Unranked tree rewriting and effective closures of languages

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Term and Unranked Tree Rewriting

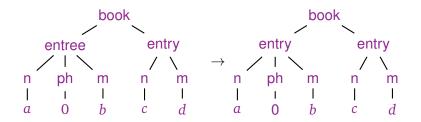
ranked	unranked
finite ranked signature	finite alphabet
$\Sigma = \{ot, ot: 0, \neg: 1, \lor, \land: 2\}$	$\Sigma = \{ot, ot, ot, ot, \wedge\}$
term := $a(\text{term}_1, \dots, \text{term}_n) a \in \Sigma_n$	tree := $a(hedge)$ $a \in \Sigma$
	hedge := tree*
$\wedge(t_1,\wedge(t_2,t_3))$	$\wedge (t_1 t_2 t_3)$
rewrite rules	
$\text{terms}\times\text{terms}$	hedges \times hedges
substitutions	
variables \rightarrow terms	variables \rightarrow hedges

Unranked Tree Rewriting: [Löding Spelten 07 MFCS], [Touili 07 VECOS]

Node renaming

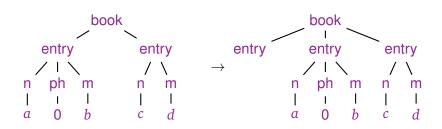
 $entree(x) \rightarrow entry(x)$

- the rule can be applied to any node labeled by entree
- the variable x ins instantiated by a finite sequence of trees (hedge)



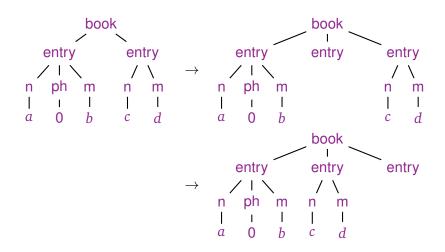
Insert first

 $book(x) \rightarrow book(entry x)$



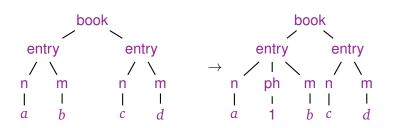
Insert into

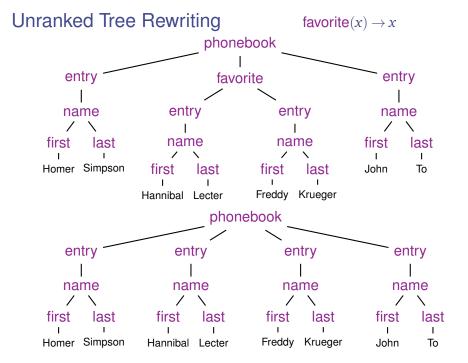
 $book(x_1 x_2) \rightarrow book(x_1 entry x_2)$



Insert after

 $n(x) \rightarrow n(x) ph(1)$





Collapsing Unranked Tree Rewriting Rule

favorite(x) $\rightarrow x$

"delete a single node labeled by favorite" "move the trees in the sequence of children x up to the position of the deleted node."

useful for constructing security views of documents

Motivations and Rewrite Closure

Motivations

Analysis of programs and protocols

Tree Regular Tree Model Checking

XML processing and verification

- transformations (XSLT), static type checking
- update primitives (XQuery UF), reachability
- consistency of R/W access control policies

Rhythm trees

- tree structured representation of music notation
- simplification of rhythms, decision of equivalences.

Verification of Infinite State Systems

Tree Regular Tree Model Checking [Abdulla et al 2002 CAV]:

- configurations are represented by trees,
- transitions by rewrite rules / tree transducers,
- verification by reachability analysis.

