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## Layered spatio-temporal forests for left ventricle segmentation from 4D cardiac MRI data

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Abstract. In this paper we present a new method for fully automatic left ventricle segmentation from 4D cardiac MR datasets. To deal with the diverse dataset, we propose a machine learning approach using two layers of spatio-temporal decision forests with almost no assumptions on the data nor explicitly specifying the segmentation rules. We introduce 4D spatio-temporal features to classification with decision forests and propose a method for context aware MR intensity standardization and image alignment. The second layer is then used for the final image segmentation. We present our first results on the STACOM LV Segmentation Challenge 2011 validation datasets.

## 1 Introduction

The left ventricle plays a fundamental role in circulation of oxygenated blood to the body. To assess its function, several indicators are often calculated in clinical practice. Many of these are based on ventricular volume and mass measurements at reference cardiac phases. To calculate these an accurate delineation of the myocardium and the cavity is necessary. To remove the bias and variance of manual segmentation, and obtain reproducible measurements, an automatic segmentation technique is desirable.

Compared to computed tomography (CT), cardiac magnetic resonance imaging (cMRI) offers superior temporal resolution, soft tissue contrast, no ionizing radiation, and a vast flexibility in image acquisition characteristics. As a disadvantage, cMRI scans often yield significantly lower resolution in the plane orthogonal to the plane of acquisition, the images can suffer from magnetic field inhomogeneities and respiration artifacts can manifest as slice shifts. Moreover, the lack of standard units (compared to the Hounsfield scale in CT) makes it difficult to directly apply most of the intensity based segmentation techniques.

Motivated by the success of Lempitsky et al. [1] in myocardium segmentation from 3D ultrasound sequences in near real time and Geremia et al.[2] for multiple sclerosis lesion segmentation, we propose a fully automated voxel-wise segmentation method based on decision forests (DF) with no assumptions on shape, appearance, motion (except for periodicity and temporal ordering) or knowledge about the cardiac phase of the images in the sequence. The left ventricle segmentation problem is defined as the classification of voxels into myocardium and background. 2

Instead of robustly registering to an atlas [3], building a model [4] or running a highly specialized segmentation algorithm we leave the learning algorithm to automatically decide the relevant features for solving the segmentation problem using the provided ground-truth only. In principle, any pathology can be learnt once a similar example is represented within the training dataset. The previously used decision forests [1][2] rely on features that work best when image intensities and orientations are very similar. To tackle the highly variable dataset, we propose a layered learning approach, where the output of each layer serves a different purpose. The first layer is used to prepare the data for a more semantically meaningful and accurate segmentation task in the second layer.

The main contributions of this paper are: a method to use decision forests to solve the MR intensity standardization problem (Section 3.1) and, similarly, perform a context sensitive rigid registration (Section 3.2) to align all images to a reference pose. We also suggest a way to introduce temporal dimension into the currently used 3D random features (Section 2.2). Using the intensity standardized and pose normalized images, which we add spatial information to, we then train a second forest layer (Section 4). This helps the trees to automatically build their own latent shape representation.

**Dataset.** STACOM 2011 LV segmentation challenge data [5] were divided into two sets. Training set (100 3D+t short axis (SA) volumes with manually delineated myocardia at each cardiac phase) and validations sets ( $5 \times 20$  3D+t SA volumes with no delineation provided).

This dataset clearly shows the anatomical variability of heart shape and appearance and some of the main issues of cMRI mentioned above.

## 2 Layered spatio-temporal decision forests

Decision forests are an ensemble supervised learning method consisting of a set of binary decision trees. The training set contains a set of feature measurements and associated labels (myocardium/background) for each of the voxels in the set.

The trees are built in a top-down fashion, from the root, down to the leaves. At each node, local features and a randomly sampled subset of context-rich features are considered for feature selection. Random sampling of the features leads to increased inter-node and inter-tree variability and improved generalization. Each feature  $\theta$  can be regarded as a binary decision (in our case  $\tau_l < \theta < \tau_h$ ) that splits the original set into two disjoint subsets. The trees then select the most discriminative features for each split such that the information gain is maximized. The data division then recursively continues until a significant part of the voxels at the node belongs to a single class or no significant information gain can be obtained by further splitting. The node then becomes a leaf. The averaged class distributions of all the leaves in the forest reached by the voxel then represent the posterior probabilities of it belonging to either the myocardium or the background. See Geremia et al. [2] for more details.

