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▶ To cite this version:

Michel Raynal, Julien Stainer, Jiannong Cao, Weigang Wu. A Simple Broadcast Algorithm for Recurrent Dynamic Systems. [Research Report] PI-2008, 2013. hal-00862442

HAL Id: hal-00862442 https://inria.hal.science/hal-00862442

Submitted on 16 Sep 2013

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A Simple Broadcast Algorithm for Recurrent Dynamic Systems

Michel Raynal^{*} Julien Stainer^{**} Jiannong Cao^{***} Weigang Wu^{****}

Abstract: This paper presents a simple broadcast algorithm suited to dynamic systems where links can repeatedly appear and disappear. The algorithm is proved correct and a simple improvement is introduced, that reduces the number and the size of control messages. As it extends in a simple way a classical network traversal algorithm (due to A. Segall, 1983) to the dynamic context, the proposed algorithm has also pedagogical flavor.

Key-words: Bounded delay, Broadcast, Distributed algorithm, Dynamic network, Mobile entity, Unbounded recurrence, Recurrent link.

Un algorithme de diffusion pour les systèmes dynamiques à liens récurrents

Résumé : Ce rapport présente un algorithme de diffusion adapté pour les systèmes dynamiques à liens récurrents, mais où la récurrence n'est pas nécessairement bornée.

Mots clés : Diffusion de messages, Lien récurrent, Système dynamique.

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1 Introduction

Dynamic systems A fixed-process dynamic system is a system made up of a fixed number (n) of processes that communicate by sending and receiving messages through a point-to-point communication network whose structure varies with time. While the underlying communication network of a static system can be represented by a graph (each edge of which corresponding to a link), each link of a dynamic system can unceasingly appear and disappear. Moreover, the dynamic of the communication topology is not on the control of the system [15].

In an unreliable static system in which links can fail, the crash and the recovery of a link are considered as exceptional events, which must be appropriately processed (see, e.g., [1, 3, 18, 22]). Differently, as noticed in [10], in a dynamic system "the rate and the degree of the changes are generally too high to be reasonably modeled in terms of network failures: in these systems *changes are not anomalies but rather integral part of the nature of the system*". As a simple example, one can consider the case of a mobile entity (process) whose moves disconnect it from some of its current neighbor entities and –at the same or a later time– reconnect it to other entities.

It is easy to see that, if changes occur permanently and too rapidly, nothing can be computed in a dynamic system (consider for example a 2-process system in which the link repeatedly appears and disappears without remaining up long enough to ensure message transmission). This means that, as soon as one wants to do non-trivial computations, dynamic system cannot be fully asynchronous. They must be constrained by synchrony/stability assumptions, whose power/strength may depend on the problem we are interested to solve. These assumptions capture synchrony/stability requirements which are sufficient to solve non-trivial problems. Examples of problems and assumptions which allow these problems to be solved despite the uncertainty created by dynamicity are consensus (e.g., [8, 11, 16]), leader election (e.g., [17]), and mutual exclusion [21]).

Broadcast in dynamic systems This paper focuses on the broadcast communication problem, namely, a process has to disseminate a data to the whole set of processes. This is a very basic problem whose solution allows the processes to compute any function F defined on their inputs. More precisely, each run has an input vector I[1..n] where I[i] represents the input value v_i of the process p_i . Moreover, initially, $I[i] = v_i$ is the only input value known by p_i . The broadcast abstraction allows each process to send its input value to all other processes, and then, each process can compute the function F(I) as soon as it has obtained the full input vector I.

A broadcast algorithm for dynamic networks is described in [14]. This algorithm assumes a synchronous dynamic system (processes execute collectively and synchronously a sequence of rounds) and, while the communication graph may change from round to round, the communication graph associated with each round must be connected. This algorithm is such that the processes can compute any computable function on their input vector in $O(n^2)$ rounds and messages of size $O(b + \log n)$ where b is the number of bits needed to encode an input value.

Broadcast in dynamic networks is also addressed in [9] where different models of a dynamic system are considered. The main assumption of these models is that all the messages take exactly the same time to travel, hence transfer time is constant. Moreover this value is known by the processes. The presented models differ in the initial knowledge of the processes and the law governing the re-appearance of a link once it has disappeared (eventual, bounded, or periodic re-appearance).