 $\mathscr{R}^*(L_{\mathsf{init}}) \cap L_{\mathsf{error}} = \emptyset$

higher-order functional programs : [Jones, Andersen 2007], [Kochems Ong 2011 RTA] (collecting semantics)

multithreaded recursive programs:

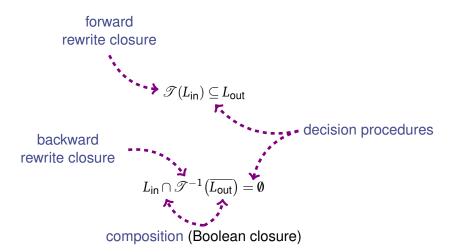
- term model: [Seidl 2009 IIA] [Bouajjani et al 2000], [Genet, Tong 2001], [Genet, Rusu 2010].
- unranked tree model: [Bouajjani Touili 2005 RTA].

Static Typechecking

 \mathscr{T} : tree transducer rewrite system (tree transformation model)

Typechecking:

 \mathscr{T} always converts valid input data from a tree set $L_{\rm in}$ into valid output data from a tree set $L_{\rm out}$



Consistency of R/W Access Control Policies

[Fundulaki Maneth], [Bravo et al, ACCOn] atomic r/w access (updates) modeled by rewrite rules

An ACP is defined by two rewrite systems:

- \mathscr{R}_+ : authorized operations,
- \mathcal{R}_{-} : forbidden operations.

lt is

- ► inconsistent if one rule of *R*₋ can be simulated through a sequence of rules of *R*₊.
- ► locally inconsistent for a tree *t* if there exists *u* such that $t \xrightarrow{\mathscr{R}_{-}} u$ and $t \xrightarrow{\ast} \mathscr{R}_{+} u$, i.e. $\mathscr{R}_{-}^{1}(t) \cap \mathscr{R}_{+}^{*}(t) \neq \emptyset$.

Rewrite Closure & Tree Automata

When $\mathscr{R}^*(L)$ or $(\mathscr{R}^{-1})^*(L)$ is effectively regular (for *L* regular)

- RTMC, typechecking, local inconsistency reduce to tree automata decision problems [Milo Suciu Vianu 03 JCSS], [Tosawa 2001]
- Otherwise
 - approximate

[Touili Bouajani RTA 05], [Genet, Rusu 2010]...

extend the tree automata model

Term Rewriting

Ranked Tree Automata

Ranked Tree Automata

$$\mathscr{A} = \langle \Sigma, Q, F, \Delta \rangle$$
 with

- Σ ranked alphabet, every symbol has a fixed arity
- Q finite state set,
- $F \subseteq Q$ final states,
- Δ set of transitions $a(q_1, \ldots, q_n) \rightarrow q$
 - $a \in \Sigma$, *a* of arity *n*
 - $q_1,\ldots,q_n,q\in Q$

Consider Δ as a TRS over $\Sigma \uplus Q$.

Language
$$L(\mathscr{A}, q) = \{t \mid t \xrightarrow{*} q\}$$

 $L(\mathscr{A}) = \bigcup_{q \in F} L(\mathscr{A}, q)$

Regular sets of terms = ranked tree automata languages

Regularity Preservation

[Salomaa 1988]

linear and right-flat rewrite rules preserve regular languages.

i.e. for all linear and right-flat TRS \mathscr{R} , the forward closure $\mathscr{R}^*(L)$ of a regular language *L* is regular.

Ranked tree automata completion:

- given $\mathscr{A} = \langle \Sigma, Q, F, \Delta_0 \rangle$ and \mathscr{R} over Σ ,
- compute \mathscr{A}^* such that $L(\mathscr{A}^*) = \mathscr{R}^*(L(\mathscr{A}))$.

By superposition of \mathscr{A} 's transitions into \mathscr{R} 's rules

for
$$a(b(x_1), c(x_2)) \rightarrow c(x_2, x_1) \in \mathscr{R}$$
 $a(b(q_1), c(q_2)) \xrightarrow{*}_{\mathscr{A}_i} q$
 $\downarrow \mathscr{R}$
 $c(q_2, q_1)$

Also for right-linear and right-flat TRS [Nagaya, Toyama 2002].

