observations as the third data set), but the total estimated releases decreased (respectively from 12 PBq to 5 PBq, and from 18 PBq to 11 PBq). It is consistent with the inversion using only activity concentration in the air where approximatively 6 PBq were estimated to be released after this date. At the same time the reanalysis of deposition map has been degraded especially in the central area of the north-west pattern which is the most contaminated. This shows that: (i) considering only this shorter time window, the system can not properly reconstruct the deposition pattern shown in Fig. 5(a). (ii) Releases probably occurred after March 19 and may have contributed to the north-west pattern of the deposition map, but their magnitude is still difficult to estimate because of the lack of observations with a temporal information (such as activity concentration in the air) in this area. (iii) This may highlight the difficulty to model the removal processes and specially the wet deposition. It is also possible that the cumulated deposition map, whose measurements have been made several months after the accident, is not anymore faithful to the deposition events of the accident.

We also tested a different reconstruction resolution. Instead of reconstructing a source term with a one-hour time-step (N = 504), an inversion was carried out on a source term with a three-hour time-step (N = 168). It is generally admitted that the inversion is sensitive to the resolution of the control space (Bocquet et al., 2011) and that the system cannot generally provide reliable information at a too precise resolution (for instance the model resolution). Nevertheless, estimating the prior errors allows to compensate the impact of the control space resolution by tuning regularisation in the inversion, so that the dependence in the resolution should be

772

773

774

775

776

777

778

770

783

784

785

786

787

788

789

794

795

799

800

802

803

810



Figure 6: Full black line: Temporal profile of reconstructed caesium-137 source term with a reconstruction resolution of 3 h using the activity concentration in the air, the daily fallout measurements and the super-observations computed from the total cumulated deposited ¹³⁷Cs. Dashed line: posterior uncertainty of the source term computed using a Monte Carlo simulation. Thin blue line: Temporal profile of reconstructed caesium-137 source term with a reconstruction resolution of 1 h using the same data.

mitigated. The estimated source term, using the same 816 data sets as in Section 3.5, as well as its uncertainty 81 are displayed in Fig. 6. The total released activity is 818 now estimated to be 1.6×10^{16} Bq with an uncertainty 819 of 18%. The estimated released quantities were mainly 820 reduced on March 30 (from 2.1 PBq to 1.3 PBq), on 82 March 20 (from 2.6 PBq to 2.1 PBq) and on March 25 822 (from 2.9 PBq to 2.8 PBq), but remain at a high level. 823 871 At the same time, the estimated released quantities in-82 creased on March 14-15 from 2.6 PBg to 4.1 PBg. Many 825 of the low magnitude peaks with a high uncertainty have 873 826 been reduced or have disappeared. 827

3.6.2. On the difference between the sources recon-828 877 structed with raw data and super-observations 829

Comparing the source profile obtained from the 879 830 super-observations (Fig. 3(d)) with the source pro-831 880 file obtained from the inversion of the raw data sets 881 832 (Fig. 3(c)), 2 PBq of caesium-137 are found to increase 882 833 already existing peaks. 834

Moreover, when using super-observations instead of 884 raw data, new release episodes appear in the source re-836 constructed term. Compared to the raw data sets in-886 837 version, 4 PBq of caesium-137 are found in new time 838 887 839 slots. Yet the related uncertainty is still high and even 888 above the retrieved peaks. Nonetheless, the new re-840 leases episodes that appear in the inversion do not seem 890 841 to be artefacts of the inversion algorithm. They do cor-891 842

respond to observed events in the NPP and to events reconstructed by other studies (Stohl et al., 2012; Saunier et al., 2013).

Judging from the r_i , the errors of the superobservations as well as their use by the model is diagnosed as more reliable than the use of the raw data sets. By contrast, the Tikhonov regularising term is less constraining and new peaks can more easily form in the reconstructed source term.

On a physical level, the appearance of the new peaks results from the smoothing of the deposition observations that in turn leads to a smoothing in the retrieved source. This is probably why the only peak which is reduced when using super-observations is the highest one on March 25. This peak is mainly induced by the ¹³⁷Cs deposit observations of the north-west very thin pattern. Hence, a smoothing of this pattern can lead to a new balance of the peaks (March 15, March 20 or March 25).

4. Conclusion

857

858

859

860

86

863

864

867

872

874

875

876

878

883

In order to reconstruct source terms of accidental pollutant releases into the atmosphere, we have proposed an inverse modelling algorithm able to use all available data in the same inversion (concentrations in the air, measurements of fallout, integrated measurements, etc.). The algorithm relies on the relationship provided by the atmospheric transport model between the source vector and the observation set and on prior errors introduced in the system: the background errors and the observation errors. To properly balance the information content in the system a proper estimation of these prior errors is crucial.

