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# Bringing Coq Into the World of GCM Distributed Applications

Nuno Gaspar · Ludovic Henrio · Eric Madelaine

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**Abstract** Among all programming paradigms, component-based engineering stands as one of the most followed approaches for real world software development. Its emphasis on clean separation of concerns and reusability makes it appealing for both industrial and research purposes.

The Grid Component Model (GCM) endorses this approach in the context of distributed systems by providing all the means to define, compose and dynamically reconfigure component-based applications. While structural reconfiguration is one of the key features of GCM applications, this ability to evolve at runtime poses several challenges w.r.t reliability.

In this paper we present *Mefresa*, a framework for reasoning on the structure of GCM applications. This contribution comes in the form of a formal specification mechanized in the Coq Proof Assistant. Our aim is to demonstrate the benefits of interactive theorem proving for the reasoning on software architectures. We provide a configuration and reconfiguration language for the safe instantiation of distributed systems.

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## 1 Introduction

Component-based systems are notorious for their capacity to address the inherent challenges of today's software development. Their rationale promotes modular designs, and therefore eases the burden of development and maintenance of applications. Portability and re-usability of components are among the benefits of this paradigm.

This methodology of developing software allows for *on-the-fly* structural reconfigurations of the architecture of the application. The advantage of modifying the software architecture at runtime comes from the need to cope with the plethora of situations that may arise in a potentially massively distributed and heterogeneous system. Indeed, the ability to restructure is also a key aspect in the field of *autonomic* computing where software is expected to adapt itself. However, this capacity comes with a price: we no longer need only to care about functional concerns, but also about structural ones.

The widespread use of component models together with the interesting challenges posed by reconfigurable component-based applications make it an exciting research topic for the formal methods community. There are several component models proposed in literature [8,4], each with its own subtleties - some provide hierarchical structures, others flat; distribution need not to be a main concern; reconfiguration capabilities may not be supported, ... Within our research group, we focus on the Grid Component Model (GCM) [4] to address the intricacies of grid and cloud computing.

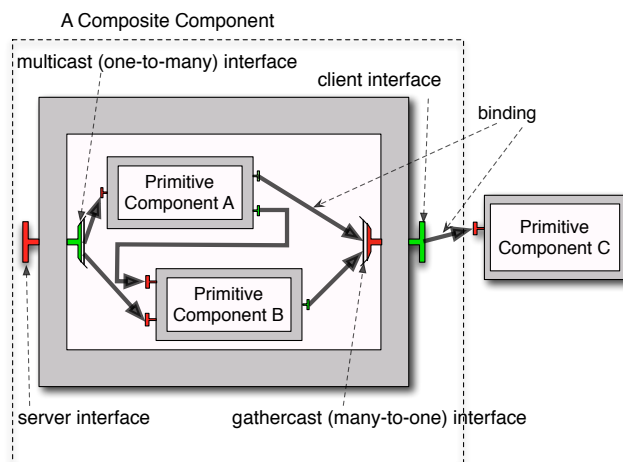
### 1.1 The GCM Component Model

Proposed by the CoreGrid European network of Excellence, the Grid Component Model (GCM) [4] is based on the Fractal Component Model [8], with extensions addressing the issues of grid computing: deployment, scalability and asynchronous communications. Essentially, it benefits from Fractal's hierarchical structure, introspection capabilities, extensibility, and the separation between interfaces and implementation.

Both synchronous and asynchronous communications are supported. These, can be *one-to-one*, but also of collective nature: *one-to-many*, *many-to-one*, and even *many-to-many*. Moreover, support for autonomic aspects are provided by means of non-functional interfaces.

An example of a GCM architecture is depicted by Fig. 1. Basically, the core elements of a GCM application are (1) *components*, which can be *composite* or *primitive* - depending on whether they have inner components or not -, (2) *interfaces*, and (3) *bindings*. As expected, interfaces act as access points, and bindings establish communications between components through them.

Naturally, one can define more complex architectures than the one illustrated by Fig. 1. With the increase of complexity in the system to be described,



**Fig. 1** A Simple GCM Architecture

it is important to have a precise way to describe such architectures. In the realm of component-based engineering, this is usually achieved by means of an *Architecture Description Language* (ADL). GCM follows this approach by supporting its own GCM ADL as an *xml* file, with the benefit of making it easily manipulable by external tools.

## 1.2 Context

Previous research efforts around the GCM Component Model range from its specification [4], its semantical foundations [1], and numerous case studies from the fields of autonomic computing, formal verification, ... (e.g. [5, 7, 2]).

GCM/ProActive<sup>1</sup> is the reference implementation of GCM. Typically, this library is used in the context of our research projects for the implementation of some distributed application. These applications can be designed and developed with our in-house verification platform VerCors [2].

VerCors is based on the Eclipse Platform, making it easy to integrate into an industrial development process. Essentially, it allows the specification of a GCM architecture by means of a graphical interface, from which we can extract some code skeleton and use our formalism *pNets* [1] to give it a behavioural model. Then, we proceed with the formal verification of the intended properties by means of state of the art model-checking techniques.