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### 2.1 Strategy to learn from spatio-temporal data

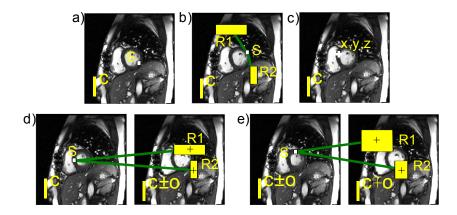
In our approach, we serially train two layers of decision forests, each with the aim to learn to segment, but using slightly modified training data and features. Training with all the 3D+t data was not feasible within the time limits of the challenge, therefore a reduced strategy was designed. This strategy is repeated for each tree:

- 1. Select a random subset of k 4D volumes from the whole training set
- 2. Randomly choose a reference 3D frame  $I^c$  for each selected 4D volume
- 3. Select two frames  $I^{c-o}$ ,  $I^{c+o}$  with a fixed offset o on both sides from the reference cardiac image  $I^c$  (with periodic wrapping at sequence boundaries)
- 4. Train the tree using a set of k triplets  $(I^c, I^{c-o}, I^{c+o})$

To reduce the computational time, the size of the subset for each tree was set to k = 15, and only one fixed offset o = 4 is currently used. The choice of owas made such that the motion between the selected frames is significant even when more stable cardiac phases (end systole or end diastole) are selected as the reference frame and that almost a half of the cardiac cycle could be covered.

## 2.2 Features

We use several features families to generate the random feature pool operating on the triplets of frames. Their overview can be seen on Figure 2.2).



**Fig. 1.** Illustration of image based features extracted from the images. a) Local features  $(3 \times 3 \times 3 \text{ box average } S$  around the source voxel in the current frame  $I^c$ ) [2]. b) Context rich features [2] measuring the difference between source box average S and the sum of remote region averages R1 and R2. c) Components x,y,z of voxel coordinates as features[1]. d) Spatio-temporal context rich features with the current frame as the source image and offset frame  $I^{c\pm o}$  as the remote. e) Spatio-temporal context rich features with one of the offset frames as the source image and the other as remote.

Local features. Proposed in [2] as an average of intensities in the vicinity of the tested voxel to deal with noise in magnetic resonance imaging:

$$\theta_{I^c}^{loc}(x) = \theta_{I^c}^{loc}([x, y, z]) = \sum_{x'=x-1}^{x' \le x+1} \sum_{y'=y-1}^{y' \le y+1} \sum_{z'=z-1}^{z' \le z+1} I^c([x', y', z'])$$
(1)

Although these features are not intensity invariant, they can still quite well reject some highly improbable intensities.

**Context rich features.** Defined also in [2], for multichannel MR acquisitions as a difference between the local source image intensity  $I^S$  and box averages of remote regions in image  $I^R$ :

$$\theta_{I^{S},I^{R}}^{CR}(x) = I^{S}(x) - \frac{1}{Vol(R_{1})} \sum_{x' \in R_{1}} I^{R}(x') - \frac{1}{Vol(R_{2})} \sum_{x' \in R_{2}} I^{R}(x')$$
(2)

The 3D regions  $R_1$  and  $R_2$  are randomly sampled in a large neighborhood around the origin voxel. These capture strong contrast changes and long-range intensity relationships. In our case we define context-rich features as  $\theta_{I_c,I_c}^{CR}(x)$ .

**Spatio-temporal context rich features.** The domain of the moving heart can be coarsely extracted by just thresholding the temporal difference magnitude of the image. We propose to exploit this wealth of information and extend the previous context-rich features into the temporal domain by comparing the "current" 3D frame  $I^c$  and another frame offset from c by  $\pm o$ . The temporal context-rich features can be defined as  $\theta_{Ic}^{TCR1} = \theta_{Ic}^{CR} (x)$  and  $\theta_{Ic}^{TCR1} = \theta_{Ic}^{CR} (x)$ .

features can be defined as  $\theta_{I^c}^{TCR1} = \theta_{I^c,I^{c+o}}^{CR}(x)$  and  $\theta_{I^c}^{TCR1} = \theta_{I^c,I^{c-o}}^{CR}(x)$ . Similarly, we measure the differences between the symmetrically offset frames contained in the triplet as  $\theta_{I^c}^{TCR2}(x) = \theta_{I^{c+o},I^{c-o}}^{CR}(x)$  and  $\theta_{I^c}^{TCR2}(x) = \theta_{I^{c-o},I^{c+o}}^{CR}(x)$ . These spatio-temporal features can be seen as an approximation of a temporal differentiation around the center frame. Note that we use both +o and -o to keep some symmetry of the remote region distribution.

