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## 3D MODEL-BASED TRACKING FOR SPACE AUTONOMOUS RENDEZVOUS

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### ABSTRACT

For a space rendezvous mission, autonomy imposes stringent performance requirements regarding navigation, for the guidance and control to be managed. For the final phase of the rendezvous, a vision-based navigation, using a monocular camera, can be a solution. Markerless 3D model-based tracking has been studied and tested on a mock-up of a telecommunication satellite. Tests on typical approach scenarios have shown satisfactory results, in terms of precision of the pose estimation and computational costs. Quantitative tests have also been carried out to determine the robustness of the algorithm to inter frame motions, orientation, illumination conditions and initialization uncertainty. The tracking algorithm has also been successfully implemented in a closed loop chain for visual servoing.

## I. INTRODUCTION

### A. CONTEXT : AN AUTONOMOUS SPACE RENDEZVOUS MISSION

A rendezvous mission has various applications, from space station supplying, spacecraft refueling, grasping or repairing. A high level of autonomy can be preferred for several reasons (ground control cannot be used because of large communication delays, safety reasons...), leading to numerous approach techniques [9], [15]. A recent example of autonomous rendezvous concerns the European Space Agency (ESA) Automated Transfer Vehicle (ATV), with Astrium as prime contractor and which autonomously docks onto the International Space Station (ISS). As scope of ATV's docking is restricted to collaborative target and use of active sensor, ESA also bears its interest on techniques enabling docking on non-collaborative target, using passive sensors or not. HARVD [14] and MSRO (phase A) belong to such studies. The former concerns autonomous docking on satellite whereas the latter aims at recovering a spherical canister orbiting around Mars. As space debris mitigation and satellite servicing has become a key issue, ESA has more recently launched projects such as the Geostationary Servicing Vehicle (GSV) or the RObotic GEostationary orbit Restorer (ROGER), both tasked to capture, inspect, assist or re-orbit satellites in trouble. The Defense Advanced Research Projects Agency (DARPA) [16] has also established and experimented in space the Orbital Express Demonstration System, with a Boeing-led contractor, to perform autonomous rendezvous and capture for satellite servicing.

### B. SCOPE OF THE STUDY

This work is the result of a partnership between Astrium and the Institut National de Recherche en Informatique et Automatique (INRIA/IRISA) in Rennes and extends a former study performed by Astrium Satellites known as High-Integrity, Autonomous, Multi-Range Rendezvous and Docking (HARVD) [14]. In this study the target of the rendezvous mission is a telecommunication satellite (Amazonas-2). We only focus on the final approach phase of the rendezvous (Fig. 1), which consists in a forced translation, along with a fly-around phase. As this phase is critical, with precise requirements regarding lateral, longitudinal and angular alignment and computational costs, its navigation is proposed to be achieved by computer vision (along with inertial sensors), using a monocular camera mounted on the chaser, for a distance ranging from 1000m to contact.

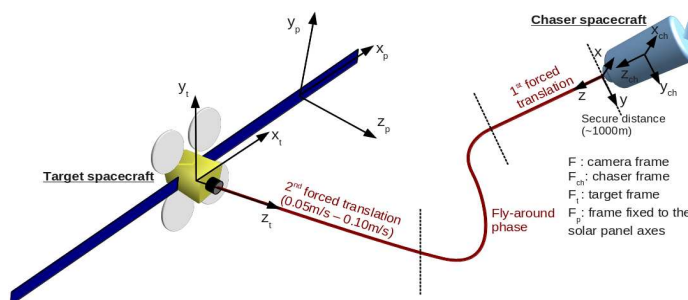


Fig. 1: Final approach of a rendezvous mission.

### C. STATE OF THE ART

Navigation of the chaser consists in continuously estimating its relative pose (position, orientation) with the target. To address this task, some computer vision approaches have already been implemented for proximity operations in a rendezvous mission. On the ATV, a videometer processes a laser beam reflected by retroreflectors installed on the ISS, what is the first operational vision system for spacecraft navigation [13]. Using cameras, [1], [2], [15] propose to rely on easy to detect and track markers installed on the target. Other techniques under study in a space context deal with natural features with stereo vision methods to recover the pose, given a 3D model of the object [7], [10]. With a monocular camera, a feature-matching computer vision approach had been selected in [14]. It consists in the extraction of invariant features in the image that are matched to a database built from preliminary learning sessions. It is however too computationally intensive to be used during the whole mission at the required frequency (a few Hz). A critical sensitivity to distance, illumination, relative orientation, and occlusions of the target had been observed [14]. In [16], a learned database is also used for the Orbital Express vision and the algorithm relies on edge and silhouette matching in this case. In this paper we propose to consider a markerless 3D model-based tracking algorithm using a monocular camera [5]. It has already been implemented in an ESA aerospace applications [7] and in an aeronautics context [6]. Such a method allows the rendezvous with an uncollaborative and unprepared satellite, provided the 3D model of the target is known. The tracking algorithm is recalled in Section II. In Section III, we present a visual servoing control loop to automatically perform a simulated rendezvous between a robot and a mockup of Amazonas-2. Results regarding tracking performances and robustness in open loop and regarding visual servoing are exposed in Section IV.