<sup>1</sup> More information concerning GCM/ProActive can be found at <http://proactive.inria.fr/>

### 1.3 Approach

As discussed above, a GCM ADL is a plain text file describing how a GCM application is structured. It represents the starting point of our software development process, and essentially the *interface* between software engineers and software verification practitioners. Of course, as mentioned in [14] composing an architecture in an arbitrary manner can lead to a "uncontrolled" architectural modification. Ensuring the consistency of the application's structure, both at deploy time and after performing a reconfiguration is thus of paramount importance. This is the issue addressed by this paper. In order to tackle this problem our approach lies in the use of The Coq Proof Assistant [20] to give a precise semantics to the structure of a GCM application. We start by encoding its core elements, *component*, *interface* and *binding*, as inductive definitions and build an *operation* language around it. This *operation* language allows us to build and reconfigure a distributed component architecture.

Moreover, the deployment and reconfiguration of architectures are usually attained by different means. For instance, in the case of Fractal, the latter is performed by directly making calls to Fractal's API, while the former is specified in the ADL. Our *operation* language however treats the construction and reconfiguration of architectures at the same level. It allows the definition of correct by construction GCM architectures, and the preservation of this structural correctness in the presence of reconfigurations. Essentially, it gives a rigorous meaning on the possible architectural shapes that a GCM application can attain, and sees the construction and reconfiguration of GCM architectures in the spirit of *reduction steps* of an operational semantics. To this *operation* language we attach proof rules, and prove preservation of structural *well-formedness* upon construction and reconfiguration of architectures.

### 1.4 Motivation

As discussed above, the GCM Component Model is an extension to Fractal. Both component models have their specification written in natural language, thus inherently ambiguous and open to interpretation. In fact, this shortcoming has already been addressed by the people behind Fractal with the goal to "*correct these deficiencies by developing a formal specification*" [17]. The same reasoning applies to our case.

Furthermore, the expressiveness found in interactive theorem provers allows us to reason about properties that cannot be expressed in a model-checker. Proof of general algorithms manipulating GCM applications could be envisaged. For instance, in [13] Henrio and Rivera define an algorithm for functionally stopping a GCM component. This step is of major importance in order to ensure the integrity of an application performing a structural reconfiguration. Having the means to prove it correct would improve the confidence one can have on a reconfigurable GCM application. Moreover, it is in our plans to extend the expressiveness of the GCM ADL by including the possibility to

define architectures that would not necessarily be an explicit representation of its structure, but parametrized. Reasoning on such *parametrized* ADLs would feel natural in a system like a proof assistant, as it essentially boils down to reason inductively on the parameters.

## 1.5 Contributions

We see the contribution of this paper as two-fold. Firstly, we provide a formal specification of the GCM component model. Orthogonally, this yields a case-study in the mechanization of component models in the realm of interactive theorem proving. Secondly, we propose an *operation* language for the construction and reconfiguration of architectures and prove that the obtained architectures are correct by construction. It allows us to reason on the structural concerns of a GCM application. To the best of our knowledge this is a completely novel approach for the reasoning on software architectures.

## 1.6 Organization of the Paper

The remaining of this paper is organised as follows. Section 2 presents our work and represents the main contribution of this paper. A brief *tour* of the Coq Proof Assistant is given in 2.1, followed by the description of our framework in 2.2. Some motivating examples of what can be achieved are addressed in Section 3. Section 4 discusses related work and Section 5 concludes this paper by pointing out some remarks about the current stage of development and directions for future work.

## 2 A Mechanized Framework for GCM Applications

In the following we discuss the main ingredients of our framework and omit the obvious definitions for the sake of space. For more details and release announcements of Mefresa (*Mechanized Framework for Reasoning on Software Architectures*), the interested reader is pointed to the website<sup>2</sup> of this development.

### 2.1 Interactive Theorem Proving with the Coq Proof Assistant

The Coq Proof Assistant [20] is a system that implements the Calculus of Inductive Constructions that itself combines both a *higher-order logic* and a functional programming language.

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<sup>2</sup> Mefresa is continuously being updated at: <http://www-sop.inria.fr/members/Nuno.Gaspar/Mefresa.php>

In short, it goes beyond the capabilities of standard programming languages/environments by allowing to write logical definitions, write functions, and to develop proofs about our functions and definitions.

For instance, in Coq natural numbers are inductively defined as follows:

```
1 Inductive nat : Set :=
2   | O : nat
3   | S : nat -> nat
```

Essentially, it means that a natural number is either *zero* or the *successor* of some natural number. The above simple definition is therefore enough to model infiniteness of natural numbers. Further, one can also define properties about data structures as in the following manner.

```
1 Inductive is_even (n:nat) : Prop :=
2   | Base: n = 0 ->
3     is_even n
4   | Step: is_even (n - 2) ->
5     is_even n.
```

The last remaining main ingredient is about doing proofs. Using our definitions above we could try to prove the following:

```
1 Lemma always_even :
2   forall (n : nat), is_even (2 * n).
3 Proof.
```

Establishing this well known fact in a interactive system like Coq would require "convincing it" by applying a sequence of *tactics* that would eventually lead the proof to its conclusion - somehow, just like building a proof tree by hand, but with the benefits of doing it with computer assistance.

For further information the interested reader is pointed to [6] for a *friendly* introduction to the fascinating world of Interactive Theorem Proving with Coq.