**Voxel coordinates.** Finally, as in [1], we can insert absolute voxel coordinates:  $\theta_C^X(x) = x_x$ ,  $\theta_C^Y(x) = x_y$ ,  $\theta_C^Z(x) = x_z$  into the feature pool. However, not until these coordinates have a strong anatomical meaning. This happens later, in the second forest layer when the images are reoriented into the standard pose.

#### 2.3 Data preprocessing

To use fast evaluation of previously defined features based on integral images [6], it is necessary to have consistent spacing. Therefore, all the volumes were resampled to one of the most common spatial spacings in the dataset (1.56, 1.56, 7.42mm) and temporal sequence length (20 frames).

Intensity ranges of the images were all linearly rescaled to a fixed range. Similarly to Nyúl et al. [7], we clamp intensities beyond the 99.8 percentile as they usually do not convey much useful information.

## 3 First layer: Decision forests for image intensity standardization and position normalization

Following the above mentioned training subset selection strategy we can train the first layer of the forests. This is done directly on the images after intensity rescaling i.e. images are brought into the same intensity range but have their original poses. Although short axis scans are often acquired close to a position where the ventricular ring is centered, slice orientation is chosen manually during the acquisition, and precise alignment cannot be guaranteed. Therefore we skip the usage of absolute voxel coordinate features at this step.

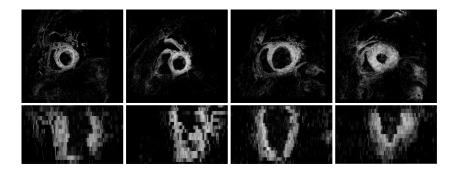


Fig. 2. Short (top) and long (bottom) axis views of the posterior probabilities after the first layer. Brighter value means higher probability.

Several authors (e.g. [3]) have proposed to use Haar like features to detect the heart and crop the heart region. Images can be then registered using the cropped volumes. This removes the influence of background structures and improves the success rate for the registration. However, an extraction of the cropped region will not be necessary to perform a robust registration in our case. We train the first layer of the forests on a rather general scenario, to end up with at least a very rough classification performance (see Figure 2). As we show in the next two sections, using the rough posterior probability map of a tissue belonging to a ventricle this performance can be already good enough for ventricle detection, intensity standardization and alignment onto a reference orientation without any prior knowledge of the data apart from the ground-truth.

### 3.1 Intensity standardization

MR intensity value differences of the same tissue are significant not only between scanners and acquisition protocols [8] but also for the same follow-up patients [7]. Therefore good intensity standardization is crucial for any intensity based segmentation algorithm. The variance in median intensities of the myocardia between different cases in the STACOM training set is quite large. There is no unique mode and the distribution is fairly spread in the whole intensity range (0, 65535). Median myocardial intensities span range (1954, 36430), with standard deviation of 5956 and inter-quantile range 7663). This is a serious problem for any intensity based segmentation method.

Many of the intensity standardization algorithms [9] used today are based on the methods of Nyúl et al. [7][10] and the alignment of histogram based landmarks (e.g. modes, percentiles or statistics of homogeneous connected regions) by rescaling image intensities with a piecewise linear mapping. Many of these methods do work reasonably well for brain images where the white matter is clearly the most dominant tissue. In cMRI, the largest homogeneous regions would most of the time belong to the lungs, liver or cavities, rather than the myocardium.