In this aim, we proposed two methods relying on the maximum likelihood principle that we applied to the challenging reconstruction of the ¹³⁷Cs source term released during the Fukushima Daiichi nuclear power plant accident in March 2011. Three data sets were used in the inversion process: activity concentrations in the air, daily measurements of fallout and total cumulated deposition data. Consequently, the prior estimation concerned 4 hyper-parameters: the variance of the background errors and the variance of each observation set errors. Averaged observations (called in the article super-observations) have also been considered for the third data set in order to reduce correlation in errors that had been neglected in the algorithm. Posterior uncertainty related to the estimated releases have also been estimated through a Monte Carlo analysis. Such an estimation is only possible with properly estimated prior errors.

With these methods and without super-observations, 939 892 the total released activity is estimated to be between 940 893 941 $1.2 - 1.3 \times 10^{16}$ Bq with a related uncertainty around 894 942 25%. When using super-observations instead of raw 895 943 fallout data, the total released activity is estimated to be 896 944 between $1.8 - 1.9 \times 10^{16}$ Bq with a related uncertainty around 15%. From our estimations the main ¹³⁷Cs con-898 947 tamination over Japan results from releases on 14-15 899 948 March, 19-20 March, 25 March and 30 March. Nev-949 900 ertheless, the uncertainty of each retrieved peak still re-901 mains high. Consequently the exact magnitude of the 902 retrieved peaks has to be handled carefully. Some other 053 903 significant releases might also have occurred when the 904 wind was blowing directly towards the Pacific Ocean 956 and are thus not totally reconstructed by our method us-906 957 ing only data over Japan. 907

With the given data sets, the reconstruction of the 959 908 source term could be improved on the condition that 909 model error be better constrained. Two main influen-910 tial sources of error were identified in the course of this 963 911 964 study. Firstly, the reconstruction was found to be highly 912 965 sensitive to the precipitation fields of the meteorological 913 966 model. Even if the spatial distribution and chronology 914 967 of the precipitation events were matching independent 915 precipitation observations, we found it difficult to prop-916 erly estimate the magnitude of those events. Secondly, 917 971 with the given precipitation fields, the reconstruction re-918 972 mains sensitive to the physical process parameterisation 973 919 in the ATM. One promising route to better constrain 920 975 those processes is the inverse modelling of physical pa-921 976 rameters (Bocquet, 2012). 922

978 Finally it seems promising to develop methods able 923 979 to simultaneously reconstruct source terms of several 924 radionuclides using all available data including γ -dose 925 rates (Saunier et al., 2013). The number of observa-926 982 tions, and in particular observations with an information 984 of time, would then increase substantially. But the in-928 985 volved methods would certainly be more complex own-929 ing to correlations in observation errors and higher prior 987 930 988 uncertainties in the data assimilation system. 93

5. Acknowledgements 932

This study has been supported by the IMMANENT 933 project of Paris-Est University, and by the INSU/LEFE-934 ASSIM project ADOMOCA-2. 935

References 936

1002 Abida, R., Bocquet, M., 2009. Targeting of observations for accidental 937 1003 atmospheric release monitoring. Atmos. Env. 43, 6312-6327. 938