## 2.2 Mechanizing GCM

As mentioned above, the GCM Component Model has three core elements: components, interfaces and bindings. Their structure and the way they interact are naturally encoded by means of inductive definitions.

### 2.2.1 Core Elements and Well-formedness

Let us demonstrate how interfaces are mechanized.

```
1 Inductive interface : Type :=
2   | Interface: ident      ->
3     type                 ->
4     path                 ->
5     accessibility        ->
6     communication        ->
7     functionality        ->
8     language             ->
9     interface.
```

An interface is characterized by an *identifier*, a *type*, a *path* identifying the component the interface belongs to, whether it is accessible internally or externally, whether is it supposed to communicate as client or server, whether it serves functional or non-functional purposes, and finally the language it implements. The intended meaning of each of these fields should be clear. The only complex aspect may arise from the *path* field. GCM is a hierarchical component model, and since by introspection an interface is able to identify the component it belongs to, a *path* identifying this component is necessary. Its definition is a list of identifiers, where these identifiers indicate the components that need to be *traversed* in the hierarchy to reach the component holding the interface.

```

1 Inductive unique_pairs (li : list interface) : Prop :=
2   | UniquePairs_Base: li = nil      ->
3     unique_pairs li
4   | UniquePairs_Step: forall int r ,
5     li = int :: r                ->
6     not_in_l_pairs (int->id) (int->acc) r ->
7     unique_pairs r                ->
8     unique_pairs li .
9
10 Definition well_formed_interfaces li : Prop := unique_pairs li .

```

A list of interfaces is deemed *well formed* if it meets the requirement imposed by the specification. Essentially, we just require each interface belonging to a component to be uniquely identifiable. This is achieved by the `unique_pairs : list interface → Prop` predicate that states that two interfaces may share the same *identifier* provided that their *accessibility* value is different.

```

1 Inductive component :=
2   | Component: ident      ->
3     type                  ->
4     path                  ->
5     controlLevel         ->
6     list component       ->
7     list interface       ->
8     list binding         ->
9     component .

```

A component has an *identifier*, a *type*, a *path* indicating its level in the hierarchy, a control level determining whether it can be internally reconfigured, a list of subcomponents, interfaces and bindings. Bindings are connecting components together by means of their interfaces. Regarding its *well-formedness* it is inductively defined in Coq as follows:

```

1 Inductive well_formed_component c : Prop :=
2   | WellFormedComponent_Base:
3     (c->subComponents) = nil                ->
4     well_formed_interfaces (c->interfaces) ->
5     well_formed_bindings (c->bindings) nil (c->interfaces) ->
6     well_formed_component c
7   | WellFormedComponent_Step: forall lc ,
8     lc = (c->subComponents)                ->
9     (forall c' , In c' lc -> well_formed_component c') ->
10    unique_ids lc                            ->

```



```

11 well_formed_interfaces (c->interfaces) ->
12 well_formed_bindings (c->bindings) lc (c->interfaces) ->
13 well_formed_component c.

```

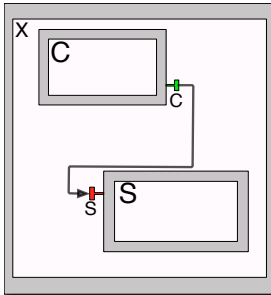
Basically it is required that its elements (subcomponents, interfaces and bindings) are *well formed*. Further, its subcomponents must have unique identifiers.

```

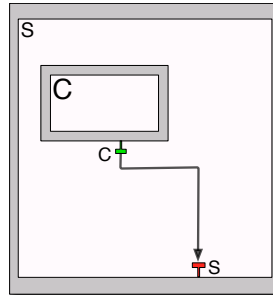
1 Inductive binding : Type :=
2 | Normal: path -> ident -> ident -> ident -> ident -> binding
3 | Export: path -> ident -> ident -> ident -> binding
4 | Import: path -> ident -> ident -> ident -> binding.

```

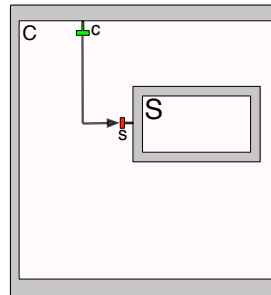
A binding is always established between a client and a server interfaces. It can be a *normal*, *export* or *import* binding. These are defined by indication of the component's *path* which they belong to, and by the identifiers of the involved components and interfaces. For export and import bindings the identifier of one intervening component can always be inferred and thus is omitted.



**Fig. 2** Normal Binding



**Fig. 3** Import Binding



**Fig. 4** Export Binding

A binding is deemed *normal* if it is established between the external interfaces of two components that possess the same direct enclosing component as depicted by Fig. 2. The other types of bindings allowed from the specification are those between a component and a subcomponent. Whether they are of *import* or *export* type depends on the client interface being from the subcomponent (Fig. 3) or from the enclosing one (Fig. 4), respectively.<sup>3</sup>

For instance, within Mefresa the GCM component illustrated by Fig. 3 would be represented as follows — where *Top* stands for a predefined *type* and *Idl* for a predefined language.

```

Component (Ident "S") Top [] Configuration
[Component (Ident "C") Top [Ident "S"] Configuration
[]
[Interface (Ident "c") Top [(Ident "S"); (Ident "C")]

```

<sup>3</sup> In Figures 2, 3 and 4 we use uppercase letters for denoting components, and lowercase ones for interfaces.

```

      External Client Functional Idl]
[]
]
[Interface (Ident "s") Top [Ident "S"]
  Internal Server Functional Idl]
[Import [Ident "S"] (Ident "s") (Ident "C") (Ident "c")].