Hedge Automata

Hedge Automata

[Murata 2000]

- $\mathscr{A} = (\Sigma, Q, F, \Delta)$ with $\blacktriangleright \Sigma$
 - Σ ranked alphabet, every symbol has a fixed arity
 - Q finite state set,
 - $F \subseteq Q$ final states,
 - Δ set of transitions $a(L) \rightarrow q$
 - ► $a \in \Sigma$, $q \in Q$,
 - L regular language over Q^* .

 Δ represents the (possible infinite) rewrite system

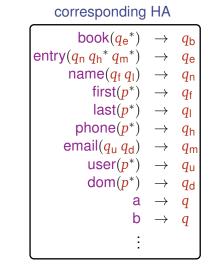
$$\Delta_{\infty} = \{a(q_1 \dots q_n) \to q \mid a(L) \to q \in \Delta, q_1 \dots q_n \in L\}$$

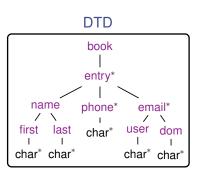
Language
$$L(\mathscr{A}, q) = \{t \mid t \xrightarrow{*}_{\Delta_{\infty}} q\}$$

 $L(\mathscr{A}) = \bigcup_{q \in F} L(\mathscr{A}, q)$

Regular sets of unranked tree = HA languages \equiv regular term set via binary encodings

Hedge Automata and XML Typing





Hedge Automata: Main Properties

- Boolean closures of recognized languages
- membership $t \in L(\mathscr{A})$ is decidable
 - PTIME when horizontal languages are presented by NFAs
 - NP-complete when horizontal languages are presented by alternating automata
- emptiness: $L(\mathscr{A}) = \emptyset$ is decidable
 - PTIME when horizontal languages are presented by NFAs
 - PSPACE-complete when horizontal languages are presented by alternating automata

HA Preservation

[JR 2008 RTA] inverse CF rewrite rules $\ell \to a(x)$, $x \in vars(\ell)$ preserve HA.

 $book(entry(name(y) y_1) entry(name(y) y_2) x) \rightarrow book(x)$

exponential construction (needs determinization)

non-trivial combination

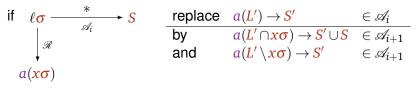
- [Touili 07] (unranked trees)
 HA completion, for linear HRS, approximative.
- [Nagaya Toyama 99] (terms) TA completion, non-left-linear TRS.

HA Preservation

[JR 2008 RTA] inverse CF rewrite rules $\ell \rightarrow a(x), x \in vars(\ell)$ preserve HA.

exponential construction (needs determinization)

- ▶ 𝔄₀ := determinization of the given HA (subset constr.)
- complete according to this schema



with substitution $\sigma: \textit{vars}(\ell) \rightarrow 2^{(2^Q)^*}$

- invariant: determinism.
- fixpoint: rewrite closure of $L(\mathscr{A}_0)$.

Parametrized Rewrite Systems

Given a fixed HA \mathscr{B} with state set Q parametrized rewrite rule : symbols of Q allowed in leaves of rhs

$$\begin{array}{rcl} \mathsf{book}(x) & \to & \mathsf{book}(q_{\mathsf{e}}\,x) & (\mathsf{insert\ first}) \\ \mathsf{book}(x_1\,x_2) & \to & \mathsf{book}(x_1\,q_{\mathsf{e}}\,x_2) & (\mathsf{insert\ into}) \\ \mathsf{name}(x) & \to & \mathsf{name}(x)\,q_{\mathsf{h}} & (\mathsf{insert\ after}) \\ \mathsf{name}(x) & \to & q_1 \dots q_n & (\mathsf{replace/delete}), n \geq 0 \end{array}$$