Content of the paper This paper presents a simple broadcast algorithm suited to dynamic networks in which (a) message transfer delays are bounded by a constant δ (this bound δ can remain always unknown to the processes) and (b) when a link appears its lifetime is at least δ . Let us observe that an assumption on the minimal lifetime of links seems necessary (otherwise it is not possible to ensure that messages will ever be delivered). The algorithm shows that this assumption on link lifetime is sufficient.

In addition to the presentation of a broadcast algorithm suited to a dynamic distributed computation model, the paper has a pedagogical flavor in the sense that its construction is voluntarily "as close as possible" to the construction of a spanning tree –with a given root process– in a static system whose communication graph is connected [19].

Roadmap The paper is made up of 5 sections. Section 2 presents the dynamic distributed computing model. Section 3 defines the problems we are interested in (reliable broadcast and spanning tree construction). Section 4 presents

the algorithm, proves its correctness, and introduces improvements whose aim is to decrease the number and the size of control messages. Finally, Section 5 concludes the paper.

2 Dynamic System Model

In its most general sense, a dynamic distributed system is a system in which the number of processes can vary with time [2] and, in the case of message-passing systems, also the links (which allow processes to communicate) can vary with time [7]. We consider here the case of dynamic systems where the number of processes remains constant. Corresponding dynamic graphs have been introduced in [12]. Dynamic networks modeling these systems have been introduced in a lot of papers (under different names, e.g., evolving graphs [13], or time-varying graphs [6, 9], to cite a few). The readers will find nice introductory surveys related to dynamic systems in [10, 15]. These models of dynamic systems allow to take into account systems such as wireless networks, mobile networks, radio networks, etc.

Evolving graph [13] Let G(V, E) be a non-directed connected graph, $S_G = \langle G_1, G_2, \dots, G_T \rangle$ a sequence of its subgraphs such that $\bigcup_{1 \le i \le T} = G$, and $S_T = \langle t_1, t_2, \dots, t_T \rangle$ a sequence of time instants (whose length is the same as S_G). Let |V| = n (number of vertices and |E| = m (number of edges).

The triple $\mathcal{G} = (G, S_G, S_T)$, where G_i is the subgraph in place during the time period $[t_{i-1}, t_i]$ is called an *evolving graph*.

The aim of an evolving graph is to model the behavior of a graph whose edges can repeatedly appear and disappear. The expressive power of time-varying graphs [10] is the same as the one of evolving graphs. (A time-varying graph associates with each edge of G a list of time intervals at which this edge exists.)

Process and communication model The system is made up of n processes, denoted $p_1, ..., p_n$. The integer i, which is called the *index* of p_i , is used only for a notational purpose (a process p_i does not know that its index is i). Each process p_i has an identity id_i and knows only its identity and the fact that no two processes have the same identity. The set of identities is not required to be structured by an order relation.

The processes communicate by sending and receiving messages through links. The set of current neighbors of a process p_i is defined by the graph G_x that currently models the structure of the system.

An example A simple example of an evolving graph that models the evolution of a set of six mobile processes (e.g., robots) is described in Figure 1. A process p_i is represented by its index $i \in \{1, ..., 6\}$. To simplify the presentation, it is assumed that the process moves are instantaneously.

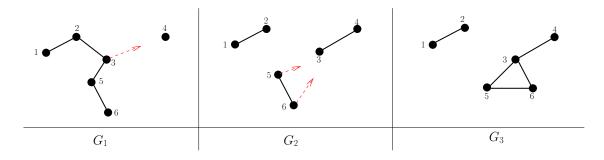


Figure 1: Example of an evolving graph

The initial position is represented by the communication graph G_1 , in which p_4 is isolated. Then, p_3 moves (his is represented by a small arrow attached to p_3 in G_1), and becomes disconnected from p_2 and p_5 , and connected to p_4 . The resulting communication graph is represented by G_2 . Then, both p_5 and p_6 move, and the communication graph becomes G_3 , etc. It is important to see in this example that (a) none of G_1 , G_2 and G_3 is connected, (b) the graph $G = G_1 \cup G_2 \cup G_3$ is connected but not fully connected.

Permanent vs recurrent link A link that exists forever is a *permanent* link. A link that, after some finite time, exists forever, is an *eventually permanent* link. A link that appears and disappears infinitely often, is a *recurrent* link. It is assumed that the links which are not eventually permanent are recurrent, hence the term *recurrent dynamic system*. This assumption provides a "stability" property which allows algorithms to cope with the uncertainty created by the dynamicity of the system.