```

Regarding the *well formedness* predicate for bindings, it has the particularity of needing the presence of components and interfaces. This should come as no surprise as a binding is connecting together components through their interfaces, and as such its *well formedness* depends on them. Essentially, for a binding to be deemed *valid* it is necessary that it is established between *client* and *server* interfaces that actually exist in the hierarchy. For instance, for *import bindings* we encode this predicate in the following manner:<sup>4</sup>

```

1 Definition import_binding :=
2 fun (idI idC idI' : ident) (lc : list component) (li : list
   interface) =>
3 match lc [idC] with
4 | Some c' =>
5   match (li [idI, Internal]) with
6   | Some i =>
7     match ((c'->interfaces) [idI', External]) with
8     | Some i' => (i->com) = Server /\ (i'->com) = Client
9     | None => False
10    end
11   | None => False
12   end
13 | None => False
14 end

```

With the definitions of *well formedness* for all of our core elements, we are now in a position to make our first proof. For instance, proving the component from Fig. 3 is indeed *well formed* would require proving the following lemma:

```

1 Lemma well_formedness_example :
2 well_formed_component
3 (Component (Ident "S") Top
4   [] Configuration
5   [Component (Ident "C") Top
6     [Ident "S"] Configuration
7     []
8     [Interface (Ident "c") Top
9       [Ident "S"; Ident "C"]
10      External Client Functional Idl]
11   []
12  ]
13 [Interface (Ident "s") Top
14   [Ident "S"]
15   Internal Server Functional Idl
16  ]
17 [Import ([Ident "S"] (Ident "s"))

```

<sup>4</sup> We use the common  $id[index]$  notation for indexing  $id$  at index  $index$ . Further, the  $element \rightarrow field$  notation is used for projections.

```

18         (Ident "C") (Ident "c")
19     ]).

```

The above lemma was naturally proved correct. Reasoning by induction on the component's structure we get that subcomponent **C** is indeed *well formed* since it has no subcomponent and bindings, and only one interface. Component **S** has also only one interface, one valid import binding since it is established between a client interface from its subcomponent and a server interface from himself, and one subcomponent **C** that we just proved *well formed*. Therefore, we can conclude it is also *well formed*.

### 2.2.2 Semantics

Having defined our core elements and their *well formedness* property, it is now time to introduce a notion of *state*.

```

1 Definition state := component.

```

```

2

```

```

3 Definition empty_state : state :=

```

```

4   Component (Ident "Root") Top nil Configuration nil nil nil.

```

In our case, a state has the same shape as a component. An empty state is therefore a component without any subcomponents, interfaces and bindings, and that is located at the *root* of the hierarchy.

Interacting with the state is achieved with our aforementioned *operation* language. Its syntactic categories for building GCM architectures are defined as follows.

```

op ::=
      mk_component component
      | mk_interface interface
      | mk_binding binding
      | rm_component path id
      | rm_binding binding
      | op; op
      | done

```

The meaning of the first five constructs should raise no doubt: making components, making interfaces, establishing bindings, removing component and removing bindings. We use **op** for representing *operations*. Allowing for multiple operations to execute as a sequence is defined by means of the standard operator **;**. Last, **done** stands for the completed *operation*.

The design of software architectures can be seen from a transition system point of view. One makes some *operation* **op**, in some state  $\sigma$ , and ends up with a reduced *operation* **op'** in some state  $\sigma'$ . This can be represented by the following manner.

$$\langle op, \sigma \rangle \longrightarrow \langle op', \sigma' \rangle.$$

Building GCM architectures will therefore require to define these transition rules for each constructor of our language. In other words, to define a semantics. Table 1 illustrates the semantics of our *operation* language. The **.** notation is used for projections.

$\frac{c = \text{Component } id \ t \ p \ cl \ lc \ li \ lb \quad \text{valid\_component\_path } p \ \sigma \quad \text{well\_formed\_component } c \quad \forall c', c' \in (\text{get\_scope } p \ \sigma) \rightarrow (c'.id \neq id)}{\langle \text{mk\_component } c, \sigma \rangle \rightarrow \langle \text{done}, \text{add\_comp } \sigma \ c \rangle}$	$\frac{i = \text{Interface } id \ t \ p \ a \ co \ fl \quad \text{valid\_interface\_path } p \ \sigma \quad c = \text{get\_component\_with\_path } p \ \sigma \quad \forall i', i' \in (c.\text{interfaces}) \rightarrow i'.id = id \rightarrow i'.\text{accessibility} \neq a}{\langle \text{mk\_interface } i, \sigma \rangle \rightarrow \langle \text{done}, \text{add\_itf } \sigma \ i \rangle}$
$\frac{\text{binding\_is\_not\_a\_duplicate } b \ \sigma \quad \text{valid\_component\_binding } b \ \sigma}{\langle \text{mk\_binding } b, \sigma \rangle \rightarrow \langle \text{done}, \text{add\_binding } \sigma \ b \rangle}$	$\frac{\text{valid\_component\_path } p \ \sigma \quad \text{component\_is\_not\_connected } p \ id \ \sigma}{\langle \text{rm\_component } p \ id, \sigma \rangle \rightarrow \langle \text{done}, \text{rm\_comp } \sigma \ p \ id \rangle}$
$\frac{\text{valid\_component\_binding } b \ \sigma}{\langle \text{rm\_binding } b, \sigma \rangle \rightarrow \langle \text{done}, \text{rm\_binding } \sigma \ b \rangle}$	$\langle \text{done}; op_2, \sigma \rangle \rightarrow \langle op_2, \sigma \rangle$
$\frac{\langle op_1, \sigma \rangle \rightarrow \langle op'_1, \sigma' \rangle}{\langle op_1; op_2, \sigma \rangle \rightarrow \langle op'_1; op_2, \sigma' \rangle}$	$\frac{\langle op_1, \sigma \rangle \rightarrow \langle \text{done}, \sigma' \rangle}{\langle op_1; op_2, \sigma \rangle \rightarrow \langle op_2, \sigma' \rangle} \quad \langle \text{done}, \sigma \rangle \rightarrow \langle \text{done}, \sigma \rangle$