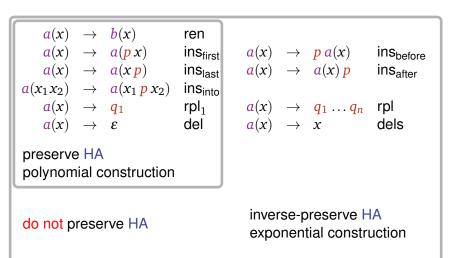
semantics of parametrized rewrite system \mathscr{R} :

- ► (possibly infinite) rewrite system *R*/*B* obtained by replacement of *q* in rhs by a (ground) tree in L(*B*,*q*).
- Different occurrences of *q* can be replaced by different trees.

see also [Gilleron 91 STACS], [Löding 02 STACS]

Forward and Backward Closure of Update Primitives

[JR 2010 PPDP]



Forward and Backward Closure of Update Primitives

HA preservation: by transformation of the horizontal languages (NFA) *L* in rules $a(L) \rightarrow q$.

ex: for $a(x) \to b(x) \in \mathscr{R}$ and $a(L) \to \mathbf{q} \in \mathscr{A}$, add $b(L) \to \mathbf{q}$ to \mathscr{A} .

ex: for $a(x) \rightarrow a(p x) \in \mathscr{R}$, and $a(L) \rightarrow q \in \mathscr{A}$, add a loop labeled with p on the initial state of L.

HA inverse-preservation: automata completion as before.

CF Hedge Automata

Non preservation of HA

linear & flat rewrite rules do not preserve HA.

RE rewrite closure

$$g(x q a y) \to g(x b q' y)$$

CF rewrite closure (simultaneously insert first and last)

 $c(x) \rightarrow c(a x b)$

CF rewrite closure (simultaneously insert and rename)

 $c_0(x) \rightarrow c_1(a x), c_1(x) \rightarrow c_0(x b)$

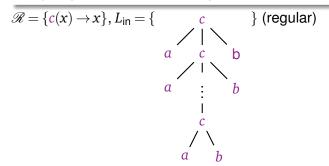
flat and right-ground rewrite rules do not preserve HA.

 $a(x) \rightarrow b a c$

collapsing rewrite rules do not preserve HA.

Non preservation of HA (collapse)

collapsing rewrite rules do not preserve HA.



 $\mathscr{R}^*(L_{in}) \cap a^* b^* = \{a^n b^n \mid n \ge 0\}$

The rewrite closure is a CF-HA language.

- all these examples are in contrast with the case of terms.
- an extension of HA is needed.

CF Hedge Automata [de la Higuera PhD], [Ohsaki 01 CSL]

$$\mathscr{A} = (\Sigma, Q, F, \Delta)$$
 with

- Σ ranked alphabet, every symbol has a fixed arity
- Q finite state set,
- $F \subseteq Q$ final states,
- Δ set of transitions $a(L) \rightarrow q$
 - $a \in \Sigma$, $q \in Q$,
 - L is a CF language over Q^* .

 Δ represents the (possible infinite) rewrite system

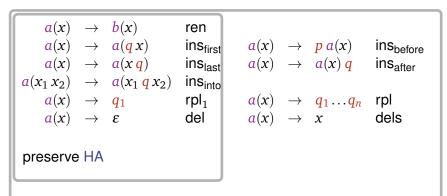
$$\Delta_{\infty} = \{a(q_1 \dots q_n) \to q \mid a(L) \to q \in \Delta, q_1 \dots q_n \in L\}$$

 $HA \equiv ranked tree automata$ CF-HA $\equiv ranked tree automata modulo A$

CF Hedge Automata: Main Properties

- closure of recognized languages under union
- no closure under intersection and complementation
- closure under intersection with HA
- membership $t \in L(\mathscr{A})$ is decidable in PTIME
- ► emptiness: L(𝒜) = Ø is decidable in PTIME (when horizontal languages are presented by CFG)