## II. 3D MODEL-BASED TRACKING

Our problem is restricted to model-based tracking, using a 3D model of the target. This model is made up of lines. This enables a good invariance to pose and illumination changes, and robustness to some image noise or blur. The purpose is to compute the pose (camera, and thus chaser, position and orientation with respect to the target) which provides the best alignment between edges of the projected model and edges extracted in the image [11], [8], [5]. The approach considered in this paper is described in [5]. Given a new image, the 3D model of the scene or the target is projected in the image according to the estimated previous camera pose  $\mathbf{r}$  (see Fig. 2). Each projected line  $L_i(\mathbf{r})$  of the model is then sampled with a sample step  $S$ . Then from each sample point  $p_{i,j}$  a 1D search along the normal of the projected edge is performed, for a range  $R$ , to find a corresponding point  $p'_{i,j}$  in the image. As in [5],  $p'_{i,j}$  is of maximum likelihood with regard to  $p_{i,j}$ . In order to compute the new pose, the distances between points  $p'_{i,j}$  and the projected lines  $L_i$  are minimized with respect to the following criteria [5]:

$$\Delta = \sum_i \sum_j \rho(d_o(L_i(\mathbf{r}), p'_{i,j})) \quad (1)$$

where  $d_o(L_i(\mathbf{r}), p'_{i,j})$  is the distance between a point  $p'_{i,j}$  and the corresponding line  $L_i(\mathbf{r})$  projected in the image from a pose  $\mathbf{r}$ .  $\rho$  is a robust estimator, which reduces the sensitivity to outliers. This is a non-linear minimization process with respect to the pose parameters  $\mathbf{r}$ . The minimization process follows the Virtual Visual Servoing framework [5] similar to the Gauss-Newton approach. The tuning of the range  $R$ , sample step  $S$  and the maximal number of iterations  $K$  in minimization process are discussed in Section IV, regarding estimation precision and computational costs.

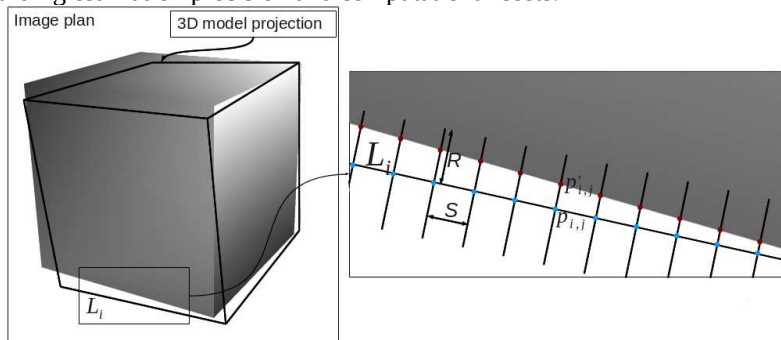


Fig. 2: Moving Edge principle.

### III. TRACKING FOR VISUAL SERVOING

In order to servo the robot, we propose to use the 3D model-based tracking algorithm within a 2D 1/2 visual servoing control loop. Visual servoing consists in using data provided by a vision sensor for controlling the motions of a dynamic system [3]. Classically, to achieve a visual servoing task, a set of visual features  $\mathbf{s}$  has to be selected from the image allowing to control the desired degrees of freedom. The goal is to minimize the error between the current values of visual features  $\mathbf{s}$  extracted from the current image and their desired values  $\mathbf{s}^*$ . For this purpose, techniques [3] depend on the features  $\mathbf{s}$  used : they can be 2D points directly extracted from the image, for Image-based Visual Servoing (IBVS) or 3D parameters recovered thanks to image measurements like pose computation for Position-based Visual Servoing (PBVS). Here we apply a hybrid solution, 2 1/2 D visual servoing approach [4], [12], which avoids the shortcomings of the two basic approaches, by combining features in 2D and 3D, in order to decouple position and rotational movements, with a simpler interaction matrix, and with a better stability than IBVS or PBVS :

$$\mathbf{s} = [x \quad y \quad \theta u_z \quad \mathbf{t}] \quad (2)$$

where  $x$  and  $y$  are the metric coordinates in the image of a point of the object, here the center of the mock-up,  $\theta u_z$  is the third coordinate of the  $\theta u$  vector, which represents the rotation the camera has to perform to reach the desired pose, and  $\mathbf{t}$  is the translation vector the camera has to perform to reach the desired pose, expressed in the desired camera frame.  $\theta u_z$  and  $\mathbf{t}$  have thus to be regulated to 0. We need to minimize the error  $\mathbf{e} = \mathbf{s} - \mathbf{s}^*$  where features  $\mathbf{s}$  are recovered thanks to model-based tracking. A kinematic controller, which is convenient for most of systems, is then designed to servo the camera. A proportional control scheme is defined, to make the error exponentially decreases, leading to the following control law :

$$\mathbf{v}_c = -\lambda \hat{\mathbf{L}}_s^+ (\mathbf{s} - \mathbf{s}^*) \quad (3)$$

with  $\hat{\mathbf{L}}_s^+$  the estimate of the pseudo-inverse of  $\mathbf{L}_s$ , the interaction matrix associated to the visual features. Reference [3] details how this matrix can be computed. It can here be estimated thanks to the parameters of the pose computed by the model-based tracking algorithm.

## IV. RESULTS

### A. EXPERIMENTAL CONDITIONS

To implement the tracking algorithm on a vision based rendezvous context, Astrium provided a complete 3D model and a real reduced (1/50) mock-up of Amazonas-2, a telecom satellite built from the Eurostar-3000 platform, and similar to the one used for HARVD experiments [14]. Amazonas-2 was launched in 2009 for Spanish company Hispsasat to cover the American (especially South America) position. It is located on a Geostationary Orbit. The provided 3D model is too complex to deal with realtime applications. Thus we have considerably simplified the model, keeping the most significant geometrical features. Besides, depending on the relative size of the mock-up in the image, the relevant information to be considered varies. Indeed, regarding the central module of the satellite, the contours are not very precise and regular, due to the insulating film on most of the surface of this module and on the four circular antennas. The film reflecting light, the irregularities are enhanced by the sun luminosity and make it hard for the algorithm to identify edges. For long distances (Fig. 5(a)), the information provided by the central module is not useful, nor significant, compared to the information given by the solar panels, with sharper edges, but for shorter distances (Fig. 5(d)), details of the central module have to be included and the model has to be refined, to perform the approach properly. A solution implemented here is to switch between 3D models in order to keep in the model only the most relevant information with regard to the distance camera/mockup. Using a robot to simulate the rendezvous, with a camera mounted on its end-effector, enables to have regular and quite realistic movements (let us however note that the specific dynamic of spacecraft is not considered in this paper). Besides, the position of the robot is known very precisely ( $10^{-4}$  m), providing us with ground truth. It enables to exactly replicate test procedures and so to carry out systematic tests to quantify the tracking performances in open loop. The robot we have used (Fig. 3) has 6 degrees of freedom and 640x480 images are processed. Sun illumination can also be simulated by spot lights located around the scene. As