**Table 1** Semantics of our *operation* language

The rules from Table 1 dictate the premisses that need to hold in order to perform a step. Making a component requires its path to be valid, i.e. to point to a pre-existent component in the hierarchy. The component to be made needs to be *well formed* and its identifier must be different than the ones from the components at the same hierarchical level. Performing this step will yield a state where the component *c* is added in state  $\sigma$ . This is the purpose of the `add_comp` function.

Interfaces follow the same spirit as components, the main difference is that an interface can share the same identifier with another one, provided that they have a different *accessibility* value. The `add_itf` function produces a state with the interface inserted in the hierarchy.

Making and removing bindings have the same requirement: the binding to be made/removed must be valid, i.e. can exist/exists in the hierarchy and will be/is of *normal*, *import* or *export* type. Further, for the creation of bindings, in order to prevent redundancy we require that it does not exist already.

The effects of the performed *operation* are naturally accomplished by the functions `add_binding` and `rm_binding`, respectively.

To remove a component we need to provide a valid *path* and it must not be *connected*, that is, not *binded* to any other component. This removal occurs by the use of the `rm_comp` function.

Composing *operations* is straightforward: having a composition of an *operation*  $op_1$  with an *operation*  $op_2$ , we first need to reduce  $op_1$  so we can reach  $op_2$ . The careful reader may notice that from a  $\langle op_1, \sigma \rangle$  configuration one could potentially apply both the second or third composition rule. Indeed, one could remove the third composition rule. This extra rule has the purpose of emphasising the fact that since we will be composing reconfigurations strategies,  $op_1$  need not to be a "one-step" *operation*.

Last, as expected reducing *done* produces no effect on the state.

The rules from Table 1 are inductively encoded in Coq by the predicate  $\text{step} : \text{operation} * \text{state} \rightarrow \text{operation} * \text{state} \rightarrow \text{Prop}$ . However, this only defines a one step transition, and there are cases where we may want to reason about *multiple steps* transitions. This is naturally achieved by the transitive closure of our *step* definition, that we represent by the  $\longrightarrow^*$  symbol.

For instance, we can prove that the following simple architecture indeed follows the rules from Table 1 by reducing it to our normal form **done**. Below, we use the / notation for *pairing* an *operation* with a state.

```

1 Definition BuildArch : operation :=
2   Mk_component (Ident "A") Top nil Configuration nil nil nil ;
3   Mk_component (Ident "B") Top nil Configuration nil nil nil ;
4   Mk_interface (Ident "X") Top [Ident "A"]
5     External Client Control Idl ;
6   Mk_interface (Ident "Y") Top [Ident "B"]
7     External Server Control Idl ;
8   Mk_binding (Normal nil (Ident "A") (Ident "X")
9     (Ident "B") (Ident "Y")).
10
11 Lemma simpleProof :
12   exists s, BuildArch / empty_state  $\longrightarrow^*$  Done / s .

```

Proving the above lemma amounts to applying the appropriate rule for each step and establishing their premisses. Mixing deduction with computation solves our goal in a straightforward manner.

### 2.2.3 Validity

The above definitions allow us to reason on the overall execution of (sequence of) *operations*. Therefore, one important theorem to prove is that starting an architecture in a *well formed* state, when the execution completes it will end up in a state that is also *well formed*.

```

1 Theorem validity :
2   forall op s s', well_formed s          ->
3     op / s  $\longrightarrow^*$  Done / s' ->
4     well_formed s' .

```

The above theorem was proven by induction on our *operation* language constructors. Essentially, it gives us the certitude that any combination of our *operations* that meet the premisses will yield a *well formed* architecture.

## 3 Proving Properties

The following illustrates some examples on the use of our mechanized framework at the current stage of development.

### 3.1 Meeting the Specification: Absence of *Cross-Bindings*

As mentioned in 2.2.1, there are three types of bindings allowed: *normal*, *export* and *import*. Regarding this, it is said that establishing one of these types of bindings “ensure that primitive bindings cannot cross component boundaries except through interfaces” [8].

