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# Name-passing calculi: from fusions to preorders and types (Appendix)

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## APPENDIX

### A. Reduction-closed barbed congruence

**Definition 1** (Reduction-closed barbed congruence). *Let  $\mathcal{L}$  be a process calculus, in which a reduction relation  $\longrightarrow_{\mathcal{L}}$  and barb predicates  $\downarrow_a^{\mathcal{L}}$ , for each  $a$  in a given set of names, have been defined.*

*A relation  $\mathcal{R}$  on the processes of  $\mathcal{L}$  is context-closed if  $PRQ$  implies  $C[P]RC[Q]$ , for each context  $C$  of  $\mathcal{L}$ ; the relation is barb-preserving if for any name  $a$ ,  $P \downarrow_a^{\mathcal{L}}$  implies  $Q \downarrow_a^{\mathcal{L}}$ ; it is reduction-closed if whenever  $P \longrightarrow_{\mathcal{L}} P'$ , there is  $Q'$  s.t.  $Q \longrightarrow_{\mathcal{L}} Q'$  and  $P'RQ'$ .*

*Then reduction-closed barbed congruence in  $\mathcal{L}$ , written  $\simeq_{\mathcal{L}}$ , is the largest symmetric relation on the processes of  $\mathcal{L}$  that is context-closed, reduction-closed, and barb-preserving.*

### B. Proofs of impossibility results

**Statement of Theorem ??:** *A typed calculus with fusions that is plain and supports narrowing has trivial subtyping.*

*Proof Sketch:* We define the following active context:

$$E \triangleq (\nu cb)(\bar{u}b \mid uc \mid \bar{v}a \mid vc \mid [\cdot]) .$$

Note that in  $E$  we only use  $b$  as an output object. The intention is that, given some process  $P$ , and  $u, v, c$  some fresh names,  $E[P]$  should reduce to  $P\{a/b\}$ . Indeed, by applying hypothesis (??) twice, we have

$$E[P] = (\nu bc)(\bar{u}b \mid \bar{v}a \mid uc \mid vc \mid P) \quad (1)$$

$$\implies (\nu b)(\bar{v}a \mid vb \mid P\{b/c\}) \quad (2)$$

$$= (\nu b)(\bar{v}a \mid vb \mid P) \quad (3)$$

$$\implies P\{a/b\} . \quad (4)$$

Suppose  $U \leq T$ , we show  $\Gamma, a : T \vdash P$  iff  $\Gamma, a : U \vdash P$ . The implication from left to right is narrowing. To prove the right to left implication, suppose  $\Gamma, a : U \vdash P$ , and prove  $\Gamma, a : T \vdash P$ . By injective name substitution we have  $\Gamma, b : U \vdash P\{b/a\}$  for some fresh  $b$ .

In the typing environment  $\Gamma, b:U, u:\sharp T, v:\sharp T, c:T, a:T$  the process  $\bar{u}b$  is well-typed thanks to narrowing and weakening, hence so is  $(\bar{u}b \mid uc \mid \bar{v}a \mid vc \mid P\{b/a\})$ . By the restriction rule we get  $\Gamma, a:T, u:\sharp T, v:\sharp T \vdash E[P\{b/a\}]$ , the latter reducing to  $P\{b/a\}\{a/b\}$  by (4). Since  $b$  has been taken fresh,  $P\{b/a\}\{a/b\} = P$ . Hence, by Subject Reduction,  $\Gamma, a:T, u:\sharp T, v:\sharp T \vdash P$ . We finally deduce  $\Gamma, a : T \vdash P$  by Strengthening.  $\blacksquare$

**Statement of Theorem ??:** *Suppose a typed calculus with fusions is plain and there is at least one prefix  $\alpha$  with object  $b$ , different from the subject, and there are two types  $S$  and  $T$  such that  $S \leq T$  and one of the following forms of narrowing holds for all  $\Gamma$ :*

- 1) *whenever  $\Gamma, b : T \vdash \alpha. \mathbf{0}$ , we also have  $\Gamma, b : S \vdash \alpha. \mathbf{0}$ ;*
- 2) *whenever  $\Gamma, b : S \vdash \alpha. \mathbf{0}$ , we also have  $\Gamma, b : T \vdash \alpha. \mathbf{0}$ .*

*Then  $S$  and  $T$  are interchangeable in all typing judgements.*

*Proof Sketch:* For all  $\Delta$  we prove that  $\Delta, x : T \vdash P$  iff  $\Delta, x : S \vdash P$ . Let  $x_1, x_2, a_1$  and  $a_2$  be fresh names.

$$\Delta_i \stackrel{\text{def}}{=} \Delta, x_i : T, x_{3-i} : S$$

We will prove that  $\Delta_i \vdash P\{x_1/x\}$  implies  $\Delta_i \vdash P\{x_2/x\}$  for all  $i \in \{1, 2\}$ . From there it is enough to conclude using weakening, strengthening and injective substitutions. We use  $D = \bar{a}_1x_1 \mid \bar{a}_2x_2 \mid a_1y \mid a_2y$  to simulate a substitution:

$$(\nu x_1y)(D \mid P\{x_1/x\}) \Rightarrow P\{x_2/x\}$$

We have to prove that  $\Delta' = \Delta_i, a_1 : T_{a_1}, a_2 : T_{a_2}, y : T_y \vdash D$  for some types  $T_{a_1}, T_{a_2}, T_y$ . We note  $a$  the subject of  $\alpha$ . Using the plainness of the subtyping, we can suppose that  $a$  is any of  $a_1$  or  $a_2$  and that  $b$  is any of  $x_1, x_2$  or  $y$ , so to apply the hypothesis on different cases. There are eight subcases, along the cases from the hypothesis,  $i$ , and the form of  $\alpha$ .

- (1),  $i = 1$ ,  $\alpha = \bar{a}_2x_2$ :  $T_{a_1} = T_{a_2} = \sharp T, T_y = T$ ;
- (1),  $i = 1$ ,  $\alpha = a_1y$ :  $T_{a_1} = \sharp T, T_{a_2} = \sharp S, T_y = S$ ;
- (2),  $i = 1$ ,  $\alpha = \bar{a}_1x_1$ :  $T_{a_1} = T_{a_2} = \sharp S, T_y = S$ ;
- (2),  $i = 1$ ,  $\alpha = a_2y$ :  $T_{a_1} = \sharp T, T_{a_2} = \sharp S, T_y = T$ ;
- (1),  $i = 2$ ,  $\alpha = \bar{a}_2x_2$ :  $T_{a_1} = T_{a_2} = \sharp T, T_y = T$ ;
- (1),  $i = 2$ ,  $\alpha = a_2y$ :  $T_{a_1} = \sharp S, T_{a_2} = \sharp T, T_y = S$ ;
- (2),  $i = 2$ ,  $\alpha = \bar{a}_1x_1$ :  $T_{a_1} = T_{a_2} = \sharp S, T_y = S$ ;

- (2),  $i = 2$ ,  $\alpha = a_1y$ :  $T_{a_1} = \sharp S$ ,  $T_{a_2} = \sharp T$ ,  $T_y = T$ .

In all these cases we prove that  $\Delta' \vdash D$  using plainness and the hypothesis on  $\alpha$ . Plainness also give us  $\Delta' \vdash P\{x_1/x\}$ . We use rules from (??) and Subject Reduction to get that  $\Delta' \vdash P\{x_2/x\}$  from which strengthening is enough to conclude. ■

### C. Structural congruence in $\pi P$

**Definition 2** (Structural congruence). *Structural congruence on  $\pi P$ , written  $\equiv$ , is the smallest congruence containing the associativity and commutativity of  $|$  and the following rules:*

$$P \mid \mathbf{0} \equiv P \quad \nu a \mathbf{0} \equiv \mathbf{0} \quad \nu a \nu b P \equiv \nu b \nu a P$$

$$\nu a(P \mid Q) \equiv (\nu a P) \mid Q \text{ if } a \notin \text{fn}(Q)$$

### D. Alternative definition of $\Upsilon$

Given an active context  $E$ , the set of *captured names* of  $E$ ,  $\text{cn}(E)$ , is defined as follows:  $c \in \text{cn}(E)$  iff the hole occurs in the scope of a restriction on  $c$  in  $E$  ( $\text{cn}(E)$  is included in the set of names that are bound in  $E$ , but might be distinct from it).