camera parameters (in this case, a camera with a 5 deg. FoV, along  $x$  and  $y$ , is supposed to be mounted on the chaser spacecraft) are known and as the mock-up size is 1/50 of the satellite, the equivalence between the experimentation and a real space rendezvous approach can be expressed in terms of the  $z$ -coordinate of the target center in the camera frame ( $z^{exp}$  and  $z^{real}$ ) and of the ratio of velocities along the optical axis ( $dz^{exp}/dz^{real}$ ) (see Fig. 4). Typical forced translations for rendezvous scenarios specified in [14] are performed with velocities ranging from 0.05m/s to 0.10m/s, and with a camera frame rate set to 1Hz in these cases.

### B. RESULTS ON A NOMINAL SCENARIO

In this scenario, for the first translation, the camera is moving with a constant velocity (0.015m/s) along its optical axis, which targets the center of the mock-up, with a 15Hz frame rate (the equivalent velocity would be 0.40m/s in a space context, so larger than usual translation velocities), and with a distance chaser/target ranging from 1.56m to 0.62m, what corresponds to a 642m -255m range in the space context. Then the robot performs a fly-around phase to realign to the docking port of the mock-up and performs its final translation with a constant velocity (still 0.015m/s), until a distance chaser/target of 0.135m, which is the shortest achievable distance because of joint limits between the robot and the mock-up, and which is equivalent to 56m in the space context. Besides, illumination conditions are favorable, leading to distinctive edges on the mock-up. Two tracking configurations, C1 and C2, are implemented, and are specified by the type of 3D model(s) used, their switching distance  $d_s$  if it is the case, and the tracking key parameters defined in Section II (range  $R$ , step  $S$ , and the maximum number  $K$  of iterations in the control law), (see Tab. I). Performances are satisfactory for both cases (see Fig. 5 and Fig. 6), with low positioning errors along  $x$ ,  $y$  and  $z$ . In the equivalent space context, these estimation errors along  $x$  and  $y$  would be less than 0.08% of the distance chaser/target, except for C2 from 82m (90s on Fig.6) to the center of the target, with

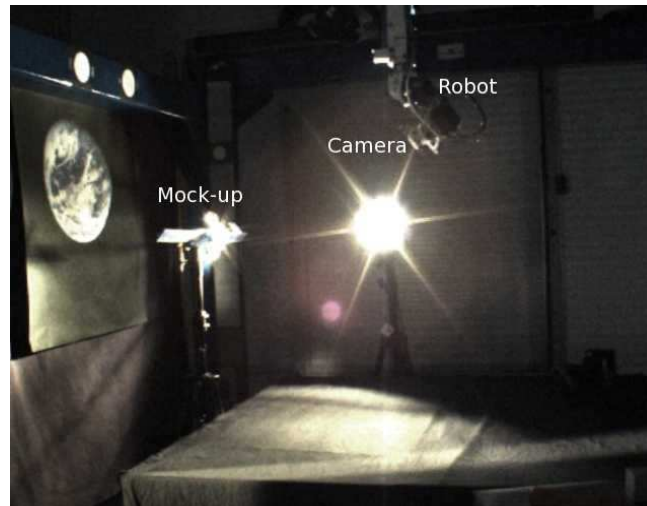


Fig. 3: The experimental setup. It includes Amazonas 2 mock-up, camera mounted on the robot end-effector and a light.

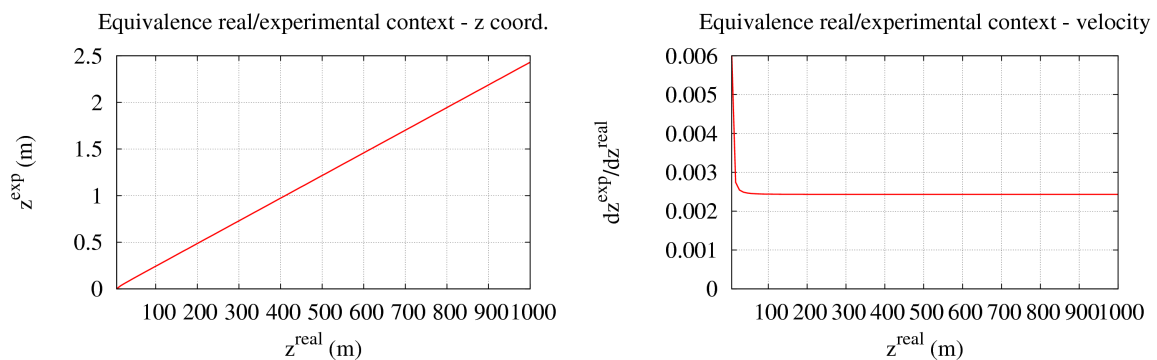
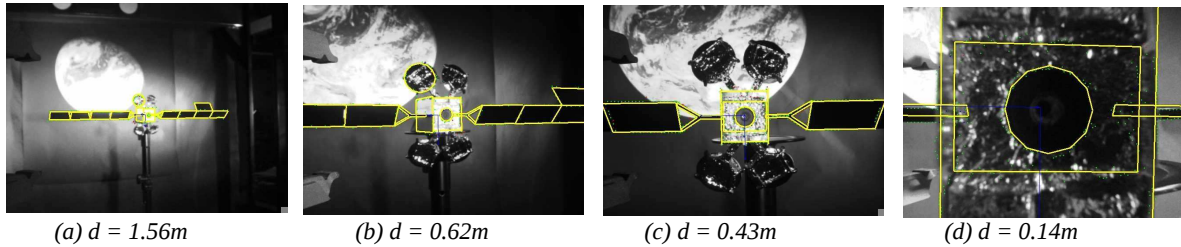


