Rational BRDF
Romain Pacanowski, Oliver Salazar-Celis, Christophe Schlick, Xavier Granier, Pierre Poulin, Cuyt Annie

To cite this version:
Romain Pacanowski, Oliver Salazar-Celis, Christophe Schlick, Xavier Granier, Pierre Poulin, et al.. Rational BRDF. IEEE Transactions on Visualization and Computer Graphics, 2012, 18 (11), pp.1824-1835. 10.1109/TVCG.2012.73. hal-00678885

HAL Id: hal-00678885
https://inria.hal.science/hal-00678885
Submitted on 14 Mar 2012

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.
Rational BRDF

Romain Pacanowski, Oliver Salazar Celis, Christophe Schlick, Xavier Granier, Pierre Poulin and Annie Cuyt

Abstract—
Over the last two decades, much effort has been devoted to accurately measure Bidirectional Reflectance Distribution Functions (BRDFs) of real-world materials and to use efficiently the resulting data for rendering. Because of their large size, it is difficult to use directly measured BRDFs for real-time applications, and fitting the most sophisticated analytical BRDF models is still a complex task. In this paper, we introduce Rational BRDF, a general-purpose and efficient representation for arbitrary BRDFs, based on Rational Functions (RFs). Using an adapted parametrization we demonstrate how Rational BRDFs offer (1) a more compact and efficient representation using low-degree RFs, (2) an accurate fitting of measured materials with guaranteed control of the residual error, and (3) an efficient importance sampling by applying the same fitting process to determine the inverse of the Cumulative Distribution Function (CDF) generated from the BRDF for use in Monte-Carlo rendering.

Index Terms—BRDF, Fitting, Importance Sampling, Monte-Carlo Rendering.

1 MOTIVATION AND PREVIOUS WORK

The BRDF is the keystone of the rendering equation [1], and thus of any lighting simulation process, since it describes the light reflection at a surface location. Consequently, building a comprehensive BRDF representation suitable to explain, describe, and/or simulate all complex phenomena involved in this process remains an active topic both in physics and computer graphics. The large number of scientific publications dealing with BRDF can mainly be divided into two families, whether they propose an analytical formulation, or rather a numerical process to approximate measured BDRF data.

1.1 Closed-form vs. Numerical Representations

Papers in the first family present several models intended to represent some observed phenomena using either an empirical or a theoretical framework. These papers usually propose a closed-form formulation for the BRDF, parametrized in a manner that allows for the approximation of a limited set of real-world reflectance behaviors. These models range from efficient ad-hoc formulations such as Phong’s model [2], to sophisticated ones that include the complex effects of wave optics [3]–[5]. The most common group of models [6]–[11] exploit the micro-facet theory [12].

Papers in the second family instead seek an efficient approach for representing the measured data using a set of basis functions, by means of standard linear decomposition techniques. Of these, some focus on (hemi)spherical basis functions, such as spherical harmonics [13], [14], Zernike polynomials [15], spherical wavelets [16], or spherical radial basis functions [17]. Others apply dimensionality-reduction techniques such as homomorphic factorization [18], singular value decomposition [19], [20], or non-negative factorization [21], [22]. Their main limitation resides in the fact that the number of coefficients required to get an accurate result quickly grows with the directional frequency of the BRDF (quadratically, as shown by Mahajan et al. in [23]), and thus becomes intractable for highly specular BRDFs.

Measured data may also be projected onto the parametric space of analytical BRDF models. In fact, some models are specifically designed for numerical fitting [7], [24], [25]. Compared to linear decomposition, fitting can easily handle materials with sharp specularity. However, this typically involves non-linear minimization techniques (e.g., the Levenberg-Marquardt algorithm), which are quite slow, and reaching a global minimum of the function to be optimized is in general not guaranteed. Moreover, as analytical models have usually been designed for a specific class of materials, they cannot accurately fit arbitrary BRDFs [26]. Using multiple lobes or combining different models may improve accuracy, but due to the many local minima, the optimization quickly becomes unpractical [21], [26].

1.2 BRDF Importance Sampling

Accurate fitting of measured data only solves half of the problem. Plugging the fitted data into a rendering engine, while preserving accurate and efficient computation is far from straightforward. Over the years, Monte-Carlo-based techniques (see [27] for a comprehensive survey) have become the standard approach for generating realistic images. As their convergence speed is proportional to the inverse square root of the number of samples and to the initial variance, it is critical to exploit efficient variance reduction techniques, the most common one being Importance Sampling (IS) according to the BRDF.
These tabulated CDF+PDF data have been generated by resampling the BRDF in (included, greatly improving the efficiency of IS. Unfortunately, the storage cost is several orders of magnitude higher than with the search tree) and computing the inverse function on-the-fly tabulating the CDF into a sorted data structure (e.g., binary tree) and computing the inverse function on-the-fly.

As detailed in Section 4.1, performing IS requires the inverse of the BRDF’s Cumulative Distribution Function (CDF). There are basically two approaches to compute the inverse of the CDF. The first is to fit the measured data with an analytical BRDF model [7]–[10], [24], [28] that offers a readily invertible CDF. In addition to the previously-mentioned weaknesses of non-linear fitting, all these approaches (except for [28]) ignore the cosine factor that scales the BRDF according to the incident light direction, reducing the efficiency of IS for grazing angles of light. The second approach consists of tabulating the CDF into a sorted data structure (e.g., binary search tree) and computing the inverse function on-the-fly in this structure [21], [29], [30]. A major benefit of this approach is that the cosine scaling factor can be trivially included, greatly improving the efficiency of IS. Unfortunately, the storage cost is several orders of magnitude higher than with the first approach, and the iterative data retrieval process has a non-constant operation cost.

In this paper we introduce the following contributions:

- a general framework based on Rational Functions (RFs), which efficiently represents BRDFs and CDFs without having to separate diffuse and specular components.
- an associated fitting technique that scales with the desired accuracy and memory footprint. The involved optimization is that of a strictly convex function of which the global minimum is guaranteed to be reached, provided that a feasible solution exists.
- a new Monte-Carlo estimator for importance sampling rendering, which does not require to store the PDF when combined with our representation.