Forward Closure of Update Primitives [JR 2010 PPDP]



preserve CF-HA polynomial construction

inverse-preserve HA exponential construction

Verification of Inconsistency for Rule Based ACP

An ACP $\langle \mathscr{R}_+, \mathscr{R}_- \rangle$ is locally inconsistent for t if there exists u such that $t \xrightarrow{\mathscr{R}_-} u$ and $t \xrightarrow{*}_{\mathscr{R}_+} u$.

equivalently $\mathscr{R}^{1}_{-}(t) \cap \mathscr{R}^{*}_{+}(t) \neq \emptyset$.

[JR 2010 PPDP]

Local inconsistency is decidable in PTIME for update rules.

proof:

- compute a HA recognizing $\tau_{-} = \{u \mid t \xrightarrow{\mathscr{R}_{-}} u\}$
- compute a CF-HA recognizing $\tau_+ = \mathscr{R}^*_+(\{t\})$
- check that $\tau_{-} \cap \tau_{+} \neq \emptyset$.

CF-HA Preservation

[JR 2008 RTA] restricted CF rewrite rules $a(x) \rightarrow r$ with 1. *r* linear 2. *x* at depth ≤ 1 in *r* preserve CF-HA.

 $a(x) \rightarrow b(x), \quad a(x) \rightarrow a(\text{entry}(\text{name}(\text{Homer})), x)$

polynomial construction finite transformation of CF grammars for horizontal language.

Non-preservation of CF-HA

flat and non-linear CF rewrite rules do not preserve CF-HA .

with
$$g(x) \to g(xx)$$
 $g(a) \xrightarrow{*} g(a^{2^k})$

non-shallow CF rewrite rules do not preserve CF-HA .

with
$$a(x) \to b(a(x e))$$
 $a(c) \xrightarrow{*} \underbrace{b(\dots b(a(c e^n)))}_n$

CF² Hedge Automata

$\mathsf{CF}^2\mathsf{HA}$

transitions are rewrite rules!

 $\mathscr{A} = (\Sigma, Q, F, \Delta)$ with

- Σ ranked alphabet, every symbol has a fixed arity
- Q finite state set,
- $F \subseteq Q$ final states,
- Δ set of transitions of the form,

$$\begin{array}{rccc} a & \rightarrow & \boldsymbol{q} \\ p(\boldsymbol{x}) & \rightarrow & \boldsymbol{q}(\boldsymbol{x}) \\ p_1(\boldsymbol{x}) p_2 & \rightarrow & \boldsymbol{q}(\boldsymbol{x}) \\ p_1 p_2(\boldsymbol{x}) & \rightarrow & \boldsymbol{q}(\boldsymbol{x}) \\ p_1(p_2(\boldsymbol{x})) & \rightarrow & \boldsymbol{q}(\boldsymbol{x}) \end{array}$$

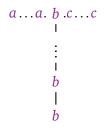
horizontal transitions

vertical transitions

where $p, p_1, p_2 \in Q \cup \Sigma$, $q \in Q$.

equivalently, x can be set to ε .

CF²HA: T-patterns



 $\langle \{a,b,c\}, \{ {\color{red} q_0, q_1, q_2}\}, \{ {\color{red} q_0}\}, \Delta
angle$ with

$$\Delta = \left\{ \begin{array}{ccc} b(x) & \to & q_2(x), & a q_1(x) & \to & q_0(x), \\ q_2(x) c & \to & q_1(x), & q_0(b(x)) & \to & q_2(x) \end{array} \right\}$$

...not a CF-HA language.

$CF^{2}HA \supset HA \text{ and } CF-HA$

CF-HA with variable-free transitions

$$\begin{array}{cccc} q_1 \, q_2 & \to & q \\ a(q_1) & \to & q_2 \\ a & \to & q \end{array}$$

where $a \in \Sigma$ and q_1, q_2, q are states.