Fig. 4: Equivalence experimental/real contexts. The velocity ratio can be approximated to 0.0024 as we restrict to distances ranging from 56m to 800m, due to the robot joint limits.

**Table I:** Tracking configurations.

	C1	C2
Model	Single model	2 models
$d_s$ (m)	No	0.43
R (pixels)	6	$6 (d > d_s), 11 (d < d_s)$
S (pixels)	5	$10 (d > d_s), 8 (d < d_s)$
K	30	5

around 0.3% of the relative distance, along  $x$ . They would always be less than 0.5% along  $z$ . Low angular misalignment is also observed (less than 5 deg., except around  $y$  for C2 at the far end of the estimation). In this scenario, solutions C1 and C2 appear to have quite similar performances, except that C1 is more stable than C2, due to its higher level of detail and its smaller sample step  $S$ .

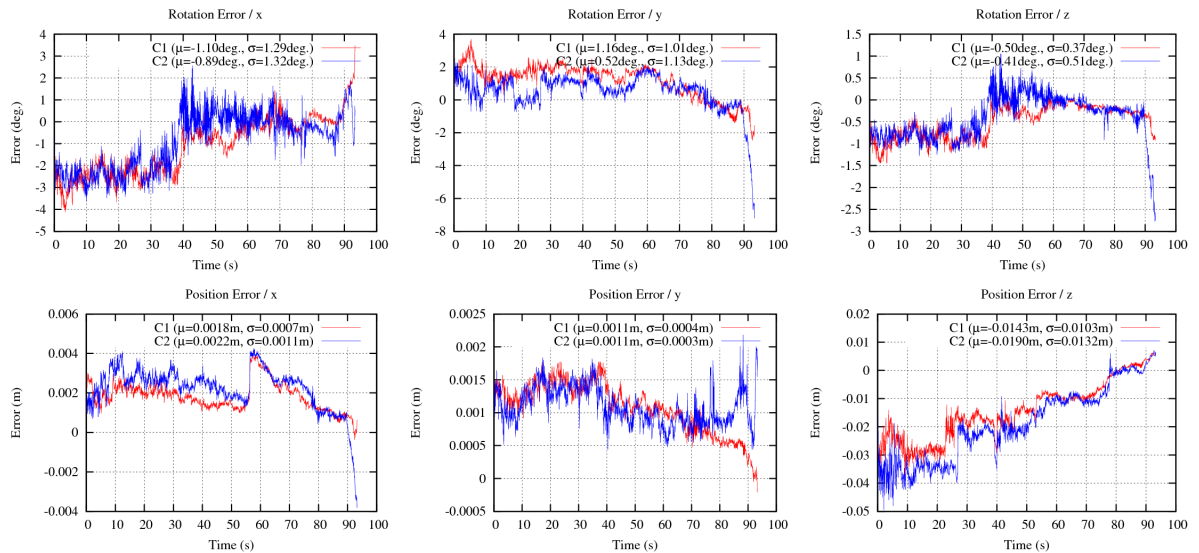

 (a)  $d = 1.56m$ 

 (b)  $d = 0.62m$ 

 (c)  $d = 0.43m$ 

 (d)  $d = 0.14m$ 

**Fig. 5:** Tracking for a nominal scenario, under good illumination, with C2. On (a), the chaser starts its first translation, then its fly-around phase on (b). During the second translation, the 3D model and tracking parameters change (c), until  $d = 0.14m$  (d).



**Fig. 6:** Pose errors, for the nominal approach scenario, using tracking configurations C1 and C2, with the corresponding mean ( $\mu$ ) and standard deviation ( $\sigma$ ) values.



## C. ROBUSTNESS TESTS

### ROBUSTNESS TO TRANSLATION MOTIONS

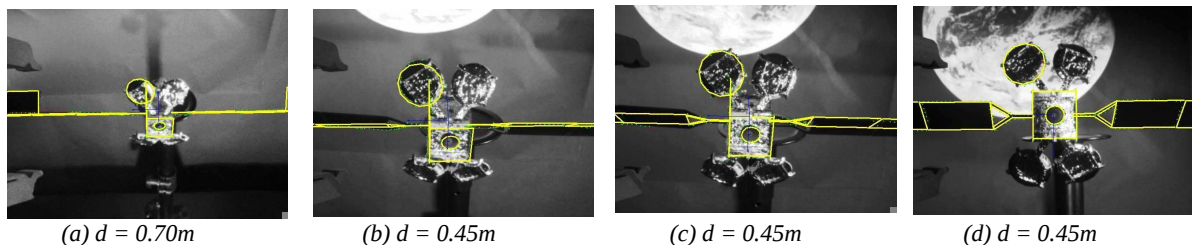
As the target may not be initially centered in the image or because of uncertainties in the chaser and target motions, it has been relevant to test the sensitivity of the algorithm to translation motions of the robot along  $x$  and  $y$  in the camera frame, with relative orientation alignment. The purpose is to determine if at different distances chaser/target the tracking is properly performed or not, given the inter frame motion constraints. Tab. II gathers the results, with limits in terms of the motion of the target in the image and of the relative motion chaser/target in the real space context. The tracking is less robust to inter frame translation motions of the target in the image at short distances and for motions along  $y$ . C2 appears to be more robust than C1 for distances inferior to  $d_s$  as its range R is larger. Nevertheless, limits in terms of inter frame relative motions in the space context show that the tracking algorithm is more than suitable for a rendezvous approach with slow and smooth motions, as defined in [14].