2 Rational Functions Framework

In approximation theory Rational Functions are recognized for their greater expressivity compared to polynomials. They are preferred in several numerical approximation problems in scientific computing [31]. A Rational Function (RF) of a finite dimensional vector \( x \) of real variables \( x_i \) is:

\[
r_{n,m}(x) = \frac{p_{n,m}(x)}{q_{n,m}(x)} = \frac{\sum_{j=0}^{n} p_j b_j(x)}{\sum_{k=0}^{m} q_k b_k(x)}
\]

where the \( n+1 \) (resp. \( m+1 \)) coefficients of the numerator (resp. denominator) are represented by the real numbers \( p_j \) (resp. \( q_k \)), and where \( b_j(x) \) and \( b_k(x) \) are multivariate basis functions. We use the unimonomials in this paper, because they can be evaluated efficiently. We order them by increasing total degree, therefore, for a given degree we favor adding first smoother basis functions (e.g., \( x_i^2 \)) rather than more oscillating ones (e.g., \( x_1 x_2 \)). Furthermore, both \( p_{n,m}(x)/q_{n,m}(x) \) and \( \alpha p_{n,m}(x)/\alpha q_{n,m}(x) \) take the same function values for finite nonzero \( \alpha \), and the coefficients \( p_j \) and \( q_k \) need only be determined up to a multiplicative constant that can be used to normalize the representation of \( r_{n,m}(x) \). Therefore \( r_{n,m}(x) \) has no more than \( n+m+1 \) free coefficients.

RFs are ideal for approximating data that exhibit steep changes which are characteristic for specular lobes. An illustration of approximation of lobe-like functions using RFs is given in Figure 2, where it can be observed that a low degree RF can easily represent abrupt variations followed by regions of almost constant values, whereas a polynomial with the same number of coefficients cannot. Such combinations of steep changes with flat regions are quite common in measured BRDF data and their corresponding CDF. However, in computer graphics, RFs have seldom been employed (except for the ad hoc BRDF model proposed by Schlick [8]).

Algorithm 1 presents an overview of our fitting procedure based on the work of Salazar Celis et al. [32]. A preprocessing
Fig. 2. Two low degree univariate approximations with 7 unknowns: a polynomial of degree 6 (dashed line) and a rational function (full red line) consisting of a polynomial of degree 1 and degree 5 in numerator and denominator respectively. The data are shown as dots. The RFs clearly follow the data much better and do not suffer from oscillations like polynomials. **Left:** The rapidly decreasing function \( e^{-x^2} \), sampled at 90 equidistant points. The maximum absolute error of the polynomial is 0.0689 while it is 0.00117 for the rational function. **Right:** The steeply decreasing lobe of the chrome-steel material from the MERL-MIT database. The maximum absolute error of the polynomial is 138 while it is only 2.61 for the rational function.

step is required before the fitting itself, for data reparametrization and noise removal. Contrary to classical non-linear methods, our Rational Functions Framework (RFF) allows full control of the residual error by setting intervals \( F_i \) around each measured value and the involved optimization is guaranteed to converge to a global minimum (cf. Section 2.1). Besides the measurements, the memory budget (i.e., the maximum number of coefficients), and the interval widths, the key part of the algorithm requires solving a quadratic programming problem \( P \) which is detailed in the following subsections.

### 2.1 Problem Statement for Fitting Data with RFs

Assume \( s + 1 \) measured values \( f_i \), each of them located at a vector \( x_i \). Let \( F_i = [f_i, \bar{f}_i] \) represent a real-valued interval placed around each \( f_i \). We would like to find a Rational Function \( r_{n,m}(x) \) that interpolates these intervals:

\[
\forall i = 0, \ldots, s \quad f_i \leq r_{n,m}(x_i) \leq \bar{f}_i, \quad (2)
\]

and this, for the smallest possible value for \( n + m \), with \( n + m \leq s \) (usually \( n + m \ll s \)).

It can be shown [32] that a robust solution for \( r_{n,m}(x_i) \) can be obtained by solving the following quadratic programming problem \( P(n,m) \):

\[
\begin{align*}
\text{arg min}_{c \in \mathbb{R}^{n+m+2}} & \quad |c|_2 \\
\text{subject to} & \quad A^{(j)}_{n,m} c - \delta |A^{(j)}_{n,m}|_2 \geq 0, \quad j = 1, \ldots, 2s + 2
\end{align*}
\]

with \( c = (p_0, \ldots, p_n, q_0, \ldots, q_m)^T \) and \( A^{(j)}_{n,m} \) denoting the \( j \)-th row of matrix

\[
A_{n,m} = \begin{pmatrix}
    b_0(x_0) & \ldots & b_0(x_0) - \bar{f}_0 b_0(x_0) & \ldots & -\bar{f}_0 b_m(x_0) \\
    \vdots & & \vdots & & \vdots \\
    b_0(x_s) & \ldots & b_0(x_s) - \bar{f}_s b_0(x_s) & \ldots & -\bar{f}_s b_m(x_s) \\
    -b_0(x_0) & \ldots & -b_0(x_0) + \bar{f}_0 b_0(x_0) & \ldots & \bar{f}_0 b_m(x_0) \\
    \vdots & & \vdots & & \vdots \\
    b_0(x_s) & \ldots & b_0(x_s) + \bar{f}_s b_0(x_s) & \ldots & \bar{f}_s b_m(x_s)
\end{pmatrix}
\]

and where \( | \cdot |_2 \) denotes the Euclidean norm. To avoid under- or overflow of the computed coefficients, the real value \( \delta > 0 \) is set to be the inverse of the conditional number of the matrix \( A_{n,m} \). Furthermore, if \( P \) has a solution, it is **unique** and the returned function \( r_{n,m} \) is **pole-free** at each measured value, i.e., \( \forall i = 0, \ldots, s \quad q_{n,m}(x_i) > 0 \). Whether a solution exists for a specific \( n \) and \( m \), depends on the relation between the width of the intervals \( F_i \) and the values \( n, m, \) and \( s \). Algorithm 1 may find multiple solutions, but it always selects the more stable solution by choosing the one associated with the lowest conditional number of matrix \( A_{n,m} \). Although theoretically possible, multiple solutions were rare for BRDF/CDF fitting. Finally,
solving $\mathcal{P}$ can be done with any quadratic programming solver; in this paper, all RFs are obtained using the qpas routine of the freely available QPC Matlab interface [33].