**Definition 3** (Reachability / Joinability of names). *We introduce  $\varphi ::= a \leq b \mid a \Upsilon b$  in which  $a \leq b$  is read “ $b$  is reachable from  $a$ ”, and  $a \Upsilon b$  is read “ $a$  and  $b$  are joinable”. In both cases, we have  $\text{n}(\varphi) = \{a, b\}$ . We first define a judgement  $\varphi_1, \varphi_2 \vdash \varphi$ , as follows:*

$$\frac{}{a \leq b, b \leq c \vdash a \leq c} \quad \frac{}{a \leq c, b \leq c \vdash a \Upsilon b}$$

$$\frac{}{a \Upsilon b, c \leq a \vdash c \Upsilon b} \quad \frac{}{a \Upsilon b, c \leq b \vdash a \Upsilon c} \quad \frac{\varphi_1, \varphi_2 \vdash \varphi}{\varphi_2, \varphi_1 \vdash \varphi}$$

We exploit this judgement to define how  $a \leq b$  and  $a \Upsilon b$  can be derived according to a process, or to an active context (we use  $A ::= P \mid E$ ):

$$\frac{\text{REFL}}{A \triangleright a \leq a} \quad \frac{\text{DEDUCT}}{A \triangleright \varphi_1 \quad A \triangleright \varphi_2 \quad \varphi_1, \varphi_2 \vdash \varphi}{A \triangleright \varphi}$$

Then we define  $\triangleright$  for processes:

$$\frac{}{b/a \triangleright a \leq b} \quad \frac{P \triangleright \varphi}{P \mid R \triangleright \varphi} \quad \frac{P \triangleright \varphi}{R \mid P \triangleright \varphi}$$

$$\frac{P \triangleright \varphi \quad a \notin \text{n}(\varphi)}{(\nu a)P \triangleright \varphi}$$

and for contexts (symmetrically for  $E \mid P$ ):

$$\frac{P \triangleright \varphi \quad \text{n}(\varphi) \cap \text{cn}(E) = \emptyset}{P \mid E \triangleright \varphi} \quad \frac{E \triangleright \varphi}{P \mid E \triangleright \varphi} \quad \frac{E \triangleright \varphi}{(\nu a)E \triangleright \varphi}$$

**Lemma 4.** *If  $P$  is a  $\pi P$  process, the relation  $\leq_P$  defined by  $\{(a, b) \mid P \triangleright a \leq b\}$  is a preorder.*

*Proof:* Thanks to the rule REFL,  $\leq_P$  is reflexive and thanks to the rule DEDUCT and the fact that  $a \leq b, b \leq c \vdash a \leq c$ ,  $\leq_P$  is transitive, hence it is a preorder. ■

### E. Coincidence of eager and by-need equivalences in $\pi P1$

**Statement of Theorem ??:**  $\approx_{\pi P1bn} = \approx_{\pi P1ea}$ .

*Proof Sketch:* The result follows from reflexivity of a relation we define below, between processes in the eager semantics and processes in the by-need semantics.

**Lemma 5.** *For  $P \in \pi P1$ , we write  $Eq(P)$  for the relation between names defined by  $Eq(P)(a, b)$  iff  $P \triangleright a \Upsilon b$ .*

*Then  $Eq(P)$  is an equivalence relation.*

Let  $\mathcal{R}$  be the relation such that  $P \mathcal{R} Q$  iff

$$P, Q \in \pi P1 \wedge Eq(P) = Eq(Q) = \varphi \wedge P =_{\varphi} Q$$

where  $P =_{\varphi} Q$  iff  $P$  is obtained from  $Q$  by replacing some subjects in active prefixes with names related by  $Eq(P)$ .

We prove that  $P \mathcal{R} Q$  entails the following:

- 1) if  $C[P], C[Q] \in \pi P1$  then  $C[P] \mathcal{R} C[Q]$ ,
- 2)  $P \Downarrow_a^{\text{ea}}$  iff  $Q \Downarrow_a^{\text{bn}}$ ,
- 3) if  $P \Longrightarrow_{\text{ea}} P'$  then  $Q \Longrightarrow_{\text{bn}} Q'$  with  $P' \mathcal{R} Q'$ ,
- 4) if  $Q \Longrightarrow_{\text{bn}} Q'$  then  $P \Longrightarrow_{\text{ea}} P'$  with  $P' \mathcal{R} Q'$ .

We call the union of relations satisfying these properties the *eager/by-need weak reduction-closed barbed congruence* for  $\pi P1$ , written  $\overset{\text{ea}}{\underset{1}{\approx}} \overset{\text{bn}}{\underset{1}{\approx}}$ .

- 1)  $\mathcal{R}$  is clearly context-closed in  $\pi P1$ .
- 2)  $P \Downarrow_a^{\text{bn}}$  implies  $P \Downarrow_a^{\text{ea}}$  as each arc involved in the joinability condition generates a  $\longrightarrow_{\text{ea}}$  reduction, and  $P \Downarrow_a^{\text{ea}}$  implies  $P \Downarrow_a^{\text{bn}}$ , as  $P \longrightarrow_{\text{ea}} P'$  implies  $P \longrightarrow_{\text{bn}} P'$ .
- 3) By induction we suppose  $P \longrightarrow_{\text{ea}} P'$ . If this is a renaming then  $P =_{\varphi} P'$ . If this is a communication then the corresponding subjects are equated by  $\varphi$  in  $Q$ , which means they are joinable i.e. the by need reduction is possible.
- 4) Again we suppose  $Q \longrightarrow_{\text{bn}} Q'$ , with a communication on  $a$  and  $b$  with  $a \Upsilon b$ . The corresponding names  $a', b'$  in  $P$  are such that  $a' \Upsilon a \Upsilon b \Upsilon b'$  i.e.  $a' \Upsilon b'$  so  $a'$  and  $b'$  can be rewritten into a common name, letting the communication happen.

Since  $\mathcal{R} \subseteq \overset{\text{ea}}{\underset{1}{\approx}} \overset{\text{bn}}{\underset{1}{\approx}}$ , for all  $P \in \pi P1$  we have  $P \overset{\text{ea}}{\underset{1}{\approx}} \overset{\text{bn}}{\underset{1}{\approx}} P$  which implies that  $P \approx_{\pi P1bn} Q$  iff  $P \approx_{\pi P1ea} Q$ . ■

### F. The Fusion calculus

**Definition 6.** *The syntax of the polyadic Fusion calculus [6] without matching and choice is the following. Structural congruence is defined as usual (Definition 2).*

$$P ::= \mathbf{0} \mid P \mid P \mid \tilde{a}\tilde{x}.P \mid a\tilde{x}.P \mid \nu a P$$

We follow the reduction semantics of the Fusion calculus, from [22]. The side conditions for (5) are that  $\tilde{x}$  and  $\tilde{y}$  are of the same arity, that  $\text{dom}(\sigma) = \tilde{z}$  and that  $\sigma(x_i) = \sigma(y_i)$ . Note that (??), from Section ??, holds.