### ROBUSTNESS TO ORIENTATION VARIATIONS

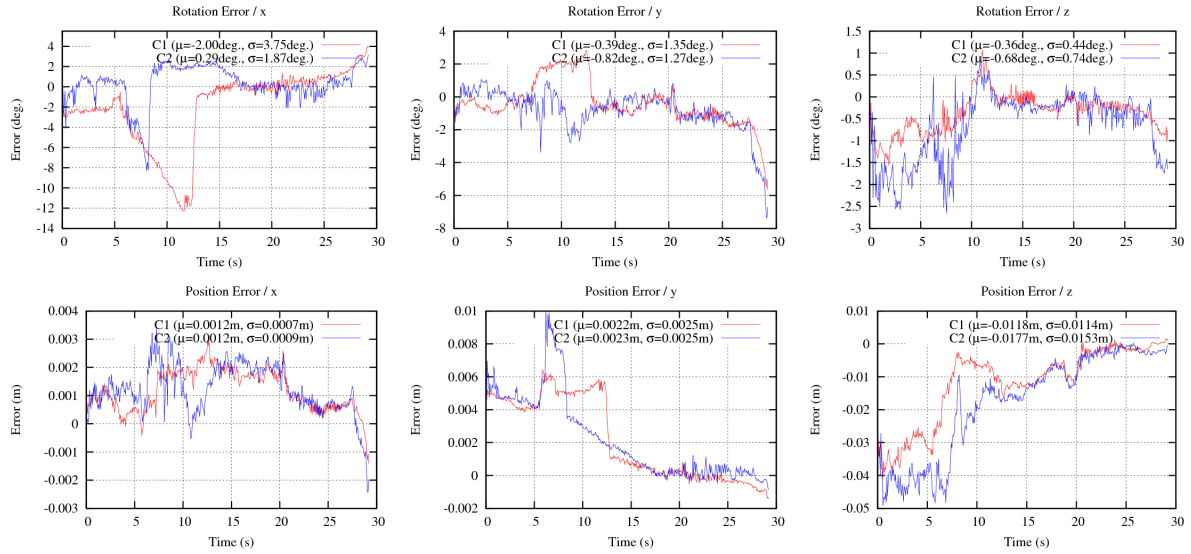
Tests have shown that with C1 or C2 configuration, tracking can be performed, whatever the initial relative orientation. Some problems may occur when the solar panels tend to appear as single edges in the image (see Fig. 7(a)). This singularity leads to some ambiguities on the contours of these panels when the chaser performs its first translation and fly-around phases (Fig. 7(b), Fig. 7(c)). Fig. 8 shows performances of this approach. We observe greater but still acceptable position errors. In the equivalent space context, the error along  $z$  would be below 0.7% of the relative distance for C1, 1% for C2 during the first translation (0s - 7s) and fly-around phases (7s - 20s) (Fig. 8), then decreasing to remain under 0.36% until the end (at 56m from the target in a real space context), in both configurations. Along  $x$  and  $y$ , errors would always be below 0.25% of the relative distance for C1 and C2. The orientation error around  $z$  reaches 13 deg. for C1 during the fly-around phase, but then gets much lower. More generally, tracking is limited to rotational motions of the chaser in the camera frame implying inter frame target motions in the image similar to the limits defined in Tab. II.

**Table II:** Robustness to motions.

	C1				C2			
	0.3	0.5	0.9	1.3	0.3	0.5	0.9	1.3
Distance (experimental context) (m)	0.3	0.5	0.9	1.3	0.3	0.5	0.9	1.3
Distance (real context)) (m)	124	206	370	535	124	206	370	535
Target motion lim. /x (pix/frame)	5.5	6.0	6.6	6.8	8.1	6.0	5.8	5.4
Target motion lim. /y (pix/frame)	3.8	5.4	5.8	6.0	7.9	5.9	5.8	6.0
Rel. motion lim. /x (real context) (cm/frame)	9.4	17.0	33.2	50.1	13.8	16.9	29.6	39.8
Rel. motion lim. /y (real context) (cm/frame)	8.7	20.4	39.5	59.0	18.0	22.3	39.5	59.0