Let us remark that, due to the recurrence link assumption, the *recurrent dynamic* model is similar to the communication model used in population protocols [4, 5].

Lower bound on link lifetime If, after a link has appeared, it can disappear at an arbitrary time, it is possible that no link remains present during a continuous long enough period and consequently all messages can be lost, from which follows that no non-trivial function can be computed. To prevent such a scenario from occurring, it is assumed that (a) there is an upper bound δ on message transfer delays, and (b) once a link appears, it remains present for at least δ time units. (A weaker assumption is presented in Section 4.2.)

It is assumed that a link incident to a process appears or disappears, this process is informed instantaneously. It follows that, if a process sends a message m on a link e when this link appears, this message is necessarily received by its destination process (and the sender knows this fact).

3 Reliable Broadcast and Construction of a Spanning Tree

Reliable broadcast Given a distinguished process p_a , dynamically defined by the reception of an external message denoted START(), the aim is to design an algorithm that allows p_a to send a data to all the other processes of the system.

Spanning tree construction In addition to the diffusion of an information, the algorithm has to build a spanning tree rooted at p_a . Hence, at each process p_i , the algorithm has to compute a pair of values denoted $(parent_i, children_i)$, such that the set of pairs $\{(parent_i, children_i)\}_{1 \le i \le n}$ defines a spanning tree of the system. Once computed, this spanning tree can be used to broadcast values, elect a leader, etc.

4 A Simple Algorithm

4.1 The algorithm

Principle The algorithm consists in a straightforward extension (to the dynamic context previously described) of a basic broadcast and spanning tree construction, which is based on a simple flooding technique initially proposed in [20] in the context of static systems (see also Chapter 1 of [19] where are described several algorithms building a spanning tree in static systems).

The flooding technique is pretty simple. When it receives a data for the first time, a process forwards it to its current neighbors. But, as in a dynamic system, at any time, the set of current neighbors of a process p_i can be only a subset of all its neighbors, p_i has to additionally execute specific statements when a link (re-)appears, namely forwards the data on this link if it is not sure that the destination process has a copy of this data.

As far as the construction of the spanning tree is concerned, a process defines its parent as the link on which it receives for the first time the data that has been broadcast. It computes its set of children when it receives messages from them, which inform it that they are its children.

Local variables The algorithm uses the following local variables at each process p_i .

- As already indicated, at the end of the algorithm, *parent_i* and *children_i* will capture the position of *p_i* in the spanning tree. Initially, *parent_i* = ⊥ (a default value), and *children_i* = ∅.
- $current_neighbors_i$ contains the set of current neighbors of p_i . It is assumed that when a link e incident to p_i appears or disappears, p_i is immediately informed of it.

```
Init: parent_i \leftarrow \bot; children_i \leftarrow \emptyset; notify_i \leftarrow \emptyset; visited_i \leftarrow \emptyset;
      current\_neighbors_i \leftarrow set of edges connecting p_i to its current neighbors.
when START(n) is received: % a single process receives this external message %
(01) parent_i \leftarrow \top; nb\_proc_i \leftarrow n; data_i \leftarrow data to be broadcast;
(02) for each e \in current\_neighbors_i do send GO(data_i) on e end for.
when GO(d) is received on link e:
(03) visited_i \leftarrow visited_i \cup \{e\};
(04) if (parent_i = \bot) then
(05)
        parent_i \leftarrow e; data_i \leftarrow d;
        for each e' \in (current\_neighbors_i \setminus \{e\}) do send GO(data_i) on e' end for:
(06)
(07)
       notify_i \leftarrow \{id_i\}; send BACK(notify_i) on parent_i
(08) end if.
when BACK(nt) is received on link e:
(09) children_i \leftarrow children_i \cup \{e\}; visited_i \leftarrow visited_i \cup \{e\};
(10) notify_i \leftarrow notify_i \cup nt;
(11) if (parent_i = \top) % p_i is then the distinguished broadcasting process %
        then if (|notify_i| = nb\_proc_i - 1) then process p_i claims termination end if
(12)
(13)
        else if (parent_i \in current\_neighbors_i) then send BACK(notify_i) on parent_i end if
(14) end if.
each time the link e disappears:
(15) current\_neighbors_i \leftarrow current\_neighbors_i \setminus \{e\}.
each time the link e appears:
(16) current\_neighbors_i \leftarrow current\_neighbors_i \cup \{e\};
(17) if (parent_i \neq \bot) then
(18)
        if (e \notin visited_i) then send GO(data_i) on e; visited_i \leftarrow visited_i \cup \{e\} end if;
(19)
        if (parent_i = e) \land (notify_i \neq \emptyset)
(20)
               then send BACK(notify_i) on parent_i;
(21)
                     notify_i \leftarrow \emptyset
        end if
(22)
(23) end if.
```