In the next subsection, we introduce linear constraints that are easily added to $\mathcal{P}$ when fitting BRDFs or inverse CDFs.

### 2.2 Additional Constraints

**Value constraints:** Since BRDFs are non-negative functions we build value constraints on the function as a whole into the intervals right from the start:

$$\forall i = 0, \ldots, s \quad f_i \geq 0.$$  

For 2D inverse CDFs (cf. Section 4) we make sure that:

$$\forall i = 0, \ldots, s \quad 0 \leq f_i \leq \frac{\pi}{2}$$

and similarly for 3D inverse CDFs:

$$\forall i = 0, \ldots, s \quad 0 \leq f_i \leq \pi.$$

Even though this type of value constraints are only valid at discrete positions, we found that it works satisfactorily.

**Symmetry constraints:** Helmholtz reciprocity (or symmetry) is one of the main properties of physically based BRDFs and, by construction, inverse CDFs inherit it. Therefore it is important to guarantee that the fitted RFs approximating BRDFs or inverse CDFs preserve this property. Thanks to the parametrization that we are using for BRDFs (cf. Section 3.1) we do not need additional constraints since the half-angle parametrization already includes the symmetry property.

However, for inverse CDFs, we are using the classical light-view parametrization (cf. Section 4.1), and therefore we enforce symmetry by grouping basis functions that should receive the same coefficients. For example, assume we would like to fit data with a trivariate RF:

$$r_{n,m}(x_1, x_2, x_3) = c_0 x_1^3 x_2 x_3 + c_1 x_2^3 x_1 x_3 \quad (c_0, c_1) \in \mathbb{R}^2$$

and enforce its symmetry for the variables $x_1$ and $x_2$, i.e., $r_{n,m}(x_1, x_2, x_3) = r_{n,m}(x_2, x_1, x_3)$. Instead of adding, into $\mathcal{P}$, equality constraints between $c_0$ and $c_1$, we group the two basis functions into one:

$$r_{n,m}(x_1, x_2, x_3) = c_0 \left( (x_1^3 x_2 + x_2^3 x_1) x_3 \right).$$

This reduces the size of matrix $A_{n,m}$ and hence the size of the quadratic programming problem.

**Monotonicity constraints:** Since inverse CDFs are positive monotonic functions it is crucial to enforce that the derivative of the fitted function is non-negative. In other words, we want to ensure the monotonicity of the rational function $r_{n,m}(x) = p_{n,m}(x)/q_{n,m}(x)$ in one of the variables $x_j$:

$$\frac{\partial r_{n,m}}{\partial x_j}(x) \geq 0.$$  

(3)

Assuming that $q_{n,m}(x) > 0$, Inequality (3) becomes

$$\frac{\partial p_{n,m}(x)}{\partial x_j}(x) \geq \frac{p_{n,m}(x) \partial q_{n,m}(x)}{q_{n,m}(x)}.$$  

By using Equation (2) the previous inequality is achieved for all sample positions $x_i$ if:

$$\frac{\partial q_{n,m}(x_i)}{\partial x_j}(x_i) f_i \quad \text{and} \quad \frac{\partial p_{n,m}(x_i)}{\partial x_j}(x_i) = \frac{\partial q_{n,m}(x_i)}{\partial x_j}(x_i).$$  

(4)

This last constraint consists of two additional linear inequalities for the coefficients $p_0, \ldots, p_n$ and $q_0, \ldots, q_m$ per data point $x_i$. The effect of adding these constraints can be seen in Figure 3, where we show two fitted rational functions, (left) without and (right) with the added discrete monotonicity constraints.

Fig. 3. Two low degree bivariate rational approximations to CDF$^{-1}(\mu)$ of the nickel MERL-MIT material. The approximation should be monotonically increasing in $\mu$. **Left:** Rational interval interpolant obtained without Constraint (4), clearly not monotonically increasing in $\mu$. **Right:** Rational interval interpolant obtained with Constraint (4), now monotonically increasing in $\mu$.

### 2.3 Solving $\mathcal{P}$ Efficiently

The speed for the resolution of $\mathcal{P}$ greatly depends on the number $s + 1$ of intervals to be interpolated. Furthermore, the faster $\mathcal{P}$ is solved, the sooner the algorithm is going to find the optimal solution and return. To quickly find the couple $(n, m)$ we propose an adaptive procedure that operates on a selected small subset of the whole data. The key observation here is that if the current tested $r_{n,m}(x)$ RF is not a solution for the selected subset, it cannot be for the whole dataset.

Of the given $s + 1$ data intervals, only a small number $s_0$ of intervals are uniformly selected and $r^{(0)}_{n_0,m_0}(x)$ is computed such that it satisfies Equation (2) for these $s_0$ data; these $s_0$ intervals are called the training data. Then it is checked how many of the original $s + 1$ interval interpolation conditions are automatically satisfied by $r^{(0)}_{n_0,m_0}(x)$ in addition to the $s_0$ imposed conditions. Usually this is quite a lot more. These $s + 1 - s_0$ intervals are called the verification data. Among the violated interval interpolation conditions, we select $s_1 - s_0$ ($s_1 - s_0 = 1$ in our implementation) additional data points to compute $r^{(1)}_{n_1,m_1}(x)$ that satisfies Equation (2) for these $s_1$ data. In other words, we update the set of training data. These $s_1 - s_0$ additional training data are selected where $r^{(0)}_{n_0,m_0}(x)$ deviates most from the given intervals $F_i$. With $r^{(1)}_{n_1,m_1}(x)$ we then check
the new \( s + 1 - s \) verification data again. And so on, until the obtained rational model satisfies all verification data.