The first step in proving this property is to encode the notion of **cross-binding**. For instance, a **cross-binding** of *export* type would be defined as follows:

```

1 Definition cross_export idI1 idC2 idI2 lc li : Prop :=
2   (exists i, Some i = li [idI1, Internal] /\ (i->com) = Client) /\
3
4   (~ exists c, Some c = lc [idC2] /\
5     (exists i, Some i = (c->interfaces) [idI2, External])) /\
6
7   (forall c, In c lc ->
8     exists c', Some c' = (c->subComponents) [idC2] /\
9     exists i, Some i = (c'->interfaces) [idI2, External] /\
10    (i ->com) = Server).

```

A binding of *export* type is made from an internal client interface, this is what the first part of the above definition stands for. Next, since the binding is supposed to be *crossing* we must state that there exists no component and interface that match the identifiers *idC2* and *idI2*, respectively. This is due to the fact that we do not impose strict restrictions on the identification of our core elements (e.g. components can have the same identifier as long as they are in a different level of the hierarchy). Finally, the last part of the definition establishes the target of the binding: there exists a component, whose identifier is *idC2*, that is a subcomponent of the one being crossed, with an external server interface whose identifier matches *idI2*.

The same reasoning is applied for the definition of **cross-bindings** of *normal* and *import* types.

Having defined the notion of **cross-bindings** we shall rely on the following auxiliary lemma to complete the proof.

```

1 Lemma binding_cannot_be_crossing_if_valid :
2   forall b s, valid_component_binding b s ->
3     cross_binding b s -> False.

```

Essentially, the above lemma states that we cannot have a binding **b** in a state **s** that is both a valid binding and crossing at the same time — otherwise we could prove *false*.

Proving this lemma amounts to perform case analysis on the type of bindings. We know that bindings can be *normal*, *import* or *export*. Therefore, we need to show that each of these three types of bindings are always established within the bounds of components and being that the case, they cannot be crossing.

The property we want to prove here however is slightly different. We want to prove that meeting the specification, indeed we cannot have *cross-bindings*. In Mefresa, this boils down to the following theorem.

```

1 Theorem cross_binding_cannot_happen :
2   forall b s, well_formed s -> system_binding b s ->
3     cross_binding b s -> False.

```

By definition, in the presence of a *well formed* state  $\mathbf{s}$  we can only have valid bindings. As such, any bindings  $\mathbf{b}$  belonging to  $\mathbf{s}$  must be valid. Since we know from our previously proved lemma `bindings_cannot_be_crossing_if_valid` that a binding cannot be valid and crossing at the same time, we can conclude the proof.

### 3.2 Supporting Parametrized ADLs

It is often the case that we want to build a distributed application that has several instances of the same component. For instance, in [7] we showed how to specify a GCM distributed application with fault-tolerance of Byzantine Failures that had several instances of the same primitive component.

For this kind of systems, it would feel more natural to specify it by means of a *parametrized ADL* rather than explicitly. In fact, this is one of the features that we intend to incorporate in the GCM ADL as future work. To this end, coping with this parametrization amounts to defining a function that takes a component as a *template* and produces  $\mathbf{n}$  instances of that component. For primitive components this can be achieved as follows:

```

1 Function generate_from_template template n {struct n} :=
2   match template, n with
3     - , 0 => nil
4   | Component i t p cl nil li nil , S m =>
5     let li' := update_interfaces_path li (suffix_ident i n) in
6       (Component (suffix_ident i n) t p cl nil li' nil) ::
7         (generate_from_template template m)
8   | -, - => nil
9   end.

```

At each recursion step we construct a new component whose identifier is the one from the template suffixed with the current value of  $\mathbf{n}$ . Naturally, the  $\mathbf{n}$  instances produced must be *well formed*. Therefore, we must ensure the uniqueness of the component's identifiers and need to update the *path* of their interfaces. This is purpose of the `suffix_ident` and `update_interfaces_path` functions, respectively.

The first step is to prove that the interfaces remain *well formed* after updating its path. This will serve as an auxiliary lemma for our main goal:

```

1 Lemma well_formed_template_generation :
2   forall c, well_formed_component c ->
3     forall n, well_formed_components (generate_from_template c n).

```

Proving the *well formedness* of the generated components is obtained by induction on  $\mathbf{n}$ . If it is zero, then we get an empty list, which is *well formed* by definition. If it is the successor of some natural  $\mathbf{n}$  then we need to prove that the generation is *well formed* for  $(\mathbf{S} \mathbf{n})$  - the successor of  $\mathbf{n}$  - given the *well formedness* for  $\mathbf{n}$  as the induction hypothesis. First, we need to establish

that the components identifiers are unique, which can be derived by the fact that the suffix that is appended at each iteration is always strictly lower than the precedent, and thus different. Then, we need to prove that each generated component is individually *well formed*. Unfolding one time the definition of the generation of  $(S\ n)$  components yields the first produced component whose identifier is  $(SUFFIX\_IDENT\ I\ (S\ N))$ , appended with the recursive call on the argument  $n$ . The first component of this list is *well formed* since it has no subcomponents and the interfaces remain *well formed* after a path update. As for the tail of the list, it can be proved *well formed* from the induction hypothesis. And thus the proof concludes.