- Anderson, J. L., 2007. An adaptive covariance inflation error correction algorithm for ensemble filters. Tellus A 59, 210-224.
- Bocquet, M., 2005. Reconstruction of an atmospheric tracer source using the principle of maximum entropy. I: Theory. Q. J. R. Meteorolog. Soc. 131, 2191-2208.
- Bocquet, M., 2012. Parameter field estimation for atmospheric dispersion: Application to the Chernobyl accident using 4D-Var. Q. J. R. Meteorolog, Soc. 138, 664-681
- Bocquet, M., Pires, C. A., Wu, L., 2010. Beyond Gaussian statistical modeling in geophysical data assimilation. Mon. Wea. Rev. 138, 2997-3023.
- Bocquet, M., Wu, L., Chevallier, F., 2011. Bayesian design of control space for optimal assimilation of observations. I: Consistent multiscale formalism. Q. J. R. Meteorolog. Soc. 137, 1340-1356.
- Byrd, R. H., Lu, P., Nocedal, J., 1995. A limited memory algorithm for bound constrained optimization. SIAM Journal on Scientific and Statistical Computing 16, 1190-1208.
- Chapnik, B., Desroziers, G., Rabier, F., Talagrand, O., 2004. Properties and first application of an error-statistics tuning method in variational assimilation. Q. J. R. Meteorolog. Soc. 130, 2253-2275.
- Chapnik, B., Desroziers, G., Rabier, F., Talagrand, O., 2006. Diagnosis and tuning of observational error in a quasi-operational data assimilation setting. Q. J. R. Meteorolog. Soc. 132, 543-565.
- Chino, M., Nakayama, H., Nagai, H., Terada, H., Katata, G., Yamazawa, H., 2011. Preliminary estimation of release amounts of 1131 and Cs137 accidentally discharged from the Fukushima Daiichi nuclear power plant into the atmosphere. Journal of Nuclear Science and Technology 48, 1129-1134.
- Davoine, X., Bocquet, M., 2007. Inverse modelling-based reconstruction of the Chernobyl source term available for long-range transport. Atmos. Chem. Phys. 7, 1549-1564.
- Dee, D. P., 1995. On-line estimation of error covariance parameters for atmospheric data assimilation. Mon. Wea. Rev. 123, 1128-1145
- Delle Monache, L., Lundquist, J. K., Kosovic, B., Johannesson, G., Dyer, K. M., Aines, R. D., Chow, F. K., Belles, R. D., Hanley, W. G., Larsen, S. C., Loosmore, G. A., Nitao, J. J., Sugiyama, G. A., Vogt, P. J., 2008. Bayesian inference and Markov chain Monte Carlo sampling to reconstruct a contaminant source on a continental scale. Journal of Applied Meteorology and Climatology 47, 2600-2613.
- Desroziers, G., Berre, L., Chapnik, B., Poli, P., 2005. Diagnosis of observation, background and analysis error statistics in observation space. O. J. R. Meteorolog. Soc. 131, 3385-3396.
- Desroziers, G., Ivanov, S., 2001. Diagnosis and adaptive tuning of observation-error parameters in a variational assimilation. Q. J. R. Meteorolog. Soc. 127, 1433-1452.
- Elbern, H., Strunk, A., Schmidt, H., Talagrand, O., 2007. Emission rate and chemical state estimation by 4-dimensional variational inversion. Atmos. Chem. Phys. 7, 3749-3769.
- Estournel, C., Bosc, E., Bocquet, M., Ulses, C., Marsaleix, P., Winiarek, V., Osvath, I., Nguyen, C., Duhaut, T., Lyard, F., Michaud, H., Auclair, F., 2012. Assessment of the amount of cesium-137 released to the Pacific Ocean after the Fukushima accident and analysis of its dispersion in the Japanese coastal waters. J. Geophys. Res. 117, C11014.
- Hajivassiliou, V., McFadden, D., Ruud, P., 1996. Simulation of multivariate normal rectangle probabilities and their derivatives - Theoretical and computational results. Journal of Econometrics 72, 85-134.
- Hansen, P. C., 1992. Analysis of discrete ill-posed problems by means of the L-curve. SIAM Review 34, 561-580.
- Katata, G., Terada, H., Nagai, H., Chino, M., 2012. Numerical reconstruction of high dose rate zones due to the Fukushima Dai-ichi Nuclear Power Plant accident. J. Environ. Radioactivity 111, 2-12.

960

977

989

990

991

992

993

994

995

996

997

998

999

1000

- Korsakissok, I., Mathieu, A., Didier, D., 2013. Atmospheric disper- 1069
 sion and ground deposition induced by the Fukushima Nuclear 1070
 Power Plant accident: A local-scale simulation and sensitivity 1071
- 1007
 study. Atmos. Env. 70, 267–279.
 1072