3 Rational Functions for BRDF

3.1 Parametrization

A BRDF \( \rho \) is a four-dimensional non-negative function defined on the Euclidean product of two hemispheres and depending both on the lighting \( l \) and viewing \( v \) directions. The most common way to express \( l \) and \( v \) is to use the standard zenith and azimuthal angles \((\theta_l, \phi_l)\) and \((\theta_v, \phi_v)\): \( \rho(\theta_l, \phi_l, \theta_v, \phi_v) \), often denoted by \( \rho(l, v) \).

Although most acquisition devices (e.g., gonioreflectometers) cover the acquisition space by uniformly sampling these coordinates, the computer graphics community prefers to use the BRDF parametrization introduced by Rusinkiewicz [34]: \( \rho(l, v) = \rho(h, d) = \rho(\theta_h, \phi_h, \theta_d, \phi_d) \) where \( h \) is the half-vector used in the micro-facet theory, and \((\theta_d, \phi_d)\) are the spherical coordinates of vector \( l \) expressed in a rotated frame where \( h \) defines the north pole direction. This parametrization offers several interesting benefits: (1) in the case of isotropic materials (which are invariant when rotating the surface around its normal vector), one can set \( \phi_h = 0 \) without any loss of generality, (2) the reciprocity of light propagation ensures that \( \phi_d \) can be limited to the range \([0, \pi]\) again without any loss of generality, (3) as noted by Romeiro et al. [35], for a very common class of materials called bilateral symmetric, the domain of \( \phi_d \) can even be reduced to the range \([0, \pi/2]\), and finally (4) as several phenomena involved in light reflection are mostly decorrelated along the different parameter axes, it is relatively safe to factor the 4D function into a product (or a sum of products) of two 2D functions, as done in work on factorization of BRDFs and SVBRDFs [21], [36].

As the BRDF is intrinsically 3D/4D for isotropic/anisotropic materials, further dimension reduction cannot be achieved without generating some undesired folding of its domain. This can be seen as a standard aliasing process, where an infinite number of configurations of the 4D space are projected onto a single configuration in the lower dimensional space. However some recent work has shown that for most isotropic materials, the BRDF can be projected onto a well-chosen 2D parametric space without severe visual degradation [35], [37]. Romeiro et al. [35] have observed that almost all materials of the MERL-MIT database [20] are visually well approximated by a projection onto \((\theta_h, \theta_d)\) (see Figure 7, top and middle rows). Incidentally, we have observed that the filtering operator involved when projecting measured data on \((\theta_h, \theta_d)\) sometimes also results in more visually pleasing rendering results (see Figure 4).

In this paper, we propose to go a step further and show that we can accurately approximate the whole 2D projection of the BRDF \( \rho(\theta_h, \theta_d) \) by a single RF \( r_{n,m}(\theta_h, \theta_d) \), defined as in Equation (1), that we call a Rational BRDF:

\[
\rho(l, v) \approx \rho(\theta_h, \theta_d) = r_{n,m}(\theta_h, \theta_d). 
\]  

As illustrated in the next sections, a single low-complexity RF is able to approximate isotropic BRDF data well, without requiring multiple lobe fittings like the current standard techniques, even when several phenomena (diffuse reflection, forward or backward glossy or specular reflections, Fresnel effects, etc.) are simultaneously observed on a given material.

Although isotropic BRDF measurements have been intensively studied, much less work has been done on fitting measured anisotropic materials, basically because much less anisotropic data is publicly available. In Section 5 we present our adaptation of Rational BRDF to an anisotropic data model, and discuss the need for high quality measured 4D datasets.

3.2 Rational Approximation of BRDF

The most comprehensive and accurate database of measured isotropic BRDFs, known as the MERL-MIT BRDF database, combines over 1 billion individual BRDF measurements generated by Matusik et al. [20]. The measured BRDF data are available with a \(90 \times 90 \times 180\) angular sampling in \((\theta_h, \theta_d, \phi_d)\), which represents a storage amount of 33 MB per material (the database was last updated in 2006). We have tested our RF approximation technique on many different materials from the database, but for the clarity of presentation, we focus here on four representatives of common BRDF families: beige-fabric (almost perfect Lambertian reflection), blue-metallic-paint (glossy reflection with strong chromatic behavior), nickel (specular reflection), chrome (almost perfect mirror reflection), which are presented in Figure 7 (top row).

For each of these materials, we fit, as described in Section 2, a bivariate rational function \( r_{n,m}(\theta_h, \theta_d) \) that satisfies Equation (2). Of course, the behavior of the approximation is determined by the choice of the intervals \( F_i = [f_i, f_{i+1}] \) containing \( \rho(\theta_i, \theta_d) \), \( i = 0, \ldots, 90 \times 90 - 1 \), for each of the BRDF values. Ideally, the widths of these intervals are calibrated such that they respect the accuracy of each individual measurement. Since measurement error bounds are not explicitly available here, the interval widths are taken such that the renderings are visually satisfactory, while leaving a reasonable number \( m + n \) of coefficients (cf. Figure 6) in the approximation. As a general guideline, we control the interval widths with the
acquired BRDF values around the center of the lobe (the case of highly specular materials, we also noted that the interval widths (and therefore the maximum relative errors obtained for BRDFs. However, approaching grazing angles (for all materials we noted increased acquisition noise when the interval widths near grazing angles.

The final approximation errors are summarized in the table of Figure 8: we have computed for (column 1) each material (column 2) the maximum relative error of the 2D data using the \((\theta_h,\theta_i)\) parametrization, our 2D RF fitting against (column 3) the 2D data and against (column 4) the original 3D data, and (column 5) using a non-linear fitting procedure with the modified Ashikhmin-Shirley BRDF model as described by Ngan et al. [26]. As expected, for every material and regardless of the representations, the fitting errors grow with the specularity of the material. As can be observed, the overall errors of the rational approximations and the Ngan fitting are quite similar. However the interval interpolation process has the advantage to offer full control both on the location and the magnitude of the errors. We chose to compare with the Ashikhmin-Shirley model because, as stated by Ngan et al. [26]: “for single specular lobe, Cook-Torrance, Ashikhmin-Shirley and the He models perform well for most of the 100 isotropic materials.” Furthermore, the effect of adding one lobe (hence fitting with a two-lobe BRDF model), as shown by Ngan et al. [26] (in their Section 4.2), reduces indeed the fitting error (by approximately 25%) for 26 of the 31 materials. However, according to these authors, the fitting process quickly becomes unstable with more than three lobes and the benefit of doing so is only marginal. In conclusion, the errors presented in the table of Figure 8 for the Ashikhmin-Shirley model has been improved at most by 25% when adding a second lobe. Therefore, a model from a two-lobe fitting would still not be competitive (regarding the error and the numerical stability of the technique) against our RF fitting technique.