$$\frac{P \equiv P_1 \quad P_1 \rightarrow_F Q_1 \quad Q_1 \equiv Q}{P \rightarrow_F Q} \quad \frac{P \rightarrow_F Q}{E[P] \rightarrow_F E[Q]}$$

$$(\nu \tilde{z})(R \mid a\tilde{x}.P \mid \tilde{a}\tilde{y}.Q) \rightarrow_F (R \mid P \mid Q)\sigma \quad (5)$$

## G. Auxiliary results

a) Results involving name preorders:

**Lemma 7.** *If  $P \triangleright a \curlywedge b$  and  $\{a, b\} \subseteq \text{fn}(P)$ , then  $P \equiv P'$  implies  $P' \triangleright a \curlywedge b$ .*

*Proof:* The predicate  $P \triangleright \varphi$  only depends on the occurrences of arcs in  $P$ ; those occurrences are trivially preserved by structural congruence, except that to keep track of alpha-conversion one must consider that  $P$ 's binders also bind  $\varphi$ 's names. Hence the statement only holds for free names. ■

**Lemma 8.** *If  $P \simeq_{\text{bn}} Q$  and  $P \triangleright a \curlywedge b$ . Then  $Q \triangleright a \curlywedge b$ .*

*Proof:* We characterise joinability using the context  $E = (- \mid \bar{a}.f \mid b.g)$  where  $f$  and  $g$  are fresh: we easily prove that  $R \triangleright a \curlywedge b$  iff  $E[R] \longrightarrow_{\text{bn}} R_1$  where  $R_1 \downarrow_f^{\text{bn}}$  and  $R_1 \downarrow_g^{\text{bn}}$ . By definition of  $\simeq_{\text{bn}}$  we know that  $E[P] \simeq_{\text{bn}} E[Q]$  and we conclude playing the bisimulation game of  $\simeq_{\text{bn}}$ . ■

**Lemma 9.** *If  $P \mathcal{R} Q$  and  $\mathcal{R}$  preserves  $\curlywedge$  and parallel composition of arcs (in particular if  $\mathcal{R}$  is a  $\sim_{\text{bn}}$ -relation), then  $P \triangleright a \leq b$  iff  $Q \triangleright a \leq b$ .*

*Proof:* Let  $P$  and  $Q$  be processes and  $f$  be a fresh name. Then  $P \triangleright a \leq b$  iff  $(P \mid f/b) \triangleright a \curlywedge f$  and similarly for  $Q$ . Thanks to the second hypothesis on  $\mathcal{R}$  we have  $(P \mid f/b) \mathcal{R} (Q \mid f/b)$  and we conclude with the second one. ■

b) Basic tools: Prefixes delimit the action of structural congruence.

**Lemma 10.** *Suppose  $\pi_1, \pi_2$  are prefixes.*

- 1) *If  $E[\pi_1.P_1] \equiv P'$  then there exist  $E'$  and  $P'_1$  such that  $P_1 \equiv P'_1$ ,  $P' = E'[\pi_1.P'_1]$  and  $E \triangleright a \curlywedge b$  iff  $E' \triangleright a \curlywedge b$ . Moreover for all  $Q_1$  such that all names of  $\text{fn}(Q_1)$  are either in  $\text{fn}(P_1)$  or not captured by  $E$  then the latter are not captured by  $E'$  and  $E[Q_1] \equiv E'[Q_1]$ .*
- 2) *If  $G[\pi_1.P_1][\pi_2.P_2] \equiv P'$  then there exist  $G', P'_1$  and  $P'_2$  such that  $P_1 \equiv P'_1$ ,  $P_2 \equiv P'_2$  and  $P' = G'[\pi_1.P_1][\pi_2.P_2]$  or  $P' = G'[\pi_2.P_2][\pi_1.P_1]$  and  $G \triangleright a \curlywedge b$  iff  $G' \triangleright a \curlywedge b$ .*

*Proof:* Structural congruence can act under prefixes only using the fact that  $\equiv$  is a congruence, i.e. using the rule “if  $P \equiv P'$  then  $C[P] \equiv C[P']$ ” for some arbitrary context  $C$  containing a prefix. For this rule we work an induction on  $C$  to get the same cutting as  $E[\pi_1.P_1]$ ; all the other rules deriving  $\equiv$  are handled by the corresponding case analysis on the context  $E$ . Note that the statement also holds when  $E$  is an arbitrary context. ■

**Lemma 11.** *If  $P \equiv Q$  then  $P \sim_{\text{bn}} Q$ .*

*Proof:* We show that  $\equiv$  is a  $\sim_{\text{bn}}$ -bisimulation. (The proof is not by induction over the derivation of  $P \equiv Q$  because the fact that  $\equiv$  is a congruence is not easy to handle.) The clauses 1), 2), 4) are easy – respectively handled by the fact that  $\equiv$  is a congruence, Lemma 7 and the fact that  $\equiv$  is symmetric – as is the clause 3) when  $\mu = \tau$  – since  $\xrightarrow{\tau}_{\text{bn}} = \longrightarrow_{\text{bn}}$  is stable by  $\equiv$ . For the remaining labels we examine the case where  $\mu = \hat{b}d$ ,

the other case being similar. We know that  $P = E[ac.P_1]$  with  $E \triangleright a \curlywedge b$  and  $P' = E[d/c \mid P_1]$ . We use Lemma 10 to get  $Q = E'[ac.P_1]$  which implies  $Q \xrightarrow{\hat{b}d} E'[d/c \mid P_1] \equiv P'$ . ■

c) Proof techniques:

**Definition 12** (By-need bisimulation up to  $\sim_{\text{bn}}$  and restriction). *A relation  $\mathcal{R}$  is a by-need bisimulation up to  $\sim_{\text{bn}}$  and restriction if  $P \mathcal{R} Q$  implies:*

- 1)  $P \mid a/b \mathcal{R} Q \mid a/b$ , for all names  $a, b$ ;
- 2) if  $a$  and  $b$  appear free in  $P$ , then  $P \triangleright a \curlywedge b$  implies  $Q \triangleright a \curlywedge b$ ;
- 3) if  $P \xrightarrow{\mu}_{\text{bn}} P'$  (where the object part of  $\mu$  is fresh, whenever  $\mu \neq \tau$ ), then  $Q \xrightarrow{\mu}_{\text{bn}} Q'$  and there are  $P'', Q'', \tilde{x}$  s.t.  $P' \sim_{\text{bn}} \nu \tilde{x} P''$ ,  $Q' \sim_{\text{bn}} \nu \tilde{x} Q''$ , and  $P'' \mathcal{R} Q''$ ,
- 4) the converse of clauses (2) and (3).

**Lemma 13.** *If  $\mathcal{R}$  is a by-need bisimulation up to  $\sim_{\text{bn}}$  and restriction then  $\mathcal{R} \subseteq \sim_{\text{bn}}$ .*

H. Soundness of  $\sim_{\text{bn}}$

We now move to the proof that  $\sim_{\text{bn}}$  is a congruence. What is missing is closure by parallel composition, which is rather delicate. This is because we defined the semantics of  $\tau$ -actions with a reduction semantics. (The standard schema is to define a pure SOS semantics, show that it coincides with the reduction semantics, and then work with the SOS.)

For the proof of congruence we introduce *communication contexts*. These are, intuitively, the composition of two active contexts, one used for an input, the other for an output; such input and output may produce a  $\tau$ -action. Communication contexts, ranged over by  $G$ , have two holes, each occurring exactly once.

$$G ::= P \mid G \mid G \mid P \mid \nu a G \mid E_1 \mid E_2 .$$

By convention the leftmost hole is the first one, the other is the second one. We write  $P = G[ac.Q][\bar{b}d.R]$  if  $P$  is obtained from  $G$  with  $ac.Q$ , and the second hole with  $\bar{b}d.R$ .