 1008
 Krysta, M., Bocquet, M., Brandt, J., 2008. Probing ETEX-II data set 1073
 1072
- with inverse modelling. Atmos. Chem. Phys. 8, 3963–3971.
 Li, H., Kalnay, E., Miyoshi, T., 2009. Simultaneous estimation of 1075
- 1011covariance inflation and observation errors within an ensemble 10761012Kalman filter. Q. J. R. Meteorolog. Soc. 135, 523–533.1077
- Liu, Z.-Q., Rabier, F., 2002. The interaction between model resolution, observation resolution and observation density in data assim-
- ilation: A one-dimensional study. Q. J. R. Meteorolog. Soc. 128, 1080
 1016 1367–1386. 1081
- Louis, J., 1979. A parametric model of vertical eddy fluxes in the 1082 atmosphere. Boundary-Layer Meteor. 17, 197–202. 1083
- Maryon, R. H., Smith, F. B., Conway, B. J., Goddard, D. M., 1991. 1084
 The U.K. nuclear accident model. Progress in Nuclear Energy 26, 1085
 85–104.
- 1022 Mathieu, A., Korsakissok, I., Quélo, D., Groëll, J., Tombette, M., Di-
- dier, D., Quentric, E., Saunier, O., Benoit, J.-P., Isnard, O., 2012.
 Atmospheric dispersion and deposition of radionuclides from the
 Fukushima Daiichi nuclear power plant accident. Elements 8, 195–
 200.
- Ménard, R., Cohn, S. E., Chang, L.-P., Lyster, P. M., 2000. Assimilation of stratospheric chemical tracer observations using a Kalman filter. Part I: Formulation. Mon. Wea. Rev. 128, 2654–2671.
- Michalak, A. M., Bruhwiler, L., Tans, P. P., 2004. A geostatistical approach to surface flux estimation of atmospheric trace gases. J.
 Geophys. Res. 109, D14109.
- Mitchell, H. L., Houtekamer, P. L., 1999. An adaptive ensembleKalman filter. Mon. Wea. Rev. 128, 416–433.
- Quélo, D., Krysta, M., Bocquet, M., Isnard, O., Minier, Y., Sportisse,
 B., 2007. Validation of the Polyphemus platform on the ETEX,
 Chernobyl and Algeciras cases. Atmos. Env. 41, 5300–5315.
- Saide, P., Bocquet, M., Osses, A., Gallardo, L., 2011. Constraining
 surface emissions of air pollutants using inverse modeling: method
 intercomparison and a new two-step multiscale approach. Tellus B
 63, 360–370.
- Saunier, O., Mathieu, A., Didier, D., Tombette, M., Quélo, D.,
 Winiarek, V., Bocquet, M., 2013. An inverse modeling method to
 assess the source term of the Fukushima nuclear power plant accident using gamma dose rate observations. Atmos. Chem. Phys.
 Discuss. 13, 15567–15614.
- Schwinger, J., Elbern, H., 2010. Chemical state estimation for the middle atmosphere by four dimensional variational data assimilation: A posteriori validation of error statistics in observation space.
 J. Geophys. Res. 115, D18307.
- Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Barker, D. M.,
 Duda, M. G., Huang, S.-Y., Wang, W., Powers, J. G., 2008. A
 description of the advanced research WRF version 3. Tech. rep.,
 NCAR.
- Stauffer, D. R., Seaman, N. L., 1994. On multi-scale four dimensional data assimilation. J. Appl. Meteor. 33, 416–434.
- Stohl, A., Seibert, P., Wotawa, G., Arnold, D., Burkhart, J. F.,
 Eckhardt, S., Vargas, A., Yasunari, T. J., 2012. Xenon-133 and
 caesium-137 releases into the atmosphere from the Fukushima
 Dai-ichi nuclear power plant: determination of the source term,
 atmospheric dispersion, and deposition. Atmos. Chem. Phys. 12,
 2313–2343.
- Terada, H., Katata, G., Chino, M., Nagai, H., 2012. Atmospheric discharge and dispersion of radionuclides during the Fukushima Dai-
- ichi Nuclear Power Plant accident. Part ii: verification of the source
 term and analysis of regional-scale atmospheric dispersion. J. En viron. Radioactivity 112, 141–154.
- 1068 Winiarek, V., Bocquet, M., Saunier, O., Mathieu, A., 2012a. Cor-

rection to "Estimation of errors in the inverse modeling of accidental release of atmospheric pollutant: Application to the reconstruction of the cesium-137 and iodine-131 source terms from the Fukushima Daiichi power plant". J. Geophys. Res. 117, D18118.

- Winiarek, V., Bocquet, M., Saunier, O., Mathieu, A., 2012b. Estimation of errors in the inverse modeling of accidental release of atmospheric pollutant: Application to the reconstruction of the cesium-137 and iodine-131 source terms from the Fukushima Daiichi power plant. J. Geophys. Res. 117, D05122.
- Winiarek, V., Vira, J., Bocquet, M., Sofiev, M., Saunier, O., 2011. Towards the operational estimation of a radiological plume using data assimilation after a radiological accidental atmospheric release. Atmos. Env. 45, 2944–2955.
- Yee, E., Lien, F.-S., Keats, A., D'Amours, R., 2008. Bayesian inversion of concentration data: Source reconstruction in the adjoint representation of atmospheric diffusion. Journal of Wind Engineering and Industrial Aerodynamics 96, 1805–1816.