### 3.3 Structural Reconfigurations

In the realm of autonomic computing one must be able to dynamically restructure the architecture of the application. In [5] we showed how structural reconfigurations were used in order to provide a cost-efficient solution for the saving of power consumption. A slightly simplified architecture<sup>5</sup> of the proposed GCM application is depicted by Fig. 5.

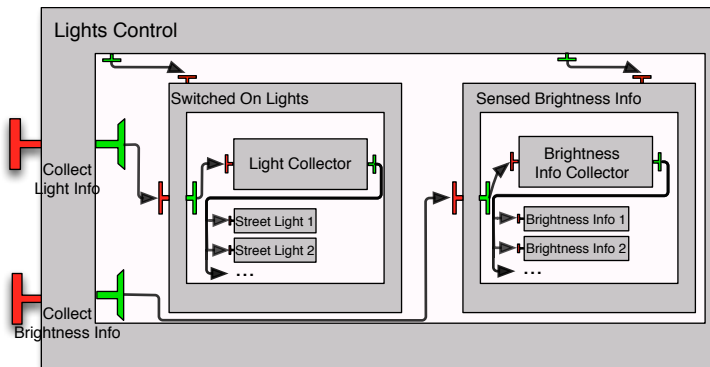


Fig. 5 Use-Case Architecture

This use-case consists in an experimental component system in charge of switching on and off street lights, according to the perceived luminosity of the surroundings. Basically, in order to address the goal of saving energy, but at the same time offering an acceptable quality of service (i.e. an acceptable luminosity in the streets), the components *Street Light* and *Brightness Info* are added/removed accordingly. Moreover, as said in [5], the use-case starts with three *Brightness Info* components and zero *Street Light* component.

<sup>5</sup> GCM components possess a *membrane* part that we do not model in *Mefresa*. This however, should not be seen as too much of a shortcoming.



We shall model this scenario in *Mefresa* by defining every component involved in the architecture. For instance, components *Light Collector* and *Street Light* are mechanized as follows:

```

1 Component (Ident "Light Collector") Top p1 Configuration
2   nil
3   (Interface (Ident "Light Info") Top
4     (p1 ++ Ident "Light Collector" :: nil)
5     External Server Functional Idl      ::
6   Interface (Ident "Collect Light Info") Top
7     (p1 ++ Ident "Light Collector" :: nil)
8     External Client Functional Idl     ::
9   nil)
10  nil.
11
12 Component (Ident "Street Light") Top p1 Configuration
13   nil
14   (Interface (Ident "Get") Top
15     (p1 ++ Ident "Street Light" :: nil)
16     External Server Functional Idl     ::
17   nil)
18  nil.

```

In the above definitions, **p1** is just a variable that represents the path of both components in the hierarchy. Furthermore, the remaining components are defined in a similar fashion and omitted for the sake of space. Considering the variable *LightsControlUseCaseArchitecture* as the structure holding the complete hierarchy, we can prove its *well formedness*.

```

1 Lemma LightsControlUseCase_is_well_formed :
2   well_formed LightsControlUseCaseArchitecture .

```

The architecture from this use case indeed meets the specification, and proving this lemma poses no particular challenge as it has an explicit structure. The interesting aspect of this use-case comes from the fact that we need to add and remove components at runtime. Indeed, this scenario is yet another example of the usefulness of being able to cope with parametrized specifications.

Before proceeding to the structural reconfigurations, we shall use our *operation* language constructs to define new, higher-level constructs. For instance, we can easily define an *operation* to build several components at a time:

```

1 Fixpoint mk_components lc : operation :=
2   match lc with
3     nil => done
4   | c :: r => mk_component c ; mk_components r
5 end .

```

This new *operation* *mk\_components* takes a list of components as argument and produces a sequence of *mk\_component operations* by means of our **;** constructor. Further, we shall also define an *operation* for the construction of a list of bindings.

```

1 Fixpoint mk_normal_bindings (p:path) (icc:ident) (iic:ident)
2                               (ics:ident) (n:nat) (iis:ident) :=
3   match n with
4     0 => done

```

```

5 | S m =>
6   (mk_binding (Normal p icc iic (suffix_ident ics n) iis)) ;
7   mk_normal_bindings p icc iic ics m iis
8 end.

```

The rationale behind this apparently complex *operation* is as follows. Essentially, it is a set of bindings being made from the same client to several servers. The path **p** is given as pointer to the component in the hierarchy where the binding is going to be kept. Then, we specify the identifiers **icc** and **iic** that determine the component and interface from which the binding is made from. Since each binding will be made to a different component, we need to *generate* different identifiers. This is achieved in the same manner as with the `generate_from_template` function defined above: at each recursion step we suffix **n** to **ics** so that we target different components for every binding built. Finally, the identifier **iis** gives the interface to which the binding is made to.

Having defined our new *operations*, we can now proceed to the specification of the reconfiguration to add *Street Light* components. Below, `LightComp` is a variable representing our *Street Light* component defined above.

```

1 Definition add_lights_reconfig (n:nat) :=
2   mk_components (generate_from_template LightComp n);
3   mk_normal_bindings p1
4     (Ident "Light Collector") (Ident "Collect Light Info")
5     (Ident "Street Light") n (Ident "Get").