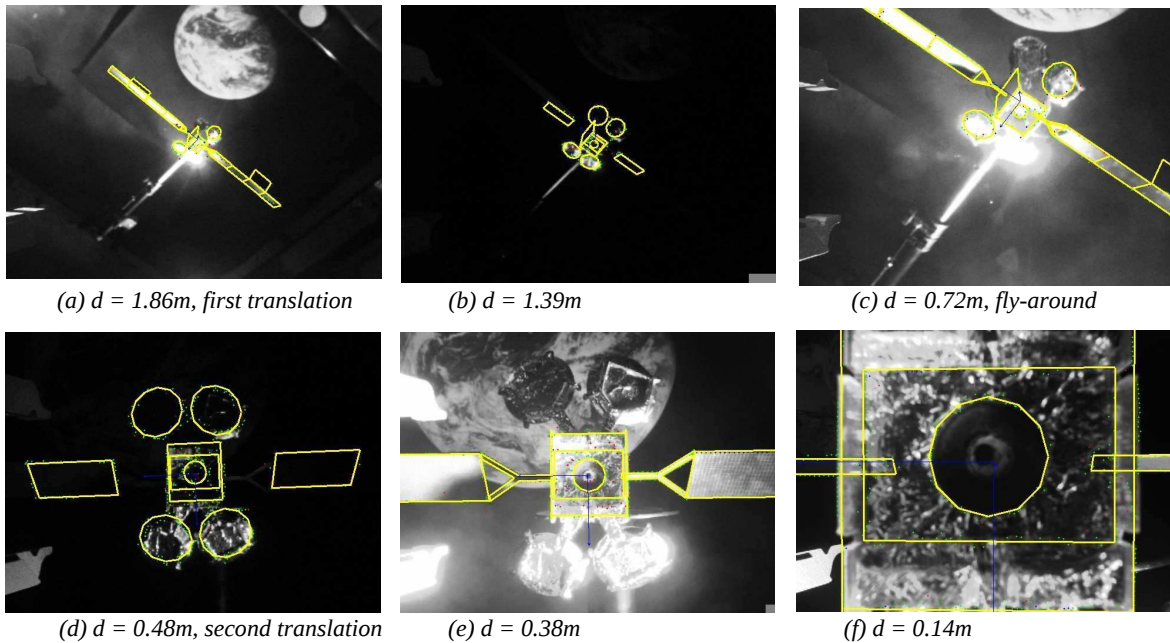
**Fig. 7:** Potential problem when tracking with singular orientation, when the solar panels of the target appear as single edges (a).



**Fig. 8:** Pose errors, when the chaser starts its first translation with solar panels of the target appearing as single edges, using configurations C1 and C2.

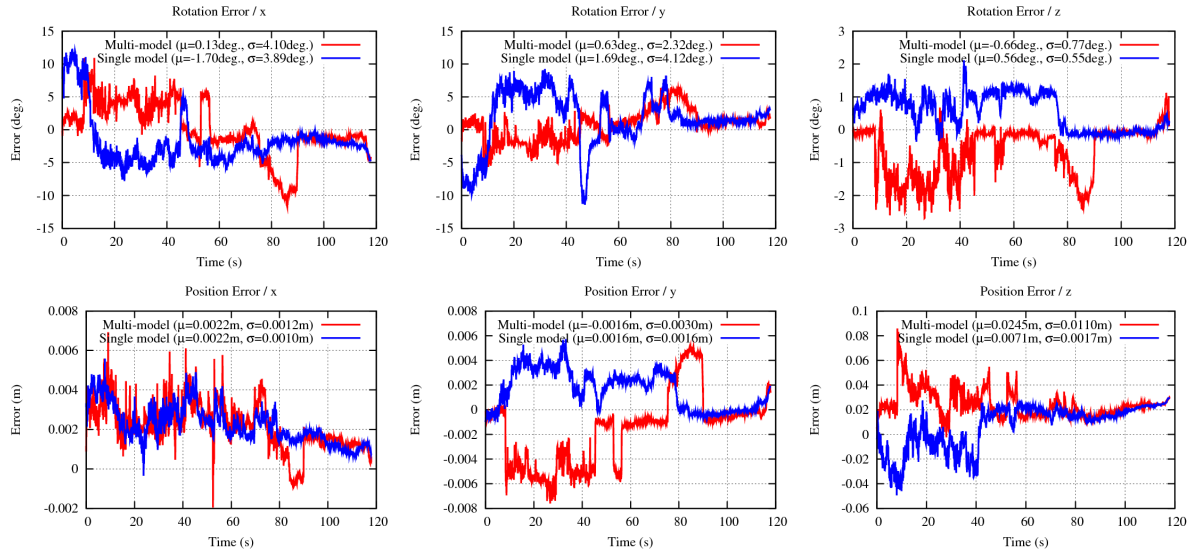
### ROBUSTNESS TO ILLUMINATION CHANGES

A quite extreme scenario has been experimented, with brutal changes (Fig. 9). Fig. 10 shows performances of two solutions, different from C1 and C2. Low luminosity triggers the disappearance of the panels. With the lack of this key geometrical information, the four circular antennas become necessary for the tracking to be performed. The information being concentrated in the middle of the image, we can observe some errors for rotations around  $x$  and  $y$ , and of position for  $z$  at the beginning. Performances are better for a multi-model solution (two models, switching six times, at illumination changes), especially on the lateral position alignment. The different illumination changes trigger jumps in the different measured errors, Fig. 10. The tracking is particularly robust to light reflections on the insulating film.



**Fig. 9:** Tracking with 3D models switching at illumination changes.





**Fig. 10:** Pose errors, for a standard approach with six brutal illumination changes, at times  $t = 7s, 45s, 52s, 57s, 74s$  and  $88s$ , using a configuration with two 3D models switching at illumination changes (Multi-model), and a configuration with a single 3D model during the whole approach.

### ROBUSTNESS TO INITIALIZATION

Given an initial image, a wide grid of different poses, around the true one, were tested as initial poses. A criterion determines if the initial pose can be suitable for the tracking to be performed properly. For each coordinate, four values were tested, what makes a grid of 4096 tested poses and within the following ranges, in the equivalent real space context :  $\Delta x = 2.5m$  ,  $\Delta y = 2m$  ,  $\Delta z = 170m$  ,  $\Delta R_x = 12^\circ$  ,  $\Delta R_y = 12^\circ$  ,  $\Delta R_z = 5^\circ$  .

To determine if an initial pose can enable the tracking and does not lead to a local minimum, we use the root mean square errors of the next estimated poses. If this error gets below a certain threshold among the 150 first pose estimations, then the initial pose can be considered as valid.

The cases of two different initial images were tested.