HA with $Q = Q_h \uplus Q_v$, transitions:

$$\begin{array}{rcl} q_{\rm h} q_{\rm v} & \rightarrow & q'_{\rm h} \\ a(q_{\rm h}) & \rightarrow & q_{\rm h} \mid q_{\rm v} \\ a & \rightarrow & q_{\rm h} \mid q_{\rm v} \end{array}$$

where $a \in \Sigma$, $q_h, q'_h \in Q_h$, $q_v \in Q_v$.

Membership is decidable in PTIME for CF²HA.

dynamic programming procedure (CKY like)

Emptiness is decidable in PTIME for CF^2HA .

State marking with 2 marks.

CF²HA Preservation

[JR 2013 LATA]

CF rewrite rule $a(x) \rightarrow r$ where x

- is the only variable in r,
- ▶ has at most 1 occurrence in *r*,
- has no siblings in r. preserve CF^2HA .

example: T-patterns

$$\mathscr{R} = \left\{ \begin{array}{ccc} q_0(\mathbf{x}) \to a \, q_1(\mathbf{x}), & q_1(\mathbf{x}) \to q_2(\mathbf{x}) \, c, \\ q_2(\mathbf{x}) \to q_0(b(\mathbf{x})), & q_2(\mathbf{x}) \to b(\mathbf{x}) \end{array} \right\}$$
$$q_0 \quad \stackrel{*}{\xrightarrow{\mathscr{R}}} a \, q_1 \stackrel{*}{\xrightarrow{\mathscr{R}}} a \, q_2 \, c \stackrel{*}{\xrightarrow{\mathscr{R}}} a \, q_0(b) . c \stackrel{*}{\xrightarrow{\mathscr{R}}} a \, a \, q_1(b) \, c \\ \stackrel{*}{\xrightarrow{\mathscr{R}}} a \, a \, q_2(b) \, c \, c \stackrel{*}{\xrightarrow{\mathscr{R}}} a \, a \, q_0(b(b)) \, c \, c \stackrel{*}{\xrightarrow{\mathscr{R}}} \dots$$

CF²HA Preservation

Given a CF²HA $\mathscr{A}_{in} = \langle \Sigma, Q_{in}, F_{in}, \Delta_{in} \rangle$, we construct a CF²HA \mathscr{A} with state set

 $Q = Q_{\text{in}} \uplus \{ \frac{h}{a} \mid h \text{ non-var subhedge of a rhs of } \mathscr{R} \} \uplus \{ \frac{a}{a} \mid a \in \Sigma \} \uplus \{ \frac{q}{a} \}$

and transitions

1-childvar Condition

$$\mathscr{R} = \{a(\mathbf{x}) \to c \ a(e \ \mathbf{x} \ g) \ d\}$$

$$\mathscr{R}^*ig(\{a\}ig)=\{c^n\,a(e^n\,g^n)\,d^n\mid n\geq 1\}$$
 seemingly not CF²HA.

Forward Closure of Ext^d Update Primitives [JR 2013 LATA]

Given a fixed HA \mathscr{B} with state set Q

a (x)	\rightarrow	b(x)		node renaming	(ren)
a (x)	\rightarrow	$a(u_1 x u_2)$	$u_1, u_2 \in Q^*$	insertion of child nodes	(ins.c)
a (x)	\rightarrow	$v_1 a(x) v_2$	$v_1, v_2 \in Q^*$	insertion of sibling nodes	(ins.s)
a (x)	\rightarrow	b(a(x))		insertion of a parent node	(ins.p)
a (x)	\rightarrow	и	$u \in Q^*$	node replacement recursive deletion	(\mathbf{rpl})
a (x)	\rightarrow	x		node deletion	$({\rm del})$

preserve CF-HA.

The proof uses the CF^2HA presentation of CF-HA.