Communication contexts can be used to decompose a  $\xrightarrow{\tau}_{\text{bn}}$  transition:

**Lemma 14.** *Suppose  $P \xrightarrow{\tau}_{\text{bn}} P'$  (that is,  $P \longrightarrow_{\text{bn}} P'$ ). Then one of the following statements holds:*

- $P = G[\bar{a}b.Q][cd.R]$  and  $P' \sim_{\text{bn}} \nu f (G[b/f \mid Q][f/d \mid R])$ ,
- $P = G[cd.R][\bar{a}b.Q]$  and  $P' \sim_{\text{bn}} \nu f (G[f/d \mid R][b/f \mid Q])$ ,

where  $P \triangleright a \curlywedge c$  and  $f$  is fresh.

*Proof:* The two cases are similar, the main difficulty is to keep track of the structural congruence operations. If  $P \longrightarrow_{\text{bn}} P'$  it means that,  $P \equiv E[\bar{a}b.Q_1 \mid ac.R_1]$  and  $P' \equiv E[b/c \mid Q_1 \mid R_1]$ . From the first relation we can get  $G$  such that  $P = G[\bar{a}b.Q][cd.R]$  (with  $G \triangleright a \curlywedge c$ ,  $Q \equiv Q_1$  and  $R \equiv R_1$ ), ignoring the symmetric case for which the output is the left argument of  $G$ . We extract the potential restrictions  $\nu \hat{b}$  and  $\nu \hat{d}$  ( $\hat{b} = \emptyset$  if  $b$  is not bound and  $\hat{b} = \{b\}$  if is captured by  $G$ ) from  $G$ , yielding the much alike context  $G'$  (and  $G \equiv (\nu \hat{b} \hat{d})G'$ ).

The interesting part is that we can write the reduction with the arc at the top, then use Lemma 17 and then structural congruence to put back  $b$  and  $d$  inside  $G$ .

$$\begin{aligned}
P &\equiv (\nu \hat{b} \hat{d}) G'[\bar{a}b. Q][cd. R] \\
&\longrightarrow_{\text{bn}} (\nu \hat{b} \hat{d})(b/d \mid G'[Q][R]) \\
&\sim_{\text{bn}} (\nu \hat{b} \hat{d})((\nu f)(b/f \mid f/d) \mid G'[Q][R]) \\
&\equiv (\nu f)(\nu \hat{b} \hat{d})(G'[b/f \mid Q][f/d \mid R]) \\
&\equiv (\nu f)G[b/f \mid Q][f/d \mid R] .
\end{aligned}$$

To conclude we need to relate this last process to  $P'$  which is done by proving that  $E[b/d \mid Q_1 \mid R_1] \equiv (\nu \hat{b} \hat{d})(b/d \mid G'[Q][R])$ , which is done by keeping tracks of the derivation of  $E[\bar{a}b. Q_1 \mid cd. R_1] \equiv P$ . ■

**Lemma 15.** *Suppose  $Q \xrightarrow{bf}_{\text{bn}} Q'$  and suppose  $b$  is not captured by  $E$ . Then  $Q \mid E[\bar{b}d. R_1] \xrightarrow{\tau}_{\text{bn}} \sim_{\text{bn}} \nu f(E[d/f \mid R_1])$ .*

**Lemma 16** (Congruence for restriction). *If  $P \sim_{\text{bn}} Q$  then for all  $c$ ,  $\nu cP \sim_{\text{bn}} \nu cQ$ .*

*Proof:* Given a relation  $\mathcal{R}$ , we define

$$(\mathcal{R})^{\text{Sub}} = \{(P \mid \sigma, Q \mid \sigma). PRQ \text{ and } \sigma \text{ is a parallel composition of arcs}\} .$$

We show that  $(\{\nu cP, \nu cQ\}, P \sim_{\text{bn}} Q)^{\text{Sub}}$  is a bisimulation up to  $\equiv$ . This is a consequence of the following observations:

- For any  $u, v, c, P$  such that  $\{u, v\} \subseteq \text{fn}(P)$  and  $c \notin \{u, v\}$ , we have  $P \triangleright u \Upsilon v$  iff  $\nu cP \triangleright u \Upsilon v$ .
- The visible transitions of our labelled transition system do not involve name extrusion, and we have that  $P \xrightarrow{\alpha}_{\text{bn}} P'$  iff  $\nu cP \xrightarrow{\alpha}_{\text{bn}} \nu cP'$  for  $c \notin \text{n}(\alpha)$ .
- Suppose now  $\nu cP \xrightarrow{\tau}_{\text{bn}} P'$ . This means  $P \xrightarrow{\tau}_{\text{bn}} P_0$  for some  $P_0$  s.t.  $P' \equiv \nu cP_0$ . But then  $Q \xrightarrow{\tau}_{\text{bn}} Q_0$ ,  $P_0 \sim_{\text{bn}} Q_0$  and  $\nu cQ \xrightarrow{\tau}_{\text{bn}} \nu cQ_0$ . ■

**Lemma 17** (Transitivity of arcs). *For all active context  $E$  we have:  $E[a/c] \sim_{\text{bn}} E[\nu b(a/b \mid b/c)]$ .*

*Proof:* Let  $\mathcal{R}$  be the corresponding relation. We show that  $\mathcal{R}$  is a  $\sim_{\text{bn}}$ -bisimulation up to  $\equiv$ . Of course the relation is stable by parallel composition of arcs, since  $E$  can be an arbitrary active context. Concerning the  $\Upsilon$  condition, the left-to-right implication is rather clear. From right to left, we must prove that we cannot get more from  $\nu b(a/b \mid b/c)$  than from  $a/c$  which is achieved by the restriction  $\nu b$ . Now concerning the transitions we know from the  $\Upsilon$  condition that the same names will be joinable through the preorder, independently of  $\equiv$  or the context. The resulting processes will still stay in  $\mathcal{R}$ , up to  $\equiv$ . ■

**Lemma 18** (Congruence for parallel composition). *If  $P \sim_{\text{bn}} Q$  then also  $P \mid R \sim_{\text{bn}} Q \mid R$ .*

*Proof (Sketch):* **Special case:** we first suppose that all arcs in  $R$  occur under at least one prefix. We show that

$\{(P \mid R, Q \mid R), P \sim_{\text{bn}} Q \text{ and } R \text{ does not contain active arcs}\}$  by contexts. ■

is a bisimulation up to restriction and up to bisimilarity.

Suppose then  $P \mid R \xrightarrow{\tau}_{\text{bn}} U$ , in which both  $P$  and  $R$  contribute (the other possibilities are easier).

Suppose  $P$  makes the input (the case of output is symmetric). In this case we have, using Lemma 14:

$$P = E[ac. P_1] \quad R = F[\bar{b}d. R_1]$$

where  $E \triangleright a \Upsilon b$  (since  $R$  has no arc) and with  $P' = E[f/c \mid P_1]$  and  $R' = F[d/f \mid R_1]$ :

$$U \sim_{\text{bn}} \nu f(P' \mid R') .$$

Using rule EN-INP, we also have  $P \xrightarrow{bf}_{\text{bn}} P'$ . Hence, since  $P \sim_{\text{bn}} Q$ ,  $Q \xrightarrow{bf}_{\text{bn}} Q'$  and  $P' \sim_{\text{bn}} Q'$  for some  $Q'$ , which gives  $Q' = E'[a'c'. Q_1]$  for some  $a'$  s.t.  $E' \triangleright a' \Upsilon b$ , and  $Q' = E'[f/c' \mid Q_1]$ . From this, Lemma 15 gives us directly:

$$Q \mid R \xrightarrow{\tau}_{\text{bn}} \sim_{\text{bn}} \nu f(Q' \mid R')$$

We can now extract the arc from  $R'$ :

$$R' \equiv \nu \tilde{n}(R'' \mid \sigma) ,$$

where  $\sigma$  is a parallel composition of arcs and  $R''$  contains no active arc. We then have

$$P' \mid R' \equiv (\nu \tilde{n})(P' \mid \sigma \mid R'') ,$$

and similarly for  $Q' \mid R'$ . We can conclude by remarking that  $P' \sim_{\text{bn}} Q'$  entails  $P' \mid \sigma \sim_{\text{bn}} Q' \mid \sigma$ , and using up to restriction to remove the topmost restrictions.