However, from the rough image first layer classification we already obtain some information about the strength of the belief in the foreground and background object. We propose to remap the source image intensities by a piecewise linear function such that the weighted median (as median is more robust to outliers than the mean)  $M_{source}^c$  of the images is transformed to a reference value  $M_{ref}$ . The weighted median is defined as follows:

$$M_{source}^{c} = \arg\min_{\mu} \sum_{x \in I^{c}} w(x) . |I^{c}(x) - \mu|$$
(3)

Where x is the voxel iterator and w(x) are the weights (first layer posterior probabilities). We avoid sorting all volume intensities by approximating the weighted median with the weighted version of the  $P^2$  algorithm [11][12]. This algorithm dynamically approximates the cumulative probability density function with a piece-wise quadratic polynomial by adjusting positions of just five markers as the weighted samples are streamed in. Each of these markers are associated with their position, percentile and an intensity value corresponding to that percentile. The positions are updated such that they correspond to the sum of weights of samples whose intensity value is smaller than the value the markers hold.

#### 3.2 Orientation normalization

In the approach of Lempitsky et al. [1] voxel absolute coordinates are used as features directly. This choice cannot be justified without aligning the images onto a reference pose. Moreover, features we use for classification are not rotation invariant. Therefore if all the volumes could be registered to have the same orientation, the classification would certainly benefit from it. The interpatient cardiac registration is generally a difficult problem due to the high variability in the thoracic cage. Shi et al. [3] do first learning based heart detection and then apply a locally affine registration method which they claim to be robust for large differences.

A robust learning based linear inter-patient organ registration was proposed by Konukoglu et al.[13]. Here, each organ is represented with a smooth probability map fit to the bounding boxes obtained as a result of a regression forest. Then, registration of these probability maps is performed.

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This sigmoid representation is however rather limiting since it disregards the orientation that we would like to correct for. Without any assumptions on the shape of the distribution, we propose to rigidly align the myocardium enhanced first layer posterior probability maps instead. For this step we propose to use a fast and robust rigid block matching registration technique [14]. The reference we used was chosen randomly among the images where the apex was at least partially closed. A better choice of the reference, is currently out of scope of this paper. However, an algorithm similar to Hoogendoorn et al. [15] or a generative technique similar to [16] could be used.

To reduce the computational time, only probability maps of frames from the middle of the sequence are used to estimate the intensity and pose transformations. The same transformations are then applied for all the frames and ground truths in the sequence which will be needed to train the second layer.

## 4 Second layer: Learning to segment with the shape

#### 4.1 Using voxel coordinates

Once the images are registered to a reference volume, the voxel coordinates start to encode spatial relationships with respect to the reference coordinate frame and the coordinate features can be now included in training of the second decision forest layer. Moreover, if the intensity standardization step succeeds, the intensities have more tissue specific meaning (at least for the myocardium).

Thanks to the incorporation of coordinate based features, the tree can completely automatically learn its own latent representation of the possible set of shapes, regularize the classification, and help to remove objects far away from the ventricle. However, this step strongly relies on the success of the previous registration step. Currently, only one reference image is used. Registration to multiple targets should therefore improve robustness and alleviate this problem.

## 4.2 Transforming the volumes back

After the classification is done in the reference space, the posterior probability maps can be transformed back to the original reference frame and resampled accordingly. This shows the advantage of a soft classification technique where the final binary mask is obtained by thresholding the transformed non-integer posterior map, thus avoiding some of the interpolation artifacts.

## 5 Results

Here we show the preliminary results of our method. The forest parameters for the first layer were fixed as follows: 20 trees with depth 20 each. To train each tree, 15 triplets of frames were randomly selected from different volumes of the training set (91 volumes in total). For the second layer: 27 trees each with depth 20. For each tree 12 triplets were randomly selected from different volumes of 8

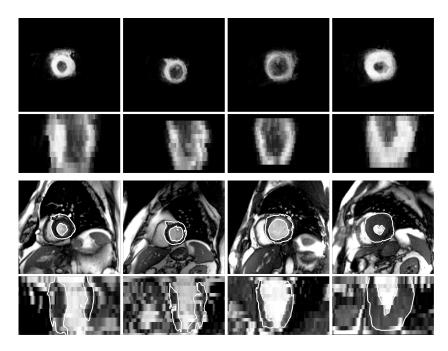


Fig. 3. Short (top) and long (bottom) axis views on the posterior probabilities after the second layer and segmentation results (isocontour of the probability map at 0.5).

the training set (91 volumes in total). This leads to usage of only 8% triplets from the whole training set. Hence, there is a vast reserve in utilisation of the training data and setting optimal forest sizes. These parameters were chosen rather empirically to fit into the time limits of the challenge.