Loop-Free Update Rewrite Systems

 \mathscr{R} loop-free if there exists no sequence a_1, \ldots, a_n (n > 1) such that for all $1 \le i < n$, $a_i(x) \to a_{i+1}(x) \in \mathscr{R}$ and $a_1 = a_n$.

Transformation of an update rewrite system \Re into a loop-free update rewrite system $\hat{\Re}$ by selecting a representative \hat{a} of a in every a's loop and suppressing loops.

In the construction of an automaton for \mathscr{R}^* , it is sufficient to consider the rewrite closure by $\hat{\mathscr{R}}$.

Closure under Update Rewrite Systems

Rewrite closure of CF-HA is CF-HA for every loop-free update rewrite system.

Initialize, given CF-HA $\mathscr{A}_{in} = \langle \Sigma, Q_{in}, F_{in}, \Delta_{in} \rangle$

$$\Delta_0 = \Delta_{\text{in}} \quad \cup \quad \{ q_{a_1} \to q \} \\ \cup \quad \{ a_n (q^{a_1 \dots a_n}) \to q_{a_1 \dots a_n} \mid a_1, \dots, a_n \text{ is a renaming chain} \}$$

Completion

	\mathscr{R} contains	$\Delta_{i+1} = \Delta_i \cup$
(ren)	$a_n(\mathbf{x}) \to b(\mathbf{x})$	$\{q^{a_1\dots a_n} \to q^{a_1\dots a_n b} \mid q^{a_1\dots a_n b} \in Q\}$ $\cup \{q_{a_1\dots a_n} \to q_{a_1\dots a_n} \mid q_{a_1\dots a_n} \in Q\}$
(ins.c)	$a_n(\mathbf{x}) \to a_n(\mathbf{u} \mathbf{x} \mathbf{v})$	$ \cup \begin{array}{l} \left\{ q_{a_1\dots a_n b} \to q_{a_1\dots a_n} \mid q_{a_1\dots a_n b} \in Q \right\} \\ \left\{ u \ q^{a_1\dots a_n} \ v \to q^{a_1\dots a_n} \mid q^{a_1\dots a_n} \in Q \right\} \end{array} $
(ins.s)	$a_n(x) \rightarrow u a_n(x) v$	$\{u \ q_{a_1\dots a_n} \ v \to q_{a_1\dots a_n} \ \ q_{a_1\dots a_n} \in Q\}$
(ins.p)	$a_n(x) \to b(a_n(x))$	$\{b(q_{a_1\dots a_n})\to q_{a_1\dots a_n}\mid q_{a_1\dots a_n}\in Q\}$
(rpl)	$a_n(x) \rightarrow u$	$\{u ightarrow q_{a_1a_n} \mid q_{a_1a_n} \in Q\}$
(del)	$a_n(x) \rightarrow x$	$\{q^{a_1a_n} ightarrow q_{a_1a_n}\mid q^{a_1a_n}\in Q\}$

Synchronized rename and insert

$$\mathscr{R} = \{a(x) \to c \ a(e \ x \ g) \ d\}$$

$$\mathscr{R}^*(\{a\}) = \{c^n a(e^n g^n) d^n \mid n \ge 1\}$$

$$\mathscr{R}' = \left\{ \begin{array}{rrr} a(x) & \to & c \ a'(x) \ d, & \text{inv-monadic, 1-childvar} \\ & & \in (\text{ins.s}) + (\text{ren}) \\ a'(x) & \to & a(e \ x \ g) & \notin \text{1-childvar} \\ & & \in (\text{ins.c}) + (\text{ren}) \end{array} \right\}$$

Conclusion

- decidable models CF-HA, CF²HA of unranked ordered tree recognizers extending hedge automata
- captures forward/backward rewrite closure under
 - various families of unranked tree rewrite systems with (inverse-) CF rules
 - parametric rewrite systems modeling update primitives

Perspectives

- case of unranked unordered trees
- counting constraints on horizontal and vertical paths

... Thank You!