Finally, the rightmost column (column 6) of the table of Figure 8 shows the maximum relative error against the 3D data when using least-squares bivariate polynomials with the same number of coefficients as the RFs. Except for the low frequency beige-fabric material, the errors are several orders of magnitude higher than the ones obtained with RFs. Furthermore, these errors show that approximating high frequency signals with RFs is more efficient than using polynomials. As shown by Mahajan et al. [23], the required number of coefficients for polynomial functions (e.g., spherical
harmonics) grows quadratically with the directional frequency of the BRDF. Note that it is not straightforward to fit directly spherical harmonics (SHs) using the \((\theta_i, \phi_i)\) because these angles do not span a spherical domain. Other approaches such as Sillion et al. [38] or Westin et al. [14] have used classical spherical parametrization but require between 80 and 133 coefficients for diffuse or glossy materials, and become impractical for high frequency BRDF.

<table>
<thead>
<tr>
<th>Material</th>
<th>((n + 1, m + 1))</th>
<th>Maximum degree</th>
<th>(R)</th>
<th>(G)</th>
<th>(B)</th>
</tr>
</thead>
<tbody>
<tr>
<td>beige-fabric</td>
<td>(18,12)</td>
<td>(5,4)</td>
<td>(5,4)</td>
<td>(5,4)</td>
<td></td>
</tr>
<tr>
<td>blue-metallic</td>
<td>(20,28)</td>
<td>(5,6)</td>
<td>(6,6)</td>
<td>(5,5)</td>
<td></td>
</tr>
<tr>
<td>nickel</td>
<td>(27,16)</td>
<td>(6,5)</td>
<td>(8,3)</td>
<td>(7,4)</td>
<td></td>
</tr>
<tr>
<td>chrome</td>
<td>(84,17)</td>
<td>(12,5)</td>
<td>(9,4)</td>
<td>(10,6)</td>
<td></td>
</tr>
</tbody>
</table>

Fig. 6. The data for the fitted RF representation for each material 2D BRDF are provided for the denominator and the numerator, each per \((R,G,B)\) color channel: (center column) number of coefficients, (right column) maximum degree.

### 4 Rational Functions for CDF

#### 4.1 Parametrization

As mentioned in Section 1.2, efficient Importance Sampling (IS) strategies significantly increase the rate of convergence of Monte-Carlo rendering techniques. In this section we present quasi-optimal IS of arbitrary BRDFs by means of RF approximation. With brevity in mind, we only detail our procedure for the standard \((l,v)\) parametrization. Note that this parametrization has been proven to be well-suited for glossy surfaces [21].

The principle of IS rendering is to define a stochastic estimator for the reflected radiance \(L(v)\) in direction \(v\) by averaging the contribution from \(K\) random light directions \(l_k\) selected according to a conditional Probability Density Function (PDF) \(PDF(l_k|v) = PDF_v(l_k)\):

\[
L(v) = \frac{1}{K} \sum_{k=1}^{K} \frac{n \cdot l_k}{PDF_v(l_k)} \rho(l_k,v) L(l_k). \tag{6}
\]

When there is no prior knowledge about the incident lighting, the optimal choice for the estimator is to use a PDF\(_v\)(\(l_k\)) that is proportional to the BRDF scaled by \(n \cdot l_k\). Each random light direction \(l = (\theta_l, \phi_l)\) required by the estimator can then be obtained by generating a pair of uniform random numbers \((\mu, \tau)\) and inverting a pair of Cumulative Distribution Functions (CDFs), obtained from the integration of the selected PDF:

\[
\theta_l = CDF_v^{-1}(\mu) \quad \text{and} \quad \phi_l = CDF_v^{-1}(\tau|\theta_l).
\]

We propose an innovative technique intended to combine the strengths of previous approaches. The idea is to directly define a closed-form expression for the inverse CDF, without preliminary analytical formulation of either the CDF or the PDF.

Starting from a measured BRDF scaled by \(n \cdot l\), in other words \(\rho(l, v) \cos \theta_l\), we first compute a tabulated version of the inverse CDFs:

- a 2D table for CDF\(^{-1}\)(\(\theta_l, \mu\)) where \(\theta_l \in [0, \pi/2]\) indexes the view direction and \(\mu \in [0, 1]\) is a random sample,
- a 3D table for CDF\(^{-1}\)(\(\theta_l, \tau|\theta_l\)) where \(\theta_l \in [0, \pi/2]\) and \(\tau \in [0, 1]\) is a second random sample.

Since we are using isotropic materials there is no azimuthal dependency on the view direction \(v = (\theta_v, \phi_v)\), i.e., \(\phi_v = 0\). Then we approximate these tabulated CDF data with a bivariate and trivariate RF respectively:

\[
\begin{align*}
\theta_l &= CDF^{-1}_v(\theta, \mu) \\
\phi_l &= CDF^{-1}_v(\theta, \tau|\theta)
\end{align*}
\]

Note that since the CDF is the integral of its corresponding PDF, the shapes of the inverse CDFs are always much simpler than the PDFs, as shown in Figure 9, and RFs can easily reproduce the steep variations that are present in most datasets.

The gain of this approach is twofold. First, due to the compact representation of RFs, generating each random vector \(l_k\) is very efficient as it only involves the evaluation of low-degree multivariate RFs. This is actually done in constant time, contrary to approaches based on the numerical inversion of tabulated CDFs. Second, it allows for a more effective IS strategy by using our new Monte-Carlo estimator (the derivation is available in the dedicated companion document, Section 2), which is directly based on the inverse CDF instead of the PDF:

\[
L(v) \approx \frac{1}{K} \sum_{k=1}^{K} \alpha_v(\mu_k, \tau_k) \rho(v, l_k) (n \cdot l_k) L(l_k)
\]

with \(\alpha_v(\mu, \tau) = \frac{\partial CDF^{-1}_v(\mu)}{\partial \mu} \frac{\partial CDF^{-1}_v(\tau|\theta)}{\partial \tau} \sin \theta_l\) and \(\theta_l = CDF^{-1}_v(\mu)\).