Algorithm 1: A broadcast algorithm in a dynamic system with recurrent links

- *visited*_i is a set (initially empty), which contains the links that connects p_i to neighbors that (to p_i 's knowledge) have received the broadcast value.
- $data_i$ is used to save the value broadcast by the distinguished process p_a .
- $notify_i$ is a set (initially empty), which contains the identities of the descendants (in the spanning tree built so far) of p_i . This set is used to compute the value of $children_i$ and allows the distinguished process p_a to learn that the algorithm has terminated.

The algorithm Algorithm 1 implements a reliable broadcast and builds a spanning tree as follows. The algorithm starts when a (dynamically defined) process p_a receives the external message START(n). This process defines itself as the root (this is encoded by $parent_i = \top$), and sends to its current neighbors the message GO($data_a$) carrying the data it wants to broadcast (lines 1-2). Let us remark that, thanks to the message START(n), the distinguished process p_a learns n (the number of processes composing the system). This will allow it to detect the termination of the algorithm. Let us also remark that only p_a needs to knows the value of n (line 12).

When a process p_i receives a message GO(d) on a link e, it first adds e to the set $visited_i$ (line 3). If it is the first time it receives this message, it defines e as its parent and forwards to its current neighbors the message it has just received (lines 4-6). Moreover, it also sends to its current parent, a notification message BACK($\{id_i\}$) to inform it that it is one of its children. Let us observe that, according to appearance/disappearance of the link e, it is possible for the notification message to be lost.

A message BACK(nt) carries a set of process identities, which are identities of descendents –with respect to the spanning tree– of the receiving process p_i . When it receives such a message, a process p_i adds first the corresponding link e to its sets $children_i$ and $visited_i$, and adds also the set nt it has received to its local set $notify_i$ (lines 9-10). Then if it is the distinguished process and it knows all its descendents in the spanning tree, p_i claims termination (lines 11-12). If it is not the root and it is currently connected to its parent (line 13), p_i sends its current information on the tree (i.e., the set $notify_i$) to its parent. Let us observe that (as before) this notification message can be lost.

When a link e appears or disappears, p_i updates accordingly the set $current_neighbors_i$ (lines 15-16). When it is a link appearance and p_i has already received the broadcast data (hence, we have $parent_i \neq \bot$, line 17), it does the following. If, from its point of view, the process to which it is connected by e has not received the broadcast data, p_i forwards it to it (line 18). Moreover, if the link e is the one connecting p_i to its parent in the spanning tree, p_i sends the message BACK($notify_i$) to its parent to inform it of its descendent in the tree. This is to cope with the possible loss of the previous message BACK($notify_i$) sent by p_i at line 7 or line 13. Let us notice that, due to the lower bound on the lifetime on a link that appears, (if any) the messages sent at line 18 or line 20, cannot be lost. It follows that e can be safely added to $visited_i$ at line 18, and (because its content will be taken into account by its receiver) $notify_i$ can be reset to \emptyset (line 20).

4.2 A few remarks

Remark 1 let us notice that, while the distinguished root process eventually detects global termination (line 12), no other process can locally claim that its participation to the algorithm has terminated. This is due to the following reason. As link recurrence is not bounded, it is not possible for a process to know that it has communicated with all its neighbors in the graph G (union of the G_i graphs). This is a fundamental difference with corresponding algorithms in static communication graphs. Adding an assumption such as an upper bound Δ_{rec} (known by each process) on the appearance of each link would allow each process to know if it has locally terminated (this is because, after the first duration equal to Δ_{rec} , each process has been informed of all its neighbors in the graph G).