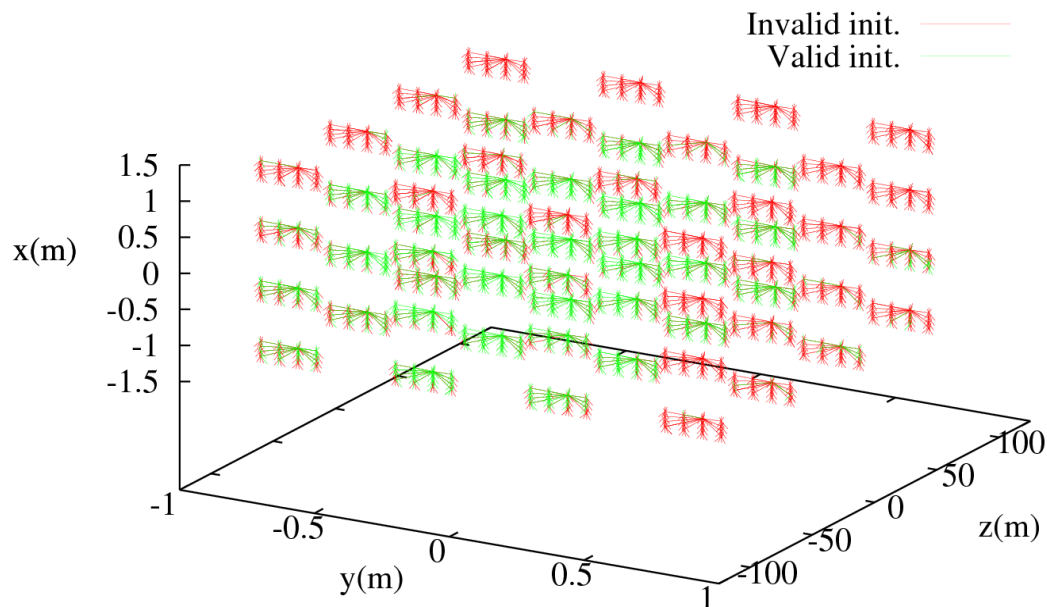
- Situation 1

Fig. 11 represents this situation. Results are represented on Fig. 12. The frame is the camera frame, in the equivalent space context. Each feature formed by two orthogonal segments represents, by its position and orientation, the difference between a tested pose and the 'true' pose. In green are represented initial poses that can be considered as valid for the tracking. In this situation, the tracking is quite flexible concerning the initial pose. It works for 40.5% of the initial poses tested. Limitations occur mainly along x and y, for which the initial pose has to remain under respectively 0.025m and 0.015m from the reference (what corresponds to



**Fig. 11:** Initial image for situation 1. The distance chaser/target is 1.55m (646m in the equivalent real space context).

Robustness to initialisation - Situation 1

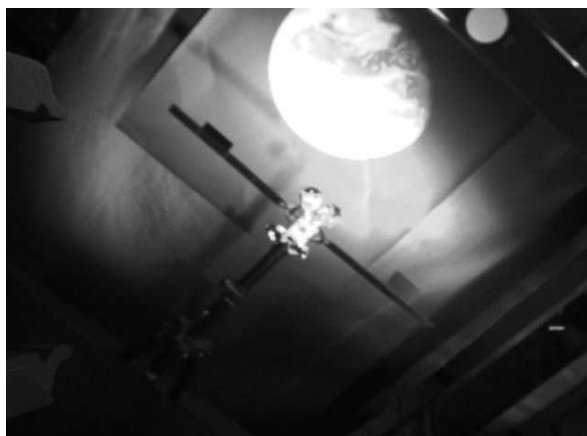


*Fig. 12: Results for situation 1. Each feature made of two orthogonal segments represents a tested initial pose. Green features mean that the tested pose is valid for the tracking to be properly performed afterwards.*

1.25m and 0.75m in a real context). Otherwise there can be some ambiguities on the contours of the solar panels, which are the most relevant parts of the target at the beginning, what leads to local minima. Along  $z$ , the optical axis, when the initial pose exceeds 0.10m (or 42m in the real context) over the reference, ambiguities appear too. For orientation parameters, problems appear especially for rotation around  $z$ . Indeed, if it exceeds 2 deg. over or under the reference, ambiguities occur and local minima can be reached.

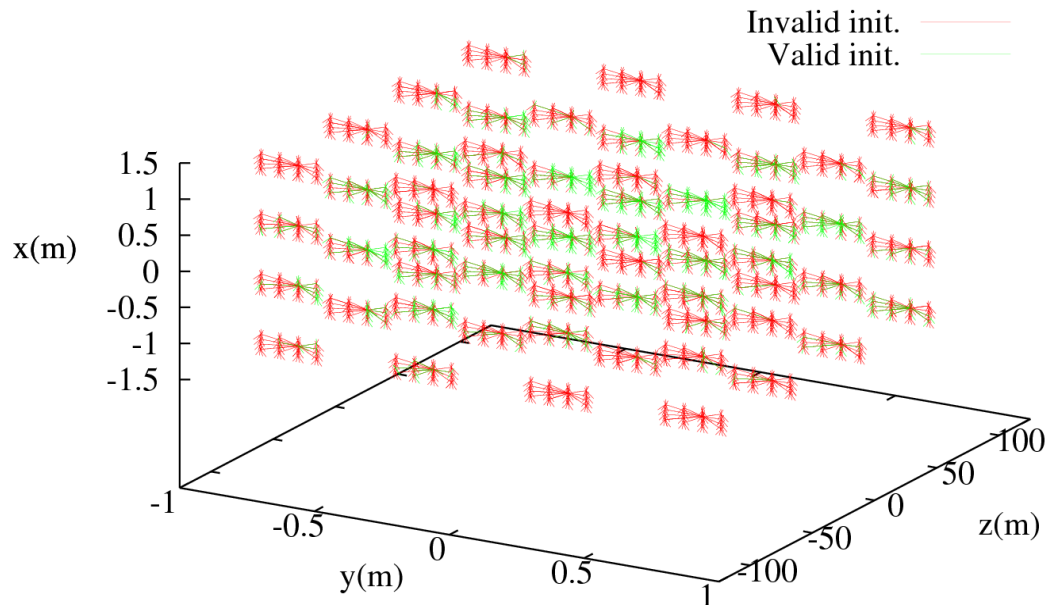
- Situation 2

Figure 13 represents this situation. Results are represented on Fig. 14. In this situation, tracking works for 20.6% of the tested initial poses.