**General case:** Consider now the case where  $R$  is an arbitrary process. We reason by induction on  $R$ , to show that  $P \sim_{\text{bn}} Q$  implies  $P \mid R \sim_{\text{bn}} Q \mid R$ . The cases where  $R$  is a prefixed process or  $R = \mathbf{0}$  are treated by the result above.

The case where  $R = u/v$  holds by definition of  $\sim_{\text{bn}}$ :  $P \sim_{\text{bn}} Q$  implies  $P \mid u/v \sim_{\text{bn}} Q \mid u/v$ .

If  $R = R_1 \mid R_2$ , then by induction  $P \mid R_1 \sim_{\text{bn}} Q \mid R_1$ , which gives, by induction again,  $(P \mid R_1) \mid R_2 \sim_{\text{bn}} (Q \mid R_1) \mid R_2$ , hence the result by associativity of  $\mid$ .

Suppose now  $R = \nu cR'$ . We can suppose w.l.o.g.  $c \notin \text{fn}(P) \cup \text{fn}(Q)$ . Then by induction  $P \mid R' \sim_{\text{bn}} Q \mid R'$ , which gives, by Lemma 16,  $(\nu c)(P \mid R') \sim_{\text{bn}} (\nu c)(Q \mid R')$ . Lemma 11 gives  $(\nu c)(P \mid R') \sim_{\text{bn}} P \mid \nu cR'$ , and similarly for  $Q$ , hence  $P \mid R \sim_{\text{bn}} Q \mid R$ . This concludes the proof. ■

**Statement of Theorem ??:** *Bisimilarity is a congruence.*

*Proof:* Follows from Lemmas 16 and 18, closure by prefixes being immediate. ■

**Theorem 19** (Soundness). *If  $P \sim_{\text{bn}} Q$  then  $P \simeq_{\text{bn}} Q$ .*

*Proof:* Preservation of fresh barbs: when  $f$  does not appear in any arc,  $P \downarrow_f^{\text{bn}}$  is equivalent to  $P \xrightarrow{\alpha}$  where  $\alpha$  is an input or output label with subject  $f$ .

Preservation of general barbs:  $P \downarrow_a^{\text{bn}}$  is equivalent to  $(P \mid \alpha. f) \xrightarrow{\tau}_{\text{bn}} \downarrow_f^{\text{bn}}$  for some  $\alpha$  whose subject is  $a$ .

Closure under reduction holds trivially since  $\longrightarrow_{\text{bn}}$  coincides with  $\xrightarrow{\tau}_{\text{bn}}$  and finally, Theorem ?? guarantees closure

by contexts. ■

### I. Completeness of $\sim_{\text{bn}}$

For a prefix  $\alpha$  we write  $\bar{\alpha}$  for the dual prefix, i.e.  $\bar{\bar{a}b} = ab$  and  $\bar{\bar{a}b} = \bar{a}b$ . Any prefix  $\alpha$  can be also seen as a label.

**Lemma 20.** *Let  $P$  and  $P'$  be processes and  $f$  a name fresh w.r.t.  $P$  and such that  $P' \not\downarrow_f^{\text{bn}}$ . Then  $P \xrightarrow{\alpha}_{\text{bn}} \equiv P'$  if and only if there exists a process  $P_1$  such that  $P_1 \downarrow_f^{\text{bn}}$  and*

$$P \mid \bar{\alpha}.(\bar{f} \mid f) \xrightarrow{\text{bn}} P_1 \xrightarrow{\text{bn}} P' .$$

*Proof:* Let us consider the case where  $\alpha$  is an input prefix  $bd$ , the output case being similar.

*Left to right:* since  $\xrightarrow{\text{bn}}$  is stable by  $\equiv$  we directly suppose that  $P \xrightarrow{\alpha}_{\text{bn}} P'$ . Then  $P = E[ac.Q]$  with  $E \triangleright a \gamma b$  and  $P' = E[d/c \mid Q]$ . Then

$$\begin{aligned} P_\alpha &\stackrel{\text{def}}{=} P \mid \bar{\alpha}.(\bar{f} \mid f) \\ &\equiv E[ac.Q \mid \bar{b}d.(\bar{f} \mid f)] \\ &\xrightarrow{\text{bn}} E[d/c \mid Q \mid \bar{f} \mid f] \stackrel{\text{def}}{=} P_1 \\ &\xrightarrow{\text{bn}} E[d/c \mid Q] = P' . \end{aligned}$$

*Right to left:* since  $P_1$  and  $f$  is fresh in  $P$  we know that  $\bar{\alpha}$  has been triggered, that is,  $P_\alpha \equiv E[ac.Q \mid \bar{b}d.(\bar{f} \mid f)]$  and  $P' \equiv E[d/c \mid Q]$  since  $P'$  has no  $f$  barb. This means that  $P$  is of the form  $P \equiv E[ac.Q]$ . Hence  $P \xrightarrow{\alpha}_{\text{bn}} \equiv P'$ . ■

**Theorem 21** (Completeness). *If  $P \simeq_{\text{bn}} Q$  then  $P \sim_{\text{bn}} Q$ .*

*Proof:* We show that  $\simeq_{\text{bn}}$  is a  $\sim_{\text{bn}}$ -bisimulation up to  $\equiv$ . The clause for preservation of  $\gamma$  is treated with Lemma 8. The one about parallel composition of arcs is trivial, as well as the symmetry and the clause for the  $\tau$ -transition. We are left with the case for an input or output transition  $\alpha$ .

Suppose  $P \xrightarrow{\alpha}_{\text{bn}} P'$  and let  $f$  be a name fresh wrt to  $P$ ,  $P'$  and  $Q$ . Lemma 20 provides us  $P_1$  such that  $P_1 \downarrow_f^{\text{bn}}$  and a reduction scheme that we can transport to  $Q$ :

$$Q \mid \bar{\alpha}.(\bar{f} \mid f) \xrightarrow{\text{bn}} Q_1 \xrightarrow{\text{bn}} Q_2 .$$

We know that  $P_1 \simeq_{\text{bn}} Q_1$  and  $P' \simeq_{\text{bn}} Q_2$ , hence  $Q_1 \downarrow_f^{\text{bn}}$  and  $Q_2 \not\downarrow_f^{\text{bn}}$  (since  $f$  is fresh for  $P'$ ). Another application of Lemma 20 directly gives us  $Q \xrightarrow{\alpha}_{\text{bn}} \equiv Q_2$ . ■

**Statement of Theorem ??:** *In  $\pi\mathcal{P}$ , relations  $\sim_{\text{bn}}$  and  $\simeq_{\text{bn}}$  coincide.*

*Proof:* Consequence of Theorems 21 and 19. ■

### J. Encoding $A\pi$ in $\pi\mathcal{P}$

1) *Operational correspondence results:* We say that  $P \in \pi\mathcal{P}$  is *asynchronous* if the continuation of all outputs in  $P$  is  $\mathbf{0}$ . We can remark that the encoding of a process in  $A\pi$  is an asynchronous  $\pi\mathcal{P}$  process.