Since both inverse CDFs are RFs, their partial derivatives can easily be evaluated on the fly. Unlike previous approaches (e.g., [21]), which use different parametrizations depending on the type of material, we have decided to use the \((\theta_l, \phi_l)\) parametrization for all materials. This
Fig. 7. From top to bottom: original measured data in $(\theta_h, \phi_d, \theta_d)$, projected data in $(\theta_h, \phi_d)$, rational BRDF fit in $(\theta_h, \phi_d)$. All scenes are rendered using 1024 directional light sources sampled from the surrounding environment map. Each Rational BRDF generates a similar magnitude of $\text{Lab}$ visual errors as the ones produced by the 2D projected data, but requires between 165 and 1000 times less storage.

provides a consistent choice and we leave for future work the question of the most suitable parametrization for importance sampling independent of the material type. Nevertheless, as illustrated in Section 4.2, the whole IS strategy proposed here results in a very efficient computation, whatever the complexity of the underlying BRDF, with extremely compact storage compared to prior work.

4.2 Rational Approximation of CDFs

We proceed as follows. First, we compute two tabulated versions of the inverse CDFs for each of the four selected materials. The values of $\text{CDF}_\mu^{-1}(\mu) \in [0, \pi/2]$ are computed on a $91 \times 91$ grid. Similarly, the values of $\text{CDF}_\tau^{-1}(\tau) \in [0, \pi]$ are computed on a $91 \times 91 \times 128$ grid, which size is then reduced by a factor of two using the fact that the inverse CDF is symmetric. Second, to accelerate the resolution of $P$, we use 300 measured values as training data (cf. Section 2.2).

As explained in Section 2.2 we guarantee that the fitted RF for the 3D inverse CDF is symmetric with respect to $\theta_v$ and $\theta_l$, i.e., $r_{\mu, \phi_d}(\theta_v, \theta_l, \tau) = r_{\mu, \phi_d}(\theta_v, \theta_l, \tau)$. Moreover, we guarantee that both RFs $r_{\mu, \phi_d}(\theta_v, \mu)$ and $r_{\mu, \phi_d}(\theta_v, \tau)$ are monotonically increasing with respect to $\mu$ and $\tau$, respectively. Besides monotonicity and symmetry properties, we also enforce boundary
conditions of the CDFs:
\[
\text{CDF}^{-1}(\theta_0, \mu = 0) = 0 \quad \text{CDF}^{-1}(\theta_0, \theta_1, \tau) = \pi \tau
\]
\[
\text{CDF}^{-1}(\theta_0, \mu = 1) = \frac{\pi}{2} \quad \text{CDF}^{-1}(\theta_0, \theta_1, \tau) = \pi \tau
\]
by imposing the following rational forms:
\[
r_{m_0,m_0}(\theta_1, \mu) = \frac{\pi}{2} \mu + (1 - \mu) \frac{p_{m_0,m_0}(\theta_1, \mu)}{q_{m_0,m_0}(\theta_1, \mu)}
\]
\[
r_{m_0,m_0}(\theta_1, \theta_2, \tau) = \pi \tau + (1 - \tau) \theta_2 \frac{p_{m_0,m_0}(\theta_2, \theta_1, \tau)}{q_{m_0,m_0}(\theta_2, \theta_1, \tau)}.
\]

For each of the four selected materials, we apply the fitting algorithm described in Section 2, complemented with the corresponding modifications and new constraints mentioned in Section 2.2. The choice of the intervals \(F_i = [\hat{f}_i, \tilde{f}_i]\), now containing either \(\theta_j\) or \(\phi_j\), for each of the inverse CDF values, completely determines the behavior of the approximations. Since these inverse CDFs guide the IS process, the interval widths are taken such that the resulting variance is acceptable, while leaving the number \(m+n\) of coefficients in the approximation manageable. As illustrated below, this basically implies a fairly accurate \(\text{CDF}^{-1}_V(\mu)\) approximation, and capturing the main trend of \(\text{CDF}^{-1}_V(\tau/\theta)\). For the 2D \(\text{CDF}^{-1}_V(\mu)\) we define the intervals as:
\[
\begin{cases}
  f_i = \theta_i - \epsilon(1 + \theta_i) \\
  \tilde{f}_i = \theta_i + \epsilon(1 + \theta_i)
\end{cases}
\]
for \(\epsilon = 0.015\) for beige-fabric, \(\epsilon = 0.035\) for blue-metallic, \(\epsilon = 0.05\) for nickel, and \(\epsilon = 0.1\) for the highly specular chrome. In a similar way, we define the intervals for 3D \(\text{CDF}^{-1}_V(\tau/\theta)\) according to \(\phi_i\) with \(\epsilon = 0.015\) for beige-fabric and blue-metallic, \(\epsilon = 0.05\) for nickel, and \(\epsilon = 0.1\) for chrome. For the 3D \(\text{CDF}^{-1}_V(\tau/\theta)\), we relax the intervals near \(\theta_1 = \theta_2\) and near grazing angles \(\theta_0, \theta_1 > 80^\circ\). In order to keep the number of coefficients manageable in \(r_{m_0,m_0}(\theta_1, \theta_1, \tau)\), we restrict the partial degree in \(\tau\) to at most 2, which is sufficient for capturing the steep increase in \(\tau\).

Figure 11 shows the 2D approximations of \(\text{CDF}^{-1}_V(\mu)\) whereas Figure 12 shows the 3D approximations of \(\text{CDF}^{-1}_V(\tau/\theta)\). The relative errors shown are
\[
\frac{|\text{CDF}^{-1} - r_{m,m}|}{1 + |\text{CDF}^{-1}|}
\]
where \(r_{m,m}\) is the corresponding rational approximation. Note from these figures that the number of coefficients needed is always very moderate. This indicates that the proposed forms in Equations (8) and (9) are adequate and capture most of the information. The table of Figure 14 presents the fitting time for each of the 2D \(\text{CDF}^{-1}_V(\mu)\). These timings are directly proportional to the number of coefficients tested.