```

Basically, the above creates **n** components by using the *Street Light* component as template, and then establishes the required bindings. This, could for instance be used as follows:

```

1 Lemma adding_lights_red :
2   exists s, add_lights_reconfig 3 /
3     LightsControlUseCaseArchitecture ---->* Done / s.
4 Lemma adding_lights :
5   forall s,
6     add_lights_reconfig 3 / LightsControlUseCaseArchitecture ---->*
7     Done / s ->
8   well_formed s.

```

Proving the above lemmas is achieved by the same reasoning techniques as discussed above. Regarding the first lemma, the key ingredient here to note is that components are created before establishing the bindings — and thus bindings will indeed succeed to be established — and they are *well formed* since they are generated from a *well formed* template component. As such, reducing this *operation* to **done** is possible. It should be noted that while generalizing this lemma for **n** would require a proof by induction, it would still follow the same principle. As for the second lemma, it is a natural consequence of our *validity* theorem.

## 4 Related Work

Many approaches regarding the formalization of component models can be found in the literature. Yet, to the best of our knowledge this is the first work aiming at providing a mechanized framework that applies the *correct-by-construction* paradigm to the world of component-based engineering. Nevertheless, we must cite the work from Henrio et al. [12] on a framework for reasoning on the behaviour of GCM component composition mechanized in Isabelle/HOL [18]. Our approach is still considerably different in that we provide a semantics for an *operation* language for the building and reconfiguration of GCM architectures.

Another work involving the use of a proof assistant is the work by Johnsen et. al. on the Creol framework [16]. Creol focuses on the reasoning of distributed systems by providing a high-level object oriented modelling language. Its operational semantics are defined in the rewriting logic tool Maude [9]. This work however does not contemplate architectural reconfigurations and follows a methodology in the style of a Hoare Logic.

Indeed, while the use of proof assistants is gaining notoriety, model-checking is still the *de facto* formal approach for both industrial and academic undertakings. Usually, some form of state machine model is used for the design of the intended system, and then a carefully chosen model-checker as backend is employed as a decision procedure. For instance, in [15] Inverardi et. al. discusses CHARMY, a framework for designing and validating architectural specifications. It offers a full featured graphical interface with the goal of being more *user friendly* in an industrial scenario. Still, architectural specifications remain of static nature. The BIP (Behaviour, Interaction, Priority) framework [3] formalizes and specifies component interactions. It has the particularity of also permitting the generation of code from its models.

Moreover, a formal specification of the Fractal Component Model has been proposed in the Alloy specification language [17]. This work proves the consistency of a (set-theoretic) model of Fractal applications. Their goal was to clarify the inherent ambiguities of the informal specification presented in [8]. Their specification however, is constrained by the first-order relational logic nature of the Alloy Analyzer. In fact, they point to the use of the Coq Proof Assistant in order to overcome this limitation.

For last, from a more engineering point of view, we can refer the work around FPath and FScript [10]. FPath is a domain-specific language for the navigation and querying of Fractal architectures. FScript embeds the FPath language and acts as a scripting language for the specification of reconfigurations strategies. The main goal of this work however is to alleviate the need to interact with the low-level API. Further, reliability of these reconfigurations is ensured by run-time checking, while we are more concerned on providing guarantees statically.

## 5 Final Remarks and Future Work

In this paper we presented our work on a framework to reason about the structure of GCM distributed applications mechanized in the Coq Proof Assistant. The main novelty of our approach lies in the use of an *operation* language that allows to build software architectures that are *correct-by-construction*. While we lose some of the automation offered by the usual approach followed by the use of model-checkers, relying on the expressiveness of the Coq Proof Assistant allows us to reason on issues that cannot be addressed by usual model-checking techniques.

The choice of a *deep-embedding* rather than a *shallow* approach for the definition of our *operation* language is also worth discussing. By explicitly using a *datatype* for the encoding of our *operation* language there is a clear identification of its syntax, letting no doubt on how *operations* can be composed. Further, our goal here is also to formalize the GCM specification, a *deep-embedding* emphasizes the operational semantics for the building and re-configuration of architectures.

Indeed, the definition of the GCM specification in natural language opens the door for several interpretations. Further, such a specification is supposed to be *flexible* and allow various conceivable implementations. Nevertheless, this article shows that it is possible and fruitful to formalize such an undertaking in a proof assistant like Coq. This also provides a case-study on the mechanization of component models in the context of interactive theorem proving. In fact, the need for such an approach was already discussed in [17] and partially addressed in our previous work [11].

Currently, we focus on the structural concerns of distributed component-based applications, but we aim to provide the means to reason on functional concerns as future work. Modelling communication and including functional specifications of component's server interfaces are within our plans. To this end, the expressiveness found in interactive theorem provers could be used to cope with parametrized designs and infinite domains. For instance, in [19] Sprenger demonstrates the benefits of combining model-checking with the Coq Proof Assistant. It is shown how this integration can be used to handle infinite space states while still keeping the automation benefit of model-checking.

Preliminary experiments with the use of *co-inductive* definitions to model infinite traces let us believe in the feasibility of the approach. Moreover, our research project<sup>6</sup> will also bring some new insights on the best approach to combine structural and functional concerns in our mechanized framework.

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<sup>6</sup> The Spinnaker Project. <http://www.spinnaker-rfid.com/>

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