We use the following properties of the encoding, where  $\xrightarrow{\pi}$  is the reduction in the  $\pi$ -calculus. Barbs in the  $\pi$ -calculus are defined in the standard way:  $P \downarrow_a$  iff  $P \equiv (\nu\tilde{c})(\alpha.P \mid R)$  where  $\alpha$  is a prefix whose subject is  $a$ . (It is equivalent to  $P = E[\alpha.P_1]$  for some active context  $E$ .)

**Lemma 22.** *Let  $P$  be any  $\pi$ -calculus process.*

- 1) *If  $P \equiv Q$  then  $\llbracket P \rrbracket \equiv \llbracket Q \rrbracket$ ;*
- 2) *if  $\llbracket P \rrbracket \equiv \llbracket Q \rrbracket$  then  $P \equiv Q$ ;*
- 3) *if  $\llbracket P \rrbracket \equiv E_1[\bar{a}b.Q_1 \mid ax.R_1]$  then  $Q_1 \equiv \llbracket Q \rrbracket$ ,  $R_1 \equiv \llbracket R \rrbracket$  and  $P \equiv E[\bar{a}b.Q \mid a(x).R]$  with  $\llbracket E \rrbracket[\nu x[\cdot]] \equiv E_1[\cdot]$ .*
- 4) *if  $P \xrightarrow{\pi} P'$  then  $\llbracket P \rrbracket \xrightarrow{\text{bn}} \simeq_{\text{bn}} \llbracket P' \rrbracket$ ;*
- 5) *conversely, if  $\llbracket P \rrbracket \xrightarrow{\text{bn}} P_1$  then there is  $P'$  such that  $P \xrightarrow{\pi} P'$  and  $P_1 \simeq_{\text{bn}} \llbracket P' \rrbracket$ ;*
- 6)  *$P \downarrow_a$  iff  $\llbracket P \rrbracket \downarrow_a$ .*

*Proof:*

- 1) Straightforward.
- 2) We prove tediously but straightforwardly the following refined statement: if  $\llbracket P \rrbracket \equiv R_1$  then there exist  $R$  such that  $P \equiv R$  and we can obtain  $R_1$  from  $\llbracket R \rrbracket$  such that  $R_1 \equiv \llbracket R \rrbracket$  but only by moving restrictions of input objects. In the case where  $R_1 = \llbracket Q \rrbracket$  we prove that  $R$  is necessarily  $Q$  (the restrictions of input objects have only one possible position).
- 3) We combine techniques used in the previous item to get back the fact  $Q_1$  and  $R_1$  are structurally congruent to encoding of processes, and techniques from the proof of Lemma 10 to separate the transformations of  $\equiv$  in the subterms  $Q_1$ ,  $R_1$  guarded by the prefixes  $\bar{a}b$ ,  $ax$  from those in the rest of the term.
- 4) The reduction  $\xrightarrow{\pi}$  is quotiented by structural congruence, so in the induction proof there is a case handling the rule “if  $P \equiv P_1 \xrightarrow{\pi} P'_1 \equiv P'$  then  $P \xrightarrow{\pi} P'$ ”. Since  $\llbracket P \rrbracket \equiv \llbracket P_1 \rrbracket$  and  $\llbracket P'_1 \rrbracket \equiv \llbracket P' \rrbracket$  we only need to know that  $\llbracket P_1 \rrbracket \xrightarrow{\text{bn}} \simeq_{\text{bn}} \llbracket P'_1 \rrbracket$  by induction. We also need to know that  $(\equiv \xrightarrow{\text{bn}} \simeq_{\text{bn}} \equiv) \subseteq (\xrightarrow{\text{bn}} \simeq_{\text{bn}})$  which is true by definition of  $\xrightarrow{\text{bn}}$  and  $\simeq_{\text{bn}}$ . Similarly since the reduction in  $\pi$  is also quotiented by active contexts we also remark that the encoding is compositional, and the encoding of an active context is still active. Also we have to prove that if  $P \xrightarrow{\text{bn}} \simeq_{\text{bn}} Q$  then  $P \xrightarrow{\text{bn}} \simeq_{\text{bn}} Q$  which is true by definition of  $\xrightarrow{\text{bn}}$  and because  $\simeq_{\text{bn}}$  is a congruence.
- 5) We now focus on the simple case of  $\bar{a}b.P \mid a(x).Q \xrightarrow{\pi} P \mid Q\{b/x\}$ . The encoding of the left-hand side reduces into  $\nu x(\llbracket P \rrbracket \mid b/x \mid \llbracket Q \rrbracket)$  and we know that  $x$  has no negative occurrence in  $\llbracket Q \rrbracket$  so by Lemma ?? this process is equivalent to  $\llbracket P \rrbracket \mid \llbracket Q \rrbracket\{b/x\}$  which is of the expected shape.
- 6) The implication from left to right is straightforward by induction, but one has to remark that to test the input barb, one needs a synchronous tester  $\bar{a}b.\omega$ . (Note that input barbs are not tested in the *asynchronous* version of

behavioural equivalences.) The other implication follows from the fact that there is no arc in  $\llbracket P \rrbracket$  so  $\llbracket P \rrbracket \downarrow_a$  if and only if  $\llbracket P \rrbracket$  contains a prefix whose subject is  $a$  (which is equivalent to the fact  $P$  does, too).

**Lemma 23** (Label-syntax correspondence). *If  $P$  is only contains trivial arcs (of the form  $e|e$ ) and  $\alpha$  is a prefix  $ac$  or  $\bar{a}c$  then  $P \xrightarrow{\alpha}_{\text{bn}} \equiv P'$  iff  $P \equiv E[\alpha.P_1]$  and  $P' \equiv E[c|c | P_1]$ , with  $E$  binding neither  $a$  nor  $c$  (and  $P'$  has only trivial arcs).*

*Moreover  $P \downarrow_a^{\text{bn}}$  iff  $P \xrightarrow{\alpha}_{\text{bn}}$  iff  $P \equiv E[\alpha.P_1]$ .*

*In addition if  $\sigma \triangleright a \gamma b$  then  $P \xrightarrow{ac}_{\text{bn}} P'$  implies  $P | \sigma \xrightarrow{bc}_{\text{bn}} P' | \sigma$  (resp.  $\bar{a}c, \bar{b}c$ ).*

**Lemma 24** (Label correspondences). *Let  $P$  be any  $\pi$  process and  $f$  a fresh name.*

- 1) If  $P \xrightarrow{\bar{a}c}_{\pi} P'$  then  $\llbracket P \rrbracket \xrightarrow{\bar{a}f}_{\text{bn}} \equiv c|f | \llbracket P' \rrbracket$ .
- 2) If  $P \xrightarrow{\bar{a}(c)}_{\pi} P'$  then  $\llbracket P \rrbracket \xrightarrow{\bar{a}f}_{\text{bn}} \equiv \nu c(c|f | \llbracket P' \rrbracket)$ .
- 3) If  $P \xrightarrow{a(x)}_{\pi} P'$  then  $\llbracket P \rrbracket \xrightarrow{af}_{\text{bn}} \equiv \nu x(f/x | \llbracket P' \rrbracket)$ .
- 4) If  $\llbracket P \rrbracket \xrightarrow{\bar{a}f}_{\text{bn}} P_1$  then
  - a) either  $P \xrightarrow{\bar{a}c}_{\pi} P'$  with  $P_1 \equiv c|f | \llbracket P' \rrbracket$ ,
  - b) or  $P \xrightarrow{\bar{a}(c)}_{\pi} P'$  with  $P_1 \equiv \nu c(c|f | \llbracket P' \rrbracket)$
- 5) If  $\llbracket P \rrbracket \xrightarrow{af}_{\text{bn}} P_1$  then
$$P \xrightarrow{a(x)}_{\pi} P' \text{ with } P_1 \equiv \nu x.(f/x | \llbracket P' \rrbracket)$$

**Lemma 25** (Decomposition of transitions, asynchronous  $\pi P$ ). *Let  $P$  be an asynchronous  $\pi P$  term without visible arc,  $\sigma$  a parallel composition of arcs, and  $f, g$  some fresh names.*

- 1) If  $P | \sigma \xrightarrow{\tau}_{\text{bn}} P_t$  then  $P \xrightarrow{\bar{a}f}_{\text{bn}} P_1 \xrightarrow{bg}_{\text{bn}} P_2$  with  $P_t \sim_{\text{bn}} (\nu fg)(P_2 | f/g) | \sigma$  and  $\sigma \triangleright a \gamma b$ .
- 2) Suppose  $P \xrightarrow{\bar{a}f}_{\text{bn}} P_1 \xrightarrow{ag}_{\text{bn}} P_2$  and  $\sigma \triangleright a \gamma b$ . Then  $P | \sigma \xrightarrow{\tau}_{\text{bn}} \sim_{\text{bn}} (\nu fg)(P_2 | f/g) | \sigma$ .