Figure 13 further compares, for each material, the rendering quality obtained (first row) with tabulated CDFs and PDFs against (second row) approximated CDFs. We also compute the variance of the sphere pixels in each image to compare the performance of the IS strategy based on our RF CDF with that of the tabulated data. To provide a fair comparison of the sampling efficiency, our renderer uses the BRDF combined either with the tabulated CDF or its RF approximation. For the blue-metallic, beige-fabric, and nickel materials the resulting variance is as low as the reference solution obtained with tabulated data. For the chrome material the variance is \(10\times\) larger than the reference solution, but the Lab difference between the images shows that our RF approximation still provides a visually satisfactory result. Chrome remains the most challenging material. However, to our knowledge, previous approaches could not even represent the chrome data.

Note that our technique guarantees the existence of a representable inverse CDF without introducing a bias in the sampling process because the RFs preserve monotonicity. However, the speed of convergence of the IS is directly dependent on the overall quality of the fitted function.

Regarding the size of our functions, Figure 10 shows that with RF approximations, we achieve high compression rates. For example, the nickel material in our approach is \(7600\times\) (resp. \(3100\times\)) more compact than the one proposed by [21] (resp. [30]). The numbers from the first row of the table have been directly reported from the original paper whereas for the other rows, we have reported the lowest available sizes, which are coming from Montes’ Ph.D. thesis [39]. Notice that previous approaches do not provide any results for specular chrome whereas our technique may still be used to approximate an importance function. This high compression ratio directly results from the properties of RFs, which can efficiently represent steep changes with low-degree approximations, as well as from the fact that we do not store any
beige-fabric  blue-metallic  nickel  chrome  
Factored  na  ≈ 200  ≈ 200  na  
Cascade PDF  374.16  1685.64  2057.51  na  
A. Disc  272.62  715.91  846.15  na  
RFs  0.117  0.211  0.230  0.172  
Fig. 10. Comparison of the CDF and PDF data size in KB for different techniques: Factored representation [21], Cascade PDF [29], A. Disc [30], and Rational Functions. Our Rational Function approach consumes always less memory than the previous approaches.

beige-fabric  blue-metallic  nickel  chrome  
2.82  5.74  19.19  6.44  
Fig. 14. Fitting timings in seconds computed with our Matlab program on an Intel Xeon L5420@2.5GHz for the 2D inverse CDF. These timings include all iterations of the fitting algorithm detailed in Section 2.

PDFs. As explained earlier, the PDF is computed on the fly from the inverse CDF during the rendering step and thus does not require any supplemental storage.

Finally, the efficiency of the IS process can be observed in Figure 1 which presents a global illumination rendering of a more complex scene. Compared to the reference solution, resulting in a small LAB difference, our solution achieves the same overall rendering quality but with a drastically lower memory footprint (90 MB + 30 MB vs. 1.67 KB + 0.6 KB) when using the 3D measured data, and (570 KB + 30 MB vs. 1.67 KB + 0.6 KB) when using the 2D projected data.

5 Anisotropic BRDF Model using RFs

Ward [7] observed that for many anisotropic materials, the variation of the reflected intensity when rotating the surface around its normal vector often consists of a simple scaling factor applied to an average isotropic lobe. Based on that observation, we propose the following model for anisotropic BRDFs as a product of two rational functions:

\[ \rho(I, v) \approx r_{m,n}(\theta_m, \theta_n) \]

where \( r_{m,n}(\theta_m, \theta_n) \) represents a standard isotropic Rational BRDF and \( r_{m,n}(\theta_m, \theta_n) \) is a scaling factor defining the anisotropic variation. This scaling factor agrees with classical brushed-metal behavior [7] and with several other anisotropic models [8], [10], [28].

To validate this model, we have chosen to generate “hybrid” anisotropic BRDFs by post-processing measured isotropic materials. We may view the scaling function as an approximation of the directional variation generated by weaving mesostructures of textiles, or regular geometric structures of crystals. Actually, the whole process can be seen as a combination of the hybridization technique, based on a linear combination of materials, proposed by Matusik et al. [20], and the mesostructure simulation technique used by Westin et al. [14] to predict reflectance from complex materials. Figure 15 presents results obtained with different anisotropic transformations of the blue-metallic-paint material.

In theory, there is nothing preventing our RF method from approximating a measured full 4D BRDF. The results that we obtained so far suggest that the 3D subset (\( \theta_1, \theta_2, \phi \)) of Rusinkiewicz’s parametrization is sufficient for some anisotropic BRDFs, similar to the use of (\( \theta_1, \theta_2 \)) for isotropic BRDFs [35]. Reducing the dimension to 3D leads to less constraints for the interval interpolation technique [32], and results in a large speed-up of the fitting process. Unfortunately, far less data is publicly available. We experimented with another MIT BRDF database [26] containing four measured anisotropic materials. However as noted in previous work [10], the embedded data contain significant acquisition noise, and present large areas in its parametric domain where the measured BRDF values are quite sparse. These two issues lead to artifacts in the fitted data. Smoothing and good quality data completion [11] should improve our results, but this remains one of our future research directions.

6 Conclusion and Future Work

In this paper, we have introduced Rational BRDFs, a generic and compact representation of arbitrary BRDFs, based on Rational Functions. By employing a subset of the BRDF parametrization introduced by Rusinkiewicz [34], isotropic BRDFs can be represented as bivariate RFs. Very compact approximations of measured BRDFs are obtained with a memory footprint that is usually less than one kilobyte for arbitrary isotropic materials. Moreover, the same approximation process applies to the inverse CDF generated from the corresponding BRDF multiplied by the cosine factor. Combined with our new Monte-Carlo estimator which exploits the RF formulation, our approach offers a quasi-optimal IS scheme, with compact storage compared to prior work. We have shown that the Rational BRDF is suitable to reproduce some anisotropic effects on a 3D subspace of Rusinkiewicz’s parametrization [34] by the introduction of a univariate rational function that scales an isotropic Rational BRDF according to its orientation.