Remark 2 Assuming that the size of the data that is broadcast is bounded, all local variables and messages are bounded (their size depends only on the size of system). It is also important to notice that the bound δ (related to both the maximal transfer delay and the minimal continuous liftetime of a link) need not be known by the processes. It remains forever unknown to them.

Remark 3 It is easy to see that the algorithm can be modified so that it still works when only the links defining the final spanning tree are reliable (all the messages sent on the other links may be lost).

Remark 4 The assumption that, when it appears, a link exists during at least δ time units can be weakened as follows. When it appears, a recurrent link can now remain present for an arbitrary short period, but it is assumed that, infinitely often, it is present for more than 2δ consecutive time units.

This weaker assumption is still valid, but requires additional statements. A message (GO() or BACK()) sent on a link e has now to be acknowledged by its receiver. Moreover, each time the link e re-appears, the message sender must retransmit the message on this link, and this is repeated at each re-appearance of the link e until until the acknowledgment is received.

4.3 **Proof of the algorithm**

Let us remind that m is the number of edges of the communication graph.

Theorem 1. Algorithm 1 solves the broadcast problem and builds a spanning tree rooted at the broadcasting process, in the dynamic model with recurrent links. Moreover, the number of data messages (GO() messages) is upper bounded by 4m. The number of control messages (BACK() messages) is $O(n^2)$.

Proof The proof that every process receives a copy of the broadcast data follows from the following observation. If the link is alive at least δ time units after the data has been sent at line 2 or line 6, the message $GO(data_i)$ is received by

its destination process. In the other cases (message loss due to link disappearance during the message transfer or link absent when line 2 or line 6 is executed), then it is re-sent at line 18 at the link re-appearance (which necessarily occurs due to link recurrence assumption). As the link is then alive for at least δ time units, the message is received. Let us finally observe that, as the communication network G is connected and the the message GO($data_i$) are systematically forwarded, every process eventually receives a message GO($data_i$).

As far as the spanning tree is concerned we have the following. As each process receives at least one message $GO(data_i)$ and defines its parent as the link on which it receives the first copy of this message, it follows that the sets of variables $\{parent_i\}_{1 \le i \le n}$ defines a tree rooted at the distinguished process. Moreover, as the messages BACK(nt) are sent only on the parent link, it follows that each set $children_i$ contains only links from the children of p_i . The proof that at least one copy of each message BACK(nt) sent at line 7, line 13, or line 20, is received is the same as for a message $GO(data_i)$. It follows that (a) the set of pairs $\{(parent_i, children_i)\}_{1 \le i \le n}$ describes a spanning tree of the communication network G, and (b) the algorithm terminates (i.e., the root executes line 12).

Let us observe that a process sends $GO(data_i)$ at most twice on a given link e (once at line 6 and once at line 18). This is because we have $parent_i \neq \bot$ after the first sending at line 6, which prevents another sending at the same line, and the link is added to $visited_i$ after the first sending at line 18. It follows that $GO(data_i)$ is sent on a link at most twice in each direction, from which we conclude that at most 4m copies of $GO(data_i)$ are sent.

Let us now look at the messages BACK(nt). Each leaf p_{ℓ} of the tree sends at most two messages BACK(nt), with $id_j \in nt$ to its parent (one at line 7 and the other one at line 20). Such a message is then forwarded (line 13) up to the root along the path from this leaf to the root of the tree. Moreover, for each non-leaf process p_i , the same forwarding may occur from p_i to the root. It follows that the number of messages BACK() depends both on the tree and on the message exchange pattern. As, in the worst case, the tree is a line, it follows that the number of messages BACK() is upper bounded by $O(n^2)$.

4.4 Decreasing the number and the size of control messages

Useless messages According to the pattern of link appearances/disappearances, the previous algorithm does not prevent the same process identities from being sent several times from a process p_i to its parent, while this is not necessary. As an example, this occurs in the following scenario. Process p_i receives a message BACK(nt) and nt is such that the set $notify_i$ is not modified at line 10. Then, if p_i sends BACK $(notify_i)$ at line 13, not only the message may be lost (due to the disappearance of link e), but its sending is actually useless.

Additional local variable To prevent useless messages BACK() from being sent, a new set is managed by each process p_i . The aim of this set, denoted *already_notified_i* and initialized to \emptyset , is to contain p_i 's descendents in the spanning tree that are such that p_i knows that its parent has received their identities.