This result is directly a consequence of the syntax of asynchronous  $\pi P$  as for similar results in  $A\pi$ . We use  $\sim_{\text{bn}}$  for renaming and concatenating fresh names using Lemma 17.

2) *Full abstraction for the encoding of  $A\pi$* : One inclusion in the full abstraction result actually holds for the whole  $\pi$ -calculus:

**Lemma 26.** *Let  $P$  and  $Q$  be  $\pi$  terms. Then  $\llbracket P \rrbracket \simeq_{\text{bn}} \llbracket Q \rrbracket$  implies  $P \simeq_{\pi} Q$ .*

*Proof:* The relation  $\{(P, Q) \mid \llbracket P \rrbracket \simeq_{\text{bn}} \llbracket Q \rrbracket\}$  is reduction-closed (consequence of Lemma 22), barb-preserving (consequence of Lemma 22), and context-closed: if  $C$  is a  $\pi$  context then there exists a  $\pi P$  context  $C_1$  such that  $\llbracket C[P] \rrbracket = C_1[\llbracket P \rrbracket]$ , similarly for  $Q$ ; hence  $\llbracket P \rrbracket \simeq_{\text{bn}} \llbracket Q \rrbracket$  implies  $\llbracket C[P] \rrbracket \simeq_{\text{bn}} \llbracket C[Q] \rrbracket$ . ■

**Lemma 27.** *Let  $P$  and  $Q$  be asynchronous  $\pi$ -terms. Then  $P \simeq_{\pi} Q$  implies  $\llbracket P \rrbracket \simeq_{\text{bn}} \llbracket Q \rrbracket$ .*

*Proof:* Thanks to Theorem 19 and to the characterisation of barbed congruence by ground bisimilarity in the asynchronous  $\pi$ -calculus [5], we only have to prove that  $P \sim_{\text{g}} Q$

implies  $\llbracket P \rrbracket \sim_{\text{bn}} \llbracket Q \rrbracket$ . We do so by showing that the following relation is a  $\sim_{\text{bn}}$ -bisimulation up to restriction and  $\sim_{\text{bn}}$ :

$$\mathcal{R} \stackrel{\text{def}}{=} (\sim_{\text{g}})^{\text{Sub}} \stackrel{\text{def}}{=} \{(\llbracket P \rrbracket \mid \sigma, \llbracket Q \rrbracket \mid \sigma) \mid P \sim_{\text{g}} Q\}$$

where  $\sigma$  stands for any parallel composition of arcs. In order to do that, we rely on Lemma 24 ( $\llbracket P \rrbracket$  is arc-free) to relate non- $\tau$  transitions in  $\pi$  and  $\pi P$ , as well as on Lemma 25 to decompose  $\tau$ -transitions into visible transitions.

We analyse all possible transitions from  $\llbracket P \rrbracket \mid \sigma$ . We omit intermediate steps to focus on the relevant details.

- 1)  $\llbracket P \rrbracket \mid \sigma \xrightarrow{af}_{\text{bn}} \sim_{\text{bn}} \nu x(f/x | \llbracket P' \rrbracket \mid \sigma)$  with  $P \xrightarrow{b(x)}_{\pi} P'$  for some  $b$  such that  $\sigma \triangleright a \gamma b$ . Drawing the  $\sim_{\text{g}}$ -diagram yields eventually  $\llbracket Q \rrbracket \xrightarrow{bf}_{\text{bn}} \sim_{\text{bn}} \nu x(f/x | \llbracket Q' \rrbracket)$ . We add  $\sigma$  to derive a transition along the original label  $af$ , and relate in  $\mathcal{R}$  the resulting processes.
- 2)  $\llbracket P \rrbracket \mid \sigma \xrightarrow{\bar{a}f}_{\text{bn}} \sim_{\text{bn}} \nu \hat{c}(c|f | \llbracket P' \rrbracket)$  with  $P \xrightarrow{\nu \hat{c}bc}_{\pi} P'$  with  $\hat{c} \in \{\emptyset, \{c\}\}$  and  $\sigma \triangleright a \gamma b$ . The reasoning is similar to the previous case.
- 3)  $\llbracket P \rrbracket \mid \sigma \xrightarrow{\tau}_{\text{bn}} P_t \mid \sigma$  with

$$\begin{aligned} \llbracket P \rrbracket \xrightarrow{\bar{a}f}_{\text{bn}} \xrightarrow{bg}_{\text{bn}} \nu \hat{c}x(c|f | g/x | \llbracket P'' \rrbracket) &\stackrel{\text{def}}{=} P_2 \\ P \xrightarrow{\nu \hat{c}ac}_{\pi} \xrightarrow{b(x)}_{\pi} P'' & \end{aligned}$$

such that  $\sigma \triangleright a \gamma b$  and  $P_t \sim_{\text{bn}} \nu fg(P_2 | f/g)$ . We can again play the ground bisimilarity game and use Lemma 25 to get the same relations on the  $Q$  side, to finally get  $P \sim_{\text{g}} Q$  and thus:

$$(\llbracket P'' \rrbracket \mid \sigma') \mathcal{R} (\llbracket Q'' \rrbracket \mid \sigma')$$

with  $\sigma' = \sigma | c|f | f/g | g/x$ . We use the up to restriction technique on  $f, g, x$ , and  $\hat{c}$ .

The relation  $\mathcal{R}$  is symmetric, and clearly satisfies the clause about joinability and the clause about the addition of arcs. Thus  $\mathcal{R}$  is a  $\sim_{\text{bn}}$ -bisimulation up to restriction and  $\sim_{\text{bn}}$ . ■

**Theorem 28** (Full abstraction). *Suppose  $P, Q$  are processes from the asynchronous  $\pi$ -calculus,  $A\pi$ . Then  $P \simeq_{A\pi} Q$  iff  $\llbracket P \rrbracket \simeq_{\text{bn}} \llbracket Q \rrbracket$ .*

*K. Encoding of Explicit Fusions*

**Definition 29.** *Let  $P \triangleright a = b$  be the judgement conjunction of  $P \triangleright a \leq b$  and  $P \triangleright b \leq a$ .*

In the following we note  $\varphi_P$  the relation  $\{(a, b) \mid P \triangleright a \varphi b\}$ , e.g.  $a \gamma_P b$  for the joinability  $a \leq_P b$  for the reachability or  $a =_P b$  for the equality. We will note  $P =_{a,b} Q$  iff  $P\{b/a\} = Q\{b/a\}$  i.e. if the only difference between  $P$  and  $Q$  is the exchange of some  $a$  and  $b$ . We will also write  $a = b$  for  $[a = b]$  which is  $a/b \mid b/a$ .