All of our results are the foundations for future research. First, we have started investigating acquisition techniques to obtain...
dense BRDF measurements of materials, focusing on families of BRDFs that are currently lacking in public databases such as materials exhibiting retro-reflective behavior, and various types of anisotropic reflections. Based on this dataset, we may extend the previous studies on parametrization [35], [37] to more generic materials. In investigating extensions to the work of Lawrence et al. [21], we also hope to find a set of best-suited for IS.

Second, as shown by the anisotropic transformation of blue-metallic-paint presented above, generating hybrid materials by combining analytical and measured BRDFs appears to be a promising direction of research. We are currently formalizing this process to define a flexible framework for intuitive BRDF editing.

Finally, for applications where lighting is exclusively defined by environment maps, we intend to generalize our IS scheme to directly process the product of the BRDF with the environment map, following ideas presented by Jarosz et al. [40].

Fig. 11. Rational Function approximation of the bivariate $CDF^{-1}(\mu)$ for our four selected MERL-MIT materials. For each material, the colormap represents the fitting relative error and ranges from the minimum relative error (black) to the maximum relative error (yellow). The number of coefficients is expressed as a pair (numerator,denominator).

Fig. 12. Slices ($\theta_v = 45^\circ$) of the Rational Function approximation of the trivariate $CDF^{-1}(\tau|\theta)$ for our four selected MERL-MIT materials. For each material, the colormap represents the fitting relative error and ranges from the minimum relative error (black) to the maximum relative error (yellow). The mean and max relative errors are given for the whole 3D data. The number of coefficients is expressed as a pair (numerator,denominator).

ACKNOWLEDGMENTS

REFERENCES

beige-fabric  blue-metallic-paint  nickel  chrome

Tabulated CDFs and PDFs

Variance (0.0153, 0.0084, 0.0088)  Variance (0.0006, 0.0004, 0.0033)  Variance (0.0025, 0.0020, 0.0022)  Variance (0.0077, 0.0062, 0.0095)

Rational Function Approximation of the inverse CDFs

Variance (0.0152, 0.0084, 0.0088)  Variance (0.0009, 0.0007, 0.0048)  Variance (0.0017, 0.0141, 0.0156)  Variance (0.0701, 0.0631, 0.0937)

Max \( \text{Lab error: 2.09} \)  Max \( \text{Lab error: 10.2} \)  Max \( \text{Lab error: 2.90} \)  Max \( \text{Lab error: 24.25} \)

Mean \( \text{Lab error: 0.43} \)  Mean \( \text{Lab error: 1.19} \)  Mean \( \text{Lab error: 0.44} \)  Mean \( \text{Lab error: 1.13} \)

Fig. 13. Comparison of Importance Sampling with the Rational Function approximation of inverse CDFs and the original tabulated data. 400 samples per pixel were used. The numbers under each image indicate the mean variance per color channel. Compared to the original tabulated data where the different CDFs and PDFs require 10 MB for each material, our RF approach requires only between 0.117 and 0.230 KB.


Christophe Schlick is professor in Computer Science at the University of Bordeaux 2 (France) where he has recently headed the Applied Mathematics and Computer Science Department. After having received his PhD in 1992 for his work on BRDF models and Monte Carlo techniques, his research interests have embraced many aspects of computer graphics, including global illumination, procedural texture and geometric synthesis, curves and surfaces, point based modeling and rendering.

Xavier Granier received his Engineer and MS degrees from the Grenoble Institute of Technology and his PhD Diploma for University Joseph Fourier in Grenoble (France). He is currently at INRIA Bordeaux Sud-Ouest (France) as a research scientist. His research interest includes realistic lighting and expressive rendering, appearance modeling and acquisition. He is a member for ACM and EUROGRAPHICS.

Pierre Poulin is a full professor in the Computer Science and Operations Research department of the Université de Montréal. He holds a Ph.D. from the University of British Columbia and a M.Sc. from the University of Toronto, both in Computer Science. He has served on program committees of more than 45 international conferences. His research interests cover a wide range of topics, including image synthesis, image-based modeling, procedural modeling, natural phenomena, scientific visualization, and computer animation.

Oliver Salazar Celis received the M.Sc. degree in Computer Science in 2004 and the Doctor Scientiae degree in 2008, both from the University of Antwerp, Belgium. From October 2008 until December 2011 he was a Post-Doctoral researcher in the Department of Mathematics and Computer Science at the same university. His research interests include rational approximations, numerical analysis, optimization and their use in applications ranging from telecommunication networks to signal processing. Currently, his research focuses on modeling and numerical aspects in computational finance.

Annie Cuyt is full professor at the Department of Mathematics and Computer Science of the University of Antwerp. She received her Doctor Scientiae degree in 1982 from the same university, summa cum laude and with the felicitation of the jury. Subsequently she was a Research fellow with the Alexander von Humboldt Foundation (Germany) and she was honoured with a Masuda Research Grant (Japan). She is the author of more than 100 publications in international journals and conference proceedings, the author or editor of several books and the organizer of a number of international events. Her current interests are in rational approximation theory and its applications in scientific computing.

Romain Pacanowski received his Engineer degree from EFREI and his MS degree from the University of Bordeaux. He holds a PhD from the University of Bordeaux (France) and Université de Montréal (Canada). He was a postdoctoral fellow at the CEA in 2010 and for Disney Interactive Media Group in 2011. Since December 2011, he is working at the CNRS as Research-Engineer. His research interest includes realistic rendering as well as appearance modeling and acquisition.

Oliver Salazar Celis received the M.Sc. degree in Computer Science in 2004 and the Doctor Scientiae degree in 2008, both from the University of Antwerp, Belgium. From October 2008 until December 2011 he was a Post-Doctoral researcher in the Department of Mathematics and Computer Science at the same university. His research interests include rational approximations, numerical analysis, optimization and their use in applications ranging from telecommunication networks to signal processing. Currently, his research focuses on modeling and numerical aspects in computational finance.