*Fig. 13: Initial image for situation 2. The distance chaser/target is 1.76m (733m in the equivalent real space context).*

## Robustness to initialisation - Situation 2



**Fig. 14:** Results for situation 2. Each feature made of two orthogonal segments represents a tested initial pose. Green features mean that the tested pose is valid for the tracking to be properly performed afterwards.

We observe that the algorithm is very sensitive to initialization, but this sensitivity depends much on the encountered initial situation. The most critical degrees freedom are positions along the axis  $x$  and  $y$  in the camera frame and rotation around the optical axis.

#### D. COMPUTATIONAL COSTS

In our application, the ability to switch between different models and so to adapt them to the conditions (illumination, distance) is a relevant issue to reduce computational costs. The simple 3D models used in C2 have thus been designed in this sense, what has lead to lower computational costs than for C1 and its single detailed model, with more lines. For parameters  $K$ ,  $R$  and  $S$  defined in Section II and which tune the estimation convergence rate, C2 is a trade-off to reduce costs while preserving performances as compared to C1. With an Intel Core 2 Duo processor, the mean execution time per frame for a typical approach is 45ms for C1, 21ms for C2, with a 18ms standard deviation for C1, 4ms for C2.

#### E. VISUAL SERVOING RESULTS

We have performed an autonomous rendezvous in a closed loop chain by servoing the robot close to the mock-up, with angular alignment, using the 2D 1/2 visual servoing technique presented in Section III. As exposed in Section I-B, the maneuver has been divided into three phases : a first translation is achieved to drive the target into the center of the image, a fly-around phase to align to the docking port axis of the target, and a final translation until almost docking (0.13m or 55m ). The servoing performed on the mock-up has successfully achieved the intended goal, for two illumination conditions (Fig. 15(a)-(c) and (d)-(f)).

#### V. CONCLUSION AND FUTURE WORKS

A real-time model-based based approach has been applied on the final approach of an autonomous rendezvous mission, in order to track and automatically approach the target spacecraft. The tests performed in open loop on a mock-up have shown promising performances in terms of pose computation, regarding motions, distance, orientation or illumination constraints, despite a critical sensitivity to initialization for some degrees of freedom. The estimation precision and the robustness of the algorithm would make it suitable for equivalent realistic rendezvous final approaches. This method is also adapted to perform an autonomous rendezvous only based on visual servoing, with successful results. Future works would aim at automatically generating an adapted 3D model out of the complete surface model, evaluating and

propagating tracking uncertainty using statistical methods and improving initialization techniques. Experiments will also be carried out using actual images of the ATV Johannes Kepler. Those future works have started by end 2010 in the frame of a PhD carried out at IRISA under funding of the EADS Foundation.

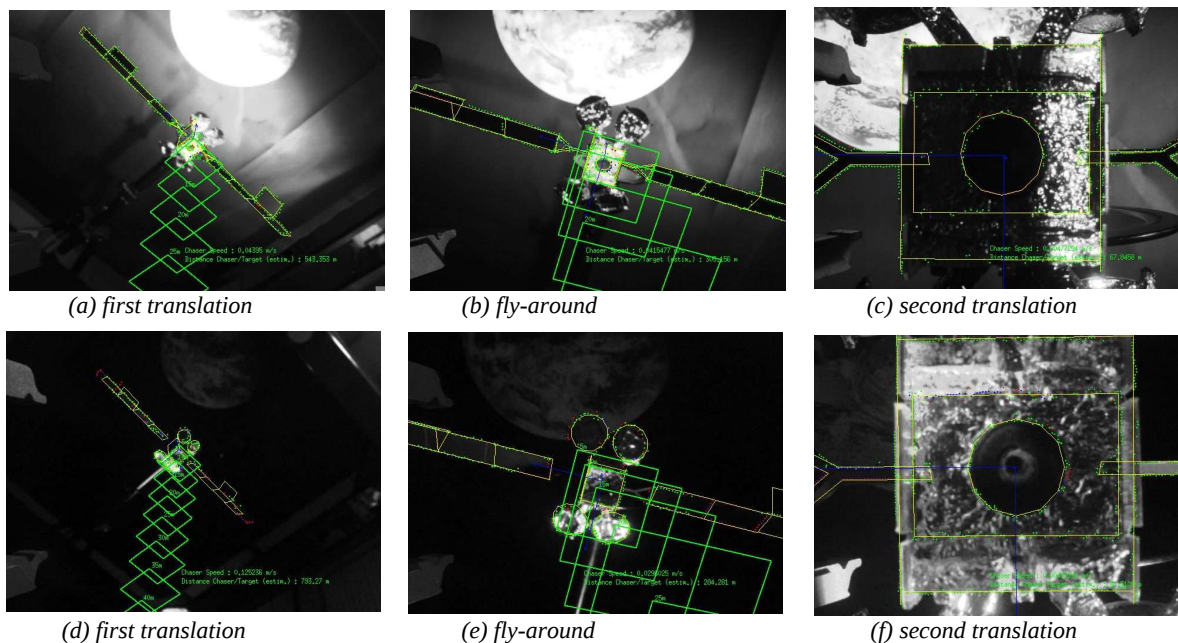


Fig. 15: Complete approach within a visual servoing experiment under strong and low luminosity.

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