**Lemma 30.** *If  $P =_{a,b} Q$  then  $\varphi_{P|a=b} = \varphi_{Q|a=b}$ .*

*Proof:* By symmetry we only consider inclusion. We use induction on the derivation of  $(P | a = b) \triangleright \varphi$  along Definition 3. Only the base case is interesting, when  $P$  and  $Q$  are arcs and  $\varphi$  is of the form  $d \leq e$ . Then if  $n(\varphi) \subseteq \{a, b\}$

then  $(a = b) \triangleright \varphi$ ; if  $P \neq Q$  then  $(P, Q)$  can only be of the form  $(a_1/c, a_2/c)$  (or, resp.,  $(c/a_1, c/a_2)$ ) where  $a_i \in \{a, b\}$ . In this last case  $\varphi$  must be  $c \leq a_i$  (resp.  $a_i \leq c$ ) which is easily achieved by  $(a_2/c \mid a = b)$  (resp.  $(a_2/c \mid a = b)$ ). ■

We extend the definition of  $=_{a,b}$  to predicates:  $\varphi =_{a,b} \psi$  iff  $\varphi$  and  $\psi$  differ only by  $a, b$  swaps. Lemma 30 can be slightly generalised:

**Lemma 31.** *If  $P =_{a,b} Q$ ,  $\varphi =_{a,b} \psi$  then  $\varphi_{P|a=b} = \psi_{Q|a=b}$ .*

*Proof:* By Lemma 30 we only have to prove that if  $R = S \mid a = b$  then  $R \triangleright \varphi$  implies  $R \triangleright \psi$ , which is easy, since for each case there is a rule of Definition 3 that uses either  $a/b$  or  $b/a$  to replace one  $a$  with a  $b$  or vice versa. ■

**Lemma 32.** *If  $P =_{a,b} Q$  then  $(P \mid a = b) \sim_{\text{bn}} (Q \mid a = b)$ .*

*Proof:* Let  $\mathcal{R}$  be the corresponding relation, quantifying over every  $P$  and  $Q$ . We prove that  $\mathcal{R}$  is a  $\sim_{\text{bn}}$ -bisimulation:

- 1) invariance under arcs is trivial;
- 2) is implied by Lemma 30;
- 3) we use Lemma 31 to ensure the communication is possible (when  $\mu = \tau$ ) or that the subject of  $\mu$  can be related to the subject of the prefix (when  $\mu \neq \tau$ ). The resulting processes are still related through  $=_{a,b}$  since this relation commutes with  $\equiv$  and contexts.

We conclude by symmetry of  $=_{a,b}$ . ■

**Lemma 33.** *If  $P$  and  $Q$  are prefix-free, and if their preorders coincide on free names, then  $P \sim_{\text{bn}} Q$ .*

*Proof:* The corresponding relation is a  $\sim_{\text{bn}}$ -bisimulation: all condition checks are straightforward, even when we add arcs since Definition 3 is compositional:  $\text{preor}(P \mid Q)$  only depends on  $\text{preor}(P)$  and  $\text{preor}(Q)$ . ■

**Lemma 34.** *For every fusion process  $P$  if  $\llbracket P \rrbracket \triangleright a \leq b$  or  $\llbracket P \rrbracket \triangleright a \vee b$  then  $\llbracket P \rrbracket \triangleright a = b$  and  $P \equiv P \mid a = b$  (i.e.  $a$  and  $b$  are related through  $P$ 's fusions).*

*Proof:* First we prove that  $\llbracket P \rrbracket \triangleright a \leq b$  implies  $\llbracket P \rrbracket \triangleright b \leq a$  by induction on the derivation of the first judgement. The only interesting case is when we use an arc  $b/a$ : then we know that there is the other arc  $a/b$  next to  $b/a$ , so this is enough. We also know that this is coming from  $a = b$  in the original process. Now if the hypothesis is about  $a \vee b$  we know that there is a name  $u$  such that  $a \leq u$  and  $b \leq u$  and we use the first part of the proof to prove  $u \leq a$  and  $u \leq b$  which you can compose to get  $a \leq b$  and  $b \leq a$ . ■

**Statement of Theorem ??:** *Suppose  $P$  and  $Q$  are processes of the fusion calculus.*

- 1) *If  $P \equiv Q$  then  $\llbracket P \rrbracket \approx_{\text{bn}} \llbracket Q \rrbracket$ ;*
- 2) *if  $P \rightarrow_{\text{EF}} P'$  then  $\llbracket P \rrbracket \rightarrow_{\text{bn}} \approx_{\text{bn}} \llbracket P' \rrbracket$ ;*
- 3) *conversely, if  $\llbracket P \rrbracket \rightarrow_{\text{bn}} Q$ , then  $Q \approx_{\text{bn}} \llbracket P' \rrbracket$  for some  $P'$  such that  $P \rightarrow_{\text{EF}} P'$ .*

*Proof:* 1) Thanks to Theorem ??, it is enough to prove  $\llbracket P \rrbracket \sim_{\text{bn}} \llbracket Q \rrbracket$ , which we do by induction on the derivation of  $P \equiv Q$ . The standard base cases like associativity are translated directly into structural congruent processes that are

therefore related through  $\sim_{\text{bn}}$ . The other base cases that those dedicated to fusions:

- $\llbracket a = b \mid P \rrbracket \sim_{\text{bn}} \llbracket a = b \mid P\{a/b\} \rrbracket$  by Lemma 32,
- $\llbracket a = b \mid b = c \rrbracket \sim_{\text{bn}} \llbracket a = c \mid b = c \rrbracket$  by Lemma 32,
- $\llbracket a = b \rrbracket \equiv \llbracket b = a \rrbracket$  by commutativity of  $\mid$ ,
- $\llbracket a = a \rrbracket \sim_{\text{bn}} \llbracket 0 \rrbracket$  by Lemma 33,
- $\llbracket (\nu a)a = b \rrbracket \sim_{\text{bn}} \llbracket 0 \rrbracket$  by Lemma 33.

We conclude thanks to the fact that  $\sim_{\text{bn}}$  is a congruence and an equivalence relation.

2) Thanks to 1) and the fact  $\rightarrow_{\text{bn}}$  is stable by active contexts we only consider the base case of the reduction relation:  $R \stackrel{\text{def}}{=} \bar{a}b.P \mid ac.Q \rightarrow_{\text{EF}} b = c \mid P \mid Q \stackrel{\text{def}}{=} R'$ . Since  $\approx_{\text{bn}}$  is stable by  $\equiv$  and active contexts, we just have to consider the following:  $\llbracket R \rrbracket \rightarrow_{\text{bn}} (\nu wy)(b/c \mid w/y \mid wb. \llbracket P \rrbracket \mid \bar{y}\langle c \rangle. \llbracket Q \rrbracket)$  which has only one deterministic reduction to  $\llbracket R' \rrbracket \mid (\nu wy)(w/y)$  which is strongly bisimilar to  $\llbracket R' \rrbracket$  by Lemma 33.

3) In  $\llbracket R \rrbracket$  the only visible prefixes  $\pi.P$  are the form  $\llbracket \pi'. P' \rrbracket$ . Suppose that  $\llbracket R \rrbracket \rightarrow_{\text{bn}} S$  comes from the communication between  $\pi_1.P$  and  $\pi_2.Q$  of subjects  $a$  and  $b$ . We know that  $\llbracket R \rrbracket \triangleright a \vee b$  which means thanks to Lemma 34 that the communication is possible between  $\pi'_1.P'$  and  $\pi'_2.Q'$ : for some  $R', R \rightarrow_{\text{EF}} R'$ . The process  $S$  is then one step away to create the next step and free arcs (corresponding to the encoding of the fusion just created) the continuations  $\llbracket P' \rrbracket$  and  $\llbracket Q' \rrbracket$  which places us into a situation similar to 2). ■

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