Improved algorithm Algorithm 2 is the corresponding improved version of Algorithm 1. The new lines are labeled **1 until **7, while all other lines are the same as in Algorithm 1.

The first (from an explanation point of view) added line is line **7. As (due to the lifetime of the link e, that just appeared) the message BACK($notified_i$) sent at line 20 will be received by its destination process (the parent of p_i), p_i adds the set $notified_i$ to $already_notified_i$ so that the identities in $notified_i$ will not be sent again to the parent of p_i (line **7). This entails the addition (line **6) of the predicate $\neg(notify_i \subseteq already_notified_i)$ before the sending issued at line 20.

The second improvement is the addition of the lines **2-**5, executed when a process p_i receives a message BACK(nt). The aim of these new lines is to prevent p_i from executing the lines 11-14 (and consequently save the sending at line 13) if the set nt carried by the message BACK() brings no new information to p_i .

```
Init: parent_i \leftarrow \bot; children_i \leftarrow \emptyset; notify_i \leftarrow \emptyset; visited_i \leftarrow \emptyset;
         current\_neighbors_i \leftarrow set of edges connecting p_i to its current neighbors;
(**1) already_notified<sub>i</sub> \leftarrow \emptyset.
when START(n) is received: % a single process receives this external message %
        parent_i \leftarrow \top; nb\_proc_i \leftarrow n; data_i \leftarrow data to be broadcast;
(1)
(2)
        for each e \in current\_neighbors_i do send GO(data_i) on e end for.
when GO(d) is received on link e:
        visited_i \leftarrow visited_i \cup \{e\};
(3)
(4)
        if (parent_i = \bot) then
(5)
           parent_i \leftarrow e; data_i \leftarrow d;
(6)
           for each e' \in (current\_neighbors_i \setminus \{e\}) do send GO(data_i) on e' end for:
(7)
           notify_i \leftarrow \{id_i\}; send BACK(notify_i) on parent_i
        end if.
(8)
when BACK(nt) is received on link e:
        children_i \leftarrow children_i \cup \{e\}; visited_i \leftarrow visited_i \cup \{e\};
(9)
(**2)
       previous_i \leftarrow notify_i;
(10)
        notify_i \leftarrow notify_i \cup nt;
(**3)
        notify_i \leftarrow notify_i \setminus already\_notified_i;
(**4)
        if (previous_i \neq notify_i) then
(11)
           if (parent_i = \top) % p_i is then the distinguished broadcasting process %
               then if (|notify_i| = nb\_proc_i - 1) then process p_i claims termination end if
(12)
(13)
               else if (parent_i \in current\_neighbors_i) then send BACK(notify_i) on parent_i end if
(14)
           end if
(**5)
        end if.
each time the link e disappears:
        current\_neighbors_i \leftarrow current\_neighbors_i \setminus \{e\}.
(15)
each time the link e appears:
         current\_neighbors_i \leftarrow current\_neighbors_i \cup \{e\};
(16)
(17)
        if (parent_i \neq \bot) then
           if (e \notin visited_i) then send GO(data_i) on e; visited_i \leftarrow visited_i \cup \{e\} end if;
(18)
(19)
           if (parent_i = e)
(**6)
                         \wedge \neg (notify_i \subseteq already\_notified_i)
(20)
               then send BACK(notify_i) on parent_i;
(**7)
                     already\_notified_i \leftarrow already\_notified_i \cup notify_i;
(21)
                      notify_i \leftarrow \emptyset
           end if
(22)
(23)
        end if.
```

Algorithm 2: Improved broadcast algorithm

5 Conclusion

The paper presented a simple broadcast algorithm suited to dynamic system with recurrent links. An improvement that reduces the size and the number of control messages has also been given.

This algorithm has been designed with a pedagogical flavor. It is a simple adaptation to a dynamic context restricted by synchrony assumptions of the classical algorithm proposed by A. Segall in the early eighties for static reliable asynchronous message-passing systems [20]. These additional synchrony assumptions concern the upper bound on message transfer delays, the link recurrence, and the minimal duration for the existence of each link each time it appears.

Acknowledgments

This work has been partially supported by the French ANR project DISPLEXITY devoted to computability and complexity in distributed computing. and the ANR France-Hong Kong project CO₂DIM.

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