The following results were obtained after blind evaluation of our classifications on 90 previously unseen test volumes i.e. 25415 slices from the validation dataset by the STACOM LV segmentation workshop organisers (See Table 1).

In most of the cases, the algorithm was able to correctly identify the left ventricle myocardium (with median specificity of 0.81). This was possible without the need to explicitly define the segmentation rules and problem specific assumptions (e.g. circularity of the myocardium or cavity contrast). It was also not neccessary to include additional information into the training set (e.g. mitral valve plane position or manual segmentation of a frame in the sequence) nor to rely on a robust non-rigid registration technique.

All the measures were calculated per-slice. This way of calculating the measures caused some of them (specificity, accuracy and NPV) to reach high values but also to have less explicative power since the number of the background voxels (TN) dominates the expression. Some of these measures (sensitivity and PPV) strongly penalize any voxel misclassifications in the apical and basal areas where the slices contain only very few true myocardial voxels. Performance of our algorithm is currently rather mediocre at basal and apical slices (with median specificity as low as 0.23 at the apex). This is partly due to limited feature evaluation at image borders and the pose standardization step, where voxels at boundaries can get transformed out of the classified volume. The poor performance at these regions results in increased variance of the measures and helps to explain the significant differences between mean and the median values of the measures.

	sensitivity	specificity	accuracy	PPV	NPV	dice	jaccard
	$\frac{TP}{TP+FN}$	$\frac{TN}{FP+TN}$	$\frac{TP+TN}{P+N}$	$\frac{TP}{TP+FP}$	$\frac{TN}{TN+FN}$	$\frac{2 A \cap B }{ A + B }$	$\frac{ A \cap B }{ A \cup B }$
$\mathbf{mean}$	0.6857	0.9897	0.9861	0.4791	0.9962	0.5045	0.3730
median	0.8099	0.9907	0.9875	0.5234	0.9978	0.5995	0.4281
$\sigma$	0.3137	0.0077	0.0077	0.2069	0.0046	0.2571	0.2098

**Table 1.** Statistics on the per-slice measures of our segmentation results on 90 volumes from the validation dataset calculated from the entire slices with no region of interest specified. The basal and apical slices contribute to the large differences between the mean and median values and also contribute to the higher variance.

Compared to the state of the art algorithms for left ventricle segmentation, slightly lower segmentation performance was achieved. It should be noted that the classification is run independently for each voxel. No smoothness, connectivity nor temporal consistency constraints are enforced to demonstrate the performance of the pure machine learning approach. Therefore, isolated segmentation islets or holes in the resulting binary segmentation can occur as a result of misclassification. However, thanks to the coordinate features, most of the voxels far from the myocardium are usually well discarded and also the solution becomes more regular as a result of the latent cardiac shape model built by the forests. In the soft classification, the holes are represented as a drop in the segmentation confidence but rarely fall to zero. This information could be easily considered in a subsequent postprocessing step to further improve the segmentation. However, adding these was not the goal of this paper.

## 6 Conclusions

We aimed to present a fully automatic machine learning based algorithm for left ventricle segmentation with no explicit definition of task specific segmentation rules, model creation, user interaction nor post-processing. The algorithm learnt to automatically select the most discriminative features for the task using the ground-truth only. The only assumptions we make is that the motion of the object to be segmented is periodic for the construction of frame triplets and that the tissue intensity mapping between two different cases can be roughly approximated by a piecewise linear function. We also introduced a machine learning based intensity standardization method that allows to do tissue specific remapping of intensities and obtain a more CT like behaviour.

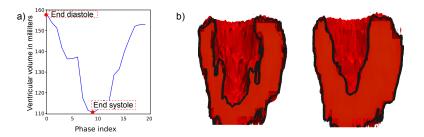


Fig. 4. a) Automatically calculated volume curve from patient DET0026701 during a single cardiac cycle with detected end systole (ES) and end diastole (ED) frames at the volume maximum and minimum respectively. b) Long axis crosssection through the binarized segmentations at ED and ES.

Finally, using a curvature-based iterative hole filling algorithm [17] on the binarized segmentation, we could automatically calculate volumetric measurements and detect the main cardiac phases as the volume curve extremas (see Figure 4).

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